

1. **Write It Down!** In each case, explicitly write down all the possibilities.

- (a) Ordered selections of 3 things from the set  $\{a, b, c, d\}$ . No repetition allowed.
- (b) Unordered selections of 2 things from the set  $\{a, b, c, d, e, f\}$ . No repetition allowed.
- (c) Non-negative integer solutions  $c, v, s \geq 0$  to the equation  $c + v + s = 4$ . [Hint: There are three flavors of ice cream. You want to buy four gallons.]

(a): There are  ${}_4P_3 = 4 \cdot 3 \cdot 2 = 24$  choices:

*abc abd acd bcd*  
*acb adb adc bdc*  
*bac bad cad cbd*  
*bca bda cda cdb*  
*cab dab dac dbc*  
*cba dba dca dcb*

Remark: There are  ${}_4C_3 = \binom{4}{3} = 4$  **unordered** choices:

*abc abd acd bcd*

Note that  ${}_4P_3 = {}_4C_3 \cdot 3!$  since there are  $3! = 6$  ways to order each unordered choice. More generally, we have  ${}_nP_k = {}_nC_k \cdot k!$  for any  $0 \leq k \leq n$ . This is how we computed  ${}_nC_k$ :

$${}_nC_k = \frac{1}{k!} \cdot {}_nP_k = \frac{1}{k!} (n)(n-1) \cdots (n-k+1) = \frac{n!}{k!(n-k)!} = \binom{n}{k}.$$

(b): Unordered selections of 2 things from  $\{a, b, c, d, e, f\}$  are the same as subsets of size 2. There are  $\binom{6}{2} = 15$  such subsets:

*ab bc cd de ef*  
*ac bd ce df*  
*ad be cf*  
*ae bf*  
*af*

Note: To save space I wrote  $cd$  instead of  $\{c, d\}$ , etc.

(c): A solution to  $c + v + s = 4$  with  $c, v, s \geq 0$  is the same as a selection of 4 gallons of ice cream from the 3 flavors {chocolate, vanilla, strawberry}. That is, we are selecting 4 things from 3 things, where repetition is allowed and order doesn't matter. A choice can be encoded as a sequence of "stars and bars", with 4 stars and 2 bars:

$$\underbrace{*\cdots*}_{c \text{ times}} | \underbrace{*\cdots*}_{v \text{ times}} | \underbrace{*\cdots*}_{s \text{ times}}.$$

There are  $\binom{6}{2} = \binom{6}{4} = 15$  such sequences:

$$\begin{array}{l} ||**** *||*** **||** ****||* ****|| \\ |*|*** *|**|** **|*|* ***|*| \\ |**|** *|**|* **|**| \\ |***|* *|***| \\ |****| \end{array}$$

corresponding to 15 solutions for  $(c, v, s)$ :

$$\begin{array}{cccccc} (0, 0, 4) & (1, 0, 3) & (2, 0, 2) & (3, 0, 1) & (4, 0, 0) & \\ (0, 1, 3) & (1, 1, 2) & (2, 1, 1) & (3, 1, 0) & & \\ (0, 2, 2) & (1, 2, 1) & (2, 2, 0) & & & \\ (0, 3, 1) & (1, 3, 0) & & & & \\ (0, 4, 0) & & & & & \end{array}$$

**2. Just the Numbers, Please.** Count the possibilities in each case.

- Phone numbers consisting of 7 digits.
- Rearrangements of the letters  $m, a, m, m, a, l$ .
- Poker hands, consisting of 5 cards drawn from a deck of 52.
- Non-negative integer solutions  $x + y + z \geq 0$  to the equation  $x + y + z = 7$ .

