# EMBEDDINGS OF $\mathbb{C}^*$ -SURFACES INTO WEIGHTED PROJECTIVE SPACES

HUBERT FLENNER, SHULIM KALIMAN, AND MIKHAIL ZAIDENBERG

ABSTRACT. Let V be a normal affine surface which admits a  $\mathbb{C}^*$ - and a  $\mathbb{C}_+$ -action. Such surfaces were classified e.g., in [FlZa<sub>1</sub>, FlZa<sub>2</sub>], see also the references therein. In this note we show that in many cases V can be embedded as a principal Zariski open subset into a hypersurface of a weighted projective space. In particular, we recover a result of D. Daigle and P. Russell, see Theorem A in [DR]. weighted projective space,  $\mathbb{C}^*$ -action,  $\mathbb{C}_+$ -action, affine surface

#### 1. INTRODUCTION

If  $V = \operatorname{Spec} A$  is a normal affine surface equipped with an effective  $\mathbb{C}^*$ -action, then its coordinate ring A carries a natural structure of a Z-graded ring  $A = \bigoplus_{i \in \mathbb{Z}} A_i$ . As was shown in [FlZa<sub>1</sub>], such a  $\mathbb{C}^*$ -action on V has a hyperbolic fixed point if and only if  $C = \operatorname{Spec} A_0$  is a smooth affine curve and  $A_{\pm 1} \neq 0$ . The structure of the graded ring A can be elegantly described in this case in terms of a pair  $(D_+, D_-)$  of Q-divisors on C with  $D_+ + D_- \leq 0$ . More precisely, A is the graded subring

$$A = A_0[D_+, D_-] \subseteq K_0[u, u^{-1}], \quad K_0 := \operatorname{Frac} A_0,$$

where for  $i \ge 0$ 

(1)  $A_i = \{f \in K_0 \mid \operatorname{div} f + iD_+ \ge 0\} u^i$  and  $A_{-i} = \{f \in K_0 \mid \operatorname{div} f + iD_- \ge 0\} u^{-i}$ .

This presentation of A (or V) is called in [FlZa<sub>1</sub>] a *DPD-presentation*. Furthermore two pairs  $(D_+, D_-)$  and  $(D'_+, D'_-)$  define equivariantly isomorphic surfaces over C if and only if they are *equivalent* that is,

$$D_+ = D'_+ + \operatorname{div} f$$
 and  $D_- = D'_- - \operatorname{div} f$  for some  $f \in K_0^{\times}$ .

Our main result (Theorem 2.4) states that if such a surface V admits also a  $\mathbb{C}_+$ -action then it can be  $\mathbb{C}^*$ -equivariantly embedded (up to normalization) into a weighted projective space as a hypersurface minus a hyperplane; see also Remark 2.5 and Corollary 2.6 below. In particular we recover the following difficult result of Daigle and Russell (see [DR, Theorem A]; cf. also Remark 3.4 below).

**Theorem 1.1.** Let V be a normal Gizatullin surface<sup>1</sup> with a finite divisor class group. Then V can be embedded into a weighted projective plane  $\mathbb{P}(a, b, c)$  minus a hypersurface. More precisely:

(a) If  $V = V_{d,e}$  is toric<sup>2</sup> then V is equivariantly isomorphic to the open part<sup>3</sup>  $\mathbb{D}_{+}(z)$ of the weighted projective plane  $\mathbb{P}(1, e, d)$  equipped with homogeneous coordinates (x:y:z) and with the 2-torus action  $(\lambda_1, \lambda_2).(x:y:z) = (\lambda_1 x: \lambda_2 y:z).$ 

<sup>&</sup>lt;sup>1</sup>That is, V admits a completion by a linear chain of smooth rational curves; see Section 3 below. <sup>2</sup>See 3.1(a) below.

<sup>&</sup>lt;sup>3</sup>We use the standard notation  $\mathbb{V}_+(f) = \{f = 0\}$  and  $\mathbb{D}_+(f) = \{f \neq 0\}$ .

(b) If V is non-toric then  $V \cong \mathbb{D}_+(xy - z^m) \subseteq \mathbb{P}(a, b, c)$  for some positive integers a, b, c satisfying a + b = cm and gcd(a, b) = 1.

