

Notes on Spivak, Differential Geometry, vol 1.

Chapter 1. Chapter 1 deals with topological manifolds. There is some discussion about more subtle topological aspects (pp. 2–7) which we can gloss over. A topological manifold M (p. 1) of dimension 1 is a metric space which is locally homeomorphic to \mathbb{R}^n , i.e.

$$\forall x \in M, \exists U \ni x, \phi : U \rightarrow \mathbb{R}^n, \phi \text{ homeomorphism.}$$

A manifold M with boundary (p. 23) is a metric space so that $\forall x \in M, \exists O \ni x$ with O homeomorphic to \mathbb{R}^n or \mathbb{H}^n , where $\mathbb{H}^n = \{(x^1, \dots, x^n \in \mathbb{R}^n, x^n \geq 0)\}$.

Examples of manifolds (pp. 8–24) are S^n , cartesian products of manifolds are manifolds, the n -torus $T^n = S^1 \times \dots \times S^1$, handle = 2-torus \setminus ball.

By gluing manifolds together one can construct new examples, such as 2-holed torus, n -holed torus = sphere with n handles attached. pp. 10–11.

The Möbius strip, and the projective space P^n are examples of manifolds constructed using gluing and identification.

Let M, N be topological manifolds. A topological imbedding of M in N is a map $f : M \rightarrow N$ so that $f : M \rightarrow f(M) \subset N$ is a homeomorphism w.r.t. the induced topology on $f(M)$. A topological immersion of M in N is a mapping $f : M \rightarrow N$ so that f is locally an imbedding.

Note there is no topological imbedding of \mathbb{P}^2 in \mathbb{R}^3 , but there is a topological imbedding of \mathbb{P}^2 in \mathbb{R}^4 . See pp. 15–21 for a detailed discussion of this.

Problems, Chapter 1: 9,12,15,17

Note that many of the problems in Spivak are not just calculational problems, rather the formulation of the problems contain a lot of new information not given in the text itself, therefore the important thing is often to read and meditate on this information.

Chapter 2 Differentiable manifolds are defined in chapter 2. A differentiable C^∞ manifold is a topological manifold which has a maximal differentiable atlas, i.e. a maximal collection \mathcal{A} of charts so that if (x, U) (y, V) are charts then $x \circ y^{-1}$ och $y \circ x^{-1}$ are C^∞ (where they are defined). Spivak calls these C^∞ related charts. A maximal differentiable atlas on M is also called a C^∞ structure on M .

C^∞ manifolds with boundary (p. 42) are defined analogously with the topological case. If M is an n -dimensional manifold with boundary, the boundary ∂M inherits a differentiable structure from M and is a C^∞ manifold, cf. problem 12.

The standard examples of manifolds which were discussed in chapter 1 have natural C^∞ structures, for example $\mathbb{R}^n, S^n, \mathbb{T}^n, \mathbb{P}^n$ etc.

You can cut and paste C^∞ manifolds in a similar way as you can cut and paste topological manifolds, see problem 14, chapter 2.

You can construct “lots” of C^∞ functions on a C^∞ manifold M . Lemma 2 says that if $C \subset U \subset M$ with C compact and U open, then we can find $f : M \rightarrow [0, 1]$ so that $f|_C = 1$ and $\text{supp}(f) \subset U$.

A diffeomorphism is a C^∞ homeomorphism such that its inverse is C^∞ . Note that $f : (M, \mathcal{A}) \rightarrow (N, \mathcal{B})$ is a diffeomorphism if and only if $x \circ f \in \mathcal{A} \Leftrightarrow x \in \mathcal{B}$, i.e. $x \circ f$ is a chart (at p) which is in the C^∞ structure of M if and only if x is a chart (at $f(p)$) which is in the C^∞ structure of N .

You classify C^∞ manifolds up to diffeomorphism (just like you classify topological manifolds up to homeomorphism). Note that there may be several non-equivalent C^∞ structures on a given topological manifolds, eg. S^7, \mathbb{R}^4 , cf. p. 39.

If $f : M \rightarrow N$ is C^∞ you define rank f at p as the rank of the jacobian of $y \circ f \circ x^{-1}$ where x is a chart at p and y is a chart at $f(p)$.

If $f : M^n \rightarrow N^m$ (i.e. $\dim M = n, \dim N = m$) is C^∞ we call $p \in M$ critical if rank $f < n$ at p . The set of critical points is denoted C , $q \in f(C)$ is called a critical value, $q \in N \setminus f(C)$ is called a regular value. Note that $q \in N \setminus f(M)$ is a regular value.

