

Projective equivalence of curves!

$$\begin{aligned}\text{Aut}(\mathbb{F}\mathbb{P}^n) &= \text{GL}_{n+1}(\mathbb{F}) / \text{scalars} \\ &= \text{PGL}_{n+1}(\mathbb{F})\end{aligned}$$

PGL also acts on the set of homogeneous polynomials of any degree.

$S_d :=$ homogeneous polynomials
of degree d .

$$\mathbb{F}[x_1, \dots, x_n] = \bigoplus_{d \geq 0} S_d$$

"graded ring"

Given $\varphi: \text{PGL} \rightarrow S_d$, claim $\varphi: S_d \rightarrow S_d$.

Proof: If F hom of degree d , then

$$F(\lambda \bar{x}) = \lambda^d F(\bar{x}) \text{ for all } \lambda.$$

If \mathbb{F} is infinite then converse is also true. (Last semester.)

Suppose $F \in S_d$, then for any

$\varphi \in \mathrm{PGL}$ & $\lambda \in \overline{\mathbb{F}}$, we have

$$\begin{aligned} F^\varphi(\lambda \bar{x}) &= F(\varphi^{-1}(\lambda \bar{x})) && \text{linearity} \\ &= F(\lambda \varphi^{-1}(\bar{x})) && \text{of } \varphi \\ &= \lambda^d F(\varphi^{-1}(\bar{x})) \\ &= \lambda^d F^\varphi(\bar{x}). \end{aligned}$$

Hence $F^\varphi \in S_d$. ///

Curves/Hypersurfaces vs. Polynomials:

$$\varphi(V(F)) = V(F^\varphi)$$

Then $V(F)$ & $V(F^\varphi)$ are hypersurf.
of the same degree, called
“projectively equivalent.”



What about affine curves/hypersurfaces?

$$\mathrm{Aut}(\mathbb{F}^n) = ?$$

[Warning : Holomorphic automorphisms
of \mathbb{C}^n are way too numerous for us!
We require nice behavior at ∞ .]

Think of $\mathbb{F}\mathbb{P}^n = \mathbb{F}^n \cup H_0$

$$= \{(1, x_1, \dots, x_n)\} \cup V(x_0)$$

Let $\text{Aut}(\mathbb{F}^n) = \text{Aut}(\mathbb{F}\mathbb{P}^n)$
sending $\mathbb{F}^n \rightarrow \mathbb{F}^n$
(also $H_0 \rightarrow H_0$)

Say $\varphi \in \text{PGL}$ preserves \mathbb{F}^n & H_0

$$\varphi \begin{pmatrix} 1 \\ x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} 1 \\ * \\ \vdots \\ * \end{pmatrix} \quad \& \quad \varphi \begin{pmatrix} 0 \\ x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} 0 \\ * \\ \vdots \\ * \end{pmatrix}$$

Write φ as block matrix :

$$\begin{pmatrix} 1 & 0 & \cdots & 0 \\ \vdash & & & \\ & & & \end{pmatrix} \begin{pmatrix} 1 \\ x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} 1 \\ * \\ \vdots \\ * \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & \cdots & 0 \\ \vdash & & & \\ & & & \end{pmatrix} \begin{pmatrix} 0 \\ x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} 0 \\ * \\ \vdots \\ * \end{pmatrix}$$

$$\varphi = \left(\begin{array}{c|ccc} 1 & 0 & \cdots & 0 \\ \hline \bar{a} & A \end{array} \right)$$

for some column $\bar{a} \in \mathbb{F}^n$ &
invertible $A \in GL_n(\mathbb{F})$.

We obtain:

$$\varphi(\bar{x}) = \left(\begin{array}{c|ccc} 1 & 0 & \cdots & 0 \\ \hline \bar{a} & A \end{array} \right) \begin{pmatrix} 1 \\ \bar{x}_1 \\ \vdots \\ \bar{x}_n \end{pmatrix}$$

$$= \begin{pmatrix} 1 \\ \bar{a} + A\bar{x} \end{pmatrix}$$

$$= A\bar{x} + \bar{a}$$

$\underbrace{}$

affine transformation.

