

1 **SPATIAL AND TEMPORAL DYNAMICS OF A NONLOCAL VIRAL**  
2 **INFECTION MODEL\***

3 GUANGYU ZHAO<sup>†</sup> AND SHIGUI RUAN<sup>‡</sup>

4 **Abstract.** Recent studies suggest that spatial heterogeneity plays an important role in the  
5 within-host infection of viruses such as HBV, HCV, and HIV. In this paper we propose a spatial  
6 model of viral dynamics on a bounded domain in which virus movement is described by a nonlocal  
7 (convolution) diffusion operator. The model is a spatial generalization of a basic ODE viral infection  
8 model that has been extensively studied in the literature. We investigate the principal eigenvalue  
9 of a perturbation of the nonlocal diffusion operator and show that the principal eigenvalue plays a  
10 key role similar to that of the basic reproduction number when it comes to determining the infection  
11 dynamics. Through analyzing the spectra of two matrix operators, it is shown that the model  
12 exhibits threshold dynamics. More precisely, if the principal eigenvalue is less or equal to zero, then  
13 the infection-free steady state is asymptotically stable while there is an infection steady state which  
14 is stable provided that the principal eigenvalue is greater than zero.

15 **Key words.** Nonlocal diffusion operator, spatial model, viral infection, principal eigenvalue,  
16 stability

17 **AMS subject classifications.** 35B36, 35J05, 35P15, 45K05

18 **1. Introduction.** Infections with viruses, such as hepatitis B virus (HBV), hep-  
19 atitis C virus (HCV), and human immunodeficiency virus (HIV), have caused very  
20 serious public health problems and economic burdens worldwide since infections with  
21 these viruses are chronic and incurable. Once entering the human body, the viral  
22 capsid protein binds to the specific receptors on the host cellular surface and injects  
23 its core. After an intracellular period associated with transcription, integration, and  
24 the production of capsid proteins, an infected cell releases hundreds of viruses that in  
25 turn infect other cells. Various mathematical models have been developed to describe  
26 the within-host dynamics of these viral infections, such as HBV (Nowak et al. [23]),  
27 HCV (Dixit et al. [11]), HIV (Nowak and Bangham [22], Nowak and May [24]), etc.  
28 The basic within-host viral infection model consists of three components: uninfected  
29 target cells, infected target cells and free virus, and is described by three ordinary  
30 differential equations (ODEs) (see Nowak and Bangham [22], Nowak and May [24],  
31 Perelson [25], Yang et al. [33]). Systems of ODEs have been long utilized as the  
32 mathematical models applied to experimental data on viral infections.

33 While ODE models have proven quite useful in both empirical studied and the-  
34 oretical research, there is now ample evidence suggesting that spatial heterogeneity  
35 plays an important role in the within-host viral infection as well as the dynamics of  
36 the immune response (Graw and Perelson [16]). For example, HCV predominantly  
37 spreads among hepatocytes, which are epithelial cells that form tight junctions with  
38 their neighbors and are spatially organized within the liver. The results of Shulla  
39 and Randall [30] suggest a defined spatiotemporal regulation of HCV infection with  
40 highly varied replication efficiencies at the single cell level. As HIV mainly infects  
41 CD4<sup>+</sup> T cells which are most abundant and densely packed in secondary lymphoid

---

\*Submitted to the editors DATE.

**Funding:** This work was partially supported by NSF grant no. DMS-1412454 and NSFC grant No. 11771168.

<sup>†</sup>Department of Mathematics and Statistics, Auburn University, Auburn, AL 36849, USA (gzz0021@auburn.edu).

<sup>‡</sup>Department of Mathematics, University of Miami, Coral Gables, FL 33146, USA (ruan@math.miami.edu).

42 organs, such as lymph nodes and the spleen, the spatial arrangement of cells might  
 43 influence the infection dynamics and spatial conditions, such as the local availability  
 44 of appropriate target cells, may strongly affect the outcome (Haase [18]). Thus, basic  
 45 ODE models are not able to capture the spatial aspects of infection and spatial models  
 46 may be preferred to ODE models (Graw and Perelson [16]).

47 Over the past few years, much effort has been made to combine an ODE model  
 48 with spatial aspects in modeling of viral dynamics. Under the assumption that target  
 49 cells and infected cells were stationary while virus particles were capable of migrating  
 50 from one grid site to a neighboring site, Funk et al. [15] used a discrete ordinary  
 51 differential equation model to study the interactions of target cells, infected cells, and  
 52 viral load at anatomical sites where each grid site represents different anatomical sites  
 53 inside the host. Through simulation of viral spread by such a spatially discrete model  
 54 of viral dynamics, it was shown that overall infection dynamics are altered, and that  
 55 models not accounting for spatial aspects might underestimate the genuine infection  
 56 dynamics. Strain et al. [31] introduced a cellular automaton model of viral propa-  
 57 gation based on the known biophysical properties of HIV including the competition  
 58 between viral lability and Brownian motion. Wang and Wang [32] generalized Funk  
 59 et al.'s model by assuming that the hepatocytes cannot move under normal conditions  
 60 and neglected their mobility, whereas virus particles, i.e., virions, can move freely and  
 61 their motion follows a Fickian diffusion, and proposed a spatial HBV model of two  
 62 ODEs coupled with a parabolic PDE for the virus particles, and proved the existence  
 63 of traveling waves.

Meanwhile, there is an increasing interest in nonlocal diffusion problems modeled  
 by nonlocal (convolution) diffusion operators such as

$$L_0 v := d \int_{\Omega} J(x-y)[v(y) - v(x)] dy,$$

64 where  $v \in X$  and  $X$  is a proper Banach space (see Andreu et al. [1], Bates et al. [4],  
 65 Bates and Zhao [5, 6], Cortazar et al. [9], Coville [10], Du et al. [12], Green et al.  
 66 [17], Hutson et al. [20], Kao et al. [21], Rawal and Shen [26] and references therein).  
 67 As shown in Bates et al. [4],  $J(x-y)$  is viewed as the probability distribution of  
 68 jumping from location  $y$  to location  $x$ ; namely the convolution  $\int_{\Omega} J(x-y)u(t,y)dy$   
 69 is the rate at which individuals are arriving at position  $x$  from other places and  
 70  $\int_{\Omega} J(y-x)u(t,x)dy$  is the rate at which they are leaving location  $x$  to travel to other  
 71 sites. Such models with nonlocal diffusion operators have been used to study problems  
 72 in materials science (Bates [3]) and epidemiology (Ruan [28]).

73 In this paper, we propose a spatial model of viral dynamics with a nonlocal  
 74 (convolution) diffusion operator describing the spatial spread of virions between cells.  
 75 Let  $w(t,x)$ ,  $u(t,x)$ , and  $v(t,x)$  denote the densities of target cells, infected cells, and  
 76 free virions, respectively, at time  $t$  and in location  $x \in \Omega \subset \mathbb{R}^n$  ( $n \geq 1$ ), where  $\Omega$   
 77 is a bounded and connected domain.  $d > 0$  is a constant that stands for the diffusion  
 78 coefficient of free virions,  $J(\cdot)$  is a linear dispersal kernel which gives probabilities of  
 79 rate of motion of virions from location  $y$  to location  $x$ . Target cells are produced at  
 80 a rate  $s(x)$  and die at a rate  $b$ . Target cells become infected cells at an infection rate  
 81  $c(x)$  and infected cells die at a constant rate  $a$ , new virions generated from infected  
 82 cells have an average lifetime of  $1/q$ , at rate  $p$  per cell. The nonlocal viral infection

83 model takes the following form:

$$84 \quad (1) \quad \begin{cases} \frac{\partial w(t, x)}{\partial t} = s(x) - bw(t, x) - c(x)w(t, x)v(t, x), \\ \frac{\partial u(t, x)}{\partial t} = -au(t, x) + c(x)w(t, x)v(t, x), \\ \frac{\partial v(t, x)}{\partial t} = d \int_{\Omega} J(x - y)[v(t, y) - v(t, x)]dy - qv(t, x) + pu(t, x) \end{cases}$$

85 for  $(t, x) \in \mathbb{R}^+ \times \Omega$ . When  $d = 0$ , and  $w, u, v$ , and  $s$  and  $c$  are all independent of  
 86  $x$ , system (1) becomes the basic ODE model of viral dynamics proposed by Nowak  
 87 and Bangham [22], Nowak and May [24], Perelson [25], etc. Hence, model (1) may  
 88 be viewed as a spatial generalization of the ODE model of Nowak and Bangham [22]  
 89 and a counterpart of the spatially discrete model of Funk et al. [15] in which virus  
 90 movement is spatially continuous.

91 This paper is organized as follows: In section 2, some preliminaries are given. In  
 92 section 3, we consider positive stationary solutions of (1), which represent infection  
 93 steady states. We show that the existence of infection steady states hinges upon  
 94 the sign of the principal eigenvalue of a nonlocal operator. More precisely, when  
 95 the principal eigenvalue is less than or equal to zero, the only non-negative steady  
 96 state of (1) is the infection-free steady state, which is stable. While (1) has a unique  
 97 infection steady state if the principal eigenvalue is great than zero and this steady  
 98 state is stable. In section 4, we study the dependence of infection steady states on  
 99 the dispersal rate  $d$ . In section 5, we investigate the asymptotical stability of the  
 100 infection-free steady state in invariant regions. Numerical simulations are presented  
 101 in section 6. Finally, a brief discussion is given in section 7.

102 **2. Preliminaries.** We first list a set of notions that will be used in the rest of  
 103 the paper. Let  $Y$  be a complex Banach Space and  $\mathcal{L}(Y)$  be the space of bounded  
 104 linear operators on  $Y$  with the usual operator norm. Let  $A \in \mathcal{L}(Y)$  be a closed linear  
 105 operator on  $Y$ . Denote the *resolvent* and *spectrum* of  $A$  by

$$106 \quad \rho(A) = \{\lambda \in \mathbb{C} \mid \ker(\lambda I - A) = \{0\}, (\lambda I - A)^{-1} \in \mathcal{L}(Y)\} \text{ and } \sigma(A) = \mathbb{C} \setminus \rho(A),$$

107 respectively. The *point spectrum* of  $A$  is defined by

$$108 \quad \sigma_p(A) = \{\lambda \in \mathbb{C} \mid \ker(\lambda I - A) \setminus \{0\} \neq \emptyset\}.$$

109 An operator is *semi-Fredholm* if it has closed range and its kernel or cokernel is finite-  
 110 dimensional. The *discrete, essential, continuous, and residual spectra* of  $A$  are defined  
 111 by

$$112 \quad \sigma_d(A) = \{\lambda \in \mathbb{C} \mid \lambda \in \sigma_p(A) \text{ is isolated and } \dim \bigcup_{k=1}^{\infty} \ker(\lambda I - A)^k < \infty\},$$

113  $\sigma_{\text{ess}}(A) = \{\lambda \in \mathbb{C} \mid \lambda I - A \text{ is not semi-Fredholm}\} (= \sigma(A) \setminus \sigma_d(A) \text{ if } A \text{ is self-adjoint}),$   
 115

116  $\sigma_c(A) = \{\lambda \in \mathbb{C} \mid \ker(\lambda I - A) = \{0\}, (\lambda I - A)^{-1} \text{ is unbounded with } \overline{\mathcal{R}(\lambda I - A)} = Y\},$

117 and

$$118 \quad \sigma_r(A) = \{\lambda \in \mathbb{C} \mid \ker(\lambda I - A) = \{0\} \text{ with } \overline{\mathcal{R}(\lambda I - A)} \neq Y\},$$

119 respectively. Following Appell et al. [2], we also write the *compression spectrum* of  $A$   
 120 as

$$121 \quad \sigma_{\text{co}}(A) = \{\lambda \in \mathbb{C} \mid \overline{\mathcal{R}(\lambda I - A)} \neq Y\},$$

122 and the *approximate point spectrum* of  $A$  as

$$123 \quad \sigma_q(A) = \{\lambda \in \mathbb{C} \mid \text{there exists a Weyl sequence for } \lambda I - A\},$$

124 where a sequence  $\{z_n\} \in Y$  is called a *Weyl sequence* for  $A$  if  $\|z_n\|_Y = 1$  and  
 125  $\|Az_n\|_Y \rightarrow 0$  as  $n \rightarrow \infty$ .

126 In the following, given that  $r \in C(\overline{\Omega})$ , we define  $L_r : C(\overline{\Omega}) \rightarrow C(\overline{\Omega})$  by

$$127 \quad (2) \quad (L_r z)(x) := d \int_{\Omega} J(x-y)[z(y) - z(x)]dy + r(x)z(x).$$

128 Let  $C_c(\mathbb{R}^n)$  denote the space of continuous functions in  $\mathbb{R}^n$  with compact support.  
 129 We start it by presenting the following lemma.

130 **LEMMA 2.1.** *Assume that  $J \in C_c(\mathbb{R}^n)$  is a non-negative radial function with*  
 131  *$J(0) > 0$  and  $r \in C(\overline{\Omega})$ , where  $\Omega \subset \mathbb{R}^n$  ( $n \geq 1$ ) is a bounded and connected domain.*  
 132 *Let  $b(x) = r(x) - d \int_{\Omega} J(x-y)dy$ . Suppose that there exists a bounded sub-domain*  
 133  *$\Omega' \subset \overline{\Omega}$  such that  $[\kappa - b(x)]^{-1} \notin L^1(\Omega')$ , where  $\kappa = \sup_{x \in \Omega} b(x)$ . Then  $L_r$  possesses*  
 134 *a principal eigenpair  $(\mu_r, \phi_r)$  with  $\phi_r \in C(\overline{\Omega})$  and  $\phi_r > 0$ . Moreover, there holds*

$$135 \quad (3) \quad \mu_r = - \inf_{\varphi \in L^2(\Omega), \varphi \neq 0} \frac{\frac{d}{2} \int_{\Omega} \int_{\Omega} J(x-y)[\varphi(y) - \varphi(x)]^2 dy dx - \int_{\Omega} r(x)\varphi^2(x) dx}{\|\varphi\|_{L^2(\Omega)}^2}.$$

136 *In particular, suppose that  $r(x) \neq \text{constant}$ , then  $\mu_r > 0$  provided that  $\bar{r} \geq 0$ , where*  
 137  $\bar{r} = \frac{1}{|\Omega|} \int_{\Omega} r(x)dx$ .

138 *Proof.* The existence of a principal eigenpair  $(\mu_r, \phi_r)$  was proved in Coville [10]  
 139 where the existence of a principal eigenpair was established for a more general nonlocal  
 140 operator and  $\Omega$  is allowed to be unbounded. In particular, it was shown in Theorem  
 141 1.1 of Coville [10] that  $\mu_r > \sup_{x \in \Omega} b(x)$ . Recall that  $b(x) = r(x) - d \int_{\Omega} J(x-y)dy$ .  
 142 This implies that  $(\lambda - b(x))^{-1}$  is a bounded and continuous function for all  $x \in \overline{\Omega}$   
 143 whenever  $\lambda \geq \mu_r$ . Let  $\mathcal{K} : L^2(\Omega) \rightarrow L^2(\Omega)$  and  $\mathcal{B} : L^2(\Omega) \rightarrow L^2(\Omega)$  be defined by

$$144 \quad (4) \quad (\mathcal{K}\varphi)(x) = -d \int_{\Omega} J(x-y)\varphi(y)dy \quad \text{and} \quad (\mathcal{B}\varphi)(x) = -b(x)\varphi(x), \quad \varphi \in L^2(\Omega),$$

145 respectively. Clearly,  $-L_r = \mathcal{K} + \mathcal{B}$  on  $L^2(\Omega)$  and both  $\mathcal{K}$  and  $\mathcal{B}$  are self-adjoint.  
 146 Moreover, due to the facts that  $\mathcal{K}$  is compact and that  $\lambda \in \rho(\mathcal{B})$  if  $\lambda \leq -\mu_r$ , it  
 147 follows from Theorem 8.15 of Schmüdgen [29] that  $(-\infty, -\mu_r] \subset [\sigma_d(-L_r) \cup \rho(-L_r)]$ .  
 148 Since  $\phi_r \in L^2(\Omega)$ , as a result,  $-\mu_r \in \sigma_d(-L_r)$  with  $D(-L_r) = L^2(\Omega)$ . Note that  
 149  $-L_r$  is a lower semi-bounded self-adjoint operator on  $L^2(\Omega)$ . In fact, let  $\langle \cdot, \cdot \rangle$  be  
 150 the inner product for  $L^2(\Omega)$ , then we have  $\langle -L_r \varphi, \varphi \rangle \geq -m \|\varphi\|_{L^2(\Omega)}^2$  as long as  
 151  $m \geq |r(x)|_{L^\infty(\Omega)}$ . In addition, as  $-L_r$  is bounded, we have  $(-\infty, -\|L_r\| - 1] \subset \rho(-L_r)$ .  
 152 Let  $\omega_r = \inf\{\mu \in \mathbb{R} \mid \mu \in \sigma_{\text{ess}}(-L_r)\}$ , it follows that  $-\mu_r < \omega_r$ . Apparently,  
 153  $(-\|L_r\| - 1, \omega_r) \cap \sigma_d(-L_r) \neq \emptyset$  as  $-\mu_r \in (-\|L_r\| - 1, \omega_r)$ .

