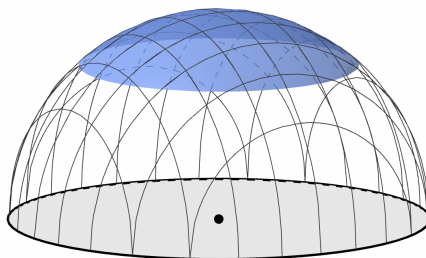
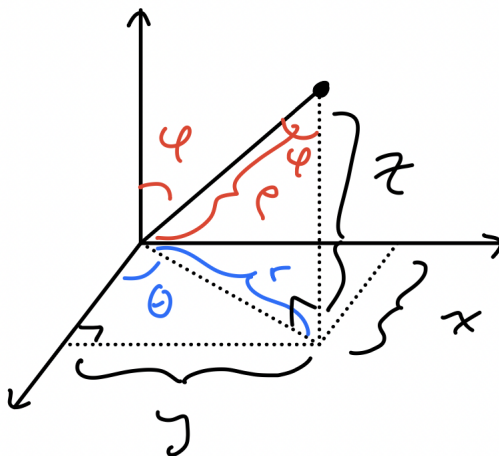


**Problem 1. Surface Area.** Fix an angle  $0 \leq \alpha < \pi$  and let  $D$  be the region on the surface of a sphere of radius 1 with angle  $\leq \alpha$  from the vertical:<sup>1</sup>



- (a) Find a parametrization for  $D$  of the form  $\mathbf{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$ .  
 (b) Use your parametrization to compute the surface area of  $D$ .

(a): We will use spherical coordinates  $(\rho, \theta, \varphi)$  with a fixed radius  $\rho = 1$ . Recall that spherical coordinates are connected to polar and Cartesian coordinates via two right triangles:<sup>2</sup>



From the picture we obtain

$$\begin{cases} x = r \cos \theta \\ y = r \sin \theta \end{cases} \quad \text{and} \quad \begin{cases} z = \rho \cos \varphi \\ r = \rho \sin \varphi \end{cases}, \quad \text{hence} \quad \begin{cases} x = \rho \sin \varphi \cos \theta \\ y = \rho \sin \varphi \sin \theta \\ z = \rho \cos \varphi \end{cases}$$

After fixing  $\rho = 1$  this becomes

$$\begin{aligned} \mathbf{r}(\theta, \varphi) &= \langle x(\theta, \varphi), y(\theta, \varphi), z(\theta, \varphi) \rangle \\ &= \langle \sin \varphi \cos \theta, \sin \varphi \sin \theta, \cos \varphi \rangle \end{aligned}$$

This parametrization covers the whole surface of the unit sphere as  $0 \leq \theta \leq 2\pi$  and  $0 \leq \varphi \leq \pi$ . In this problem we are only interested in the region where  $0 \leq \varphi \leq \alpha$ , for the fixed angle  $\alpha$ .

<sup>1</sup>On the Earth, this is the region above latitude  $(90 - \alpha)$  degrees North.

<sup>2</sup>Different books use different naming conventions. Instead of memorizing the formulas, just memorize the picture. Then you can derive the formulas for yourself.

(b): To compute the surface area, we first need the stretch factor  $\|\mathbf{r}_\theta \times \mathbf{r}_\varphi\|$ . We have

$$\begin{aligned}\mathbf{r}_\theta &= \langle -\sin \varphi \sin \theta, \sin \varphi \cos \theta, 0 \rangle, \\ \mathbf{r}_\varphi &= \langle \cos \varphi \cos \theta, \cos \varphi \sin \theta, -\sin \varphi \rangle, \\ \mathbf{r}_\theta \times \mathbf{r}_\varphi &= \langle -\sin^2 \varphi \cos \theta, \sin^2 \varphi \sin \theta, -\sin \varphi \cos \varphi \sin^2 \theta - \sin \varphi \cos \varphi \cos^2 \theta \rangle \\ &= \langle -\sin^2 \varphi \cos \theta, \sin^2 \varphi \sin \theta, -\sin \varphi \cos \varphi \rangle,\end{aligned}$$