### 2. Embeddings of $\mathbb{C}^*$ -surfaces into weighted projective spaces

According to Proposition 4.8 in [FlZa<sub>1</sub>] every normal affine  $\mathbb{C}^*$ -surface V is equivariantly isomorphic to the normalization of a weighted homogeneous surface V' in  $\mathbb{A}^4$ . In some cases (described in *loc.cit.*) V' can be chosen to be a hypersurface in  $\mathbb{A}^3$ . Cf. also [Du] for affine embeddings of some other classes of surfaces.

In Theorem 2.4 below (see also Remark 2.5) we show that any normal hyperbolic  $\mathbb{C}^*$ -surface V with a  $\mathbb{C}_+$ -action is the normalization of a principal Zariski open subset of some weighted projective hypersurface.

For our purposes it is convenient to consider also weighted projective spaces with any weights in  $\mathbb{Z}$  as introduced in [BS]. More precisely, if A is a finitely generated  $\mathbb{Z}$ -graded algebra over  $\mathbb{C}$  then we can form  $\operatorname{Proj} A$  to be the scheme covered by the affine pieces  $D_+(f) = \operatorname{Spec} A_{(f)}$ , where  $f \in A$  is homogeneous of non-zero degree and  $A_{(f)} = (A_f)_0$ . In particular for any  $d_0, \ldots, d_n \in \mathbb{Z}$  we can form a weighted projective space  $\mathbb{P}(d_0, \ldots, d_n) = \operatorname{Proj} \mathbb{C}[T_0, \ldots, T_d]$ , where deg  $T_i = d_i$  for  $i = 0, \ldots, d$ . We note that this space is in general not complete.

In the proofs we use the following observation from [Fl]; this Proposition was formulated in *loc.cit.* only for positively graded algebras. We note that this result – with exactly the same proof – is also valid for  $\mathbb{Z}$ -graded rings as stated here.

**Proposition 2.1.** Let  $R = \bigoplus_{i \in \mathbb{Z}} R_i$  be a graded  $R_0$ -algebra of finite type containing the field of rational numbers  $\mathbb{Q}$  and the group  $E_d \cong \mathbb{Z}/d\mathbb{Z}$  of dth roots of unity, where d > 0. If  $z \in R_d$  then  $E_d$  acts on R and then also on R/(z-1) via

$$\zeta.a = \zeta^i \cdot a \quad for \quad a \in R_i, \, \zeta \in E_d,$$

with ring of invariants  $(R/(z-1))^{E_d} \cong (R[1/z])_0$ . Consequently

$$(\operatorname{Spec} R/(z-1))/E_d \cong \mathbb{D}_+(z)$$

is isomorphic to the complement of the hypersurface  $\{z = 0\}$  in  $\operatorname{Proj}(R)$ .

We also recall the following result.

**Proposition 2.2.** Let  $V = \operatorname{Spec} A$  be a normal hyperbolic  $\mathbb{C}^*$ -surface with DPDpresentation

$$A = A_0[D_+, D_-] \subseteq Frac(A_0)[u, u^{-1}],$$

where  $(D_+, D_-)$  is a pair of  $\mathbb{Q}$ -divisors on the curve  $C = \operatorname{Spec} A_0$  with  $D_+ + D_- \leq 0$ . Then the following are equivalent.

(a) V carries a  $\mathbb{C}_+$ -action;

(b)  $A_0 \cong \mathbb{C}[t]$ , and after interchanging  $(D_+, D_-)$ , if necessary, the fractional part  $\{D_+\}$  of  $D_+$  is supported at one point.

For a proof we refer the reader to [FlZa<sub>2</sub>], Corollary 3.23.