154 Let  $\lambda_1 = \inf_{\varphi \in L^2(\Omega), \varphi \neq 0} \|\varphi\|_{L^2(\Omega)}^{-2} \langle -L_r \varphi, \varphi \rangle$ . Clearly,  $\lambda_1 \leq -\mu_r < \omega_r$ . It then  
 155 follows from Theorem XIII.1 of Reed and Simon [27] that  $\lambda_1 \in \sigma_d(-L_r)$ . Indeed, we  
 156 have  $\lambda_1 = -\mu_r$ . If otherwise, let  $\phi_1$  be an eigenfunction associated with  $\lambda_1$ . Note that

157  $|\phi_1|$  is also an eigenfunction for  $\lambda_1$  since  $\langle -L_r|\phi|, |\phi| \rangle \leq \langle -L_r\varphi, \varphi \rangle$  for all  $\varphi \in L^2(\Omega)$ .  
 158 Then we find that  $\langle |\phi_1|, \phi_r \rangle = 0$  since  $-L_r$  is self-adjoint. This is impossible as  $\phi_r > 0$ .  
 159 Thus,  $\lambda_1 = -\mu_r$ . Namely, (3) holds, and

$$160 \quad -\mu_r \|\varphi\|_{L^2(\Omega)} \leq \langle -L_r\varphi, \varphi \rangle = \frac{1}{2} \int_{\Omega} \int_{\Omega} J(x-y)[\varphi(y) - \varphi(x)]^2 dy dx - \int_{\Omega} r(x)\varphi^2(x) dx$$

161 for all  $\varphi \in L^2(\Omega)$ .

162 It remains to prove the last part of the lemma. Let  $\phi > 0$  be an eigenfunction  
 163 associated with  $\mu_r$ , that is,

$$164 \quad \int_{\Omega} J(x-y)[\phi(y) - \phi(x)] dy + r(x)\phi(x) = \mu_r\phi(x).$$

165 Multiplying both sides of the above equation by  $1/\phi$  and integrating the resulting  
 166 equation over  $\Omega$  yield that

$$167 \quad \frac{m d}{2} \int_{\Omega} \int_{\Omega} J(x-y)[\phi(y) - \phi(x)]^2 dy dx + \int_{\Omega} r(x) dx \leq |\Omega| \mu_r.$$

168 Here  $m = 1/|\phi|_{L^\infty(\Omega)}^2$  and we used the fact that

$$\begin{aligned} 169 \quad & \int_{\Omega} \int_{\Omega} J(x-y)[\phi(y) - \phi(x)] dy \frac{1}{\phi(x)} dx \\ 170 \quad & = -\frac{1}{2} \int_{\Omega} \int_{\Omega} J(x-y)[\phi(y) - \phi(x)] \left[ \frac{1}{\phi(y)} - \frac{1}{\phi(x)} \right] dy dx \\ 171 \quad & \geq \frac{m}{2} \int_{\Omega} \int_{\Omega} J(x-y)[\phi(y) - \phi(x)]^2 \end{aligned}$$

172 since

$$173 \quad -[\phi(y) - \phi(x)] \left[ \frac{1}{\phi(y)} - \frac{1}{\phi(x)} \right] \geq \frac{1}{|\phi|_{L^\infty(\Omega)}^2} [\phi(y) - \phi(x)]^2$$

174 for all  $x, y \in \Omega$ . Moreover, it follows from the Poincaré type inequality of Andreu et  
 175 al. [1] that

$$176 \quad \int_{\Omega} \int_{\Omega} J(x-y)[\phi(y) - \phi(x)]^2 dy dx \geq \beta \int_{\Omega} \left| \phi(x) - \frac{1}{|\Omega|} \int_{\Omega} \phi(z) dz \right|^2 dx,$$

177 where  $\beta > 0$  is a constant depending only upon  $J$  and  $\Omega$ . Since  $\phi \neq \text{constant}$  and  
 178  $\bar{r} \geq 0$ . The desired conclusion follows.  $\square$

179 **PROPOSITION 2.2.** Assume that  $r_1, r_2 \in C(\bar{\Omega})$ . Let  $b_i(x) = r_i(x) - \int_{\Omega} J(x-y) dy$  ( $i = 1, 2$ ). Suppose that there exists sub-domains  $\Omega_i \subset \Omega$  such that  $[\kappa_i -$   
 180  $b_i(x)]^{-1} \notin L^1(\Omega_i)$ , where  $\kappa_i = \sup_{x \in \Omega} b_i$ . Let  $L_{r_i} : C(\Omega) \rightarrow C(\Omega)$  be defined by  
 181 (2). Assume that  $r_1 \not\geq r_2$  for all  $x \in \Omega$ . Then  $\mu_1 > \mu_2$ , where  $\mu_i$  is the principal  
 182 eigenvalue of  $L_{r_i}$  ( $i = 1, 2$ ).  
 183

184 *Proof.* Let  $\phi_i$  be an eigenfunction associated with  $\mu_i$  ( $i = 1, 2$ ). Then we have

$$185 \quad \int_{\Omega} J(x-y)[\phi_1(y) - \phi_1(x)] dy + r_1(x)\phi_1(x) = \mu_1\phi_1(x),$$

186

$$187 \quad \int_{\Omega} J(x-y)[\phi_2(y) - \phi_2(x)] dy + r_2(x)\phi_2(x) = \mu_2\phi_2(x).$$

188 Multiplying both sides of the first equation by  $\phi_2$ , both sides of the second equation  
189 by  $\phi_2$ , and integrating the resulting equations over  $\Omega$ , we have ( $i = 1, 2$ )

$$190 \int_{\Omega} \int_{\Omega} J(x-y)[\phi_1(y) - \phi_1(x)][\phi_2(y) - \phi_2(x)]dydx + \int_{\Omega} r_i(x)\phi_1\phi_2dx = \mu_i \int_{\Omega} \phi_1\phi_2dx.$$

191 Note that  $\phi_i > 0$  for all  $x \in \bar{\Omega}$ . Subtracting these two equalities yields that

$$192 0 < \int_{\Omega} [r_1(x) - r_2(x)]\phi_1(x)\phi_2(x)dx = (\mu_1 - \mu_2) \int_{\Omega} \phi_1(x)\phi_2(x)dx.$$

193 Since the right side of the above equation is strictly positive, it follows that  $\mu_1 > \mu_2$ .  $\square$

194 **3. Existence and stability of stationary solutions.** We now proceed to  
195 study the steady states of (1) and their stabilities. Note that (1) always has an  
196 infection-free steady state given by  $(w^0, u^0, v^0) = (\frac{s(x)}{b}, 0, 0)$ . A positive steady state  
197 of (1) is particularly of interest as it represents an infection state, we hence are led to  
198 study the solution(s) to

$$199 (5) \quad d \int_{\Omega} J(x-y)[v(y) - v(x)]dy + v(x) \left[ \frac{pc(x)s(x)}{a[b + c(x)v(x)]} - q \right] = 0, \quad x \in \bar{\Omega}.$$

200 Unless otherwise stated, the following assumptions will be needed throughout the rest  
201 of paper.

- 202 (H1)  $J \in C_c^1(\mathbb{R}^n)$  ( $n = 1$  or  $2$ ),  $J \geq 0$ , and  $J(0) > 0$ ;  
203 (H2)  $a, b, d, p, q$  are positive constants,  $s \in C^2(\bar{\Omega})$  and  $s \geq 0$  for all  $x \in \bar{\Omega}$ ,  $c \in C^2(\bar{\Omega})$   
204 and  $c > 0$  for all  $x \in \bar{\Omega}$ , where  $\Omega \subset \mathbb{R}^n$  ( $n = 1$  or  $2$ ) is a bounded and  
205 connected domain.

206 Set

$$207 \mathcal{S}_0 = - \inf_{\varphi \in L^2(\Omega), \|\varphi\|_{L^2(\Omega)}=1} \left\{ \frac{d}{2} \int_{\Omega} \int_{\Omega} J(x-y)[\varphi(y) - \varphi(x)]^2 dydx \right. \\ 208 \quad \left. - \int_{\Omega} \left[ \frac{pc(x)w^0(x)}{a} - q \right] \varphi^2(x) dx \right\}, \\ 209 \hat{\mathcal{S}}_0 = \frac{1}{|\Omega|} \int_{\Omega} \left[ \frac{pc(x)w^0(x)}{a} - q \right] dx, \\ 210 S(\lambda, x) = \frac{pc(x)w^0(x)}{\lambda + a} - (\lambda + q), \quad \text{Re}\lambda > -a.$$

211 Also define an operator  $L_{S,\lambda} : C(\bar{\Omega}) \rightarrow C(\bar{\Omega})$  by

$$212 (6) \quad L_{S,\lambda}\varphi(x) = \int_{\Omega} J(x-y)[\varphi(y) - \varphi(x)]dy + S(\lambda, x)\varphi(x), \quad \varphi \in C(\bar{\Omega}), \quad \text{Re}\lambda > -a.$$

REMARK 3.1. Thanks to (H1) and (H2), for each  $\lambda > -a$ ,  $S(\lambda, x) - \int_{\Omega} J(x-y)dy \in C^2(\bar{\Omega})$ , which, as shown in Coville [10], guarantees the existence a principal eigenvalue of  $L_{S,\lambda}$ . Denote the principal eigenvalue of  $L_{S,\lambda}$  in  $C(\bar{\Omega})$  by  $\mu(\lambda)$ . Note that  $\mu(\lambda)$  is analytic in  $\lambda$  and  $\mu(0) = \mathcal{S}_0$ . In particular, when  $\lambda$  takes on real values, simple calculation shows that  $\mu'(\lambda) < 0$ . In light of Lemma 2.1,  $\mathcal{S}_0 > 0$  provided that  $\hat{\mathcal{S}}_0 \geq 0$ . In case that  $s$  and  $c$  are independent of  $x$ , we have

$$\hat{\mathcal{S}}_0 = \frac{pcs}{ab} - q = q(R_0 - 1),$$

213 where  $R_0 = \frac{pcs}{qab}$  is the basic reproduction number of the virus (Nowak and May [24]).  
 214 Thus,  $\mathcal{S}_0$  has the same sign as the basic reproduction number minus unity ( $R_0 - 1$ ).  
 215 In what follows, we will see that  $\mathcal{S}_0$  plays a role in determining the stabilities of  
 216 stationary solutions to (5).

217 **THEOREM 3.2.** *Assume that (H1) and (H2) are satisfied. Suppose that  $\mathcal{S}_0 \leq 0$ .  
 218 Then (5) has no positive solutions. Namely, model (1) has no non-negative steady  
 219 states other than  $(w^0, u^0, v^0) = (\frac{s(x)}{b}, 0, 0)$ . Moreover,  $(w^0, u^0, v^0)$  is uniformly asymp-  
 220 totically stable in  $X$  provided that  $\mathcal{S}_0 < 0$ , where  $X = C(\bar{\Omega}) \times C(\bar{\Omega}) \times C(\bar{\Omega})$ .*

221 *Proof.* We first show that (5) has no positive solutions by contradiction. Assume  
 222 to the contrary that (5) has a positive solution  $v^* \in C(\bar{\Omega})$ . Let  $v^*(x_*) = \inf_{x \in \Omega} v^*(x)$   
 223 for some  $x_* \in \bar{\Omega}$  and  $v^*(x^*) = \sup_{x \in \Omega} v^*(x)$  for some  $x^* \in \bar{\Omega}$ . Clearly,  $v^*(x_*) \neq v^*(x^*)$   
 224 as  $v^* \neq \text{constant}$ . It is easy to see that  $v^*(x) > 0$  for all  $x \in \bar{\Omega}$ . Note that

$$225 \quad \int_{\Omega} J(x-y)[v^*(y) - v^*(x_*)]dy \geq 0 \quad \text{for all } x \in \bar{\Omega}.$$

226 As a result, we have that  $\frac{pc(x_*)s(x_*)}{a[b+c(x_*)v^*(x_*)]} - q \leq 0$ . Hence,  $v^*(x_*) \geq \frac{ps(x_*)}{a} - \frac{bq}{c(x_*)}$ .  
 227 Likewise, we have  $v^*(x^*) \leq \frac{ps(x^*)}{a} - \frac{bq}{c(x^*)}$ . That is,

$$228 \quad \frac{p \inf_{x \in \Omega} s(x)}{a} - \frac{bq}{\inf_{x \in \Omega} c(x)} \leq v^*(x) \leq \frac{p \sup_{x \in \Omega} s(x)}{a} - \frac{bq}{\sup_{x \in \Omega} c(x)} \quad \text{for all } x \in \bar{\Omega}.$$

229 Now let  $\psi$  be a positive eigenfunction corresponding to  $\mathcal{S}_0$ . Namely,

$$230 \quad d \int_{\Omega} J(x-y)[\psi(y) - \psi(x)]dy + \left[ \frac{pc(x)w^0(x)}{a} - q \right] \psi(x) = \mathcal{S}_0 \psi(x).$$

231 By multiplying this equation by  $v^*$  and (5) by  $\psi$ , respectively, and integrating the  
 232 resulting equations over  $\Omega$ , we find that

$$233 \quad -\frac{d}{2} \int_{\Omega} \int_{\Omega} J(x-y)[\psi(y) - \psi(x)][v^*(y) - v^*(x)]dydx$$

$$234 \quad + \int_{\Omega} \left[ \frac{pc(x)w^0(x)}{a} - q \right] \psi(x)v^*(x)dx = \mathcal{S}_0 \int_{\Omega} \psi(x)v^*(x)dx,$$

$$235 \quad -\frac{d}{2} \int_{\Omega} \int_{\Omega} J(x-y)[v^*(y) - v^*(x)][\psi(y) - \psi(x)]dydx$$

$$236 \quad + \int_{\Omega} \left[ \frac{pc(x)w^0(x)}{a[1 + (c(x)v^*(x))/b]} - q \right] \psi(x)v^*(x)dx = 0.$$

237 Subtracting these equations yields that

$$238 \quad \int_{\Omega} \left[ \frac{pc(x)w^0(x)}{a} - \frac{pc(x)w^0(x)}{a[1 + (c(x)v^*(x))/b]} \right] \psi(x)v^*(x)dx = \mathcal{S}_0 \int_{\Omega} \psi(x)v^*(x)dx \leq 0.$$

239 As  $\psi, v^* > 0$  for all  $x \in \bar{\Omega}$ , and  $pc(x)w^0(x)/a - pc(x)w^0(x)/a[1 + (c(x)v^*(x))/b] \geq 0$   
 240 for  $x \in \bar{\Omega}$ , the integral of the right hand side of the above equation is strictly greater  
 241 than zero, which obviously is a contradiction. This contradiction confirms that (5)  
 242 has no positive solutions if  $\mathcal{S}_0 \leq 0$ . It is easy to see that (1) has no non-negative  
 243 steady state other than  $(w^0, u^0, v^0)$ .