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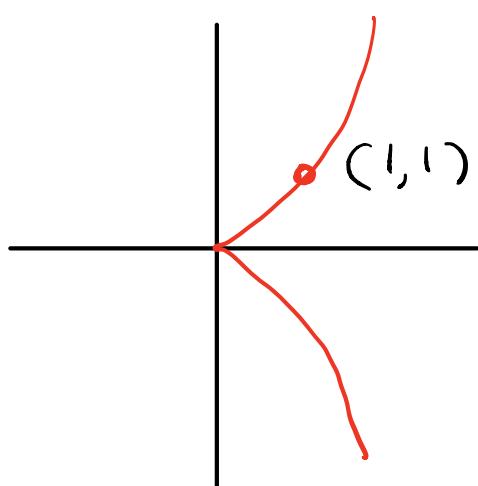
Special case: Translation

$$\varphi(\bar{x}) = \bar{x} + \bar{a}$$

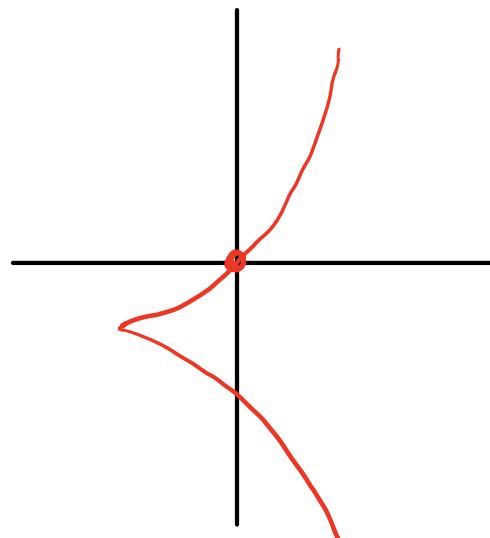
$$\varphi^{-1}(\bar{x}) = \bar{x} - \bar{a}$$

using this we can send any finite
point to the origin.

$$\text{Example : } f(x, y) = x^3 - y^2.$$



$V(f)$



$V(f^4)$

Let $\varphi(x, y) = (x-1, y-1)$.

$$\begin{aligned} f^4(x, y) &= (x+1)^3 - (y+1)^2 \\ &= x^3 + 3x^2 + 3x + 1 - y^2 - 2y - 1 \\ &= 3x - 2y + 3x^2 - y^2 + x^3 \end{aligned}$$

Remark: Translation is equivalent
to Taylor expansion.

Given $F(x_1, \dots, x_n) \in \mathbb{F}[x_1, \dots, x_n]$

and point $\bar{a} = (a_1, \dots, a_n) \in \mathbb{F}^n$

we have a unique expression

$$F(\bar{x} + \bar{a}) = \sum_{I \in \mathbb{N}^n} \frac{(D_x^I F)_{\bar{a}}}{I!} \bar{x}^I$$

where $\bar{I} = (i_1, i_2, \dots, i_n) \in \mathbb{N}^n$

$$D_x^I = \frac{\partial^{i_1 + \dots + i_n}}{\partial x_1^{i_1} \partial x_2^{i_2} \dots \partial x_n^{i_n}}$$

$$I! = i_1! i_2! \dots i_n!$$

$$\bar{x}^I = x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}.$$

Example: Expand $F = x^3 - y^2$ at $(1, 1)$.

$$F_x = 3x^2, \quad F_{xx} = 6x, \quad F_{xxx} = 6$$

$$F_y = -2y, \quad F_{yy} = -2, \quad F_{yyy} = 0$$

$$F_{xy} = 0.$$

$$\begin{aligned} \bar{F}(x+1, y+1) &= F(1, 1) + F_x(1, 1)x + F_y(1, 1)y \\ &\quad + \frac{1}{2} (F_{xx}(1, 1)x^2 + 2F_{xy}(1, 1)xy + F_{yy}(1, 1)y^2) \\ &\quad + \frac{1}{6} (F_{xxx}(1, 1)x^3 + \dots) \end{aligned}$$

$$\begin{aligned}
 &= 0 + 3x - 2y \\
 &\quad + \frac{1}{2} (6x^2 + 2 \cdot 0 \cdot xy - 2y^2) \\
 &\quad + \frac{1}{6} (6x^3) \\
 &= 3x - 2y + 3x^2 - y^2 + x^3 \quad \checkmark
 \end{aligned}$$

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Affine vs. Projective Curves / Hypersurfaces :

Given curve $V(f) \subseteq \mathbb{R}^2$
 $f(x, y) \in \mathbb{R}[x, y]$ of $\deg d$,

define homogenization

$$f^*(x, y, z) = z^d f\left(\frac{x}{z}, \frac{y}{z}\right).$$

& dehomogenization

$$F_*(x, y) = F(x, y, 1).$$

Exercise :

- $(FG)_* = F_* G_*$ & $(f_g)^* = f^* g^*$

- $(F+G)_* = F_* + G_*$ & $(f+g)^* = z^r f^* + z^s g^*$
 $r = \deg(g)$ & $s = \deg(f).$

- $(f^*)_* = f$ & $\underbrace{z^r (F_*)^*}_\text{Curve } V(F) \subseteq \mathbb{R}\mathbb{P}^2 = F.$
contains the line at ∞ . //