By using charts we can characterize set with measure zero on a manifold in an invariant way. If $A \subset M$ has measure zero and $f : M \rightarrow N$ is C^∞ then $f(M)$ has measure zero in N .

Sards theorem says that $f(C)$ has measure zero in N . The reason is that at a critical value the jacobian of f does not have full rank and therefore “one dimension is crushed”, and using the smoothness of f we can do a local argument which proves the statement.

$f : M^n \rightarrow N^m$ is an immersion if rank $f \equiv n$, note that this holds if and only if $m \geq n$. $f(M)$ is then called an immersed manifold.

If $f : M \rightarrow N$ is an immersion and f is a homeomorphism onto $f(M)$ (w.r.t. the induced topology) then f is called an imbedding. Then $f : M \rightarrow f(M)$ is a diffeomorphism w.r.t. the C^∞ structure of $f(M)$ inherited from N . Note the examples of pathologies of immersions on pp. 61–63.

Given an open cover \mathcal{O} of M one can always find a locally finite refinement (follows from M paracompact), you can arrange so that the refinement consists of sets diffeomorphic to \mathbb{R}^n (Thm. 13).

Given a locally finite open cover \mathcal{O} you can for $U \in \mathcal{O}$ find $U' \subset U$ so that $\overline{U'} \subset U$ and the collection of U' is a cover (Shrinking Lemma, Thm 14).

Let \mathcal{O} be a cover of M . Then a collection of functions $\{\phi_U\}_{U \in \mathcal{O}}$ is a partition of unity subordinate to \mathcal{O} if it holds that $\text{supp}(\phi_U) \subset U$, for all $p \in M$, $\phi_U(p) \neq 0$ for at most finitely many U , $\phi_U(p) \in [0, 1]$,

$$\sum_{U \in \mathcal{O}} \phi_U(p) \equiv 1$$

i.e a partition of unity splits the function 1 into positive functions with small support. This is an enormously important concept in analysis and makes a lot of constructions and arguments possible by localization and approximation.

An example of what can be done with this technique is that every manifold can be imbedded in \mathbb{R}^N for some sufficiently large N , (Thm 17). A much more

difficult result is Whitney's imbedding theorem which says that every compact manifold C^∞ manifold of dimension n can be imbedded in \mathbb{R}^{2n+1} .

Problems, Chapter 2: 3,7,14,19,26,29,33,34

Chapter 3: Tangent space, tangent bundle

Tangent space of \mathbb{R}^n (pp. 86–88): If we consider a curve in \mathbb{R}^n , it is natural to think of $c'(t)$ as a vector based at $p = c(t)$ instead of based at the origin, i.e. at every $p \in \mathbb{R}^n$, there is a space of vectors “based at p ”, we call this the tangent space of \mathbb{R}^n at p , denoted $T_p\mathbb{R}^n$.

It is natural to define $T\mathbb{R}^n = \cup_{p \in \mathbb{R}^n} \mathbb{R}_p^n$, one sees that $T\mathbb{R}^n \cong \mathbb{R}^n \times \mathbb{R}^n$ (homeomorphically) and that there is a natural projection $\pi : T\mathbb{R}^n \rightarrow \mathbb{R}^n$ so that $\pi^{-1}(p) \cong \mathbb{R}^n$. Thus we have a \mathbb{R}^n -bundle. You can show that $T\mathbb{R}^n$ is a $2n$ -dimensional C^∞ manifold in a natural way. A vectorfield on \mathbb{R}^n is a *section* of $T\mathbb{R}^n$, i.e. a mapping $X : \mathbb{R}^n \rightarrow T\mathbb{R}^n$ so that $X(p) \in \mathbb{R}_p^n$, i.e. $\pi \circ X = \text{id}$.

• here Spivak uses the notation \mathbb{R}_p^n which is not standard

Pushforward (s. 89–92): Let $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$ be C^∞ , and let $p \in \mathbb{R}^n$. The Frechet derivative Df defines a map $f_* : \mathbb{R}_p^n \rightarrow \mathbb{R}_{f(p)}^m$, called *pushforward*. by $f_*v_p = Df(p)v_p$ for $v_p \in \mathbb{R}_p^n$.

Example: let 1_t denote the “standard tangent vector of \mathbb{R} ”, let $c : \mathbb{R} \rightarrow \mathbb{R}^n$ be a curve in \mathbb{R}^n , then $c'(t) = c_*1_t \in \mathbb{R}_{c(t)}^n$.

One sees that for $f : \mathbb{R}^n \rightarrow \mathbb{R}^m, g : \mathbb{R}^m \rightarrow \mathbb{R}^k$ we have $(g \circ f)_* = g_* \circ f_*$.

