

Concept of a basis.

Let  $V/\mathbb{R}$  be vector space

Let  $B \subseteq V$  be subset.

Say  $B = \{ \vec{b}_1, \dots, \vec{b}_n \}$ .

o B spanning:

for all  $\vec{v} \in V$ , there exist

$\exists a_1, \dots, a_n \in \mathbb{R}$ ,

$$\vec{v} = a_1 \vec{b}_1 + \dots + a_n \vec{b}_n$$

o B independent

(linearly independent over  $\mathbb{R}$ )

if  $a_1 \vec{b}_1 + \dots + a_n \vec{b}_n = \vec{0}$

$$\implies a_1 = 0, a_2 = 0, \dots, a_n = 0.$$

i.e. no nontrivial linear relation among the  $\vec{b}_i$ .

Say  $\vec{b}_3 = 2\vec{b}_2 + \vec{b}_1$ .

Not independent.

Technically:

$$(1)\vec{b}_1 + (2)\vec{b}_2 - (1)\vec{b}_3 = \vec{0}$$

coeffs not all zero.

Also implies unique expressions.

say

$$a_1\vec{b}_1 + \dots + a_n\vec{b}_n = c_1\vec{b}_1 + \dots + c_n\vec{b}_n$$

$$(a_1 - c_1)\vec{b}_1 + \dots + (a_n - c_n)\vec{b}_n = \vec{0}$$

IF  $\vec{b}_i$  are independent

then  $a_i - c_i = 0 \quad \forall i$

$$a_i = c_i.$$

• Alternate: say  $B$  independent if possibly zero

$\forall \vec{v} \in V, \exists$  at most one choice of scalars  $a_1, \dots, a_n$  s.t.

$$\vec{v} = a_1\vec{b}_1 + \dots + a_n\vec{b}_n.$$

o Basis = Independent + Spanning.

i.e.  $\forall \vec{v} \in V, \exists$  unique scalars  
 $a_1, \dots, a_n$  s.t.

$$\vec{v} = a_1 \vec{b}_1 + \dots + a_n \vec{b}_n$$

$$" \vec{v} = (a_1, a_2, \dots, a_n)_B "$$

Important Theorem: If  $V$  has  
a finite basis, say of size  $n$ ,  
then every basis has size  $n$ .  
We define

$\dim V = n =$  the size of  
any basis.

e.g.  $\dim \mathbb{R}^n = n$  ✓ ☺

Proof (Steinitz Exchange, 1913).

$V =$  vector space

$I \subseteq V$  independent (finite)

$S \subseteq V$  spanning set (Finite).

We will show  $\# I \leq \# S$ .

[ Having shown this, let  $B_1, B_2$  be two bases. Then

$$\# B_1 \leq \# B_2 \quad \& \quad \# B_2 \leq \# B_1$$

*ind. span.                      ind. span.*

Hence  $\# B_1 = \# B_2$ .  $\checkmark$  ]

Steinitz: Let  $k \leq \# I$ .

Then for any  $\vec{u}_1, \dots, \vec{u}_k \in I$

we can exchange  $k$  vectors from  $S$  to define (say  $\# S = n$ ).

$$\left\{ \vec{u}_1, \dots, \vec{u}_k, \vec{s}_1, \vec{s}_2, \dots, \vec{s}_{n-k} \right\}$$

such that this is still a spanning set.

e.g. Exchange one element of  $S$ .

$$\text{let } S = \left\{ \vec{s}_1, \dots, \vec{s}_n \right\}.$$

Pick any  $\vec{u} \in I$ .

Since  $S$  spans, can write

$$\vec{u} = a_1 \vec{s}_1 + \dots + a_n \vec{s}_n$$

But not all  $a_i = 0$  because  $\vec{u} \neq \vec{0}$ .

