

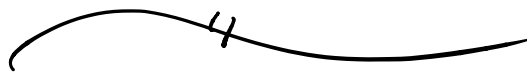
Next Topic: Bézout's Theorem.

i.e., There exists a natural definition of "intersection multiplicity"

$$\text{mult}_{\bar{p}}(C, D)$$

for points on two curves  $\bar{p} \in C \cap D$  such that, if  $C, D$  have no common component then

$$\sum_{\bar{p} \in C \cap D} \text{mult}_{\bar{p}}(C, D) = (\deg C)(\deg D).$$



But First: I forgot to finish our discussion of conics.

Theorem: let  $F(x, y, z) \in \mathbb{C}[x, y, z]$  be homogeneous of degree 2, so  $F(\bar{x}) = \bar{x}^T A \bar{x}$  for unique symmetric matrix  $A$ . Then TFAE:

①  $F(\bar{x})$  is irreducible

②  $\det A \neq 0$

today we will say that a double line is singular

③  $V(F)$  is non-singular.

Proof: ①  $\Rightarrow$  ② Suppose  $\det A = 0$ , hence  $\text{rank}(A) = 1$  or  $2$ . We have seen  $\exists \varphi \in \text{PGL}$  such that

$$\bar{F}^\varphi(x, y, z) = x^2$$

$$\bar{F}^\varphi(x, y, z) = x^2 + y^2$$

Each of these is reducible:

$$x^2 + y^2 = (x - iy)(x + iy).$$

Furthermore, reducibility is preserved under  $\text{PGL}$ . Indeed,

if  $\bar{F}^\varphi = GH$  for some

(necessarily homogeneous)  $G, H$ ,

then applying  $\varphi^{-1}$  gives

$$F = F^{d\varphi^{-1}} = G^{\varphi^{-1}} H^{\varphi^{-1}},$$

where  $G^{\varphi^{-1}}, H^{\varphi^{-1}}$  are homogeneous of the same degrees as  $G, H$ .  $\equiv$

(2)  $\Rightarrow$  (3): Suppose  $V(F)$  is singular. Since singularity is preserved under  $PGL$ , we may put  $F$  in diagonal form:

$$F^d = x^2$$

$$\text{or } x^2 + y^2$$

$$\text{or } x^2 + y^2 + z^2.$$

But I claim that  $x^2 + y^2 + z^2$  is non-singular. Indeed,

$$\begin{aligned}\nabla(x^2 + y^2 + z^2) &= (2x, 2y, 2z) \\ &= (0, 0, 0)\end{aligned}$$

implies that  $(x, y, z) = (0, 0, 0)$ ,

which is not a valid point of  $\mathbb{C}P^2$ .

[ Note that *just for today*  $V(x^2)$  has singularities at  $(0, *, *)$  and  $V(x^2 + y^2)$  has singularities at  $(0, 0, *)$ . ]

Since  $V(F^e)$  is singular, this implies that  $F^e = x^2$  or  $x^2 + y^2$ .

In matrix form,  $\exists$  invertible matrix  $B$  such that

$$\begin{aligned}x^2 \text{ or } x^2 + y^2 &= F^e(\bar{x}) \\ &= \bar{F}(B\bar{x}) \\ &= (B\bar{x})^T A (B\bar{x})\end{aligned}$$

$$\bar{x}^T \begin{pmatrix} * & & \\ & * & \\ & & 0 \end{pmatrix} \bar{x} = \bar{x}^T B^T A B \bar{x}$$

Since this is true for all  $\bar{x}$  we

conclude that  $B^T A B = \begin{pmatrix} * & & \\ & * & \\ & & 0 \end{pmatrix}$ , hence

$$\det(B^T A B) = \det \begin{pmatrix} * & * \\ * & 0 \end{pmatrix}$$

$$\det(B)^2 \det(A) = 0$$

$\Rightarrow \det(A) = 0$  because  $\det(B) \neq 0$ .

③  $\Rightarrow$  ①: We will show more generally that for any homogeneous polynomial,

$V(F)$  is non-singular

$\Rightarrow F$  is irreducible.

Suppose  $F = GH$ . We'll prove later that  $\exists \bar{p} \in \mathbb{C}P^2$  such that

$$G(\bar{p}) = H(\bar{p}) = 0, \text{ hence also}$$

$$F(\bar{p}) = 0.$$

Choose affine chart containing  $\bar{p}$  & dehomogenize:

$$F = gh, \quad g(\bar{p}) = h(\bar{p}) = 0.$$

We want to show  $(\nabla F)_{\bar{p}} = (0, 0)$ ,  
 i.e.,  $\bar{p}$  is a singular point of  $V(F)$ .