Recall the definition of pullback of functions, $h : \mathbb{R}^m \rightarrow \mathbb{R}, f^*h : \mathbb{R}^n \rightarrow \mathbb{R}$ given by $h \circ f$.

Let X be a vectorfield on \mathbb{R}^n . Then we have $X(f^*h) = (f_*X)h$, i.e. f_*X defines a vectorfield on \mathbb{R}^m .

Tangent space of imbedding (pp. 92–96):

Let M be an n -dimensional manifold and let $i : M \rightarrow \mathbb{R}^N$ be an imbedding. For $p \in M$ and a chart (x, U) at p we have

$$(i \circ x^{-1})_* \Big|_{x(p)} : \mathbb{R}_{x(p)}^n \rightarrow \mathbb{R}_{i(p)}^M$$

and one sees that the range of $(i \circ x^{-1})_* \Big|_{x(p)}$ has dimension n since x is a chart.

Let (y, V) be another chart at p . Due to $(i \circ x^{-1})_* = (i \circ y^{-1})_* \circ (y \circ x^{-1})_*$ and $\text{rank } y \circ x^{-1} = n$ we have that the range of $(i \circ x^{-1})_* \Big|_{x(p)}$ is independent of the choice of chart. We denote it $T_p(M, i)$ and define $T(M, i) = \cup_{p \in M} T_p(M, i)$.

Vectorbundle, bundle map, equivalence, section (pp. 96–114):

Do not be confused by the definition of n -plane bundle, pp. 96–97.

Let V be a vector space. A manifold B together with a map $\pi : B \rightarrow M$ (π is called projection, assumed to be continuous and onto) is called a *vector bundle* with total space B , base M and fiber V if it holds that

- $\forall p \in M : \pi^{-1}(p) \cong V$ (as vectorspace).
- $\forall p \in M \exists U \ni p : \pi^{-1}(U) \cong U \times V$.

One checks easily that these conditions are satisfied for $T(M, i)$, which thus is a vector bundle with fiber \mathbb{R}^n . $T(M, i)$ is the prototype of TM the *tangent*

bundle of M . Thm. 1, p. 101 shows that there is a bundle TM associated to every C^∞ manifold M and that to every C^∞ map, there is a natural bundle map given by pushforward.

The theorem uses the definition in point 1 below. I prefer to use the definition given by 3 below, cf. Theorem 3.

You often use the notation $\xi = \pi : B \rightarrow M$ and refer to the bundle as ξ .

Let $\xi_i = \pi_i : B_i \rightarrow M_i$ be vector bundles.

A map $\tilde{f} : B_1 \rightarrow B_2$ is a *bundle map* if there is a map $f : M_1 \rightarrow M_2$ so that $f \circ \pi_1 = \pi_2 \circ \tilde{f}$ (i.e. \tilde{f} maps fibers to fibers) and $\tilde{f} : \pi_1^{-1}(p) \rightarrow \pi_2^{-1}(f(p))$ is linear.

A bundle map $\tilde{f} : B_1 \rightarrow B_2$ is called a *bundle equivalence* if the corresponding $f : M_1 \rightarrow M_2$ is a homeomorphism and $\tilde{f} : \pi_1^{-1}(p) \rightarrow \pi_2^{-1}(f(p))$ is an isomorphism. We write $\xi_1 \cong \xi_2$.

A vector bundle $\xi = \pi : B \rightarrow M$ with fiber \mathbb{R}^k , (k is called the *fiberdimension*) is called *trivial* if $B \cong M \times \mathbb{R}^n$.

Let $\xi = \pi : B \rightarrow M$ be a vector bundle. A map $s : M \rightarrow B$ is called a *section* of ξ if $\forall p \in M, s(p) \in \pi^{-1}(p)$, i.e. if $\pi \circ s = \text{id}$.

A vector bundle with fiber \mathbb{R}^k is trivial if and only if there is a global choice of k linearly independent sections.

All the above has its natural differentiable correspondence.

TM is in general not (globally) trivial, for example on S^2 , every vector field has a zero, on the Möbius strip there is one nonvanishing vector field but not two linearly independent ones.

You can show TS^n is trivial if and only if $n = 1$, $n = 3$ or $n = 7$.

Tangent vectors of M as derivations (pp. 106–111, Thm 3): Let M be an n -dimensional C^∞ manifold. We want to get at $TM = \cup_{p \in M} T_p M$ in a more direct way. There is (at least) 3 different ways to define $T_p M$ without referring to an imbedding.