and hence

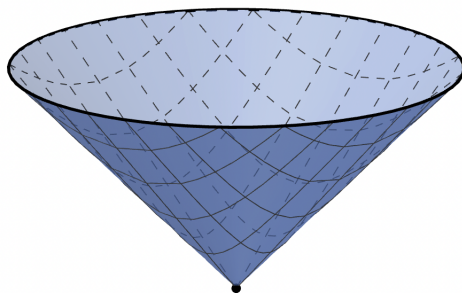
$$\begin{aligned}\|\mathbf{r}_\theta \times \mathbf{r}_\varphi\|^2 &= \sin^4 \varphi \cos^2 \theta + \sin^4 \varphi \sin^2 \theta + \sin^2 \varphi \cos^2 \varphi \\ &= \sin^4 \varphi + \sin^2 \varphi \cos^2 \varphi \\ &= \sin^2 \varphi (\sin^2 \varphi + \cos^2 \varphi) \\ &= \sin^2 \varphi, \\ \|\mathbf{r}_\theta \times \mathbf{r}_\varphi\| &= \sin \varphi.\end{aligned}$$

We don't need to write  $|\sin \varphi|$  because in spherical coordinates we always have  $0 \leq \varphi \leq \pi$ . Finally, we compute the area:

$$\begin{aligned}\iint_D 1 \, dA &= \iint_D \|\mathbf{r}_\theta \times \mathbf{r}_\varphi\| \, d\theta d\varphi \\ &= \int_0^{2\pi} d\theta \cdot \int_0^\alpha \sin \varphi \, d\varphi \\ &= 2\pi (-\cos(\alpha) + \cos(0)) \\ &= 2\pi(1 - \cos \alpha).\end{aligned}$$

Check: When  $\alpha = 0$  we have area 0, as expected. When  $\alpha = \pi/2$  we have area  $2\pi$  which is the correct area of the hemisphere. When  $\alpha = \pi$  we have  $4\pi$  which is the correct surface area of the full unit sphere.

**Problem 2. Surface Area.** Let  $D$  be the surface of the cone  $z^2 = x^2 + y^2$  for values  $z$  between 0 and 1:



- Find a parametrization for  $D$  of the form  $\mathbf{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$ .
- Use your parametrization to compute the surface area of  $D$ .

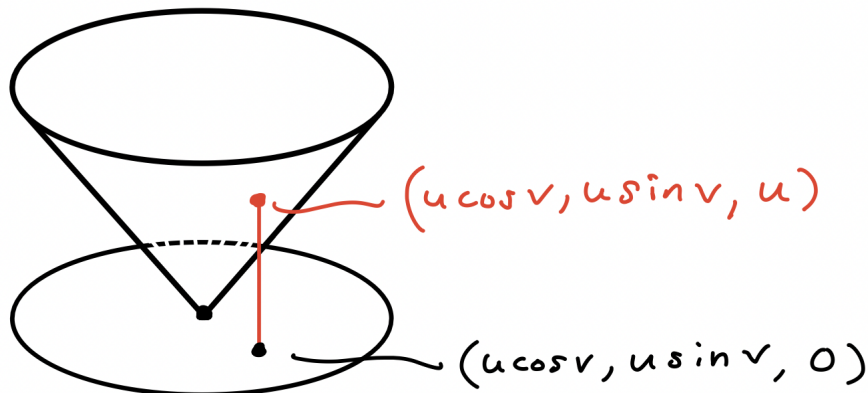
(a): We will use polar coordinates  $x = u \cos v$  and  $y = u \sin v$ .<sup>3</sup> Then the equation of the cone becomes  $z^2 = x^2 + y^2 = u^2$ , or  $z = u$  (since  $z$  and  $u$  are both positive). As  $z$  goes from 0 to

<sup>3</sup>I don't write  $x = r \cos \theta$  and  $y = r \sin \theta$  because we are already using the letter  $\mathbf{r}$ .