244 It remains to show that  $(w^0, u^0, v^0)$  is stable in  $X$  if  $\mathcal{S}_0 < 0$ . The linearization of  
 245 (1) around  $(w^0, u^0, v^0)$  for perturbation of functions  $(w, u, v) \in C([0, T], X)$  is given  
 246 by the system

$$247 \quad \frac{\partial}{\partial t} \begin{pmatrix} w \\ u \\ v \end{pmatrix} = \begin{pmatrix} -b & 0 & -cw^0 \\ 0 & -a & cw^0 \\ 0 & p & L_q \end{pmatrix} \begin{pmatrix} w \\ u \\ v \end{pmatrix},$$

248 where  $L_q : C(\bar{\Omega}) \rightarrow C(\bar{\Omega})$  is defined by  $L_q\varphi(x) = \int_{\Omega} J(x-y)[\varphi(y) - \varphi(x)]dy - q\varphi(x)$ .

249 Now let

$$250 \quad \mathcal{L}_0 = \begin{pmatrix} -b & 0 & -cw^0 \\ 0 & -a & cw^0 \\ 0 & p & L_q \end{pmatrix}.$$

Obviously,  $\mathcal{L}_0$  is a bounded linear operator on  $X$  and is the generator of the strongly (actually uniformly) continuous semigroup  $\{e^{\mathcal{L}_0 t}\}_{t \geq 0}$  given by

$$e^{\mathcal{L}_0 t} = \sum_{n=0}^{\infty} \frac{t^n \mathcal{L}_0^n}{n!}, \quad t \geq 0.$$

Denote the spectral bound of  $\mathcal{L}_0$  by

$$\mathfrak{s}(\mathcal{L}_0) = \sup\{\operatorname{Re}\lambda \mid \lambda \in \sigma(\mathcal{L}_0)\}.$$

Given  $\epsilon > 0$ , it follows from Engel and Nagel [14] that

$$\|e^{\mathcal{L}_0 t}\| \leq M_{\epsilon} e^{(\mathfrak{s}(\mathcal{L}_0) + \epsilon)t}, \quad t \geq 0$$

251 for some positive constant  $M_{\epsilon}$ . Therefore, to complete the proof, it is sufficient to  
 252 show that  $\mathfrak{s}(\mathcal{L}_0) < 0$ . To this end, we proceed to show that there exists  $\delta > 0$  for which  
 253  $\{\lambda \in \mathbb{C} \mid \operatorname{Re}\lambda \geq -\delta\} \subset \rho(\mathcal{L}_0)$ . Let  $L_{S,\lambda}$  be the operator defined by (6). Again, let  
 254  $\mu(\lambda)$  be the principal eigenvalue of  $L_{S,\lambda}$  in  $C(\bar{\Omega})$ . Clearly,  $\mu(0) = \mathcal{S}_0$ . As  $\mathcal{S}_0 < 0$ , from  
 255 the monotonicity of  $S(\lambda, x)$  in  $\lambda$ , it follows that  $\mu(\lambda) < 0$  for all  $\lambda > 0$ , which implies  
 256 that  $0 \in \rho(L_{S,\lambda})$  for all  $\lambda \geq 0$ . In addition, by virtue of the continuity of  $S(\lambda, x)$  with  
 257 respect to  $\lambda$ , there exists  $\delta > 0$  with  $\delta \leq \frac{1}{2} \min\{b, a, q\}$  such that  $\mu(\lambda) < 0$  for all  
 258  $\lambda \in [-\delta, 0)$ . Consequently,  $0 \in \rho(L_{S,\lambda})$  for all  $\lambda \geq -\delta$ .

259 Given that  $\lambda \geq -\delta$ , to show  $\lambda \in \rho(\mathcal{L}_0)$ , we consider the resolvent equation  
 260  $(\lambda I - \mathcal{L}_0)(w, u, v)^T = (h_1, h_2, h_3)^T$ , where  $(h_1, h_2, h_3)^T \in X$ . Namely,

$$261 \quad (7) \quad \begin{cases} (\lambda + b)w + cw^0 v = h_1, \\ (\lambda + a)u - cw^0 v = h_2, \\ -pu + \lambda v - L_q v = h_3. \end{cases}$$

As  $\lambda + a \neq 0$  and  $\lambda + b \neq 0$ , it is easy to see that

$$(w, u, v) = \left( \frac{h_1 + cw^0 L_{S,\lambda}^{-1}(h_3 + \frac{ph_2}{\lambda+a})}{\lambda + b}, \frac{h_2 - cw^0 L_{S,\lambda}^{-1}(h_3 + \frac{ph_2}{\lambda+a})}{\lambda + a}, -L_{S,\lambda}^{-1}(h_3 + \frac{ph_2}{\lambda+a}) \right)$$

262 is the unique solution to (7). Hence  $\lambda \in \rho(\mathcal{L}_0)$  if  $\lambda \geq -\delta$ .

263 In case that  $\lambda \in \mathbb{C}$  and  $\operatorname{Im}\lambda \neq 0$ , we write  $\lambda = \lambda_1 + i\lambda_2$  with  $\lambda_1, \lambda_2 \in \mathbb{R}$ , and  
 264  $v = v_1 + iv_2$ , where  $v_1, v_2$  take real values. In view of the above argument, in order  
 265 to prove that  $\lambda \in \rho(\mathcal{L}_0)$  whenever  $\operatorname{Re}\lambda \geq -\delta$ , it suffices to show that  $0 \in \rho(L_{S,\lambda})$   
 266 if  $\operatorname{Re}\lambda \geq -\delta$ . First notice that  $L_{S,\lambda}$  is also a bounded linear operator on  $L^2(\Omega)$ .



267 Moreover, it is not difficult to show that  $\ker(L_{S,\lambda}) = \{0\}$  for all  $\lambda \in \mathbb{C}$  with  $\operatorname{Re}\lambda \geq -\delta$ .  
 268 In fact, consider

$$269 \quad \int_{\Omega} J(x-y)[v(y) - v(x)]dy - (\lambda + q)v + \frac{pc(x)w^0(x)v}{\lambda + a} = 0, \quad v \in L^2(\Omega).$$

270 By multiplying both sides of this equation by  $-\bar{v}$ , we have that

$$271 \quad \frac{1}{2} \int_{\Omega} \int_{\Omega} \{[v_1(y) - v_1(x)]^2 + [v_2(y) - v_2(x)]^2\} dydx$$

$$272 \quad - \int_{\Omega} \left[ \frac{pc(x)w^0(x)(\lambda_1 + a)}{(\lambda_1 + a)^2 + \lambda_2^2} - (\lambda_1 + q) \right] v\bar{v}dx = 0.$$

Notice that

$$\frac{pc(x)w^0(x)(\lambda_1 + a)}{(\lambda_1 + a)^2 + \lambda_2^2} - (\lambda_1 + q) \leq S(x, \lambda_1)$$

273 if  $\lambda_1 \geq -\delta$  and  $\lambda_2 \neq 0$ . Then Lemma 2.1 and Remark 2.2 imply that

$$274 \quad -\mu(\lambda_1)\|v\|_{L^2(\Omega)}^2 \leq \frac{1}{2} \int_{\Omega} \int_{\Omega} \{[v_1(y) - v_1(x)]^2 + [v_2(y) - v_2(x)]^2\} dydx$$

$$275 \quad - \int_{\Omega} \left[ \frac{pcw^0(\lambda_1 + a)}{(\lambda_1 + a)^2 + \lambda_2^2} - (\lambda_1 + q) \right] v\bar{v}dx.$$

276 As  $\mu(\lambda_1) < 0$  if  $\lambda_1 \geq -\delta$ , this implies that  $v = 0$ . Namely,  $\ker(L_{S,\lambda}) = \{0\}$  if  
 277  $\operatorname{Re}\lambda \geq -\delta$ . Let  $L_{S,\lambda}^*$  be the adjoint operator of  $L_{S,\lambda}$  on  $L^2(\Omega)$ . Then we have

$$278 \quad L_{S,\lambda}^*v(x) = \int_{\Omega} J(x-y)[v(y) - v(x)]dy - \overline{(\lambda + q)v} + \frac{pc(x)w^0(x)v}{\lambda + a}.$$

279 The same reasoning shows that  $\ker(L_{S,\lambda}^*) = \{0\}$ . Thus,  $\overline{\mathcal{R}(L_{S,\lambda})} = L^2(\Omega)$ . Clearly,  
 280  $0 \in \mathbb{C} \setminus \sigma_{co}(L_{S,\lambda})$  if  $\operatorname{Re}\lambda \geq -\delta$ . Furthermore, we have  $0 \in \mathbb{C} \setminus \sigma_q(L_{S,\lambda})$ . In fact, if  
 281  $0 \in \sigma_q(L_{S,\lambda})$ , there would be a Weyl sequence  $\{v_n\}$  such that  $\langle -L_{S,\lambda}v_n, v_n \rangle \rightarrow 0$  as  
 282  $n \rightarrow \infty$ , which as above implies that  $-\mu(\lambda_1)\|v_n\|_{L^2(\Omega)} \rightarrow 0$  as  $n \rightarrow \infty$ . This is a  
 283 contradiction. Thus, we must have that  $0 \in \mathbb{C} \setminus [\sigma_q(L_{S,\lambda}) \cup \sigma_{co}(L_{S,\lambda})]$ . Then, from  
 284 the fact that  $\rho(L_{S,\lambda}) = \mathbb{C} \setminus [\sigma_q(L_{S,\lambda}) \cup \sigma_{co}(L_{S,\lambda})]$ , we infer that  $0 \in \rho(L_{S,\lambda})$  for all  
 285  $\operatorname{Re}\lambda \geq -\delta$  with  $D(L_{S,\lambda}) = L^2(\Omega)$ .

286 Now fix  $\lambda \in \mathbb{C}$  with  $\operatorname{Re}\lambda \geq -\delta$ . Let  $\mathcal{P} : L^2(\Omega) \rightarrow L^2(\Omega)$  be defined by

$$287 \quad (8) \quad (\mathcal{P}v)(x) = P(x)v(x) = \left[ - \int_{\Omega} J(x-y)dy + S(x, \lambda) \right] v(x),$$

$$P(x) = - \int_{\Omega} J(x-y)dy + S(x, \lambda).$$

Note that  $P \in C(\overline{\Omega})$ . We next show that  $0 \in \Lambda^c$ , where  $\Lambda = \{z \in \mathbb{C} \mid z = P(x), x \in \overline{\Omega}\}$ .  
 Assume to the contrary this is not true, then in view of Schmüdgen [29], there holds  
 that  $0 \in \Lambda \subseteq \sigma(\mathcal{P})$ . Since  $\mathcal{P}$  is a normal operator on  $L^2(\Omega)$ , we have  $\sigma(\mathcal{P}) =$   
 $\sigma_p(\mathcal{P}) \cup \sigma_c(\mathcal{P})$ . It is easy to see that  $\sigma_p(\mathcal{P}) \subseteq \sigma_q(\mathcal{P})$ . In fact, if  $\lambda \in \sigma_p(\mathcal{P})$ , let  
 $\psi \in L^2(\Omega)$  be an eigenfunction corresponding to  $\lambda$ , then

$$[\lambda - P(x)]\psi\bar{\psi} = \operatorname{Re}[\lambda - P(x)]\psi\bar{\psi} + i\operatorname{Im}[\lambda - P(x)]\psi\bar{\psi} = 0.$$

288 Write  $\Xi = \{x \in \Omega | \psi \bar{\psi} \neq 0\}$ . Obviously, the measure of  $\Xi$  is positive. Hence,  
 289  $[\lambda - P(x)] = 0$  in  $\Xi$ . This implies that any  $L^2$  function with support in  $\Xi$  belongs to  
 290  $\ker(\lambda I - \mathcal{P})$  and  $\dim \ker(\lambda I - \mathcal{P}) = \infty$ . Thus,  $\sigma_p(\mathcal{P}) \subseteq \sigma_q(\mathcal{P})$  and  $\sigma(\mathcal{P}) = \sigma_q(\mathcal{P})$ . On the  
 291 other hand, note that  $L_{S,\lambda} = -\mathcal{K} + \mathcal{P}$ , where  $\mathcal{K}$  is given by (4), hence it follows from  
 292 Proposition 1.5 of Appell et al. [2] that  $\sigma_q(L_{S,\lambda}) = \sigma_q(\mathcal{P})$  and  $0 \in \sigma_q(L_{S,\lambda})$ , which  
 293 however contradicts the fact that  $0 \in \rho(L_{S,\lambda})$ . Thus, we must have  $0 \in \mathbb{C} \setminus \Lambda$ . As  $\Lambda$   
 294 is a compact subset of  $\mathbb{R}^2$  for fixed  $\lambda$ , there exists a  $\omega_\lambda > 0$  for which  $\text{dist}(0, \Lambda) \geq \omega_\lambda$ .  
 295 In other words,  $|P(x)| \geq \omega_\lambda$  or  $|P(x)|^{-1} \leq 1/\omega_\lambda$  for all  $x \in \bar{\Omega}$ . Clearly,  $P^{-1} \in C(\bar{\Omega})$ .  
 296 Given  $f \in C(\bar{\Omega})$ , as  $f \in L^2(\Omega)$ , there is a unique  $v_f \in L^2(\Omega)$  such that  $L_{S,\lambda} v_f = f$   
 297 and  $\|v_f\|_{L^2(\Omega)} \leq K \|f\|_{L^2(\Omega)} \leq K \sqrt{|\bar{\Omega}|} \|f\|_X$  for some  $K > 0$ , that is independent of  
 298  $f$ . Moreover, we have that

$$299 \quad v_f(x) = -\frac{1}{P(x)} \int_{\Omega} J(x-y) v_f(y) dy + \frac{f(x)}{P(x)}.$$

300 It is clear that  $v_f \in C(\bar{\Omega})$  and  $\|v_f\|_X \leq K' \|f\|_X$  for some  $K' > 0$ . Consequently, for  
 301 any  $\lambda \in \mathbb{C}$  with  $\text{Re} \lambda \geq -\delta$ ,  $0 \in \rho(L_{S,\lambda})$  with  $D(L_{S,\lambda}) = C(\bar{\Omega})$ . Therefore, we infer  
 302 that  $\{\lambda \in \mathbb{C} | \text{Re} \lambda \geq -\delta\} \subset \rho(\mathcal{L}_0)$ , which implies that  $\mathfrak{s}(\mathcal{L}_0) < 0$  as desired.

