

**Problem 1. Smith Normal Form.** Find unimodular matrices  $U$  and  $V$  satisfying

$$V \begin{pmatrix} 7 & 5 & 3 \\ 6 & 4 & 2 \end{pmatrix} U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \end{pmatrix}.$$

Use your answer to solve the following system of Diophantine equations:

$$\begin{cases} 7x_1 + 5x_2 + 3x_3 = 1, \\ 6x_1 + 4x_2 + 2x_3 = 0. \end{cases}$$

There are infinitely many such matrices  $U$  and  $V$ , depending on the particular sequence of row and column operations. Here is one such sequence:

$$\begin{array}{ccc} \begin{array}{ccc|cc} 7 & 5 & 3 & 1 & 0 \\ 6 & 4 & 2 & 0 & 1 \\ \hline 1 & 0 & 0 & & \\ 0 & 1 & 0 & & \\ 0 & 0 & 1 & & \end{array} & \rightsquigarrow & \begin{array}{ccc|cc} 3 & 5 & 7 & 1 & 0 \\ 2 & 4 & 6 & 0 & 1 \\ \hline 0 & 0 & 1 & & \\ 0 & 1 & 0 & & \\ 1 & 0 & 0 & & \end{array} & \rightsquigarrow & \begin{array}{ccc|cc} 2 & 4 & 6 & 0 & 1 \\ 3 & 5 & 7 & 1 & 0 \\ \hline 0 & 0 & 1 & & \\ 0 & 1 & 0 & & \\ 1 & 0 & 0 & & \end{array} \\ \\ \rightsquigarrow & & \begin{array}{ccc|cc} 2 & 0 & 0 & 0 & 1 \\ 3 & -1 & -2 & 1 & 0 \\ \hline 0 & 0 & 1 & & \\ 0 & 1 & 0 & & \\ 1 & -2 & -3 & & \end{array} & \rightsquigarrow & \begin{array}{ccc|cc} 2 & 0 & 0 & 0 & 1 \\ 1 & -1 & -2 & 1 & -1 \\ \hline 0 & 0 & 1 & & \\ 0 & 1 & 0 & & \\ 1 & -2 & -3 & & \end{array} & \rightsquigarrow & \begin{array}{ccc|cc} 1 & -1 & -2 & 1 & -1 \\ 2 & 0 & 0 & 0 & 1 \\ \hline 0 & 0 & 1 & & \\ 0 & 1 & 0 & & \\ 1 & -2 & -3 & & \end{array} \\ \\ \rightsquigarrow & & \begin{array}{ccc|cc} 1 & 0 & 0 & 1 & -1 \\ 2 & 2 & 4 & 0 & 1 \\ \hline 0 & 0 & 1 & & \\ 0 & 1 & 0 & & \\ 1 & -1 & -1 & & \end{array} & \rightsquigarrow & \begin{array}{ccc|cc} 1 & 0 & 0 & 1 & -1 \\ 0 & 2 & 4 & -2 & 3 \\ \hline 0 & 0 & 1 & & \\ 0 & 1 & 0 & & \\ 1 & -1 & -1 & & \end{array} & \rightsquigarrow & \begin{array}{ccc|cc} 1 & 0 & 0 & 1 & -1 \\ 0 & 2 & 0 & -2 & 3 \\ \hline 0 & 0 & 1 & & \\ 0 & 1 & -2 & & \\ 1 & -1 & 1 & & \end{array} \end{array}$$

From this we conclude that

$$VAU = \begin{pmatrix} 1 & -1 \\ -2 & 3 \end{pmatrix} \begin{pmatrix} 7 & 5 & 3 \\ 6 & 4 & 2 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & -2 \\ 1 & -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \end{pmatrix} = D.$$

Now we want to find all integer vectors  $\mathbf{x}$  such that  $A\mathbf{x} = \mathbf{b}$ , where  $\mathbf{b} = (1, 0)$ . By setting  $\mathbf{y} = U^{-1}\mathbf{x}$ , this is equivalent to

$$\begin{aligned} A\mathbf{x} &= \mathbf{b} \\ V^{-1}DU^{-1}\mathbf{x} &= \mathbf{b} \\ DU^{-1}\mathbf{x} &= V\mathbf{b} \\ D\mathbf{y} &= V\mathbf{b} \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix} &= \begin{pmatrix} 1 & -1 \\ -2 & 3 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{aligned}$$

$$\begin{pmatrix} y_1 \\ 2y_2 \end{pmatrix} = \begin{pmatrix} 1 \\ -2 \end{pmatrix}.$$