Say e.g.  $a_1 \neq 0$ . Then

$$a_1 \vec{s}_1 = \vec{u} - a_2 \vec{s}_2 - \dots - a_n \vec{s}_n$$

$$\vec{s}_1 = \frac{1}{a_1} \vec{u} - \frac{a_2}{a_1} \vec{s}_2 - \dots - \frac{a_n}{a_1} \vec{s}_n$$

This tells me that

$$\left\{ \vec{u}, \vec{s}_2, \dots, \vec{s}_n \right\}$$

is a spanning set. Because  
for any  $\vec{v}$  we can write

$$\vec{v} = c_1 \vec{s}_1 + c_2 \vec{s}_2 + \dots + c_n \vec{s}_n$$

$$= c_1 \left( \frac{1}{a_1} \vec{u} - \dots \right) + c_2 \vec{s}_2 + \dots + c_n \vec{s}_n$$

expand

$$\vec{v} = ? \vec{u} + ? \vec{s}_2 + ? \vec{s}_3 + \dots + ? \vec{s}_n$$

so they span.

Continue :

$\{ \vec{u}_1, \vec{u}_2, \vec{s}_3, \vec{s}_4, \dots, \vec{s}_n \}$  spans.

$\{ \vec{u}_1, \vec{u}_2, \vec{u}_3, \vec{s}_4, \dots, \vec{s}_n \}$ .

If  $\# I \geq \# S$

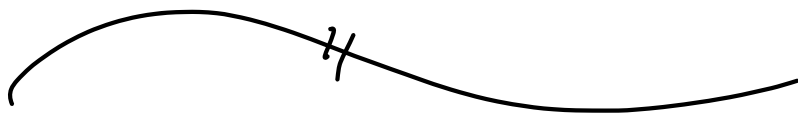
$\{ \vec{u}_1, \dots, \vec{u}_n \}$  spanning.

But then since  $\vec{u}_1, \dots, \vec{u}_n$  span  
we have nontrivial expression

$$\vec{u}_{n+1} = a_1 \vec{u}_1 + \dots + a_n \vec{u}_n$$

Contradicts fact that  $\vec{u}_i$   
are independent.

Q.E.D.



Example :  $L^2[0,1]$  does not  
have a finite basis. But

we can still do something.

Let  $V/\mathbb{R}$  have infinite dimension.

Given  $B = \{ \vec{b}_1, \vec{b}_2, \dots \}$ .

Say  $B$  independent if there are no finite relations among the  $\vec{b}_i$ .

Say  $B$  spanning if every  $\vec{v} \in V$  has a convergent expression

$$\vec{v} = a_1 \vec{b}_1 + a_2 \vec{b}_2 + \dots$$

sum converges.

$$\| \vec{v} - (a_1 \vec{b}_1 + \dots + a_k \vec{b}_k) \| \rightarrow 0$$

as  $k \rightarrow \infty$ .

In  $L^2[0,1]$  we have

$$\langle f, g \rangle = \int_0^1 f(x)g(x) dx$$

so we can define distance:

$$\text{dist}(F, g) = \|F - g\|$$

$$= \sqrt{\int_0^1 (F(x) - g(x))^2 dx}$$

BIG THEOREM:

$$1, \sqrt{2} \sin(2\pi kx), \sqrt{2} \cos(2\pi kx)$$

$$k = 1, 2, 3, 4, \dots$$

is a basis for  $L^2[0, 1]$ .

Independence is easier. One checks that any two of these functions  $b_i(x)$  &  $b_j(x)$  have

$$\langle b_i(x), b_j(x) \rangle = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases} \\ = \delta_{ij}$$



Suppose we had some finite linear relation:

$$a_1 b_1(x) + a_2 b_2(x) + \dots + a_k b_k(x) = 0$$

Take inner product with  $b_i(x)$  on both sides:

$$\begin{aligned} \langle 0, b_i(x) \rangle &= \sum_{j=1}^k a_j \langle b_j(x), b_i(x) \rangle \\ &= a_1 \cdot 0 + \dots + a_i \cdot 1 + \dots + a_k \cdot 0 \end{aligned}$$

$\delta_{ij}$

$0 = a_i$

So every coefficient is zero  $\checkmark$ .

SPANNING: One of the deepest theorems in mathematics.