303 Now set

$$304 \quad F(w, u, v) = \begin{pmatrix} -cw(x)v(x) \\ cw(x)v(x) \\ 0 \end{pmatrix}.$$

305 Then  $F \in C^1(X)$ . Note that  $(w + w^0, u, v)$  is a solution of (1) with initial data  
 306  $(w(0, x) + w^0(x), u(0, x), v(0, x))$  if and only if  $(w, u, v)$  is a solution to

$$307 \quad \frac{\partial}{\partial t} \begin{pmatrix} w \\ u \\ v \end{pmatrix} = \mathcal{L}_0 \begin{pmatrix} w \\ u \\ v \end{pmatrix} + F(w, u, v)$$

308 with initial data  $(w(0, x), u(0, x), v(0, x))^T$ . Obviously,  $(0, 0, 0)^T$  is a stationary solu-  
 309 tion of the above equation and  $\|F(w, u, v)\|_X = o(\|(w, u, v)^T\|_X)$  as  $\|(w, u, v)^T\|_X \rightarrow$   
 310 0. By using Theorem 5.1.1 of Henry [19], we finally conclude that  $(w^0, u^0, v^0)$  is  
 311 uniformly asymptotically stable in  $X$ . The proof is completed.  $\square$

312 **THEOREM 3.3.** *Assume that (H1) and (H2) are satisfied. Suppose that  $\mathcal{S}_0 > 0$ .*  
 313 *Then  $(w^0, u^0, v^0)$  is unstable in  $X$ .*

*Proof.* We shall prove that  $\mathfrak{s}(\mathcal{L}_0) \in \sigma_p(\mathcal{L}_0)$  and  $\mathfrak{s}(\mathcal{L}_0) > 0$ , where  $\mathcal{L}_0$  is given  
 in the proof of Theorem 3.2, and  $\mathfrak{s}(\mathcal{L}_0) = \sup\{\text{Re} \lambda | \lambda \in \sigma(\mathcal{L}_0)\}$ . Let  $\mu(\lambda)$  be the  
 principal eigenvalue of  $L_{S,\lambda}$ . By the assumption, we have  $\mu(0) = \mathcal{S}_0 > 0$ . Since  
 $S(\lambda, x) \rightarrow -\infty$  uniformly as  $\lambda \rightarrow \infty$ , by the monotonicity of  $\mu(\lambda)$  ( $\mu'(\lambda) < 0$ ), there  
 exists a  $\lambda_m > 0$  such that  $\mu(\lambda_m) < 0$  for all  $\lambda \geq \lambda_m$ . It then follows from the mean  
 value theorem that  $\mu(\lambda^*) = 0$  for some  $\lambda^* \in (0, \lambda_m)$ . In addition,  $\lambda^*$  is the only zero  
 of  $\mu(\lambda)$  in  $[0, \infty)$  since  $\mu'(\lambda) < 0$ . This also implies that  $\mu(\lambda) < 0$  for all  $\lambda > \lambda^*$ .  
 In other words,  $0 \in \rho(L_{S,\lambda})$  if  $\lambda > \lambda^*$ . With the same reasoning as that used in the  
 proof of Theorem 3.2, we can infer that  $\lambda \in \rho(\mathcal{L}_0)$  provided that  $\text{Re} \lambda > \lambda^*$ . Now let  
 $\varphi^* \in \ker(\mu(\lambda^*)I - L_{S,\lambda^*})$ . It is easy to see that

$$\ker(\lambda^* I - \mathcal{L}_0) = \text{span} \left( \frac{cw^0 \varphi^*}{\lambda^* + b}, \frac{cw^0 \varphi^*}{\lambda^* + a}, \varphi^* \right).$$

314 Namely,  $\lambda^* \in \sigma_p(\mathcal{L}_0)$  and  $\mathfrak{s}(\mathcal{L}_0) = \lambda^* > 0$ . It then follows from Theorem 5.1.3 of  
 315 Henry [19] that  $(w^0, u^0, v^0)$  is unstable in  $X$ . The proof is completed.  $\square$

316 PROPOSITION 3.4 (Coville [10]). Assume that  $g(x, \tau) \in C^{0,1}(\bar{\Omega} \times \mathbb{R}^+)$  and  
 317  $\theta g(x, \tau) \leq g(x, \theta\tau)$  for  $\theta > 1$ . Let  $v_1, v_2 \in X$  satisfy

$$318 \int_{\Omega} J(x-y)[v_1(y) - v_1(x)]dy + g(x, v_1) \leq 0 \leq \int_{\Omega} J(x-y)[v_2(y) - v_2(x)]dy + g(x, v_2).$$

319 Assume further that  $v_1(x) > 0$  for all  $x \in \bar{\Omega}$ . Then  $v_1 \geq v_2$ .

320 *Proof.* See section 6.3 of Coville [10] for detail.  $\square$

321 THEOREM 3.5. Assume that (H1) and (H2) are satisfied. Suppose that  $\mathcal{S}_0 > 0$ .  
 322 Then (1) has a unique positive steady state  $(w^*, u^*, v^*)$  which is uniformly asymptotically  
 323 stable in  $X$ .

324 *Proof.* Note that (1) has a positive steady state if and only if there exists a positive  
 325 solution to equation (5). We next show that  $\underline{v} = \epsilon\phi$  is a sub-solution of (5), where  
 326  $\epsilon > 0$  is a sufficiently small constant and  $\phi > 0$  is an eigenfunction associated with  $\mathcal{S}_0$ .  
 327 Namely,

$$328 d \int_{\Omega} J(x-y)[\phi(y) - \phi(x)]dy + \left[ \frac{pc(x)s(x)}{ab} - q \right] \phi(x) = \mathcal{S}_0 \phi(x).$$

329 Thus, whenever  $\epsilon$  is sufficiently small, we find

$$330 d \int_{\Omega} J(x-y)\epsilon[\phi(y) - \phi(x)]dy + \left[ \frac{pc(x)s(x)}{a[b + \epsilon c(x)\phi]} - q \right] \epsilon\phi$$

$$331 = \left[ \mathcal{S}_0 + \frac{pc(x)s(x)}{a[b + \epsilon c(x)\phi]} - \frac{pc(x)s(x)}{ab} \right] \epsilon\phi > 0.$$

332 Meanwhile, it is easy to see that  $\left[ \frac{pc(x)s(x)}{a[b + c(x)M]} - q \right] \leq 0$ , where  $M > 0$  is a constant and  
 333 is sufficiently large. Now fix  $M$  and let  $\bar{v} \equiv M$ . Clearly, we have

$$334 d \int_{\Omega} J(x-y)\epsilon[\bar{v}(y) - \bar{v}(x)]dy + \left[ \frac{pc(x)s(x)}{a[b + c(x)\bar{v}]} - q \right] \bar{v} \leq 0.$$

335 Set  $f(x, \tau) = \tau \left[ \frac{pc(x)s(x)}{a[b + c(x)\tau]} - q \right]$  and let  $\nu > \max_{(x, \tau) \in \bar{\Omega} \times [0, 2M]} |f_{\tau}(x, \tau)|$ .

336 Now define  $\mathcal{F} : X \rightarrow X$  by

$$337 (\mathcal{F}v)(x) = (\nu I - L_0)^{-1}[\nu v + f(x, v)], \quad v \in X,$$

338 where  $(L_0 v)(x) = d \int_{\Omega} J(x-y)[v(y) - v(x)]dy$ . As  $\mathfrak{s}(L_0) = 0$ , due to Bates and Zhao  
 339 [5],  $(\nu I - L_0)^{-1}$  is well defined and is a positive operator on  $X$ ; that is,  $(\nu I - L_0)^{-1}v \geq 0$   
 340 if  $v \geq 0$ . Consequently,  $\mathcal{F}v_1 \geq \mathcal{F}v_2$  provided that  $0 \leq v_2 \leq v_1 \leq M$ . On the other  
 341 hand, simple calculation shows that  $f_{\tau\tau} \leq 0$ . Hence,  $f(x, t\theta_1 + (1-t)\theta_2) \geq tf(x, \theta_1) +$   
 342  $(1-t)f(x, \theta_2)$  for  $t \in [0, 1]$  and  $\theta_1, \theta_2 \in \mathbb{R}$ . This implies that  $\mathcal{F}(tu + (1-t)w) \geq$   
 343  $t\mathcal{F}u + (1-t)\mathcal{F}w$  for  $u, w \in X$  with  $u, w \geq 0$ . Notice that (5) is equivalent to  $\mathcal{F}v = v$ .  
 344 In addition, as  $(\nu I - L_0)^{-1}$  is a positive operator, it is easy to see that  $\mathcal{F}\underline{v} \geq \underline{v}$  and  
 345  $\mathcal{F}\bar{v} \leq \bar{v}$ . Therefore, it follows from Du [13] that  $\mathcal{F}$  has a unique fixed point  $v^* \in \Theta$ ,  
 346 where  $\Theta = \{v \in X \mid \underline{v} \leq v \leq \bar{v}\}$ . Thus,  $v^*$  is a positive solution of (5). To prove the  
 347 uniqueness of  $v^*$ , let  $w^*$  be a positive solution of (5). Then Proposition 3.4 implies  
 348 that  $v^* \geq w^*$  and  $v^* \leq w^*$ . Therefore,  $v^*$  is the unique positive solution of (5). Now  
 349 clearly, (1) has a unique positive steady state whose  $w, u$  components are given by

$$350 w^*(x) = \frac{s(x)}{b + c(x)v^*(x)}, \quad u^*(x) = \frac{s(x)v^*(x)}{a[b + c(x)v^*(x)]}.$$

351 To consider the stability of  $(w^*, u^*, v^*)$ , we linearize (1) around  $(w^*, u^*, v^*)$  for  
 352 perturbation of functions  $(w, u, v) \in C([0, T], X)$  and obtain the following system

$$353 \quad \frac{\partial}{\partial t} \begin{pmatrix} w \\ u \\ v \end{pmatrix} = \begin{pmatrix} -b - cv^* & 0 & -cw^* \\ cv^* & -a & cw^* \\ 0 & p & L_q \end{pmatrix} \begin{pmatrix} w \\ u \\ v \end{pmatrix}.$$

354 Let  $\mathcal{L}_* : C(\bar{\Omega}) \rightarrow C(\bar{\Omega})$  be defined by

$$355 \quad \mathcal{L}_* = \begin{pmatrix} -b - cv^* & 0 & -cw^* \\ cv^* & -a & cw^* \\ 0 & p & L_q \end{pmatrix}.$$

356 In light of the proof of Theorem 3.2, to establish the stability of  $(w^*, u^*, v^*)$ , it is  
 357 sufficient to show that there exists  $\delta > 0$  for which  $\{\lambda \in \mathbb{C} \mid \operatorname{Re} \lambda \geq -\delta\} \subset \rho(\mathcal{L}_*)$ .  
 358 To this end, we first prove that  $\lambda \in \rho(\mathcal{L}_*)$  if  $0 \in \rho(L_{S_*, \lambda})$ . Here  $L_{S_*, \lambda}$  is given by  
 359  $L_0 + S_*(\lambda, x)$  and

$$360 \quad S_*(\lambda, x)v(x) = \left[ \frac{pc(x)s(x)}{(\lambda + a)[b + c(x)v^*(x)]} - (\lambda + q) \right. \\
 361 \quad \left. - \frac{pc^2(x)s(x)v^*(x)}{(\lambda + a)(\lambda + b + cv^*)[b + c(x)v^*(x)]} \right] v(x).$$

362 Set  $m(x) = \frac{pc(x)s(x)}{a[b + c(x)v^*(x)]} - q$  and let  $L_m : C(\bar{\Omega}) \rightarrow C(\bar{\Omega})$  be defined by  $L_m = L_0 + m(x)$ .  
 363 As  $v^*$  is the unique positive solution of (5), that is,  $L_m v^* = 0$ , it follows from [5] that  
 364 the principal eigenvalue of  $L_m$  is zero. Denote the principal eigenvalue of  $L_{S_*, \lambda}$  by  
 365  $\mu_*(\lambda)$ . When  $\lambda \in \mathbb{R}$  and  $\lambda \geq 0$ , it is obvious that  $S_*(\lambda, x) \leq m(x)$  for all  $x \in \bar{\Omega}$ .  
 366 Hence, it follows from Remark 2.2 that  $\mu_*(\lambda) < 0$  provided that  $\lambda \geq 0$ . In addition,  
 367  $\mu_*(\lambda)$  is analytic in  $\lambda$  whenever  $\operatorname{Re} \lambda > \max\{-a, -b\}$  since  $S_*(\lambda, x)$  is analytic in  
 368  $\lambda$ . Thus, there exists  $\delta > 0$  sufficiently small such that  $\mu_*(\lambda) < 0$  for all  $\lambda \geq -\delta$ .  
 369 Consequently,  $0 \in \rho(L_{S_*, \lambda})$  as long as  $\lambda \geq -\delta$ . Given  $(h_1, h_2, h_3) \in X$ , the system

$$370 \quad (9) \quad \begin{cases} (\lambda + b + cv^*)w + cw^*v = h_1, \\ -cv^*w + (\lambda + a)u - cw^*v = h_2, \\ -pu + \lambda v - L_q v = h_3 \end{cases}$$

371 has a unique solution given by

$$372 \quad w = -\frac{cw^*v}{\lambda + b + cv^*} + \frac{h_1}{\lambda + b}, \\
 373 \quad u = -\frac{c^2w^*v^*v}{(\lambda + a)(\lambda + b + cv^*)} + \frac{cw^*v}{\lambda + a} + \frac{cv^*h_1}{(\lambda + a)(\lambda + b)} + \frac{h_2}{\lambda + a}, \\
 374 \quad v = L_{S_*, \lambda}^{-1} \left[ \frac{-pcv^*h_1}{(\lambda + a)(\lambda + b)} + \frac{-ph_2}{\lambda + a} - h_3 \right].$$

375 Namely,  $\lambda \in \rho(\mathcal{L}_*)$  if  $\lambda \geq -\delta$ . In case that  $\lambda \in \mathbb{C}$  with  $\operatorname{Im} \lambda \neq 0$ , by utilizing the same  
 376 argument given in the proof of Theorem 3.2, we can show that  $\lambda \in \rho(\mathcal{L}_*)$  if  $\operatorname{Re} \lambda \geq -\delta$ .  
 377 Therefore,  $\{\lambda \in \mathbb{C} \mid \operatorname{Re} \lambda \geq -\delta\} \subset \rho(\mathcal{L}_*)$ . The proof is completed.  $\square$

378 **4. Impacts of dispersal rate.** In this section, we discuss the impacts of dis-  
 379 persal rate on solutions of (5). The discussion is motivated by an observation made in

380 Funk et al. [15] that the increased transport rate  $d_v$  for viruses between the different  
 381 sites may give rise to a smoothed viral load between different sites. As argued in Graw  
 382 and Perelson [16], this may indicate that “the average virus load in the neighborhood  
 383 of a grid site has a higher influence on the equilibrium viral load at this site than  
 384 more distant sites”. Thus, it is a natural question to ask if similar phenomena can be  
 385 observed for the spatial dynamics of (5). As matter of the fact, under suitable condi-  
 386 tions, it can be shown that solutions of (5) tend to be more spatially homogeneous  
 387 as  $d$  goes to infinity while the solutions of (5) display spatial heterogeneity as  $d$  goes  
 388 to zero.

389 Let  $\zeta(x) \in C(\bar{\Omega})$  be the function satisfying  $f(x, \zeta(x)) \equiv 0$ . Namely,

$$390 \quad (10) \quad \zeta(x) = \frac{ps(x)}{aq} - \frac{b}{c}$$

391 **THEOREM 4.1.** *Let  $\zeta(x)$  be defined by (10). Assume that  $\zeta(x) > 0$  for all  $x \in \bar{\Omega}$ .  
 392 Then (5) possesses a unique positive solution  $v_d$  for each  $d > 0$ . In particular,  $v_d$   
 393 converges uniformly to  $\zeta(x)$  in  $\bar{\Omega}$  as  $d$  goes to zero.*

394 *Proof.* Since  $\zeta > 0$ , we have  $\overline{pcs/ab - q} > 0$ . Hence  $\mathcal{S}_0 > 0$ . It then follows from  
 395 Theorem 3.5 that (5) has a unique positive solution  $v_d$  for each  $d > 0$ . Now set

$$396 \quad \underline{v}_d = \zeta(x) - \sqrt{d}, \quad \bar{v}_d = \zeta(x) + \sqrt{d}.$$

397 Write  $f(x, \tau) = \tau h(x, \tau)$ ; that is,  $h(x, \tau) = \frac{pcs}{a[b+c\tau]} - q$ . Using the fact that  $h(x, \zeta) = 0$   
 398 and the mean value theorem, we have that

$$399 \quad f(x, \underline{v}_d) = -\sqrt{d} \int_0^1 h_\tau(x, \zeta - t\sqrt{d}) dt \underline{v}_d, \quad f(x, \bar{v}_d) = \sqrt{d} \int_0^1 h_\tau(x, \zeta + t\sqrt{d}) dt \bar{v}_d.$$

400 Notice that

$$401 \quad \int_0^1 h_\tau(x, \zeta - t\sqrt{d}) dt \underline{v}_d \rightarrow h_\tau(x, \zeta) \zeta, \quad \int_0^1 h_\tau(x, \zeta + t\sqrt{d}) dt \bar{v}_d \rightarrow h_\tau(x, \zeta) \zeta$$

402 uniformly in  $\bar{\Omega}$  as  $d \rightarrow 0$ . On the other hand, we have

$$403 \quad L_0 \underline{v}_d = L_0 \bar{v}_d = \sqrt{d} \int_\Omega \sqrt{d} J(x-y) [\zeta(y) - \zeta(x)] dy.$$

404 As  $h_\tau(x, \zeta) < 0$  for all  $x \in \bar{\Omega}$ , there exists  $D > 0$  such that  $\underline{v}_d$  and  $\bar{v}_d$  are the sub-  
 405 solution and super-solution of (5), respectively if  $d \leq D$ . Hence, Proposition 3.4  
 406 implies that  $\underline{v}_d \leq v_d \leq \bar{v}_d$  provided that  $d \leq D$ . Then desired conclusion follows. The  
 407 proof is completed.  $\square$

408 **PROPOSITION 4.2.** *Let  $v_d$  be the unique positive solution of (5). Then  $v_d \in C^\alpha(\bar{\Omega})$   
 409 provided that  $d$  is sufficiently large and  $v_d$  satisfying  $\|v_d\|_{C^\alpha} \leq K$  with some positive  
 410 constants  $\alpha \in (0, 1)$  and  $K > 0$  for all  $d \geq D$ .*