The complete integer solution is  $(y_1, y_2, y_3) = (1, -1, k)$  for all  $k \in \mathbb{Z}$ , and hence

$$\begin{aligned} \mathbf{x} &= U\mathbf{y} \\ \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & -2 \\ 1 & -1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ -1 \\ k \end{pmatrix} \\ \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} &= 1 \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} - 1 \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} + k \begin{pmatrix} 1 \\ -2 \\ 1 \end{pmatrix} \\ \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} &= \begin{pmatrix} 0 \\ -1 \\ 2 \end{pmatrix} + k \begin{pmatrix} 1 \\ -2 \\ 1 \end{pmatrix} \quad \text{for all } k \in \mathbb{Z}. \end{aligned}$$

**Problem 2. Modular Arithmetic is Well-Defined.** For all integers  $a, b, a', b' \in \mathbb{Z}$  with  $a \equiv a'$  and  $b \equiv b' \pmod{n}$ , show that  $a + b \equiv a' + b'$  and  $ab \equiv a'b' \pmod{n}$ .

**Proof.** Assume that we have  $a \equiv a'$  and  $b \equiv b' \pmod{n}$ . By definition this means that  $a - a' = nk$  and  $b - b' = n\ell$  for some integers  $k, \ell \in \mathbb{Z}$ . But then we have

$$(a + b) - (a' + b') = (a - a') + (b - b') = nk + n\ell = n(k + \ell),$$

which implies that  $a + b \equiv a' + b' \pmod{n}$  and

$$\begin{aligned} ab - a'b' &= (a' + nk)(b' + n\ell) - a'b' \\ &= a'b' + b'nk + a'n\ell + n^2k\ell - a'b' \\ &= n(b'k + a'\ell + nk\ell), \end{aligned}$$

which implies that  $ab \equiv a'b' \pmod{n}$ . □

**Problem 3. Irrational Roots.** Let  $d, n \in \mathbb{Z}$  be positive integers and let  $\sqrt[n]{d} \in \mathbb{R}$  denote the positive real  $n$ th root. We will show that  $\sqrt[n]{d} \notin \mathbb{Z}$  implies  $\sqrt[n]{d} \notin \mathbb{Q}$ .

- (a) Assume that  $\sqrt[n]{d} \notin \mathbb{Z}$  and for each prime  $p$  let  $\nu_p(d) \in \mathbb{N}$  denote the multiplicity of  $p$  in the factorization of  $d$ . Prove that there exists some prime  $p$  with  $\nu_p(d) \not\equiv 0 \pmod{n}$ .
- (b) Now assume for contradiction that  $\sqrt[n]{d} \in \mathbb{Q}$ . This means we can write  $(a/b)^n = d$ , and hence  $a^n = db^n$ , for some integers  $a, b \in \mathbb{Z}$  with  $b \neq 0$ . Prove that  $n\nu_p(a) = \nu_p(d) + n\nu_p(b)$  and explain why this contradicts part (a).

(a): If not, then for each prime  $p_i$  we can write  $\nu_{p_i}(d) = nk_i$  for some  $k_i \in \mathbb{Z}$ . It follows that

$$\begin{aligned} d &= p_1^{\nu_{p_1}(d)} p_2^{\nu_{p_2}(d)} p_3^{\nu_{p_3}(d)} \dots \\ &= p_1^{nk_1} p_2^{nk_2} p_3^{nk_3} \dots \\ &= \left( p_1^{k_1} p_2^{k_2} p_3^{k_3} \dots \right)^n, \end{aligned}$$

which contradicts the fact that  $\sqrt[n]{d} \notin \mathbb{Z}$ .

(b) Assume that  $(a/b)^n = d$  for some integers  $a, b \in \mathbb{Z}$  with  $b \neq 0$ . Then raising both sides to the power of  $n$  and multiplying by  $b^n$  gives  $a^n = db^n$ . From part (a) we know there exists a prime  $p$  such that  $n \nmid \nu_p(d)$ . But recall that the function  $\nu_p : \mathbb{Z} \rightarrow \mathbb{N}$  satisfies  $\nu_p(xy) = \nu_p(x) + \nu_p(y)$ . Thus we obtain the following contradiction:

$$\begin{aligned} a^n &= db^n \\ \nu_p(a^n) &= \nu_p(db^n) \\ n\nu_p(a) &= \nu_p(d) + n\nu_p(b) \\ n(\nu_p(a) - \nu_p(b)) &= \nu_p(d). \end{aligned}$$

**Problem 4 Infinitely Many Primes  $\equiv 3 \pmod{4}$ .** We will show that there are infinitely many prime numbers in the sequence  $\{3 + 4k : k \in \mathbb{Z}, k \geq 0\}$ .