1, so does  $u$ . Hence the surface of the cone has the following parametrization:

$$\mathbf{r}(u, v) = \langle u \cos v, u \sin v, u \rangle \quad \text{where } 0 \leq u \leq 1 \text{ and } 0 \leq v \leq 2\pi.$$

Here is a picture:



(b): First we compute the stretch factor:

$$\begin{aligned} \mathbf{r}_u &= \langle \cos v, \sin v, 1 \rangle, \\ \mathbf{r}_v &= \langle -u \sin v, u \cos v, 0 \rangle, \\ \mathbf{r}_u \times \mathbf{r}_v &= \langle -u \cos v, -u \sin v, -u \sin^2 v - u \cos^2 v \rangle \\ &= \langle -u \cos v, -u \sin v, -u \rangle, \\ \|\mathbf{r}_u \times \mathbf{r}_v\|^2 &= u^2 \cos^2 v + u^2 \sin^2 v + u^2 \\ &= u^2 + u^2 \\ &= 2u^2, \\ \|\mathbf{r}_u \times \mathbf{r}_v\| &= \sqrt{2} \cdot u. \end{aligned}$$

Then we compute the area:

$$\begin{aligned} \iint_D 1 \, dA &= \iint_D \sqrt{2} \cdot u \, du \, dv \\ &= \sqrt{2} \cdot \int_0^{2\pi} dv \cdot \int_0^1 u \, du \\ &= \sqrt{2} \cdot 2\pi \cdot (1/2) \\ &= \sqrt{2} \cdot \pi. \end{aligned}$$

Remark: More generally, the surface area of a cone with height  $h$  and base a circle of radius  $a$  is  $\pi a \sqrt{h^2 + a^2}$ . In our case we have  $a = h = 1$ .

**Problem 3. Gravitational Potential Near the Surface of a Planet.** Choose a coordinate system near the surface of a planet, so that  $z = 0$  is the ground and the  $z$ -axis points “up”. A particle of mass  $m$  at a point  $(x, y, z)$  with  $z \geq 0$  feels a constant gravitational force of  $\mathbf{F}(x, y, z) = \langle 0, 0, -mg \rangle$ .

(a) Suppose that the particle has initial position and initial velocity as follows:

$$\mathbf{r}(0) = \langle 0, 0, 0 \rangle,$$

$$\mathbf{r}'(0) = \langle u, v, w \rangle.$$

Integrate Newton's equation  $\mathbf{F} = m\mathbf{r}''(t)$  to find  $\mathbf{r}'(t)$  and  $\mathbf{r}(t)$ .

(b) Find a formula for the kinetic energy at time  $t$ :

$$\text{KE}(t) = \frac{1}{2}m\|\mathbf{r}'(t)\|^2.$$

(c) Find a scalar field  $f(x, y, z)$  such that  $\mathbf{F} = -\nabla f$  and  $f(0, 0, 0) = 0$ . This  $f$  is called the *gravitational potential* of the particle.<sup>4</sup>

(d) Find a formula for the potential energy at time  $t$ :

$$\text{PE}(t) = f(\mathbf{r}(t)).$$

(e) Check that the total mechanical energy  $\text{KE}(t) + \text{PE}(t)$  is constant.

(a): Since  $\mathbf{F} = \langle 0, 0, -mg \rangle$ , Newton's 2nd Law tells us that

$$m\mathbf{r}''(t) = \mathbf{F}$$

$$m\mathbf{r}''(t) = \langle 0, 0, -mg \rangle$$

$$\mathbf{r}''(t) = \langle 0, 0, -g \rangle.$$

In other words, the particle has constant acceleration. We integrate this once to get

$$\mathbf{r}'(t) = \langle c_1, c_2, -gt + c_3 \rangle,$$

for some constants  $c_1, c_2, c_3$ . The initial condition  $\mathbf{r}'(0) = \langle u, v, w \rangle$  tells us that  $\langle c_1, c_2, c_3 \rangle = \langle u, v, w \rangle$ , so the velocity at time  $t$  is

$$\mathbf{r}'(t) = \langle u, v, -gt + w \rangle.$$

We integrate again to obtain

$$\mathbf{r}(t) = \left\langle ut + c_3, vt + c_4, -\frac{1}{2}gt^2 + wt + c_6 \right\rangle$$

for some constants  $c_4, c_5, c_6$ . Then the initial condition  $\mathbf{r}(0) = \langle 0, 0, 0 \rangle$  tells us that  $\langle c_4, c_5, c_6 \rangle = \langle 0, 0, 0 \rangle$ , so the position at time  $t$  is

$$\mathbf{r}(t) = \left\langle ut, vt, -\frac{1}{2}gt^2 + wt \right\rangle.$$

(b): The kinetic energy at time  $t$  is

$$\begin{aligned} \text{KE}(t) &= \frac{1}{2}m\|\mathbf{r}'(t)\|^2 \\ &= \frac{1}{2}m\|\langle u, v, -gt + w \rangle\|^2 \\ &= \frac{1}{2}m(u^2 + v^2 + (-gt + w)^2) \\ &= \frac{1}{2}m(u^2 + v^2 + g^2t^2 - 2gtw + w^2) \\ &= \frac{1}{2}m(u^2 + v^2 + w^2) + \frac{1}{2}mg^2t^2 - mgtw. \end{aligned}$$