(a): The number of 7-digit phone numbers is

$$\underbrace{10}_{\text{1st digit}} \times \underbrace{10}_{\text{2nd digit}} \times \cdots \times \underbrace{10}_{\text{7th digit}} = 10^7.$$

(b): The number of arrangements of the letters  $m, a, m, m, a, l$  is

$$\frac{6!}{3!2!1!} = \frac{6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1}{3 \cdot 2 \cdot 1 \cdot 2 \cdot 1 \cdot 1} = 60.$$

Remark: More generally, the number of words of length  $n$  containing  $k_1$  copies of the letter  $a_1$ ,  $k_2$  copies of the letter  $a_2$ ,  $\dots$ , and  $k_\ell$  copies of the letter  $a_\ell$  is the multinomial coefficient:

$$\binom{n}{k_1, k_2, \dots, k_\ell} = \frac{n!}{k_1! k_2! \cdots k_\ell!}.$$

When using this notation we always assume that  $k_1 + k_2 + \cdots + k_\ell = n$ .

(c): A poker hand is a collection of 5 unordered cards, chosen without replacement from a deck of 52. The number of choices is

$$\binom{52}{5} = \frac{52!}{5!47!} = \frac{52 \cdot 51 \cdot 50 \cdot 49 \cdot 48}{5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = 2,598,960.$$

(d): Compare to Problem 1(c). A non-negative integer solution to the equation  $x + y + z = 7$  corresponds to a sequence of 7 stars and 2 bars. The number of such sequences is

$$\binom{9}{2} = \binom{9}{7} = \frac{9!}{2!7!} = \frac{9 \cdot 8}{2 \cdot 1} = 36.$$

Remark: More generally, a non-negative integer solution to  $x_1 + \cdots + x_n = k$  corresponds to a sequence of  $k$  stars and  $n - 1$  bars. The number of such sequences is

$$\binom{k + (n - 1)}{k, n - 1} = \binom{n + k - 1}{k} = \binom{n + k - 1}{n - 1} = \cdots$$

The previous calculation corresponds to  $k = 7$  and  $n = 3$ .

**3. Vandermonde Convolution.** For any positive integers  $r, g, n$  we have<sup>1</sup>

$$\sum_k \binom{r}{k} \binom{g}{n - k} = \binom{r + g}{n}.$$

<sup>1</sup>We sum over all integers  $k$ , but only finitely many summands will be non-zero.

- (a) Give a counting proof of this identity. [Hint: There are  $r$  red balls and  $g$  green balls in a bowl. You reach in and grab a collection of  $n$  unordered balls.]
- (b) Use the identity to prove that  $\binom{n}{0}^2 + \binom{n}{1}^2 + \cdots + \binom{n}{n}^2 = \binom{2n}{n}$ .

(a): There are  $r$  red balls and  $g$  green balls in a bowl. Let  $S$  be the **set of possible choices** of  $n$  balls from the bowl. On the one hand we have

$$\#S = \binom{r+g}{n}.$$

On the other hand, let  $S_k$  be the **set of possible choices** consisting of  $k$  red balls and  $n-k$  green balls. Note that we have a disjoint union:

$$S = S_0 \cup S_1 \cup \cdots \cup S_r.$$

Indeed, each choice of  $n$  balls contains **some number of red balls**. It follows that

$$\#S = \#S_0 + \#S_1 + \cdots + \#S_r.$$

But we also have

$$\#S_k = \underbrace{\binom{r}{k}}_{\text{number of ways to choose } k \text{ red balls}} \times \underbrace{\binom{g}{n-k}}_{\text{number of ways to choose } n-k \text{ green balls}}.$$

We conclude that

$$\binom{r+g}{n} = \sum_k \binom{r}{k} \binom{g}{n-k}.$$

Only finitely many terms in the sum are nonzero.<sup>2</sup>

**4. Trinomial Recurrence.** The trinomial coefficients are defined as follows:

$$\binom{n}{i, j, k} := \frac{n!}{i!j!k!}, \quad \text{where we must have } i + j + k = n.$$

Use algebra to prove the *trinomial recurrence relation*:

$$\binom{n}{i, j, k} = \binom{n-1}{i-1, k, j} + \binom{n-1}{i, j-1, k} + \binom{n-1}{i, j, k-1}.$$