Taylor Expansion at  $\bar{p}$ :

$$F(\bar{p} + \bar{x}) = f^{(0)} + f^{(1)} + f^{(2)} + \dots$$

$$g(\bar{p} + \bar{x}) = g^{(0)} + g^{(1)} + g^{(2)} + \dots$$

$$h(\bar{p} + \bar{x}) = h^{(0)} + h^{(1)} + h^{(2)} + \dots$$

$\swarrow \quad \uparrow \quad \nearrow$   
 homogeneous polynomials.

where  $f^{(0)} = F(\bar{p})$

$$f^{(1)} = (\nabla F)_{\bar{p}} \bar{x}$$

$$f^{(2)} = \bar{x}^T (H F)_{\bar{p}} \bar{x}$$

etc.

Since  $F = gh$  we get

$$f^{(0)} = g^{(0)} h^{(0)}$$

$$f^{(1)} = g^{(0)} h^{(1)} + g^{(1)} h^{(0)}$$

$$f^{(2)} = g^{(2)} h^{(0)} + g^{(1)} h^{(1)} + g^{(0)} h^{(2)}$$

etc.

product rule  
for gradient  
vectors.

Since  $g^{(0)} = h^{(0)} = 0$  we get

$$f^{(1)} = 0 h^{(1)} + g^{(1)} 0 = 0,$$

as desired. ///

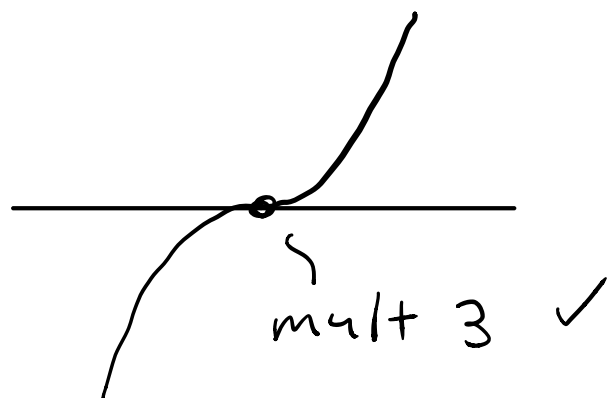
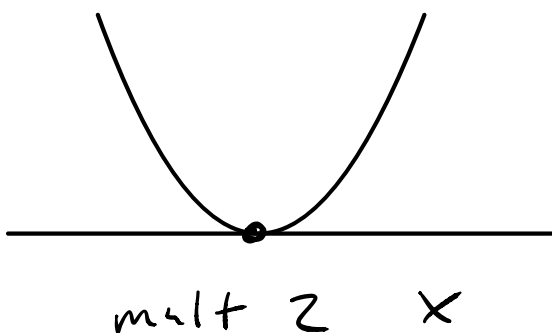


While we're here, let me observe that this result is useful for the study of inflection points.

Definition: An inflection of a curve  $C$  is a nonsingular point  $p$  with tangent line  $L$  such that

$$\text{mult}_p(C, L) \geq 3.$$

Picture:



Theorem of Inflections:

Let  $F(x, y, z) \in \mathbb{C}[x, y, z]$  be homogeneous of degree  $\geq 2$ .

Let  $\bar{p}$  be a smooth point of  $C = V(F)$

Then  $\bar{p}$  is an inflection iff

$$\det(HF)_{\bar{p}} = 0.$$

$$\det \begin{pmatrix} F_{xx} & F_{xy} & F_{xz} \\ F_{yx} & F_{yy} & F_{yz} \\ F_{zx} & F_{zy} & F_{zz} \end{pmatrix}_{\bar{p}} = 0$$

Proof: Consider Taylor expansion:

$$\begin{aligned} \bar{F}(\bar{p} + \bar{x}) &= \bar{F}(\bar{p}) + (\nabla \bar{F})_{\bar{p}} \bar{x} \\ &\quad + \frac{1}{2} \bar{x}^T (HF)_{\bar{p}} \bar{x} \\ &\quad + \text{higher terms.} \end{aligned}$$

Since  $(\nabla \bar{F})_{\bar{p}} \neq \bar{0}$ , the tangent line has form  $L = V((\nabla \bar{F})_{\bar{p}} \bar{x})$ .