**2.3.** We let now  $V = \operatorname{Spec} A_0[D_+, D_-]$  be a normal hyperbolic  $\mathbb{C}^*$ -surface carrying also a  $\mathbb{C}_+$ -action. Using Proposition 2.2 we can assume that  $A_0 = \mathbb{C}[t]$  and that, after

 $\mathbf{2}$ 

interchanging  $(D_+, D_-)$  and passing to an equivalent pair, if necessary,

(2) 
$$D_{+} = -\frac{e_{+}}{d}[0] \quad \text{with} \quad 0 < e_{+} \le d, \\ D_{-} = -\frac{e_{-}}{d}[0] - \frac{1}{k}D_{0} \quad \text{with} \quad k > 0, \ e_{+} + e_{-} \ge 0$$

and an integral effective divisor  $D_0$ , where  $D_0(0) = 0$ . We choose a polynomial  $Q \in \mathbb{C}[t]$  with  $D_0 = \operatorname{div}(Q)$ ; so  $Q(0) \neq 0$ .

### **Theorem 2.4.** Let F be the polynomial

(3) 
$$F = x^k y - s^{k(e_+ + e_-)} Q(s^d/z) z^{\deg Q} \in \mathbb{C}[x, y, z, s]$$

which is weighted homogeneous of degree  $k(e_++e_-)+d \deg Q$  with respect to the weights

(4) 
$$\deg x = e_+, \quad \deg y = ke_- + d \deg Q, \quad \deg z = d, \quad \deg s = 1.$$

Then the surface V as in 2.3 above is equivariantly isomorphic to the normalization of the principal Zariski open subset  $\mathbb{D}_+(z)$  of the hypersurface  $\mathbb{V}_+(F)$  in the weighted projective 3-space

(5) 
$$\mathbb{P} = \mathbb{P}(e_+, ke_- + d \deg Q, d, 1).$$

*Proof.* With  $s = \sqrt[d]{t}$  the field  $L = \operatorname{Frac}(A)[s]$  is a cyclic extension of  $K = \operatorname{Frac}(A)$ . Its Galois group is the group of dth roots of unity  $E_d$  acting on L via the identity on K and by  $\zeta . s = \zeta \cdot s$  if  $\zeta \in E_d$ . The normalization A' of A in L is stabilized by the action of  $E_d$  with invariant ring  $A = A'^{E_d}$ . According to Proposition 4.12 in [FlZa<sub>1</sub>]

$$A' = \mathbb{C}[s][D'_+, D'_-] \subseteq \mathbb{C}(s)[u, u^{-1}]$$

with  $D'_{\pm} = \pi^*_d(D_{\pm})$ , where  $\pi_d : \mathbb{A}^1 \to \mathbb{A}^1$  is the covering  $s \mapsto s^d$ . Thus

$$\left(D'_{+}, D'_{-}\right) = \left(-e_{+}[0], -e_{-}[0] - \frac{1}{k}\pi^{*}_{d}(D_{0})\right) = \left(-e_{+}[0], -e_{-}[0] - \frac{1}{k}\operatorname{div}(Q(s^{d}))\right).$$

The element  $x = s^{e_+}u \in A'_1$  is a generator of  $A'_1$  as a  $\mathbb{C}[s]$ -module. According to Example 4.10 in [FlZa<sub>1</sub>] the graded algebra A' is isomorphic to the normalization of

(6) 
$$B = \mathbb{C}[x, y, s] / (x^k y - s^{k(e_+ + e_-)} Q(s^d)).$$

More precisely, B can be considered as the subalgebra of L generated over  $\mathbb C$  by the elements

(7) 
$$s, \quad x = s^{e_+}u, \quad \text{and} \quad y = x^{-k}s^{k(e_++e_-)}Q(s^d).$$

Here the action of  $E_d$  is given by

$$\zeta .s = \zeta s \,, \quad \zeta .x = \zeta^{e_+} x \,, \quad \zeta .y = \zeta^{ke_-} y \,.$$

In particular this action stabilizes *B*. Assigning to x, y, z, s the degrees as in (4), *F* as in (3) is indeed weighted homogeneous. Since  $F(x, y, 1, s) = x^k y - s^{k(e_++e_-)}Q(s^d)$ , the graded algebra

$$R = \mathbb{C}[x, y, z, s]/(F)$$

satisfies  $R/(z-1) \cong B$ . Applying Proposition 2.1 Spec  $B^{E_d}$  is isomorphic to  $\mathbb{D}_+(z) \cap \mathbb{V}_+(F)$  in the weighted projective space  $\mathbb{P}$ . Thus the normalizations of Spec  $B^{E_d}$  and  $\mathbb{D}_+(z) \cap \mathbb{V}_+(F)$  are isomorphic as well. As normalization commutes with taking invariants the normalization of  $B^{E_d}$  is just  $A'^{E_d} = A$ , proving our result.  $\Box$ 