Geometry:

$$\mathbb{R}^2 \subseteq \mathbb{R}\mathbb{P}^2$$

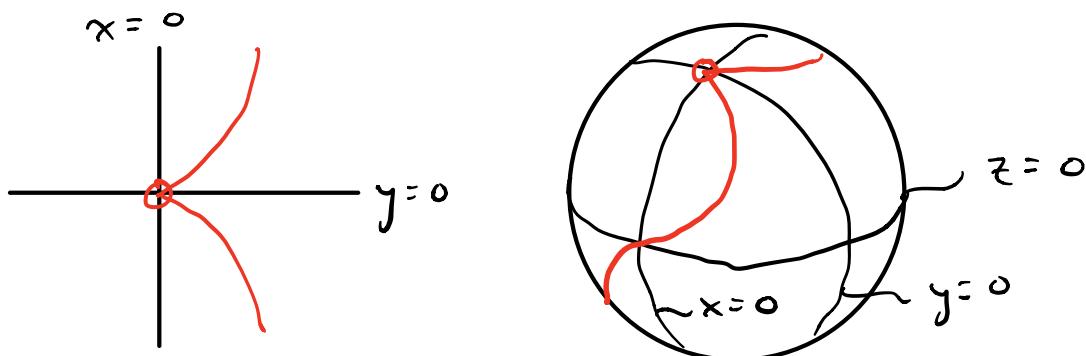
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$$V(f) \subseteq \overline{V(f)} := V(f^*)$$

projective completion
i.e., add the minimum amount
of stuff at infinity.

Picture: $f(x, y) = x^3 - y^2$.

$$f^*(x, y, z) = x^3 - y^2 z$$



[see Maple].

Note that projective completion $V(f^*)$ has a unique point at infinity ($z=0$).

Indeed : $x^3 - y^2 z = 0 \quad \downarrow \quad z=0$
 $x^3 = 0 \quad \downarrow$
 $x = 0$

$\leadsto (x, y, z) = (0, \text{anything}, 0)$

$\sim (0, 1, 0)$

"vertical slope"

To see what happens at this point choose some $\varphi \in PGL$ sending $(0, 1, 0)$.

to the origin $(0, 0, 1)$.

Many choices : e.g. $\varphi = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$

so $\varphi \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$.

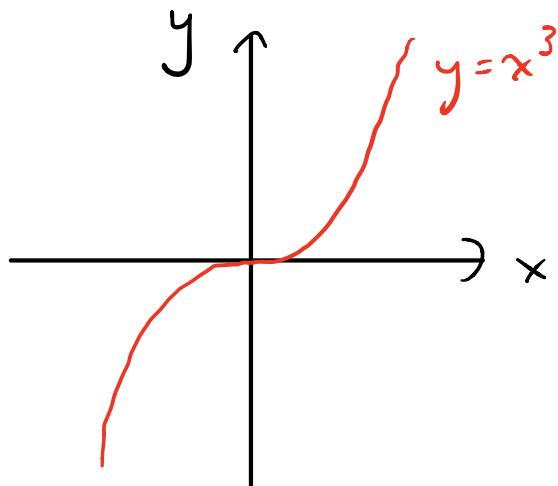
Then $f(x, y) = x^3 - y^2$

$f^*(x, y, z) = x^3 - y^2 z \quad \downarrow \text{flip } y \& z$.

$(\varphi f^*)(x, y, z) = x^3 - z^2 y \quad \downarrow$

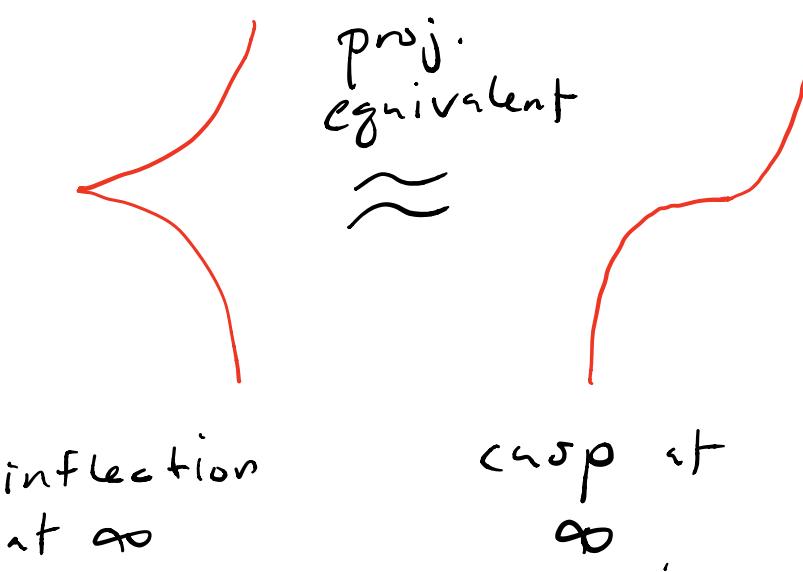
$$(\varphi\circ\gamma^*)_*(x,y) = x^3 - y.$$

In our new coordinate system:



[Alternatively, we could just dehomogenize at $y=1$ to $f(x,z) = x^3 - z$ which is a curve in the (x,z) -coord plane.]