Let's also consider the conic

$$Q = V \left( \bar{x}^T (HF)_{\bar{p}} \bar{x} \right).$$

Relate  $Q$  to  $\text{mult}_{\bar{p}}(C, L)$ :

To compute multiplicity consider the Taylor expansion:

$$\begin{aligned} F(\bar{p} + t\bar{\delta}) &= F(\bar{p}) + t (\nabla F)_{\bar{p}} \bar{\delta} \\ &\quad + \frac{t^2}{2} \bar{\delta}^T (HF)_{\bar{p}} \bar{\delta} \\ &\quad + t^3 (\text{stuff}). \end{aligned}$$

By definition, multiplicity is  $\geq 3$

$$\Leftrightarrow \left\{ \begin{array}{l} F(\bar{p}) = 0 \\ (\nabla F)_{\bar{p}} \bar{\delta} = 0 \\ \bar{\delta}^T (HF)_{\bar{p}} \bar{\delta} = 0 \end{array} \right\}$$

If  $\bar{p}$  is an inflection then for all  $\bar{\delta} \in L$  (i.e.  $(\nabla F)_{\bar{p}} \bar{\delta} = 0$ ) we get

$$\bar{\delta}^T (HF)_{\bar{p}} \bar{\delta} = 0$$

i.e.,  $L \subseteq Q$ . And conversely.

So we want to show that

$$L \subseteq Q \iff \det (HF)_{\bar{p}} = 0.$$

First let  $L \subseteq Q$ , i.e.,

$$V((\nabla F)_{\bar{p}} \bar{x}) \subseteq V(\bar{x}^T (HF)_{\bar{p}} \bar{x})$$

From Study's Lemma this implies

$$\text{that } (\nabla F)_{\bar{p}} \bar{x} \mid \bar{x}^T (HF)_{\bar{p}} \bar{x},$$

so it follows from the above theorem on conics that  $\det (HF)_{\bar{p}} = 0$ .

Conversely, suppose that  $\det (HF)_{\bar{p}} = 0$ .

From the above theorem we know that  $Q$  is a double line or two (intersecting) lines. We want to show that  $L$  is one of these lines. This will follow from two facts:

$$i) \bar{p} \in Q$$

$$ii) L \text{ is tangent to } Q \text{ at } \bar{p}.$$

To prove these it is convenient to change notation slightly:

$$(x_1, x_2, x_3) := (x, y, z)$$

$$F_i := F_{x_i}.$$

Then since  $F$  is homogeneous of degree  $d$ , we have Euler's formula:

$$\sum F_i x_i = F_1 x_1 + F_2 x_2 + F_3 x_3 = dF,$$

and evaluating at  $\bar{p} = (p_1, p_2, p_3)$  gives

$$\sum F_i(\bar{p}) p_i = d \cdot F(\bar{p}) = 0.$$

Furthermore, since the derivative

$F_i$  is homogeneous of degree  $(d-1)$

we have

$$\sum F_{ij} x_j = (d-1) F_i,$$

and evaluating at  $\bar{p}$  gives

$$\sum F_{ij}(\bar{p}) p_j = (d-1) F_i(\bar{p}).$$

Then putting everything together gives

$$\bar{p}^T (HF)_{\bar{p}} \bar{p} = \sum_{i,j} F_{ij}(\bar{p}) p_i p_j$$

$$= \sum_i p_i \sum_j F_{ij}(\bar{p}) p_j$$

$$= \sum p_i (d-1) F_i(\bar{p})$$

$$= (d-1) \sum F_i(\bar{p}) p_i$$

$$= (d-1) d F(\bar{p})$$

$$= 0,$$

which proves i) ✓

To show ii), I first claim that the equation of the tangent line to a conic  $\bar{x}^T A \bar{x} = 0$  at a point  $\bar{p}$  (assume  $\bar{p}^T A \bar{p} = 0$ ) is

$$\bar{p}^T A \bar{x} = 0.$$

Indeed, consider any line  $\bar{p} + t\bar{g}$  through  $\bar{p}$ , and substitute into the conic:

$$\begin{aligned} 0 &= \bar{x}^T A \bar{x} \\ &= (\bar{p} + t\bar{g})^T A (\bar{p} + t\bar{g}) \end{aligned}$$

$$\begin{aligned}
&= \bar{p}^T A \bar{p} + 2t \bar{p}^T A \bar{q} + t^2 \bar{q}^T A \bar{q} \\
&= 2t \bar{p}^T A \bar{q} + t^2 \bar{q}^T A \bar{q}.
\end{aligned}$$

Thus  $\bar{p} + t\bar{q}$  is tangent to the conic if and only if  $\bar{p}^T A \bar{q} = 0$ . ✓

Finally it follows from Euler's formula that the tangent line to  $Q$  at  $\bar{p}$  has the equation

$$\begin{aligned}
0 &= \bar{p}^T (H(F))_{\bar{p}} \bar{x} \\
&= \sum F_{ij}(\bar{p}) x_i p_j \\
&= \sum_i x_i \sum_j F_{ij}(\bar{p}) p_j \\
&= \sum x_i (d-1) F_i(\bar{p}) \\
&= (d-1) \sum F_i(\bar{p}) x_i \\
&= (d-1) (\nabla F)_{\bar{p}} \bar{x}
\end{aligned}$$

QED.