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<sup>4</sup>Actually, the choice  $f(0, 0, 0) = 0$  is arbitrary. We are just saying that a particle on the ground has zero gravitational potential. Only **changes** in potential energy are physically meaningful.

(c): A constant vector field is necessarily conservative. For example, consider  $\mathbf{F} = \langle a, b, c \rangle$  for some constants  $a, b, c$ . Then we observe that  $\mathbf{F} = \nabla f$  where  $f(x, y, z) = ax + by + cz$ . Indeed, it is easy to check that  $\nabla f = \langle f_x, f_y, f_z \rangle = \langle a, b, c \rangle$ . We could find this  $f$  by brute force, or we could use the Fundamental Theorem of Line Integrals. For a given point  $(x, y, z)$  we will integrate  $\mathbf{F}$  along the path  $\mathbf{r}(t) = \langle xt, yt, zt \rangle$  for  $t$  from 0 to 1. If  $\mathbf{F} = \nabla f$  then we must have

$$\begin{aligned} f(x, y, z) - f(0, 0, 0) &= \int_0^1 \mathbf{F}(\mathbf{r}(t)) \bullet \mathbf{r}'(t) dt \\ &= \int_0^1 \mathbf{F}(xt, yt, zt) \bullet \langle x, y, z \rangle dt \\ &= \int_0^1 \langle a, b, c \rangle \bullet \langle x, y, z \rangle dt \\ &= \int_0^1 (ax + by + cz)t dt \\ &= ax + by + cz. \end{aligned}$$

In the case when  $\mathbf{F} = \langle a, b, c \rangle = \langle 0, 0, -mg \rangle$  we have  $\mathbf{F} = \nabla f$  where  $f(x, y, z) = 0x + 0y - mgz = -mgz$ . But for physical reasons we write  $\mathbf{F} = -\nabla f$  with  $f(x, y, z) = -mgz$ .

(d): The potential energy at time  $t$  is

$$\begin{aligned} \text{PE}(t) &= f(\mathbf{r}(t)) \\ &= f\left(ut, vt, -\frac{1}{2}gt^2 + wt\right) \\ &= mg\left(-\frac{1}{2}gt^2 + wt\right) \\ &= -\frac{1}{2}mg^2t^2 + mgwt. \end{aligned}$$

(e): From parts (b) and (d) we see that

$$\text{KE}(t) + \text{PE}(t) = \frac{1}{2}m(u^2 + v^2 + w^2),$$

which is independent of  $t$ .

**Problem 4. Conservative Vector Fields.** Consider the following vector fields:

$$\begin{aligned} \mathbf{F}(x, y, z) &= \langle y + z, x + z, x + y \rangle, \\ \mathbf{G}(x, y, z) &= \langle -y + z, x + z, x + y \rangle. \end{aligned}$$

- Compute  $\nabla \times \mathbf{F}$  and  $\nabla \times \mathbf{G}$ . Observe that  $\mathbf{F}$  is conservative, while  $\mathbf{G}$  is not.
- Now think of  $\mathbf{F}$  and  $\mathbf{G}$  as force fields. Compute the work done by  $\mathbf{F}$  and  $\mathbf{G}$  on a particle of mass 1 traveling around the circle  $\mathbf{r}(t) = \langle \cos t, \sin t, 0 \rangle$  for  $0 \leq t \leq 2\pi$ .
- Find a scalar field  $f(x, y, z)$  such that  $\mathbf{F} = \nabla f$ .