411 *Proof.* We first note that there exists  $M > 0$  such that  $f(x, M) \leq 0$ . It is obvious  
 412 that  $\bar{v}_d = M$  is a sub-solution of (5) for all  $d > 0$ . Hence, it follows from Proposition  
 413 3.4 that  $|v_d|_{L^\infty(\Omega)} \leq M$ . Given  $x \in \bar{\Omega}$ , let  $h > 0$  be chosen so that  $B_h(x) \cap \bar{\Omega} \neq \emptyset$ ,

414 where  $B_h(x) := \{y \in \mathbb{R}^n \mid |y - x| < h\}$ . Set  $v_d^h = v_d(x + h) - v_d(x)$ . Then we find

$$\begin{aligned}
415 \quad & \left[ \int_{\Omega} J(x - y) dy - d^{-1} \int_0^1 f_s(x, tv_d(x + h) + (1 - t)v_d(x)) dt \right] v_d^h \\
416 \quad & = \int_{\Omega} [J(x + h - y) - J(x - y)] v_d(y) dy - \int_{\Omega} [J(x + h - y) - J(x - y)] dy v_d(x) \\
417 \quad & + f(x + h, v_d(x + h)) - f(x, v_d(x + h)).
\end{aligned}$$

Write

$$R_h(x) = \int_{\Omega} J(x - y) dy - d^{-1} \int_0^1 f_s(x, tv_d(x + h) + (1 - t)v_d(x)) dt.$$

418 As  $\int_{\Omega} J(x - y) dy > 0$  for all  $x \in \overline{\Omega}$ , it is easy to see that  $R_h(x) \geq \theta > 0$  for some  
419 positive constant  $\theta$  for all  $(x, h) \in \overline{\Omega} \times (0, 1)$  as long as  $d$  is sufficiently large. In view  
420 of (H1) and (H2), we see that  $f \in C^{\alpha, 1}(\overline{\Omega} \times \mathbb{R}^+)$  for some  $\alpha \in (0, 1)$ . Then notice that

$$\begin{aligned}
421 \quad \frac{v_d^h}{h^\alpha} &= \frac{1}{R_h(x)} \left\{ \int_{\Omega} \left[ \frac{J(x + h - y) - J(x - y)}{h^\alpha} \right] v_d(y) dy \right. \\
422 \quad & \left. - \int_{\Omega} \left[ \frac{J(x + h - y) - J(x - y)}{h^\alpha} \right] dy v_d(x) \right\} \\
423 \quad & + \frac{1}{R_h(x)} \left\{ \frac{f(x + h, v_d(x + h)) - f(x, v_d(x + h))}{h^\alpha} \right\}.
\end{aligned}$$

424 Due to the assumptions on  $J$  and  $f$ , there exists  $K > 0$  independent of  $x$  and  $h$ ,  
425 such that  $|h^{-\alpha} v_d^h|_{L^\infty} \leq K$  provided that  $d$  is sufficiently small. Thus, the desired  
426 conclusion follows. The proof is completed.  $\square$

427 Owing to Proposition 4.2 and the Arzelà-Ascoli lemma,  $\{v_d\}$  converges to some  
428 function  $v^* \in C(\overline{\Omega})$  uniformly in  $\overline{\Omega}$  as  $d \rightarrow \infty$ . By taking limit in (5), that is

$$429 \quad \lim_{d \rightarrow \infty} \int_{\Omega} J(x - y) [v_d(y) - v_d(x)] dy = - \lim_{d \rightarrow \infty} d^{-1} f(x, v_d),$$

430 we immediately find that  $L_0 v^* = 0$ . Since  $\ker(L_0) = \text{span}\{1\}$ ,  $v^*$  must be a constant.  
431 We have the next theorem.

432 **THEOREM 4.3.** *Assume that  $\overline{pcs(x)} - abq \geq 0$ . Let all the assumptions of Propo-*  
433 *sition 4.2 are satisfied. Assume that  $c(x)$  is independent of  $x \in \overline{\Omega}$ . Then (5) pos-*  
434 *sesses a unique positive solution  $v_d$  for each  $d > 0$ . In particular,  $\{v_d\}$  converges to*  
435  *$v^* = \frac{\overline{pcs(x)} - abq}{acq}$  uniformly in  $\overline{\Omega}$  as  $d \rightarrow \infty$ .*

436 *Proof.* The existence of a unique positive solution  $v_d$  of (5) follows from the same  
437 argument as that of Theorem 3.5. The rest of the proof relies on the Crandall-  
438 Rabinowitz bifurcation theorem and is similar to that of Theorem A.2 of Cantrell et  
439 al. [8]. Let  $V = \{u \in C(\overline{\Omega}) \mid \int_{\Omega} u dx = 0\}$ . Write  $\mu = d^{-1}$ . Let  $\Psi : \mathbb{R} \times V \times \mathbb{R}^+ \rightarrow X$   
440 be defined by

$$441 \quad \Psi(k, u, \mu) = \int_{\Omega} J(x - y) [u(y) - u(x)] dy + \mu(u + k) \left( \frac{pcs(x)}{a[b + c(u + k)]} - q \right),$$

442 where  $k$  is an arbitrary constant. Clearly,  $\Psi(k, u, \mu) = 0$  is equivalent to (5) when  
443  $\mu > 0$ . If  $\mu = 0$ , then  $\Psi(k, u, 0) = 0$  implies that  $u = 0$ . Let  $D\Psi(k, u, \mu)$  denote the

444 Fréchet derivative of  $\Psi$  at  $(u, \mu)$ . Then we have

$$445 \quad D\Psi(k, u, \mu)(v, \eta) = \int_{\Omega} J(x-y)[v(y) - v(x)]dy + \mu \left( \frac{abpcs(x)}{[ab + ac(u+k)]^2} - q \right) v$$

$$446 \quad + \eta(u+k) \left( \frac{pcs(x)}{a[b + c(u+k)]} - q \right).$$

447 Thus

$$448 \quad D\Psi(k, 0, 0)(v, \eta) = \int_{\Omega} J(x-y)[v(y) - v(x)]dy + \eta k \left( \frac{pcs(x)}{a[b + ck]} - q \right).$$

449 If  $\overline{pcs(x)} - abq > 0$ , then there exist two solutions to  $\overline{k(pcs(x)/[ab + ack] - q)} = 0$ ,  
 450 which are  $k_1 = \overline{(pcs(x) - abq)/acq}$  and  $k_2 = 0$ . If  $k$  is equal to neither  $k_1$  nor  
 451  $k_2$ , that is,  $k(pcs(x)/[ab + ack] - q) \neq 0$ , following Cantrell et al. [8], we can show  
 452 that  $D\Psi(k, 0, 0) \in B(V \times \mathbb{R}, X)$  is invertible. In fact, assume to the contrary that  
 453  $\ker D\Psi(k, 0, 0) \setminus \{\mathbf{0}\} \neq \emptyset$ . Let  $(u^*, \eta^*) \neq 0$  and  $(u^*, \eta^*) \in \ker D\Psi(k, 0, 0)$ . Then, it is  
 454 easy to see that

$$455 \quad \eta^* \left[ \overline{k(pcs(x)/[ab + ack] - q)} \right] = \int_{\Omega} \int_{\Omega} J(x-y)[u^*(y) - u^*(x)]dydx = 0.$$

456 This implies that  $\eta^* = 0$ , and consequently,  $u^* = 0$  as  $u^* \in V$ , which is a contradiction.  
 457 Hence,  $\ker D\Psi(k, 0, 0) \setminus \{\mathbf{0}\} = \emptyset$ . Now let  $g \in X$ , as  $\overline{k(pcs(x)/[ab + ack] - q)} \neq 0$ , we  
 458 write  $\eta_g = \overline{g/k(pcs(x)/[ab + ack] - q)}$ . In other words,  $\overline{g} = \eta_g k(pcs(x)/[ab + ack] - q)$ .  
 459 In view of the Poincaré-type inequality of Andreu et al. [1] and Lemma 2.2 of Bates  
 460 and Zhao [6], there exists a unique  $u_g \in L^2(\Omega)$  such that

$$461 \quad \int_{\Omega} J(x-y)[u_g(y) - u_g(x)]dy = g - \eta_g \left[ \frac{kpcs(x)}{ab + ack} - q \right].$$

462 In particular, we have

$$463 \quad \int_{\Omega} u_g dx = 0, \quad u_g = \frac{1}{\int_{\Omega} J(x-y)dy} \left[ \int_{\Omega} J(x-y)u_g(y)dy + \eta_g k \left( \frac{pcs(x)}{a[b + ck]} - q \right) - g \right].$$

464 With the same argument as that given in the proof for Theorem 3.2, we infer that  
 465  $u_g \in C(\overline{\Omega})$ . Namely,  $\text{Range}(D\Psi(k, 0, 0)) = X$ . Thus,  $D\Psi(k, 0, 0)$  has a bounded  
 466 inverse. This implies that the line of constants  $\{(k, 0, 0) \mid k \in \mathbb{R}\}$  is the only branch  
 467 of solutions to  $\Psi(k, u, \mu) = 0$  in a neighborhood of  $(k, 0, 0)$ .

468 Now let  $k = k_1 = \overline{(pcs(x) - abq)/acq}$ , then the same reasoning implies that there  
 469 exists a unique  $v^\circ \in V$  such that

$$470 \quad \int_{\Omega} J(x-y)[v^\circ(y) - v^\circ(x)]dy + k_1 \left( \frac{pcs(x)}{a[b + ck_1]} - q \right) = 0.$$

Therefore,  $\ker D\Psi(k_1, 0, 0) = \{\tau(v^\circ, 1), \tau \in \mathbb{R}\}$ . In addition, note that

$$D\Psi(k_1, 0, 0)(u, \eta) = [D\Psi(k_1, 0, 0) + \mathcal{H}](u, \eta) - \mathcal{H}(u, \eta),$$

471 where  $\mathcal{H} : V \times \mathbb{R} \rightarrow X$  is given by  $\mathcal{H}(u, \eta) = \theta\eta$ ,  $\theta \neq 0$  is a fixed constant, and so  
 472  $D\Psi(k_1, 0, 0)(u, \eta)$  is Fredholm of index 0 since  $[D\Psi(k_1, 0, 0) + \mathcal{H}]$  is invertible and  $\mathcal{H}$   
 473 is compact. Moreover, we have

$$474 \quad D_k D\Psi(k_1, 0, 0)(u, \eta) = \eta \left[ \frac{abpcs(x)}{(ab + ck_1)^2} - q \right].$$



Since  $\overline{abpcs(x)/(ab + ck_1)^2 - q} \neq 0$ ,  $D_k D\Psi(k_1, 0, 0)(u^\circ, 1) \notin \text{Range}(D\Psi(k_1, 0, 0))$ . Hence it follows from the Crandall-Rabinowitz bifurcation theorem that there is a nontrivial continuously differentiable curve through  $(k_1, 0, 0)$ ,

$$\{(k(\tau), v(\tau), \mu(\tau)) \in \mathbb{R} \times V \times \mathbb{R} \mid \tau \in (-\delta, \delta), (k(0), v(0), \mu(0)) = (k_1, 0, 0)\}$$

475 such that  $\Psi(k(\tau), v(\tau), \mu(\tau)) = 0$  for  $\tau \in (-\delta, \delta)$ , and  $(u, \mu) = \tau(v^\circ, 1) + o(\tau)$ . More-  
 476 over, as  $\mu'(0) > 0$ , it follows from the Inverse Function Theorem that  $\mu(\cdot)$  is a differ-  
 477 morphism for  $\tau \in (-\epsilon, \epsilon)$  with  $\epsilon > 0$  being sufficiently small and  $\tau = \tau^*(\mu)$  for some  
 478  $\tau^* \in C^1(\mathbb{R})$ . Recall that  $\mu = 1/d$  if  $\mu > 0$ . Since  $k_1 > 0$  and  $k(\tau^*(\mu)) + \tau^*(\mu)v^\circ > 0$   
 479 provided that  $\mu$  is sufficiently small, thanks to the uniqueness of  $v_d$ , there holds  
 480  $v_d = k(\tau^*(\mu)) + u(\tau^*(\mu))$ . On the other hand, Proposition 4.2 shows that  $v_d \rightarrow v^*$  for  
 481 some  $v^* \in C(\overline{\Omega})$  as  $d \rightarrow \infty$ . Thus,  $v^* = \overline{(pcs(x) - abq)/acq}$ . In addition, the same  
 482 argument as that given for Theorem A.2 of [8] shows that  $k \neq 0$  under the condition  
 483 that  $\overline{pcs(x) - abq} > 0$ . Hence, we must have  $v_d \rightarrow \overline{pcs(x) - abq}/acq$  as  $d \rightarrow \infty$ . In  
 484 case that  $\overline{pcs(x) - abq} = 0$ , by employing the argument given in Theorem A.2 of [8],  
 485 we infer that  $v_d \rightarrow 0$  as  $d \rightarrow \infty$ . Namely,  $v_d \rightarrow \overline{pcs(x) - abq}$  as  $d \rightarrow \infty$ . The proof is  
 486 completed.  $\square$

487 It is also interesting to ask if  $\bar{v}_d$  as a function of  $d$  possesses extreme values,  
 488 and if so, where the extreme values are attained. A study of the differentiability of  
 489  $\bar{v}_d$  with respect to  $d$  may offer useful clues. It can be shown that  $v_d : d \rightarrow C(\overline{\Omega})$   
 490 is differentiable if  $d$  is sufficiently small. Suppose that all assumptions of Theorem  
 491 4.1 are satisfied. Notice that  $f_\tau(x, \zeta(x)) = \zeta(x)h_\tau(x, \zeta(x)) < 0$  for all  $x \in \overline{\Omega}$ . Let  
 492  $L_\zeta^d = dL_0 + f_\tau(x, \zeta(x))$  and denote its principal eigenvalue by  $\mu_\zeta$ . Due to Lemma  
 493 2.1, we have  $-\mu_\zeta = \langle -L_\zeta u, u \rangle \geq \inf_{x \in \overline{\Omega}} -f_\tau(x, \zeta) > 0$ , which implies that  $0 \in \rho(L_\zeta)$   
 494 if  $L_\zeta^d$  is considered as an operator in  $L^2(\Omega)$ . Let  $f \in L^2(\Omega)$ . As  $L_\zeta^d$  is self-adjoint in  
 495  $L^2(\Omega)$ ,  $\|u_f\|_{L^2(\Omega)} \leq \theta^{-1} \|f\|_{L^2(\Omega)}$ , where  $\theta = \inf_{x \in \overline{\Omega}} |f_\tau(x, \zeta)|$  and  $u_f$  solves  $L_\zeta^d w = g$ .  
 496 In particular, if  $g \in X := C(\overline{\Omega})$ , then simple calculation yields that

$$497 \quad u_g = [d \int_{\Omega} J(x-y) dy - f_\tau(x, \zeta(x))]^{-1} \left\{ d \int_{\Omega} J(x-y) u_g(y) dy + g \right\}.$$

498 Thus,  $u_g \in X$ . Moreover, given that  $d < 1$ , then

$$499 \quad \|u_g\|_X \leq \sup_{x \in \overline{\Omega}} \theta^{-1} \int_{\Omega} |J(x-y)|^2 dy \|u_g\|_{L^2(\Omega)} + \theta^{-1} \|g\|_X \leq C \|g\|_X.$$

500 Here  $C > 0$  is a constant depending only on  $J, |\Omega|$ , and  $\theta$ . Due to the continuity of  
 501  $f_\tau$ , there exists  $\epsilon > 0$  sufficiently small such that  $\epsilon < \zeta$  and  $f_\tau(x, \xi(x)) < 0$  as long  
 502 as  $\zeta - \epsilon \leq \xi(x) \leq \zeta + \epsilon$ . Given that  $\xi \in X$ . Let  $L_\xi^d := dL_0 + f_s(x, \xi)$ . Then  $L_\xi^d$  is  
 503 also invertible. In addition, it follows that  $\|(L_\xi^d)^{-1}\| \leq \vartheta$  for some  $\vartheta > 0$  provided  
 504 that  $\|\xi - \zeta\| \leq \epsilon$  and  $\epsilon$  is sufficiently small. Hence, by following the same reasoning,  
 505  $L_\xi^d u = g$  has a unique solution  $u_g \in X$  for  $g \in X$ . In particular,  $\|u_g\| \leq C' \|g\|_X$  for  
 506 some positive constant  $C'$ . Given that  $h > 0$ , since

$$507 \quad (d+h)L_0 v_{d+h} + f(x, v_{d+h}) = 0, \quad dL_0 v_d + f(x, v_d) = 0,$$

508 we have

$$509 \quad dL_0[v_{d+h} - v_d] + \int_0^1 f_\tau(x, tv_{d+h} + (1-t)v_d) dt [v_{d+h} - v_d] = -hL_0 v_{d+h}.$$

510 It follows that

$$511 \quad \|u_{d+h} - u_d + h(dL_0 + f_\tau(x, v_d))^{-1}L_0v_d\|_X = o|h|,$$

512 which apparently shows that  $v_d$  is differentiable with respect to  $d$ . Notice that  $L_0v_d =$   
 513  $d^{-1}f(x, v_d)$ . Hence,  $\frac{\partial v_d}{\partial d} = (dL_0 + f_\tau(x, v_d))^{-1}f(x, v_d)$ . In addition, a straightforward  
 514 calculation yields that

$$515 \quad \int_{\Omega} f(x, v_d) \frac{\partial v_d}{\partial d} dx = 0.$$

516 **5. Asymptotic stability of steady states.** In this section, we study the  
 517 asymptotic behavior of the positive solutions of (1). Similar to the evolution systems  
 518 studied in Cantrell et al. [7], bounded forward orbits of (1) are generally not  
 519 pre-compact in the phase space, and so the LaSalle invariance principle is seemingly  
 520 inapplicable. To cope with this difficulty, we adopt a super- and sub-solution technique  
 521 to investigate the asymptotic behavior of the bounded positive solutions of (1).  
 522 Under certain conditions, this technique helps to show that bounded positive solutions  
 523 of (1) in an invariant manifold (region) converge exponentially to the infection-free  
 524 steady state  $(w^0(x), 0, 0)$  provided that  $\mathcal{S}_0 < 0$ .