- (1) equivalence classes of vectors: let $p \in M$, let $(x, U), (y, V)$ be charts at p , define an equivalence relation by $(p, x, v) \sim (p, y, v')$ iff $(y \circ x^{-1})_* v = v'$. Equivalence classes of charts and vectors w.r.t. \sim can be shown to be n -dimensional vector spaces, this gives $T_p M$.
- (2) Equivalence classes of curves: let c_1, c_2 be curves through p , can assume $c_1(0) = c_2(0) = p$. Define $c_1 \sim c_2$ if $(x \circ c_1)'(0) = (x \circ c_2)'(0)$ for all charts x at p . This gives an n -dimensional vector space $T_p M$.
- (3) The set of all derivations at $p \in M$ of C^∞ functions on M can be shown to be isomorphic to \mathbb{R}^n , this gives $T_p M$.

The (in my opinion) most interesting way of defining the tangent space $T_p M$ is by point 3 above.

Let \mathcal{F} denote the space of (locally defined) C^∞ functions on M . \mathcal{F} is a “sheaf”, the so-called structure sheaf, this is a concept that is important also in the theory of complex manifolds and in algebraic geometry. If you know \mathcal{F} , then you know the C^∞ (complex-analytic, algebraic) structure on M .

A derivation of \mathcal{F} is a linear map $\ell : \mathcal{F} \rightarrow \mathcal{F}$ so that $\ell(fg) = (\ell f)g + f(\ell g)$ (Leibniz’ rule). One shows that if $\mathbf{1}(p) = 1$ denotes the constant function 1, then $\ell \mathbf{1} = 0$ and that if $f = g$ in an open neighborhood U , then $\ell f = \ell g$ in U .

Now you can define T_pM , the tangent space at $p \in M$, as the set of derivations at p of $C^\infty(M)$. One shows this becomes an n -dimensional vector space and we have a local expression $\ell = \sum_{i=1}^n \ell(x^i) \partial_{x^i}$. Thus a basis for T_pM is given by the coordinate derivatives $\{\partial_{x^i}\}_{i=1}^n$.

Now we define the tangent bundle of M as $TM = \cup_{p \in M} T_pM$. Then we have a natural projection $\pi : TM \rightarrow M$. One shows that TM is a C^∞ manifold of dimension $2n$ and that TM is locally trivial.

The C^∞ structure on TM :

A brief description of the C^∞ structure of TM is given by the following: Let $X \in TM, p = \pi(X), (x, U)$ be a chart at p . For $Y \in \pi^{-1}(U)$, define functions Y^1, \dots, Y^n by $Y = \sum_{i=1}^n Y^i \partial_{x^i}$. Then we can define a map (local trivialization) $\psi_x : \pi^{-1}(U) \rightarrow U \times \mathbb{R}^n \cong \mathbb{R}^{2n}$ by $\psi_x(Y) = (\pi(Y), Y^1, \dots, Y^n) \in U \times \mathbb{R}^n$. These local trivializations together with the C^∞ structure of M define a C^∞ structure on TM . One sees that if x, y are charts at p , then $\psi_x \circ \psi_y^{-1} = \text{id} \times \psi_{xy}$ where $\psi_{xy}(p) = (x \circ y^{-1})_*(p) \in Gl(n)$ are C^∞ . Further, $\psi_{xy} = \psi_{yx}^{-1}$ samt $\psi_{xy} \psi_{yz} = \psi_{xz}$ (the cocycle condition)

Pushforward on manifolds: Now we can move the definition of pushforward to manifolds. If $f : M \rightarrow N$ is C^∞ we define a C^∞ bundle map $f_* : TM \rightarrow TN$ by using the definition of pushforward from \mathbb{R}^n and work locally in charts.

Vector fields: C^∞ vektorfields are C^∞ sections of TM (this is well defined as TM has a C^∞ structure). The space of C^∞ sections of TM can be denoted $C^\infty(TM)$.

Let $X, Y \in C^\infty(TM)$ and $f \in \mathcal{F}$. Then we have naturally defined $X + Y \in C^\infty(TM)$, $fX \in C^\infty(TM)$. In terms of local coordinates, we have $X = \sum_i X^i \partial_{x^i}$, $Y = \sum_i Y^i \partial_{x^i}$, $X + Y = \sum_i (X^i + Y^i) \partial_{x^i}$, $fX = \sum_i f X^i \partial_{x^i}$.

Note that vector fields act as derivations on \mathcal{F} : if $f, g \in \mathcal{F}, X \in C^\infty(TM)$ then $X(fg) = (Xf)g + f(Xg)$. Every derivation (or partial differential operator of order 1) on \mathcal{F} can be identified with a vector field.

We will return to the concept orientation of TM in connection with differential forms.

Problems, Chapter 3: 14,15,17,18,19,24,25,26,32