- (a) For any positive integer  $n$  with  $n \equiv 3 \pmod{4}$ , show that  $n$  has a prime factor  $p|n$  satisfying  $p \equiv 3 \pmod{4}$ . [Hint: If not then every prime factor of  $n$  is  $\equiv 1 \pmod{4}$ .]  
 (b) Assume for contradiction that there are finitely many primes  $\equiv 3 \pmod{4}$  and call them

$$3 < p_1 < p_2 < \cdots < p_k.$$

Now consider the number  $n = 4p_1p_2 \cdots p_k + 3$ . From part (a) there exists a prime factor  $p|n$  with  $p \equiv 3 \pmod{4}$ . Show that this prime is not in the list.

(a): Let  $n \equiv 3 \pmod{4}$ . We can express  $n = q_1 \cdots q_k$  as a product of primes, and since  $n$  is odd we know that the prime 2 does not occur. Thus for each  $i$  we have  $q_i \equiv 1$  or  $q_i \equiv 3 \pmod{4}$ . If  $q_i \equiv 1 \pmod{4}$  for all  $i$  then we obtain a contradiction:

$$n \equiv q_1q_2 \cdots q_k \equiv 1 \cdot 1 \cdots 1 \equiv 1 \pmod{4}.$$

Therefore there must exist some  $i$  such that  $q_i \equiv 3 \pmod{4}$ .

(b): Assume for contradiction that  $3 < p_1 < p_2 < \cdots < p_k$  are the only primes  $\equiv 3 \pmod{4}$  and define the number  $n = 3 + 4p_1 \cdots p_k$ . Since  $n \equiv 3 + 0 \equiv 3 \pmod{4}$  we know from part (a) that there exists some prime  $p|n$  with  $p \equiv 3 \pmod{4}$ . But I claim that this  $p$  is not in the list  $3, p_1, \dots, p_k$ . Indeed, if  $p = 3$  then we see that 3 divides  $n - 3 = 4p_1 \cdots p_k$ , which by Euclid's Lemma implies that  $3|4$  or  $3|p_i$  for some  $i$ . But this is impossible because  $p_i \neq 3$  and  $p_i$  is prime. And if  $p = p_i$  for some  $i$  then we see that  $p_i$  divides  $n - 4p_1 \cdots p_k = 3$ , which is impossible because  $3 < p_i$ . Therefore our list was incomplete.  $\square$

**Problem 5. RSA Cryptosystem.** We will fill in a gap from our in-class discussion of RSA.

- (a) For all integers  $p, q, a \in \mathbb{Z}$  with  $\gcd(p, q) = 1$  show that  $p|a$  and  $q|a$  imply  $pq|a$ . [Hint: By Bézout we can write  $px + qy = 1$  for some  $x, y \in \mathbb{Z}$ . Now multiply both sides by  $a$ .]  
 (b) For any integers  $m, k, p, q \in \mathbb{Z}$  with  $p$  and  $q$  prime, show that

$$p|m(m^{\phi(p)\phi(q)k} - 1) \quad \text{and} \quad q|m(m^{\phi(p)\phi(q)k} - 1).$$

[Hint: If  $p \nmid m$  then Euler's Totient Theorem says that  $m^{\phi(p)} \equiv 1 \pmod{p}$ . Similarly, if  $q \nmid m$  then we have  $m^{\phi(q)} \equiv 1 \pmod{q}$ .]

(c) If  $p$  and  $q$  are distinct primes, combine parts (a) and (b) to show that

$$m^{\phi(p)\phi(q)k+1} \equiv m \pmod{pq}$$

for all integers  $m, k \in \mathbb{Z}$ .

(a): Let  $p, q, a \in \mathbb{Z}$  with  $\gcd(p, q) = 1$ . Then from Bézout's Identity we can write  $px + qy = 1$  for some  $x, y \in \mathbb{Z}$ . Now suppose that we have  $p|a$  and  $q|a$  for some  $a \in \mathbb{Z}$ . Say  $a = pk$  and  $a = q\ell$ . It follows that

$$\begin{aligned} px + qy &= 1 \\ a(px + qy) &= a \\ apx + aqy &= a \\ q\ell px + pkqy &= a \\ pq(\ell x + ky) &= a, \end{aligned}$$

and hence  $pq|a$ .

(b): Let  $m, k, p, q \in \mathbb{Z}$  with  $p, q$  prime. If  $p \nmid m$  then Euler's Totient Theorem implies that

$$\begin{aligned} m^{\phi(p)} &\equiv 1 \\ (m^{\phi(p)})^{\phi(q)k} &\equiv 1^{\phi(q)k} \\ m^{\phi(p)\phi(q)k} &\equiv 1 \pmod{pq}. \end{aligned}$$

This implies that  $pq$  (and also  $p$ ) divides  $m^{\phi(p)\phi(q)k} - 1$ , and hence  $p$  divides  $m(m^{\phi(p)\phi(q)k} - 1)$ . But if  $p|m$  then we still have  $p|m(m^{\phi(p)\phi(q)k} - 1)$ . The same result for  $q$  follows by symmetry.