Summary:



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Conics : Any degree 2 homogeneous polynomial is called a quadratic form, and has a unique expression

$$F(\bar{x}) = \bar{x}^T A \bar{x}$$

where $A^T = A$ is a symmetric matrix,

e.g. $x^2 + 2xy - z^2 + 3zx$

$$= (x \ y \ z) \begin{pmatrix} 1 & 1 & 3/2 \\ 1 & 0 & 0 \\ 3/2 & 0 & -1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

The corresponding projective hypersurface $V(F)$ is called a "quadric."

[In the plane, a "conic."]

Theorem : If $\text{char } \mathbb{F} \neq 2$, for any quadratic form $F(\bar{x})$, $\exists \varphi \in \text{PGL}$

such that

$$F^4(\bar{x}) = d_1 x_1^2 + d_2 x_2^2 + \dots + d_k x_k^2$$

diagonal.

Over \mathbb{R} we get

$$\bar{F}^4(\bar{x}) = x_1^2 + x_2^2 + x_3^2 + \dots + x_k^2$$

Over \mathbb{C} we get

$$F^4(\bar{x}) = x_1^2 + x_2^2 + \dots + x_k^2.$$

Proof: Standard Theorem of linear algebra says if $A^T = A$ then
 \exists invertible B such that

$$B^T A B = D = \begin{pmatrix} d_1 & & \\ & \ddots & \\ & & d_r \\ & & & \ddots \\ & & & & 0 \end{pmatrix}.$$

where $d_1, \dots, d_r \neq 0$, $r = \text{rank}(A)$.

Using this, suppose $F(\bar{x}) = \bar{x}^T A \bar{x}$.
 Let $\varphi \in \text{PGL}$ defined by $\varphi(\bar{x}) = B^{-1} \bar{x}$,
 so that

$$\begin{aligned} F^\varphi(\bar{x}) &= F(\varphi^{-1}(\bar{x})) \\ &= F(B\bar{x}) \\ &= (B\bar{x})^T A (B\bar{x}) \\ &= \bar{x}^T (B^T A B) \bar{x} \\ &= \bar{x}^T D \bar{x} \quad \text{as desired.} \end{aligned}$$

Over \mathbb{R} , let $C = \begin{pmatrix} c_{11} & \cdots & c_{1n} \\ \vdots & \ddots & \vdots \\ c_{m1} & \cdots & c_{mn} \end{pmatrix}$ be
 $c_{ij} = \begin{cases} \sqrt{d_i} & \text{if } d_i > 0 \\ \sqrt{-d_i} & \text{if } d_i < 0. \end{cases}$

Then $C^T B^T A B C = \begin{pmatrix} \pm 1 & & & \\ & \ddots & & \\ & & \pm 1 & \\ & & & \ddots \end{pmatrix}$

Over \mathbb{C} we can let

$$c_{ij} = \sqrt{d_i} \quad \text{if } d_i \neq 0,$$

$$\text{so } C^T B^T A B C = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 0 & \\ & & & 0 \end{pmatrix} .$$

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Corollaries :

• Over \mathbb{R} , any conic is projectively equivalent to one of

x^2	double line
$x^2 \pm y^2$	intersecting lines, point
$x^2 + y^2 \pm z^2$	circle, empty

• Over \mathbb{C} , any conic is projectively equivalent to one of

x^2	double line
$x^2 + y^2$	two lines
$x^2 + y^2 + z^2$	non-degenerate

[Since \mathbb{C} is algebraically closed,
single point & empty set do
not occur. They are not "curves."]

Over \mathbb{C} , the only projective invariant of a conic $\bar{x}^T A \bar{x} = 0$ is the "rank," i.e., the rank of A .

We say the conic is non-degenerate when the rank is full, i.e., when

$$\det A \neq 0.$$

Claim: Let $F(\bar{x}) = \bar{x}^T A \bar{x}$. Then the following are equivalent:

- $\det A \neq 0$,
- $V(F)$ is irreducible
- $V(F)$ is non-singular.

Proof: Next time.