We will repeatedly use the fact that  $m(m-1)! = m!$ . Note that

$$\begin{aligned} & \binom{n-1}{i-1, k, j} + \binom{n-1}{i, j-1, k} + \binom{n-1}{i, j, k-1} \\ &= \frac{(n-1)!}{(i-1)!j!k!} + \frac{(n-1)!}{i!(j-1)!k!} + \frac{(n-1)!}{i!j!(k-1)!} \\ &= \frac{i}{i} \cdot \frac{(n-1)!}{(i-1)!j!k!} + \frac{j}{j} \cdot \frac{(n-1)!}{i!(j-1)!k!} + \frac{k}{k} \cdot \frac{(n-1)!}{i!j!(k-1)!} \\ &= \frac{i(n-1)!}{i(i-1)!j!k!} + \frac{j(n-1)!}{i!j(j-1)!k!} + \frac{k(n-1)!}{i!j!k(k-1)!} \\ &= \frac{i(n-1)!}{i!j!k!} + \frac{j(n-1)!}{i!j!k!} + \frac{k(n-1)!}{i!j!k!} \end{aligned}$$

<sup>2</sup>Recall that we define  $\binom{a}{b} = 0$  when  $b < 0$  or  $b > a$ . Without this notational convenience, we must specify that  $0 \leq k$ ,  $k \leq r$ ,  $k \leq n$  and  $n-k \leq g$ , so that  $\max\{0, n-g\} \leq k \leq \min\{r, n\}$ , which is quite annoying to say.

$$\begin{aligned}
&= \frac{i(n-1)! + j(n-1)! + k(n-1)!}{i!j!k!} \\
&= \frac{(i+j+k)(n-1)!}{i!j!k!} \\
&= \frac{n(n-1)!}{i!j!k!} \\
&= \frac{n!}{i!j!k!} \\
&= \binom{n}{i, j, k}.
\end{aligned}$$

**5. Double Factorial.** For a positive integer  $n$  we define the *double factorial* as follows:

$$n!! = \begin{cases} n(n-2)(n-4)\cdots 4 \cdot 2 & \text{if } n \text{ is even,} \\ n(n-2)(n-4)\cdots 3 \cdot 1 & \text{if } n \text{ is odd.} \end{cases}$$

- (a) For any  $m \geq 1$ , show that  $(2m)!!(2m-1)!! = (2m)!$ .  
(b) For any  $m \geq 1$ , show that  $(2m)!! = 2^m m!$ .  
(c) Combine (a) and (b) to show that  $(2m-1)!! = \frac{(2m)!}{2^m m!}$ .

(a): We have

$$\begin{aligned}
(2m)! &= (2m)(2m-1)(2m-2)(2m-3)\cdots 4 \cdot 3 \cdot 2 \cdot 1 \\
&= [(2m)(2m-2)\cdots 4 \cdot 2][(2m-1)(2m-3)\cdots 3 \cdot 1] \\
&= (2m)!!(2m-1)!!.
\end{aligned}$$

(b): We have

$$\begin{aligned}
(2m)!! &= (2m)(2m-2)(2m-4)\cdots 4 \cdot 2 \\
&= [2(m)][2(m-1)][2(m-2)]\cdots [2(2)][2(1)] \\
&= 2^m (m)(m-1)(m-2)\cdots 2 \cdot 1 \\
&= 2^m m!.
\end{aligned}$$

(c): We have

$$\begin{aligned}
(2m)!!(2m-1)!! &= (2m)! && \text{(a)} \\
(2m-1)!! &= (2m)!/(2m)!! \\
(2m-1)!! &= \frac{(2m)!}{2^m m!}. && \text{(b)}
\end{aligned}$$

**6. Generalized Binomial Coefficients.** For any number  $z$  and positive integer  $k$  we define

$$\binom{z}{k} = \frac{(z)_k}{k!} = \frac{z(z-1)\cdots(z-k+1)}{k!}.$$

This formula agrees with the usual binomial coefficients when  $z$  is a positive integer, but it makes sense even when  $z$  is negative or when  $z$  is a fraction.

- (a) Use the formula to compute  $\binom{-3}{4}$ .