(c): Finally, if  $p \neq q$  then we have  $\gcd(p, q) = 1$  and it follows from part (a) that

$$pq|m(m^{\phi(p)\phi(q)k} - 1) = m^{\phi(p)\phi(q)k+1} - m.$$

In other words, we have  $m^{\phi(p)\phi(q)k+1} \equiv m \pmod{pq}$ . This formula is the basis for decryption in the RSA cryptosystem.

**Problem 6. Infinitely Many Primes  $\equiv 1 \pmod{4}$ .** We will show that there are infinitely many prime numbers in the sequence  $\{1 + 4k : k \in \mathbb{Z}, k \geq 0\}$ .

(a) Assume for contradiction that there are only finitely many primes in this sequence; call them  $p_1, p_2, \dots, p_k$  and define the integers

$$x = 2p_1p_2 \cdots p_k \quad \text{and} \quad n = x^2 + 1.$$

Prove that  $n \equiv 1 \pmod{4}$  and  $n \equiv 1 \pmod{p_i}$  for all  $i$ .

(b) Let  $p|n$  be any prime divisor of  $n$ . Show that  $x, x^2, x^3 \not\equiv 1$  and  $x^4 \equiv 1 \pmod{p}$ . It follows from Euler's Totient Theorem that 4 divides  $\phi(p) = p - 1$  and hence  $p \equiv 1 \pmod{4}$ . But then we must have  $p = p_i$  for some  $i$ . Show that this leads to a contradiction.

(a): Assume for contradiction that  $p_1, \dots, p_k$  are the only primes  $\equiv 1 \pmod{4}$ , and define

$$\begin{aligned} x &= 2p_1 \cdots p_k, \\ n &= x^2 + 1. \end{aligned}$$

Since  $4|x^2 = n - 1$  we have  $n \equiv 1 \pmod{4}$  and since  $p_i|x^2 = n - 1$  we have  $n \equiv 1 \pmod{p_i}$  for all indices  $i$ .

(b): We know that  $n$  has some prime divisor  $p|n$ . If we can show that

- (1)  $p$  is not in the list  $p_1, \dots, p_k$ ,
- (2)  $p \equiv 1 \pmod{4}$ ,

then we will obtain the desired contradiction. To show (1), suppose that  $p = p_i$  for some  $i$ . Then we obtain the contradiction  $p_i|(n - x^2) = 1$ . To show (2), it is enough to prove that  $x \not\equiv 0$ ,  $x, x^2, x^3 \not\equiv 1$  and  $x^4 \equiv 1 \pmod{4}$ . In other words, it is enough to show that  $4 = \text{ord}_p(x)$  is the multiplicative order of  $x \pmod{p}$ . Then Euler's Totient Theorem will imply that  $4 = \text{ord}_p(x) | \phi(p) = p - 1$  and hence  $p \equiv 1 \pmod{4}$ .

To do this we first observe that  $p|n = x^2 + 1 = x^2 - (-1)$ . This implies that  $x^2 \equiv -1$  and hence  $x^4 \equiv (x^2)^2 \equiv (-1)^2 \equiv 1 \pmod{p}$ . Then since  $p|n$  and  $n$  is odd we know that  $p \neq 2$ , which implies that  $x \equiv -1 \not\equiv 1 \pmod{p}$ . It follows that  $x \not\equiv 0$  and  $x \not\equiv 1 \pmod{4}$  since otherwise squaring both sides would give the contradictions  $x^2 \equiv 0$  and  $x^2 \equiv 1 \pmod{4}$ . Finally, we observe that  $x^3 \equiv 1 \pmod{4}$  is impossible since multiplying by  $x$  would give the contradiction  $x \equiv x^4 \equiv 1 \pmod{4}$ .  $\square$

Discussion: The proof given here can be generalized to show that there are infinitely many primes  $\equiv 1 \pmod{n}$  for any integer  $n \geq 2$ . The general idea is to replace the expression  $x^2 + 1$  by a certain polynomial  $\Phi_n(x)$ , called the *cyclotomic polynomial*. It is also true that for any  $\text{gcd}(a, b) = 1$  there exist infinitely many primes  $\equiv a \pmod{b}$ . This is a famous theorem called Dirichlet's Theorem and it is extremely difficult to prove.