PROPOSITION 5.1. *Assume that  $(w, u, v) \in C^1([0, \infty), Y)$  satisfies*

$$\|(w, u, v)\|_{C([0, \infty), Y)} < \infty$$

525 *and*

$$526 \quad w_t \leq a_{11}w + a_{12}u + a_{13}v,$$

$$527 \quad u_t \leq a_{21}w + a_{22}u + a_{23}v,$$

$$528 \quad v_t \leq \int_{\Omega} J(x-y)[v(y) - v(x)]dy + a_{31}w + a_{32}u + a_{33}v$$

529 *for  $(t, x) \in [0, \infty) \times \bar{\Omega}$ , where  $a_{i,j} \in C([0, T], X)$  and  $a_{i,j} \geq 0$  if  $i \neq j$ . Furthermore,*  
 530 *suppose that  $(w(0, x), u(0, x), v(0, x)) \leq (0, 0, 0)$  for all  $x \in \bar{\Omega}$ . Then  $(w, u, v) \leq$   
 531  $(0, 0, 0)$  a.e. in  $[0, T] \times \bar{\Omega}$ .*

532 *Proof.* The proof is similar to that for parabolic systems. We only give a sketch.  
 533 Write  $(\check{w}, \check{u}, \check{v}) = (w \vee 0, u \vee 0, v \vee 0)$  and  $(\hat{w}, \hat{u}, \hat{v}) = (-w \vee 0, -u \vee 0, -v \vee 0)$ . Note  
 534 that

$$535 \quad w_t \leq a_{11}w + a_{12}\check{u} + a_{13}\check{v},$$

$$536 \quad u_t \leq a_{21}\check{w} + a_{22}u + a_{23}\check{v},$$

$$537 \quad v_t \leq \int_{\Omega} J(x-y)[\check{v}(t, y) - \check{v}(t, x)]dy + \int_{\Omega} J(x-y)dy\hat{v} + a_{31}\check{w} + a_{32}\check{u} + a_{33}v.$$

538 Then we find that

$$539 \quad \frac{d}{dt} \int_{\Omega} \check{w}^2 dx \leq 2 \int_{\Omega} [a_{11}\check{w}^2 + a_{12}\check{w}\check{u} + a_{13}\check{w}\check{v}] dx,$$

$$540 \quad \frac{d}{dt} \int_{\Omega} \check{u}^2 dx \leq 2 \int_{\Omega} [a_{21}\check{w}\check{u} + a_{22}\check{u}^2 + a_{23}\check{v}\check{u}] dx,$$

$$541 \quad \frac{d}{dt} \int_{\Omega} \check{v}^2 dx \leq 2 \int_{\Omega} [a_{31}\check{w}\check{v} + a_{32}\check{u}\check{v} + a_{33}\check{v}^2] dx.$$

542 Thus, Hölder inequality implies that

$$543 \quad \frac{d}{dt} \int_{\Omega} [\check{w}^2 + \check{u}^2 + \check{v}^2] dx \leq K \int_{\Omega} [\check{w}^2 + \check{u}^2 + \check{v}^2] dx$$

544 for some positive constant  $K$ . As  $(\check{w}_0, \check{u}_0, \check{v}_0) = (0, 0, 0)$ , it follows from the comparison  
545 principle that  $(\check{w}, \check{u}, \check{v}) = (0, 0, 0)$ .  $\square$

546 DEFINITION 5.2. A pair of functions  $(w^{\pm}, u^{\pm}, v^{\pm}) \in C^1([0, T], X)$  is said to be a  
547 pair of *coupled non-negative super- and sub-solutions* of (1) provided that  $(0, 0, 0) \leq$   
548  $(w^-, u^-, v^-) \leq (w^+, u^+, v^+)$ , and

$$549 \quad s(x) - bw^+ - c(x)w^+v^- - \frac{\partial w^+}{\partial t} \leq 0 \leq s(x) - bw^- - c(x)w^-v^+ - \frac{\partial w^-}{\partial t},$$

$$550 \quad -au^+ + c(x)w^+v^+ - \frac{\partial u^+}{\partial t} \leq 0 \leq -au^- + c(x)w^-v^- - \frac{\partial u^-}{\partial t},$$

$$551 \quad d \int_{\Omega} J(x-y)[v^+(t, y) - v^+(t, x)] dy - qv^+ + pu^+ - \frac{\partial v^+}{\partial t} \leq 0,$$

$$552 \quad d \int_{\Omega} J(x-y)[v^-(t, y) - v^-(t, x)] dy - qv^- + pu^- - \frac{\partial v^-}{\partial t} \geq 0,$$

553 where  $0 < T \leq \infty$  is a constant. In this pair,  $(w^+, u^+, v^+)$  is called the *super-solution*  
554 and  $(w^-, u^-, v^-)$  is called the *sub-solution*.

PROPOSITION 5.3. Assume that there exists a pair of coupled non-negative super-  
and sub-solutions of (1)  $(w^{\pm}, u^{\pm}, v^{\pm})$  in  $[0, \infty) \times \bar{\Omega}$ . In addition, assume that

$$\|(w^{\pm}, u^{\pm}, v^{\pm})\|_{C([0, \infty), X)} < \infty.$$

Then given  $(w_0, u_0, v_0) \in X$  with  $(w^-, u^-, v^-) \leq (w_0, u_0, v_0) \leq (w^+, u^+, v^+)$ , there is  
a unique solution  $(w, u, v)$  to (1) satisfying

$$(w(0, x), u(0, x), v(0, x)) = (w_0(x), u_0(x), v_0(x)) \quad \text{and} \quad (w_0, u_0, v_0) \in C^1([0, \infty), X).$$

555 Moreover,

$$556 \quad (w^-, u^-, v^-) \leq (w, u, v) \leq (w^+, u^+, v^+) \quad \text{for all} \quad (t, x) \in [0, \infty) \times \bar{\Omega}.$$

557 *Proof.* Write  $(\bar{w}^0, \bar{u}^0, \bar{v}^0) = (w^+, u^+, v^+)$ ,  $(\underline{w}^0, \underline{u}^0, \underline{v}^0) = (w^-, u^-, v^-)$ , let  $\alpha > 0$   
558 be a constant sufficiently large so as that  $\alpha > \|cv^+\|_{C([0, \infty), X)}$ . Set

$$559 \quad \bar{w}^{n+1} = e^{-(b+\alpha)t} w_0 + \int_0^t e^{-(b+\alpha)(t-\tau)} [s(x) + \alpha \bar{w}^n(\tau, x) - c(x) \bar{w}^n(\tau, x) \underline{v}^n(\tau, x)] d\tau,$$

$$560 \quad \bar{u}^{n+1} = e^{-(a+\alpha)t} u_0 + \int_0^t e^{-(a+\alpha)(t-\tau)} \alpha \bar{u}^{n+1} + \alpha \bar{u}^n(\tau, x) + c(x) \bar{w}^n(\tau, x) \bar{v}^n(\tau, x) d\tau,$$

$$561 \quad \bar{v}^{n+1} = e^{-(q+\alpha)t} v_0$$

$$562 \quad + \int_0^t e^{-(b+\alpha)(t-\tau)} \left[ \int_{\Omega} J(x-y) [\bar{v}^n(\tau, y) - \bar{v}^n(\tau, x)] dy + \alpha \bar{v}^n(\tau, x) + p \bar{u}^n(\tau, x) \right] d\tau,$$

563 and

$$\begin{aligned}
 564 \quad \underline{w}^{n+1} &= e^{-(b+\alpha)t} w_0 + \int_0^t e^{-(b+\alpha)(t-\tau)} [s(x) + \alpha \underline{w}^n(\tau, x) - c(x) \underline{w}^n(\tau, x) \bar{v}^n(\tau, x)] d\tau, \\
 565 \quad \underline{u}^{n+1} &= e^{-(a+\alpha)t} u_0 + \int_0^t e^{-(a+\alpha)(t-\tau)} \alpha \underline{u}^n + c(x) \underline{w}^n(\tau, x) \underline{v}^n(\tau, x) d\tau, \\
 566 \quad \underline{v}^{n+1} &= e^{-(q+\alpha)t} v_0 \\
 567 \quad &+ \int_0^t e^{-(b+\alpha)(t-\tau)} \left[ \int_{\Omega} J(x-y) [\underline{v}^n(\tau, y) - \underline{v}^n(\tau, x)] dy + \alpha \underline{v}^n(\tau, x) + p \underline{u}^n(\tau, x) \right] d\tau.
 \end{aligned}$$

First it is straightforward to verify that  $(\underline{w}^1, \underline{u}^1, \underline{v}^1), (\bar{w}^1, \bar{u}^1, \bar{v}^1) \in C^1([0, \infty), X)$ . Notice that  $\alpha w^+ - c w^+ v^- \geq \alpha w^+ - c w^+ v^+ \geq \alpha w^- - c w^- v^+$  for all  $(t, x) \in [0, \infty) \times \bar{\Omega}$ . Hence, the comparison principle implies that

$$(w^-, u^-, v^-) \leq (\underline{w}^1, \underline{u}^1, \underline{v}^1) \leq (\bar{w}^1, \bar{u}^1, \bar{v}^1) \leq (w^+, u^+, v^+).$$

568 By induction, we see that

$$569 \quad (w^-, u^-, v^-) \leq (\underline{w}^n, \underline{u}^n, \underline{v}^n) \leq (\bar{w}^n, \bar{u}^n, \bar{v}^n) \leq (w^+, u^+, v^+), \quad n \geq 1,$$

570 and

$$571 \quad (\underline{w}^n, \underline{u}^n, \underline{v}^n) \leq (\underline{w}^{n+1}, \underline{u}^{n+1}, \underline{v}^{n+1}) \leq (\bar{w}^{n+1}, \bar{u}^{n+1}, \bar{v}^{n+1}) \leq (\bar{w}^n, \bar{u}^n, \bar{v}^n).$$

572 Clearly,  $(\underline{w}^n, \underline{u}^n, \underline{v}^n)$  and  $(\bar{w}^n, \bar{u}^n, \bar{v}^n) \in C^1([0, \infty), X)$ . In particular, for each  $(t, x) \in [0, \infty) \times \bar{\Omega}$ , both  $(\underline{w}^n, \underline{u}^n, \underline{v}^n)$  and  $(\bar{w}^n, \bar{u}^n, \bar{v}^n)$  are monotone and bounded in their  
573  
574 components. For fixed  $(t, x) \in [0, \infty) \times \bar{\Omega}$ , let

$$575 \quad (w_*(t, x), u_*(t, x), v_*(t, x)) = \lim_{n \rightarrow \infty} (\underline{w}^n(t, x), \underline{u}^n(t, x), \underline{v}^n(t, x))$$

576 and

$$577 \quad (w^*(t, x), u^*(t, x), v^*(t, x)) = \lim_{n \rightarrow \infty} (\bar{w}^n(t, x), \bar{u}^n(t, x), \bar{v}^n(t, x)).$$

578 Apparently, we have

$$579 \quad (11) \quad (w^-, u^-, v^-) \leq (w_*, u_*, v_*) \leq (w^*, u^*, v^*) \leq (w^+, u^+, v^+)$$

580 for all  $(t, x) \in [0, \infty) \times \bar{\Omega}$ . By using Lebesgue dominated convergence theorem and  
581 passing the limits in the above equations, we find that

$$\begin{aligned}
 582 \quad w^* &= e^{-(b+\alpha)t} w_0 + \int_0^t e^{-(b+\alpha)(t-\tau)} [s(x) + \alpha w^*(\tau, x) - c(x) w^*(\tau, x) v_*(\tau, x)] d\tau, \\
 583 \quad u^* &= e^{-(a+\alpha)t} u_0 + \int_0^t e^{-(a+\alpha)(t-\tau)} \alpha u^*(\tau, x) + c(x) w^*(\tau, x) v^*(\tau, x) d\tau, \\
 584 \quad v^* &= e^{-(q+\alpha)t} v_0 \\
 585 \quad &+ \int_0^t e^{-(b+\alpha)(t-\tau)} \left[ \int_{\Omega} J(x-y) [v^*(\tau, y) - v^*(\tau, x)] dy + \alpha v^*(\tau, x) + p u^*(\tau, x) \right] d\tau,
 \end{aligned}$$

586 and

$$587 \quad w_* = e^{-(b+\alpha)t}w_0 + \int_0^t e^{-(b+\alpha)(t-\tau)}[s(x) + \alpha w_*(\tau, x) - c(x)w_*(\tau, x)v^*(\tau, x)]d\tau,$$

$$588 \quad u_* = e^{-(a+\alpha)t}u_0 + \int_0^t e^{-(a+\alpha)(t-\tau)}\alpha u_*(\tau, x) + c(x)w_*(\tau, x)v_*(\tau, x)d\tau,$$

$$589 \quad v_* = e^{-(q+\alpha)t}v_0 \\ 590 \quad + \int_0^t e^{-(b+\alpha)(t-\tau)} \left[ \int_{\Omega} J(x-y)[v_*(\tau, y) - v_*(\tau, x)]dy + \alpha v_*(\tau, x) + pu_*(\tau, x) \right] d\tau.$$

591 Let  $Y = L_{\infty}(\Omega) \times L_{\infty}(\Omega) \times L_{\infty}(\Omega)$ . Thanks to the fact that both  $(w^*, u^*, v^*)$  and  
592  $(w_*, u_*, v_*)$  are bounded, we have that  $(w^*, u^*, v^*)$  and  $(w_*, u_*, v_*) \in C([0, \infty), Y)$ .  
593 This implies that  $(w^*, u^*, v^*)$  and  $(w_*, u_*, v_*) \in C^1([0, \infty), Y)$ . Now set  $(\widehat{w}, \widehat{u}, \widehat{v}) =$   
594  $(w^* - w_*, u^* - u_*, v^* - v_*)$ . Clearly,  $(\widehat{w}, \widehat{u}, \widehat{v}) \in C^1([0, \infty), Y)$  and  $\|(\widehat{w}, \widehat{u}, \widehat{v})\|_{C([0, \infty), Y)} <$   
595  $\infty$ . In addition, by the mean value theorem, we have

$$596 \quad \widehat{w}_t \leq M(\widehat{w} + \widehat{v}),$$

$$597 \quad \widehat{u}_t \leq M(\widehat{u} + \widehat{w} + \widehat{v}),$$

$$598 \quad \widehat{v}_t \leq \int_{\Omega} J(x-y)[\widehat{v}(t, y) - \widehat{v}(t, x)]dy + M(\widehat{u} + \widehat{w} + \widehat{v})$$

599 for some positive constant  $M$ . As  $(\widehat{w}(0, x), \widehat{u}(0, x), \widehat{v}(0, x)) = (0, 0, 0)$ , it follows from  
600 Proposition 5.1 that  $(w^*(t, \cdot), u^*(t, \cdot), v^*(t, \cdot)) \leq (w_*(t, \cdot), u_*(t, \cdot), v_*(t, \cdot))$  a.e. in  $\overline{\Omega}$ . By  
601 (11), we see that  $(w^*(t, \cdot), u^*(t, \cdot), v^*(t, \cdot)) = (w_*(t, \cdot), u_*(t, \cdot), v_*(t, \cdot))$  a.e. in  $\overline{\Omega}$  for each  
602  $t \in (0, \infty)$ . Hence,  $(w^*, u^*, v^*)$  is a solution of (1) in  $Y$  with  $(w^*(0), u^*(0), v^*(0)) =$   
603  $(w_0, u_0, v_0)$ .