(b) Give an algebraic proof that

$$\binom{-z}{k} = (-1)^k \binom{z+k-1}{k}.$$

(c) Give an algebraic proof that

$$\binom{1/2}{k} = \frac{(-1)^{k-1}}{k \cdot 2^{2k-1}} \cdot \binom{2(k-1)}{k-1}.$$

[Hint: At some point you will need to use Problem 5(c) with  $m = k - 1$ .]

(a): We have

$$\binom{-3}{4} = \frac{(-3)_4}{4!} = \frac{(-3)(-4)(-5)(-6)}{4 \cdot 3 \cdot 2 \cdot 1} = 15.$$

(b): We have

$$\begin{aligned} \binom{-n}{k} &= \frac{(-n)_k}{k!} \\ &= \frac{1}{k!} (-n)(-n-1)(-n-2) \cdots (-n-k+1) \\ &= \frac{1}{k!} [(-1)(n)][(-1)(n+1)][(-1)(n+2)] \cdots [(-1)(n+k-1)] \\ &= \frac{(-1)^k}{k!} (n)(n+1)(n+2) \cdots (n+k-1) \\ &= \frac{(-1)^k}{k!} (n+k-1)(n+k-2) \cdots (n+1)(n) \\ &= \frac{(-1)^k}{k!} (n+k-1)_k \\ &= (-1)^k \frac{(n+k-1)_k}{k!} \\ &= (-1)^k \binom{n+k-1}{k}. \end{aligned}$$

(c): We have

$$\begin{aligned} \binom{1/2}{k} &= \frac{1}{k!} (1/2)_k \\ &= \frac{1}{k!} (1/2)(1/2-1)(1/2-2) \cdots (1/2-k+1) \\ &= \frac{1}{k!} (1/2)(-1/2)(-3/2) \cdots ((-2k+3)/2) \\ &= \frac{1}{k!} [(1/2)(1)][(1/2)(-1)][(1/2)(-3)] \cdots [(1/2)(-2k+3)] \\ &= \frac{1}{k!} \left(\frac{1}{2}\right)^k (1)(-1)(-3) \cdots (-2k+3) \\ &= \frac{1}{k!} \left(\frac{1}{2}\right)^k (-1)(-3) \cdots (-(2(k-1)-1)) \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{k!} \left(\frac{1}{2}\right)^k (-1)^{k-1} (1)(3) \cdots (2(k-1) - 1) \\
&= \frac{(-1)^{k-1}}{2^k k!} (1)(3) \cdots (2(k-1) - 1) \\
&= \frac{(-1)^{k-1}}{2^k k!} (2(k-1) - 1) \cdots (3)(1) \\
&= \frac{(-1)^{k-1}}{2^k k!} (2(k-1) - 1)!! \\
&= \frac{(-1)^{k-1}}{2^k k!} \cdot \frac{(2(k-1))!}{2^{k-1}(k-1)!} \\
&= \frac{(-1)^{k-1}}{2^k 2^{k-1} k (k-1)!} \cdot \frac{(2(k-1))!}{(k-1)!} \\
&= \frac{(-1)^{k-1}}{k \cdot 2^{2k-1}} \cdot \frac{(2(k-1))!}{(k-1)!(k-1)!} \\
&= \frac{(-1)^{k-1}}{k \cdot 2^{2k-1}} \cdot \binom{2(k-1)}{k-1}.
\end{aligned}$$

Problem 5(c)

That was fun.

Remark: Combining this calculation with Newton's binomial theorem gives us the power series expansion of  $\sqrt{1+x}$  for  $|x| < 1$ :

$$\begin{aligned}
\sqrt{1+x} &= (1+x)^{1/2} \\
&= \sum_{k \geq 0} \binom{1/2}{k} \cdot x^k \\
&= \sum_{k \geq 0} \frac{(-1)^{k-1}}{k \cdot 2^{2k-1}} \cdot \binom{2(k-1)}{k-1} \cdot x^k \\
&= 1 + \frac{1}{2} \cdot x - \frac{1}{8} \cdot x^2 + \frac{1}{16} \cdot x^3 - \frac{5}{128} \cdot x^4 + \frac{7}{256} \cdot x^5 - \frac{21}{1024} \cdot x^6 + \cdots .
\end{aligned}$$