(a): We have

$$\begin{aligned} \nabla \times \mathbf{F} &= \langle (x + y)_y - (x + z)_z, (y + z)_z - (x + y)_x, (x + z)_x - (y + z)_y \rangle \\ &= \langle 1 - 1, 1 - 1, 1 - 1 \rangle \\ &= \langle 0, 0, 0 \rangle \end{aligned}$$

and

$$\begin{aligned}\nabla \times \mathbf{G} &= \langle (x+y)_y - (x+z)_z, (-y+z)_z - (x+y)_x, (x+z)_x - (-y+z)_y \rangle \\ &= \langle 1-1, 1-1, 1-(-1) \rangle \\ &= \langle 0, 0, 2 \rangle.\end{aligned}$$

This tells us that  $\mathbf{F}$  is conservative, while  $\mathbf{G}$  is not.

(b): The work done by a force field  $\mathbf{F}$  acting on moving particle  $\mathbf{r}(t)$  is defined as

$$\int \mathbf{F}(\mathbf{r}(t)) \bullet \mathbf{r}'(t) dt.$$

In the case of  $\mathbf{F}(x, y, z) = \langle y+z, x+z, x+y \rangle$  and  $\mathbf{r}(t) = \langle \cos t, \sin t, 0 \rangle$  we have

$$\begin{aligned}\int \mathbf{F}(\mathbf{r}(t)) \bullet \mathbf{r}'(t) dt &= \int \mathbf{F}(\cos t, \sin t, 0) \bullet \langle -\sin t, \cos t, 0 \rangle dt \\ &= \int \langle \sin t + 0, \cos t + 0, \cos t + \sin t \rangle \bullet \langle -\sin t, \cos t, 0 \rangle dt \\ &= \int (-\sin^2 t + \cos^2 t + 0) dt \\ &= \int \cos(2t) dt \\ &= \left[ \frac{1}{2} \sin(2t) \right]_0^{2\pi} \\ &= \frac{1}{2} \sin(4\pi) - \frac{1}{2} \sin(0) \\ &= 0 - 0 \\ &= 0.\end{aligned}$$

This was expected because the integral of a conservative vector around any loop is zero. In the case of  $\mathbf{G} = \langle -y+z, x+z, x+y \rangle$  we have

$$\begin{aligned}\int \mathbf{G}(\mathbf{r}(t)) \bullet \mathbf{r}'(t) dt &= \int \mathbf{G}(\cos t, \sin t, 0) \bullet \langle -\sin t, \cos t, 0 \rangle dt \\ &= \int \langle -\sin t + 0, \cos t + 0, \cos t + \sin t \rangle \bullet \langle -\sin t, \cos t, 0 \rangle dt \\ &= \int (\sin^2 t + \cos^2 t + 0) dt \\ &= \int_0^{2\pi} 1 dt \\ &= 2\pi.\end{aligned}$$

The fact that this integral is not zero again verifies that the vector field  $\mathbf{G}$  is not conservative.

(c): We are looking for a scalar field  $f(x, y, z)$  satisfying

$$\langle f_x, f_y, f_z \rangle = \langle y+z, x+z, x+y \rangle.$$

We will do this in two ways.

**Brute Force.** Since  $f_x(x, y, z) = y+z$  we must have

$$f(x, y, z) = xy + xz + g(y, z) \text{ for some function } g(y, z).$$

Then since  $f(x, y, z) = xy + xz + g(y, z)$  and  $f_y(x, y, z) = x + z$  we must have

$$\begin{aligned}x + g_y(y, z) &= x + z \\g_y(y, z) &= z \\g(y, z) &= yz + h(z) \text{ for some function } h(z).\end{aligned}$$

Finally, since  $f(x, y, z) = xy + xz + yz + h(z)$  and  $f_z(x, y, z) = x + y$  we must have

$$\begin{aligned}x + y + h_z(z) &= x + y \\h_z(z) &= 0 \\h(z) &= \text{constant}.\end{aligned}$$

We conclude that  $f(x, y, z) = xy + xz + yz$ , plus some arbitrary constant.