604 We next show that  $(w^*, u^*, v^*) \in C^1([0, \infty), X)$ . By virtue of Banach's fixed point  
605 theorem, for  $(w_0, u_0, v_0)$ , there exists a unique solution  $(\tilde{w}, \tilde{u}, \tilde{v}) \in C^1([0, T_{max}), X)$   
606 to (1) satisfying  $(\tilde{w}(0), \tilde{u}(0), \tilde{v}(0)) = (w_0, u_0, v_0)$  for some  $T_{max} > 0$ . Obviously,  
607  $(\tilde{w}, \tilde{u}, \tilde{v}) \in C^1([0, T_{max}), Y)$ , therefore the uniqueness implies that  $(w^*, u^*, v^*) =$   
608  $(\tilde{w}, \tilde{u}, \tilde{v})$ . The standard argument shows that  $T_{max} = \infty$ . Namely,  $(w^*, u^*, v^*) \in$   
609  $C^1([0, \infty), X)$  is the unique solution of (1). The proof is completed.  $\square$

To state and prove the next result, denote

$$X_1^+ = \{(w, u, v) \in X \mid 0 \leq w \leq w^0, u, v \geq 0\}.$$

610 THEOREM 5.4. Assume that  $S_0 < 0$ . Then  $(w^0, u^0, v^0)$  is asymptotically stable in  
611  $X_1^+$ . More precisely, given that  $(w_0, u_0, v_0) \in X_1^+$ , then the solution  $(w(t, w_0), u(t, u_0),$   
612  $v(t, v_0))$  of (1) satisfying  $(w(0, w_0), u(0, u_0), v(0, v_0)) = (w_0, u_0, v_0)$  exists globally and  
613  $(w(t, w_0), u(t, u_0), v(t, v_0)) \in X_1^+$  for all  $t > 0$ . In particular,  $(w(t, w_0), u(t, u_0), v(t, v_0))$   
614 converges exponentially to  $(w^0(x), 0, 0)$  as  $t \rightarrow \infty$ .

615 *Proof.* We again let  $\mu(\lambda)$  be the principal eigenvalue of  $L_{S, \lambda}$  defined in (6). Note  
616 that  $\mu(\lambda)$  is continuous in  $\lambda$ . Since  $\mu(0) = S_0 < 0$ , there exists  $\lambda^* < 0$  such that  
617  $\mu(\lambda^*) - \lambda^* < 0$ . Let  $\phi_1 > 0$  be an eigenfunction associated with  $\mu(\lambda^*)$ . Next let  $k > 0$   
618 be a positive constant and set

$$619 \quad (w^+(t, x), u^+(t, x), v^+(t, x)) = \left( w^0(x), \frac{k}{\lambda^* + a} c(x)w^0(x)\phi_1(x)e^{\lambda^*t}, k\phi_1(x)e^{\lambda^*t} \right)$$

620 for  $(t, x) \in \mathbb{R}^+ \times \overline{\Omega}$  and

$$621 \quad (w^-(t, x), u^-(t, x), v^-(t, x)) = (0, 0, 0).$$

622 It is straightforward to verify that

$$623 \quad s(x) - bw^+ - c(x)w^+v^- - \frac{\partial w^+}{\partial t} \leq 0,$$

$$624 \quad -au^+ + c(x)w^+v^+ - \frac{\partial u^+}{\partial t} = c(x)w^0(x)\phi_1(x)e^{\lambda^*t} \left[ -\frac{ak}{\lambda^* + a} + k - \frac{k\lambda^*}{\lambda^* + a} \right] \leq 0,$$

625 and

$$626 \quad \int_{\Omega} J(x-y)[v^+(t,y) - v^+(t,x)]dy - qv^+(t,x) + pu^+(t,x) - \frac{\partial v^+}{\partial t}$$

$$627 \quad = ke^{\lambda^*t} \left\{ \int_{\Omega} J(x-y)[\phi_1(y) - \phi_1(x)]dy + \left( \frac{pc(x)w^0(x)}{\lambda^* + a} - q \right) \phi_1(x) - \lambda^* \phi_1(x) \right\}$$

$$628 \quad = ke^{\lambda^*t} \phi_1(x) [\mu(\lambda^*) - \lambda^*] \leq 0.$$

629 In addition, we have

$$630 \quad s(x) - bw^- - c(x)w^-v^+ - \frac{\partial w^-}{\partial t} = s(x) \geq 0,$$

$$631 \quad -au^- + c(x)w^-v^- - \frac{\partial u^-}{\partial t} = 0,$$

$$632 \quad \int_{\Omega} J(x-y)[v^-(t,y) - v^-(t,x)]dy - qv^-(t,x) + pu^-(t,x) - \frac{\partial v^-}{\partial t} = 0.$$

633 By Definition 5.2,  $(w^{\pm}, u^{\pm}, v^{\pm})$  given above is a pair of coupled super-sub solutions.  
 634 Given  $(w_0, u_0, v_0) \in X_1^+$ , as  $c, w^0$ , and  $\phi_1$  are strictly positive, there exists  $k > 0$  such  
 635 that  $(w_0, u_0, v_0) \leq (w^+, u^+, v^+)$  for all  $x \in \bar{\Omega}$ . Hence, it follows from Proposition 5.3  
 636 that

$$637 \quad (0, 0, 0) \leq (w(t, t_0, w_0), u(t, t_0, u_0), v(t, t_0, v_0))$$

$$638 \quad \leq (w^0(x), \frac{k}{\lambda^* + a} c(x)w^0(x)\phi_1(x)e^{\lambda^*t}, k\phi_1(x)e^{\lambda^*t}) \text{ for all } (t, x) \in \mathbb{R}^+ \times \bar{\Omega}.$$

639 This immediately implies that  $(w(t, t_0, w_0), u(t, t_0, u_0), v(t, t_0, v_0))$  exists for all  $t > 0$   
 640 and  $(u(t, t_0, u_0), v(t, t_0, v_0))$  converges exponentially to  $(0, 0)$  as  $t \rightarrow \infty$ . We next show  
 641 that  $w(t, t_0, w_0)$  also converges to 0 exponentially as  $t \rightarrow \infty$ .

642 Notice that

$$643 \quad \frac{\partial(w - w^0)^2}{\partial t} = -2b(w - w^0)^2 - 2c w v (w - w^0).$$

644 This shows that

$$645 \quad (w - w^0)^2 = e^{-2bt} [w(0, x) - w^0(x)]^2 - \int_0^t e^{-2b(t-\tau)} 2c w v (w - w^0) v d\tau.$$

646 Assume without loss of generality that  $|\lambda^*| < 2b$ , let  $K = 2\|cw\|$ , then

$$647 \quad \|w - w^0\|^2 \leq e^{-2bt} \|w - w^0\|^2 + K \int_0^t e^{-2b(t-\tau)} \|v(\tau)\| d\tau$$

$$648 \quad \leq e^{-2bt} \|w - w^0\|^2 + K e^{-2bt} \int_0^t e^{(\lambda^* + 2b)\tau} d\tau$$

$$649 \quad = e^{-2bt} \|w - w^0\|^2 + \frac{K}{\lambda^* + 2b} [e^{\lambda^*t} (1 - e^{-(2b - \lambda^*)t})].$$

650 Namely,  $w(t, t_0, w_0)$  converges to 0 exponentially as  $t \rightarrow \infty$ . The proof is completed.  $\square$

**6. Numerical simulations.** In this section, we provide numerical approximations of solutions of (1) to illustrate stabilities of both the disease-free steady state and the infection steady state. For the sake of simplicity we assume that all coefficients are a constant. Take

$$s = 1.5, b = 2, c = 0.001, a = 1, d = 10, q = 5.5, p = 1.$$

651 One can verify that  $\mathcal{S}_0 < 0$ , so Theorem 3.5 implies that the disease-free steady state  
652  $(0.75, 0, 0)$  is the only non-negative steady state of (1). In addition, it is stable. Given  
653 that  $\Omega \subset \mathbb{R}$  is a bounded domain, we assume  $\Omega = (-1, 1)$  and consider initial data as  
654 follows:

$$\begin{aligned} 655 \quad w_0(x) &= 0.55 + 0.01 \sin(3\pi x + 0.1), \\ 656 \quad u_0(x) &= 0.2 + 0.01 \cos(2\pi x + 0.1), \\ 657 \quad v_0(x) &= 0.4 + 0.01 \sin(20\pi x + 0.1). \end{aligned}$$

658 The snapshots of the solution  $(w(t, x), u(t, x), v(t, x))$  with  $t = 0, 1.3, 1.6, 1.9$  are given  
659 in Fig. 1.

660 In case that  $\Omega \subset \mathbb{R}^2$  is a bounded domain, we assume that  $\Omega = (-1, 1) \times (-1, 1)$   
661 and select initial data as follows:

$$\begin{aligned} 662 \quad w_0(x, y) &= 0.55 + 0.01 \sin(3\pi x + 0.1) \cos(3\pi y + 0.1), \\ 663 \quad u_0(x, y) &= 0.2 + 0.01 \cos(2\pi x + 0.1) \sin(2\pi y + 0.1), \\ 664 \quad v_0(x, y) &= 0.4 + 0.01 \sin(5\pi x + 0.1)(x^2 + y^2). \end{aligned}$$

665 The snapshots of the solution  $(w(t, x, y), u(t, x, y), v(t, x, y))$  with  $t = 0, 0.5, 0.75, 1.0$   
666 are given in Fig. 2.

To demonstrate stability of the infection steady state, we assume that

$$s = 4, b = 2, c = 1, a = 1, d = 10, q = 0.5, p = 2.$$

667 Simple calculation shows that the infection steady state is given by  $(0.25, 3.5, 14)$ ,  
668 which is the only positive steady state of (1) and is stable. Note that  $\mathcal{S}_0 > 0$ . When  
669  $\Omega \subset \mathbb{R}$ , we again assume that  $\Omega = (-1, 1)$  and adopt initial data as follows:

$$\begin{aligned} 670 \quad w_0(x) &= 0.3 + 0.01 \sin(3\pi x + 0.1), \\ 671 \quad u_0(x) &= 3 + 0.01 \cos(2\pi x + 0.1), \\ 672 \quad v_0(x) &= 12 + 0.001 \sin(2\pi x + 0.1)e^{-x^2}. \end{aligned}$$

673 The snapshots of the solution  $(w(t, x), u(t, x), v(t, x))$  with  $t = 1, 1.3, 1.6, 1.9$  are given  
674 in Fig. 3.

675 In case that  $\Omega \subset \mathbb{R}^2$  is a bounded domain, we assume that  $\Omega = (-1, 1) \times (-1, 1)$   
676 and choose initial data as follows:

$$\begin{aligned} 677 \quad w_0(x, y) &= 0.3 + 0.01 \sin(3\pi x + 0.1) \cos(3\pi y + 0.1), \\ 678 \quad u_0(x, y) &= 3 + 0.01 \cos(2\pi x + 0.1) \sin(2\pi y + 0.1), \\ 679 \quad v_0(x, y) &= 12 + 0.01(x^2 + y^2) \cos(2\pi y + 0.1)xe^{-(x^2+y^2)}. \end{aligned}$$

680 The snapshots of the solution  $(w(t, x, y), u(t, x, y), v(t, x, y))$  with  $t = 0, 0.5, 0.75, 1.0$   
681 are given in Fig. 4.



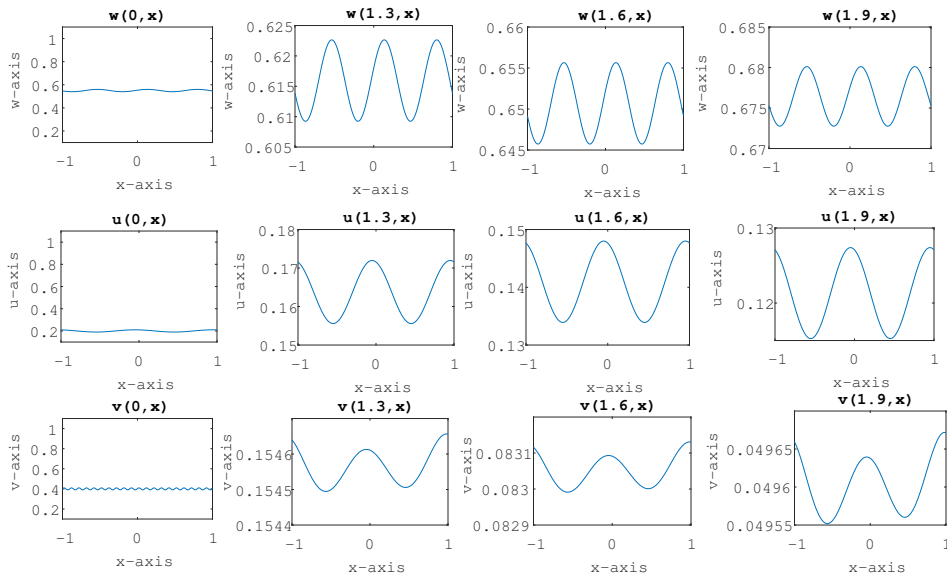


FIG. 1. The snapshots of the solution  $(w(t, x), u(t, x), v(t, x))$  of (1) in a one-dimensional spatial domain with  $t = 0, 1.3, 1.6, 1.9$ , which converges to the disease-free steady state  $(0.75, 0, 0)$ .

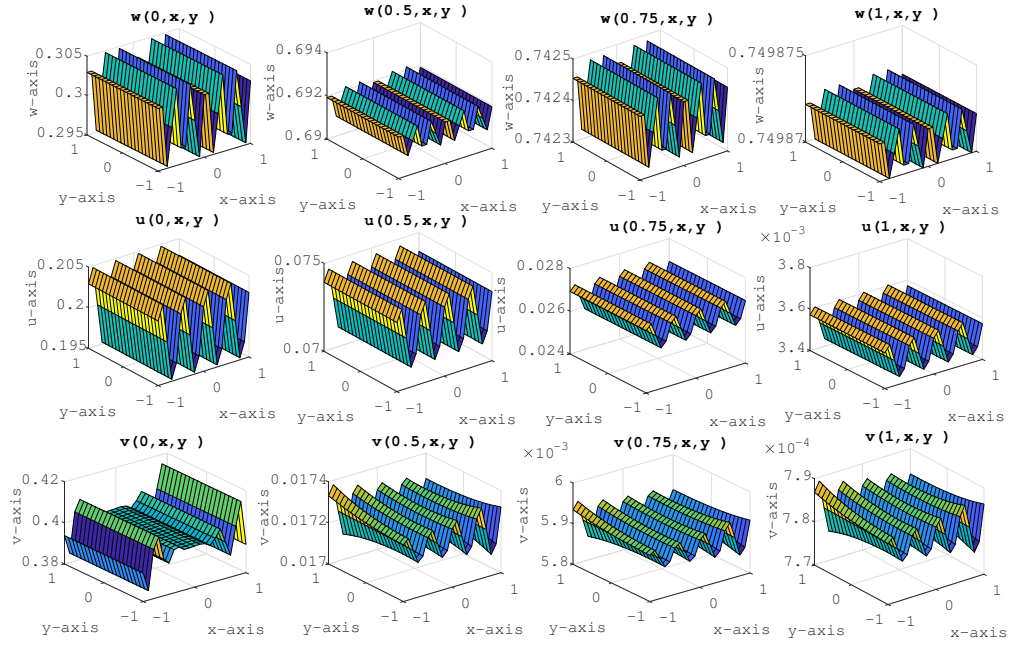


FIG. 2. The snapshots of the solutions  $(w(t, x, y), u(t, x, y), v(t, x, y))$  of (1) converging to the disease-free steady state  $(0.75, 0, 0)$  in a two dimensional spatial domain with  $t = 0, 0.5, 0.75, 1.0$ .

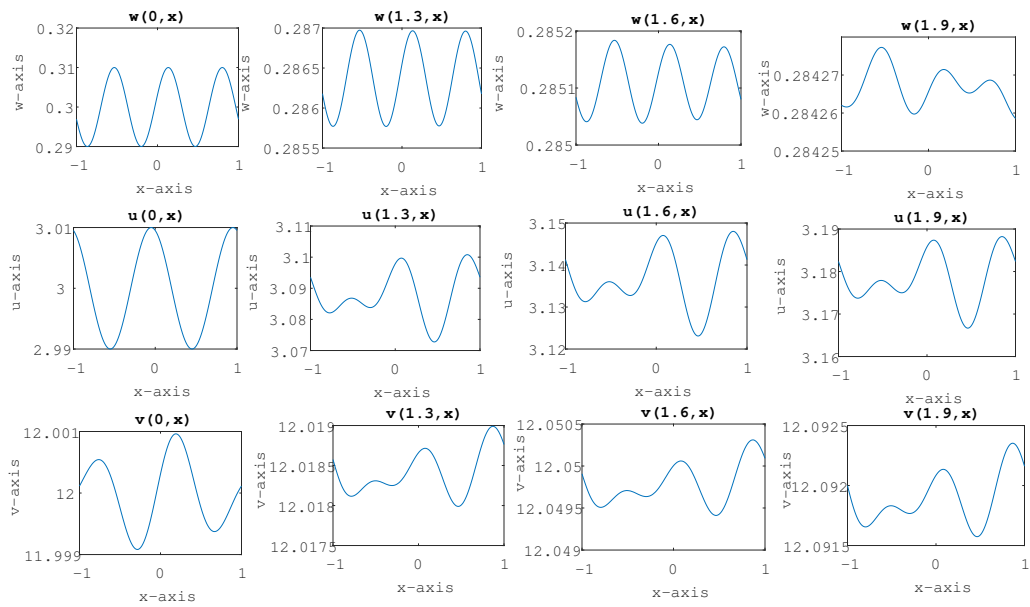


FIG. 3. The snapshots of the solutions  $(w(t,x), u(t,x), v(t,x))$  of (1) in a one-dimensional spatial domain with  $t = 0, 1.3, 1.6, 1.9$ , which converges to the infection steady state  $(0.25, 3.5, 14)$ .

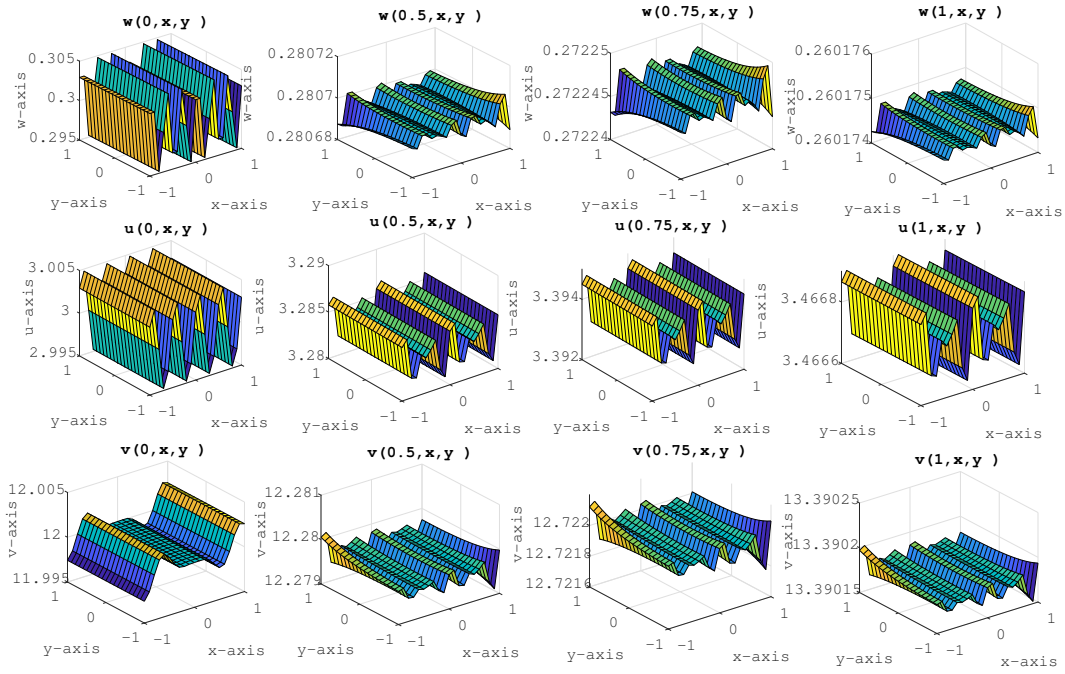


FIG. 4. The snapshots of the solutions  $(w(t, x, y), u(t, x, y), v(t, x, y))$  of (1) converging to the infection steady state  $(0.25, 3.5, 14)$  in a two-dimensional spatial domain with  $t = 0, 0.5, 0.75, 1.0$ .

682 **7. Discussion.** Recent studies suggest that spatial heterogeneity plays an im-  
 683 portant role in the within-host infection of viruses such as HBV, HCV, and HIV  
 684 (Graw and Perelson [16], Haase [18], Shulla and Randall [30]). Thus, basic ODE  
 685 models are not able to capture the spatial aspects of viral infections and spatial mod-  
 686 els may be more realistic. Under the assumption that target cells and infected cells  
 687 were stationary while viruses were capable of migrating from one grid site to a neigh-  
 688 boring site, Funk et al. [15] used a discrete ordinary differential equation model to  
 689 study the interactions of target cells, infected cells, and viral load at anatomical sites  
 690 where each grid site represents different anatomical sites inside the host. Strain et  
 691 al. [31] introduced a cellular automaton model of viral propagation based on the  
 692 known biophysical properties of HIV including the competition between viral lability  
 693 and Brownian motion. Wang and Wang [32] proposed a spatial HBV model of two  
 694 ODEs coupled with a parabolic PDE for the virus particles and proved the existence  
 695 of traveling waves.

696 Nonlocal (convolution) diffusion operators have been used in nonlinear diffusion  
 697 models to describe the spatial movement of particles or individuals, in which the  
 698 convolutions represent the rates at which individuals are arriving at one position  
 699 from other places and are leaving one location to travel to other sites. Such models  
 700 have been used to study problems in materials science (Bates [3]) and epidemiology  
 701 (Ruan [28]). In this paper, we proposed a spatial model of viral dynamics with  
 702 a nonlocal (convolution) diffusion operator describing the spatial spread of virions  
 703 between cells. The model is a spatial generalization of the ODE model of Nowak  
 704 and Bangham [22] and a counterpart of the spatially discrete model of Funk et al.  
 705 [15] in which viron movement is spatially continuous. In section 3, we considered  
 706 positive stationary solutions of the model and showed that the existence of infection  
 707 steady states depends upon the sign of the principal eigenvalue of a nonlocal operator.  
 708 More precisely, when the principal eigenvalue is less than or equal to zero, the only  
 709 non-negative steady state is the infection-free steady state, which is stable; when the  
 710 principal eigenvalue is great than zero there is a unique infection steady state, which  
 711 is stable. In section 4, we studied how the infection steady state depends on the  
 712 dispersal rate. In section 5, we discussed the asymptotical stability of the infection-  
 713 free steady state in invariant regions. Therefore, we established threshold dynamics  
 714 for the nonlocal evolution model of viral infection.

715 Compared to spatially discrete ODE models (Funk et al. [15]), cellular automaton  
 716 models (Strain et al. [31]), and diffusive models (Wang and Wang [32]), our model  
 717 (1) is a first spatial model with a nonlocal (convolution) diffusion operator describing  
 718 the spatial spread of viruses between cells. The existing studies on other nonlocal  
 719 evolution models in materials science (Bates [3]) and epidemiology (Ruan [28]) are  
 720 either concerned with the stability of scalar equations or focused on the existence of  
 721 traveling waves, while we studied the stability of the steady states for a system of  
 722 three coupled equations using spectral theory of linear operators. We believe that the  
 723 modeling approach and analysis technique can be used to investigate other nonlocal  
 724 diffusion problems.

725 **Acknowledgement.** We would like to thank the two anonymous reviewers for  
 726 their helpful comments and suggestions which helped us to improve the paper signif-  
 727 icantly.

- 729 [1] F. Andreu, J. M. Mazón, J. D. Rossi and J. Toledo, A nonlocal p-Laplacian evolution equation  
730 with Neumann boundary conditions, *J. Math. Pures Appl.* **90** (2008), 201-227.
- 731 [2] J. Appell, E. D. Pascale and A. Vignoli, *Nonlinear Spectral Theory*, Walter de Gruyter, Berlin,  
732 2004.
- 733 [3] P. W. Bates, On some nonlocal evolution equations arising in materials science, in “*Nonlinear*  
734 *Dynamics and Evolution Equations*”, H. Brunner, X.-Q. Zhao and X. Zou (eds.), *Fields*  
735 *Inst. Commun.* **48** (2006), 13-52.
- 736 [4] P. W. Bates, P. Fife, X. Ren, and X. Wang, Traveling waves in a convolution model for phase  
737 transitions, *Arch. Ration. Mech. Anal.* **138** (1997), 105-136.
- 738 [5] P. W. Bates and G. Zhao, Existence, uniqueness and stability of the stationary solution to  
739 a nonlocal evolution equation arising in population dispersal, *J. Math. Anal. Appl.* **332**  
740 (2007), 428-440.
- 741 [6] P. W. Bates and G. Zhao, Spectral convergence and Turing Patterns for nonlocal diffusion  
742 systems, preprint.
- 743 [7] R. S Cantrell, C. Cosner, Y. Lou and D. Ryan, Evolutionary stability of ideal free dispersal  
744 in spatial population models with nonlocal dispersal, *Can. Appl. Math. Quart.* **20** (2012),  
745 15-38.
- 746 [8] R. S Cantrell, C. Cosner and V. Huston, Ecological models, permanence and spatial hetero-  
747 geneity, *Rocky Mountain J. Math.* **26** (1996), 1-35.
- 748 [9] C. Cortazar, M. Elgueta, J. D. Rossi, N. Wolanski, How to approximate the heat equation  
749 with Neumann boundary condition by nonlocal diffusion problems, *Arch. Ration. Mech.*  
750 *Anal.* **187** (2008), 137-156.
- 751 [10] J. Coville, On the principal eigenvalue of some inhomogeneous nonlocal operator in general  
752 domains, *J. Differential Equations* **249** (2010), 2921-2953.
- 753 [11] N.M. Dixit, J.E. Layden-Almer, T.J. Layden and A.S. Perelson, Modelling how ribavirin im-  
754 proves interferon response rates in hepatitis C virus infection, *Nature* **432** (2004), 922-924.
- 755 [12] Q. Du, M. Gunzburger, R. B. Lehoucq and K. Zhou, Analysis and approximation of nonlocal  
756 diffusion problems with volume constraints, *SIAM Rev.* **54** (2012), 667-696.
- 757 [13] Y. Du, Fixed points of increasing operators in ordered Banach spaces and applications, *Appl.*  
758 *Anal.* **38** (1990), 1-20.
- 759 [14] K.-J. Engel and R. Nagel, *One-parameter Semigroups for Linear Evolution Equations*,  
760 Springer, New York, 2000.
- 761 [15] G. A. Funk, V. A. Jansen, S. Bonhoeffer and T. Killingback, Spatial models of virus-immune  
762 dynamics, *J. Theor. Biol.* **233** (2005), 221-236.
- 763 [16] F. Graw and A. S. Perelson, Spatial aspects of HIV infection, in “*Mathematical Methods and*  
764 *Models in Biomedicine*”, U. Ledzewicz, H. Schttler, A. Friedman and E. Kashdan (eds.),  
765 Lecture Notes on Mathematical Modelling in the Life Sciences, Springer, New York, 2013,  
766 pp. 3-31.
- 767 [17] J. E. F. Green, S. L. Waters, J. P. Whiteley, L. Edelstein-Keshete, K. M. Shakesheff, and H.  
768 M. Byrne, Non-local models for the formation of hepatocyte-stellate cell aggregates, *J.*  
769 *Theor. Biol.* **267** (2010), 106-120.
- 770 [18] A. T. Haase, Targeting early infection to prevent HIV-1 mucosal transmission, *Nature* **464**  
771 (2010), 217-223.
- 772 [19] D. Henry, *Geometric Theory of Semilinear Parabolic Equations*, Springer Verlag, New York,  
773 1981.
- 774 [20] V. Hutson, S. Martínez, K. Mischaikow and G.T. Vickers, The evolution of dispersal, *J. Math.*  
775 *Biol.* **47** (2003), 483-517.
- 776 [21] C. Kao, Y. Lou, and W. Shen, Random dispersal vs. non-local dispersal, *Discrete Contin. Dyn.*  
777 *Syst.* **26** (2010), 551-596.
- 778 [22] M. A. Nowak and C. R. Bangham, Population dynamics of immune responses to persistent  
779 viruses, *Science* **272** (1996), 74-79.
- 780 [23] M. A. Nowak, S. Bonhoffer, A. M. Hill, R. Boehme, H. C. Thomas and H. McDade, Viral  
781 dynamics in hepatitis B virus infection, *Proc. Natl. Acad. Sci. USA* **93** (1996), 4398-4402.
- 782 [24] M. A. Nowak and R. M. May, *Virus Dynamics: Mathematical Principles of Immunology and*  
783 *Virology*, Oxford University Press, Oxford, 2000.
- 784 [25] A. S. Perelson, Modelling viral and immune system dynamics, *Nat. Rev. Immunol.* **2** (2002),  
785 28-36.
- 786 [26] N. Rawal and W. Shen, Criteria for the existence and lower bounds of principal eigenvalues  
787 of time periodic nonlocal dispersal operators and applications, *J. Dynam. Differential*  
788 *Equations* **24** (2012), 927-954.
- 789 [27] M. Reed and B. Simon, *Method of Modern Mathematical Physics, IV: Analysis of Operators*,  
790 Academic Press, New York, 1978.

- 791 [28] S. Ruan, Spatial-temporal dynamics in nonlocal epidemiological models, in “*Mathematics for*  
792 *Life Science and Medicine*”, Y. Takeuchi, K. Sato and Y. Iwasa (eds.), Springer-Verlag,  
793 Berlin, 2007, pp. 99-122 .
- 794 [29] K. Schmüdgen, *Unbounded Self-adjoint Operators on Hilbert Space*, Graduate Texts in Math-  
795 ematics, Springer, New York, 2012.
- 796 [30] A. Shulla and G. Randall, Spatiotemporal analysis of hepatitis C virus infection, *PLoS Pathog.*  
797 **11** (2015)(3): e1004758. doi:10.1371/journal.ppat.1004758.
- 798 [31] M. C. Strain, D. D. Richman, J. K. Wong and H. Levine, Spatiotemporal dynamics of HIV  
799 propagation, *J. Theoret. Biol.* **218** (2002), 85-96.
- 800 [32] K. Wang and W. Wang, Propagation of HBV with spatial dependence, *Math. Biosci.* **210**  
801 (2007), 78-95.
- 802 [33] Y. Yang, L. Zou and S. Ruan, Global dynamics of a delayed within-host viral infection model  
803 with both virus-to-cell and cell-to-cell transmissions, *Math. Biosci.* **270** (2015), 183-191.