**Use the Fundamental Theorem of Line Integrals.** If  $\mathbf{F}(x, y, z) = \nabla f(x, y, z)$  then for any path  $C$  we have

$$\int_C \mathbf{F} = f(\text{end point of } C) - f(\text{start point of } C).$$

In particular, if we choose the path  $\mathbf{r}(t) = \langle xt, yt, zt \rangle$  for  $0 \leq t \leq 1$  then we obtain

$$\begin{aligned}f(x, y, z) - f(0, 0, 0) &= \int \mathbf{F}(\mathbf{r}(t)) \bullet \mathbf{r}'(t) dt \\&= \int \mathbf{F}(xt, yt, zt) \bullet \langle x, y, z \rangle dt \\&= \int \langle yt + zt, xt + zt, xt + yt \rangle \bullet \langle x, y, z \rangle dt \\&= \int ((yt + zt)x + (xt + zt)y + (xt + yt)z) dt \\&= 2(xy + xz + yz) \cdot \int_0^1 t dt \\&= xy + xz + yz.\end{aligned}$$

Hence  $f(x, y, z) = xy + xz + yz + f(0, 0, 0)$ , where  $f(0, 0, 0)$  is just some arbitrary constant. I like this method better because it doesn't require any cleverness.

Finally, let's check that we got the right answer:

$$\begin{aligned}\nabla(xy + xz + yz) &= \langle (xy + xz + yz)_x, (xy + xz + yz)_y, (xy + xz + yz)_z \rangle \\&= \langle y + z + 0, x + 0 + z, 0 + x + y \rangle. \\&= \mathbf{F}(x, y, z).\end{aligned}$$

This again confirms that  $\mathbf{F}$  is a conservative vector field.<sup>5</sup>

**Problem 5. Div, Grad, Curl.** Consider a scalar field  $f(x, y, z)$  and a vector field  $\mathbf{F}(x, y, z) = \langle P(x, y, z), Q(x, y, z), R(x, y, z) \rangle$ . Then we define vector fields called the “gradient of  $f$ ” and the “curl of  $\mathbf{F}$ ”:

$$\text{grad}(f) = \nabla f = \langle f_x, f_y, f_z \rangle,$$

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<sup>5</sup>We could try to use these methods to find a scalar function  $g(x, y, z)$  such that  $\mathbf{G}(x, y, z) = \nabla g(x, y, z)$ . The first method completely fails. The second method seems to work, but it spits out  $g(x, y, z) = xz + yz$ , which is **not** an antiderivative of  $\mathbf{G}$ . Moral: Always check that the curl is zero before you try to find an antiderivative.

$$\operatorname{curl}(\mathbf{F}) = \nabla \times \mathbf{F} = \langle R_y - Q_z, P_z - R_x, Q_x - P_y \rangle.$$

We also define a scalar field called the “divergence of  $\mathbf{F}$ ”:

$$\operatorname{div}(\mathbf{F}) = \nabla \bullet \mathbf{F} = P_x + Q_y + R_z.$$

(a) Check that  $\operatorname{curl}(\operatorname{grad}(f)) = \nabla \times (\nabla f) = \langle 0, 0, 0 \rangle$ .

(b) Check that  $\operatorname{div}(\operatorname{curl}(\mathbf{F})) = \nabla \bullet (\nabla \times \mathbf{F}) = 0$ .

(a): Write  $\nabla f = \langle f_x, f_y, f_z \rangle = \langle P, Q, R \rangle$ . Then we have

$$\begin{aligned} \nabla \times (\nabla f) &= \nabla \times \langle P, Q, R \rangle \\ &= \langle R_y - Q_z, P_z - R_x, Q_x - P_y \rangle \\ &= \langle f_{zy} - f_{yz}, f_{xz} - f_{zx}, f_{yx} - f_{xy} \rangle \\ &= \langle 0, 0, 0 \rangle. \end{aligned}$$

Here we used the fact that mixed partials commute for any reasonable function.<sup>6</sup>

(b): Write  $\nabla \times \mathbf{F} = \langle R_y - Q_z, P_z - R_x, Q_x - P_y \rangle = \langle S, T, U \rangle$ . Then we have

$$\begin{aligned} \nabla \bullet (\nabla \times \mathbf{F}) &= S_x + T_y + U_z \\ &= (R_y - Q_z)_x + (P_z - R_x)_y + (Q_x - P_y)_z \\ &= R_{yx} - Q_{zx} + P_{zy} - R_{xy} + Q_{xz} - P_{yz} \\ &= (P_{zy} - P_{yz}) + (Q_{xz} - Q_{zx}) + (R_{yx} - R_{xy}) \\ &= 0. \end{aligned}$$

Here again we used the fact that mixed partials commute.

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<sup>6</sup>I guess I should have mentioned that we restrict our attention to reasonable functions.