**Remark 2.5.** In general not all weights of the weighted projective space  $\mathbb{P}$  in (5) are positive. Indeed it can happen that  $ke_{-} + d \deg Q \leq 0$ . In this case we can choose  $\alpha \in \mathbb{N}$  with  $ke_{-} + d(\deg Q + \alpha) > 0$  and consider instead of F the polynomial

(8) 
$$\tilde{F} = x^k y - s^{k(e_+ + e_-)} Q(s^d/z) z^{\deg Q + \alpha} \in \mathbb{C}[x, y, z, s]$$

which is now weighted homogeneous of degree  $k(e_+ + e_-) + d(\deg Q + \alpha)$  with respect to the *positive* weights

(9) 
$$\deg x = e_+, \quad \deg y = ke_- + d(\deg Q + \alpha), \quad \deg z = d, \quad \deg s = 1.$$

As before  $V = \operatorname{Spec} A$  is isomorphic to the normalization of the principal open subset  $\mathbb{D}_+(z)$  of the hypersurface  $\mathbb{V}_+(F)$  in the weighted projective space

$$\mathbb{P} = \mathbb{P}(e_+, ke_- + d(\deg Q + \alpha), d, 1).$$

In certain cases it is unnecessary in Theorem 2.4 to pass to normalization.

**Corollary 2.6.** Assume that in (2) one of the following conditions is satisfied.

(*i*) k = 1;

(ii)  $e_+ + e_- = 0$ , and  $D_0$  is a reduced divisor.

Then V = Spec A is equivariantly isomorphic to the principal open subset  $\mathbb{D}_+(z)$  of the weighted projective hypersurface  $\mathbb{V}_+(F)$  as in (3) in the weighted projective space  $\mathbb{P}$ from (5).

*Proof.* In case (i) the hypersurface in  $\mathbb{A}^3$  with equation

$$F(x, y, 1, s) = xy - s^{e_+ + e_-}Q(s^d) = 0$$

is normal. In other words, the quotient R/(z-1) of the graded ring  $R = \mathbb{C}[x, y, z, s]/(F)$  is normal and so is its ring of invariants  $(R/(z-1))^{E_d}$ . Comparing with Theorem 2.4 the result follows.

Similarly, in case (ii)

$$F(x, y, 1, s) = x^k y - Q(s^d).$$

Since the divisor  $D_0$  is supposed to be reduced and  $D_0(0) = 0$ , the polynomials Q(t) and then also  $Q(s^d)$  both have simple roots. Hence the hypersurface F(x, y, 1, s) = 0 in  $\mathbb{A}^3$  is again normal, and the result follows as before.

**Remark 2.7.** The surface V as in 2.3 is smooth if and only if  $D_0$  is reduced and  $-m_+m_-(D_+(0) + D_-(0)) = 1$ , where  $m_{\pm} > 0$  are the denominators in the irreducible representation of  $D_{\pm}(0)$ , see Proposition 4.15 in [FlZa<sub>1</sub>]. It can happen, however, that V is smooth but the surface  $\mathbb{V}_+(F) \cap \mathbb{D}_+(z) \subseteq \mathbb{P}$  has non-isolated singularities. For instance, if in 2.3  $D_0 = 0$  (and so Q = 1), then V is an affine toric surface<sup>4</sup>. In fact, every affine toric surface different from  $(\mathbb{A}^1_*)^2$  or  $\mathbb{A}^1 \times \mathbb{A}^1_*$  appears in this way, see Lemma 4.2(b) in [FKZ\_1].

In this case the integer k > 0 can be chosen arbitrarily. For any k > 1, the affine hypersurface  $V_+(F) \cap \mathbb{D}_+(z) \subseteq \mathbb{P}$  with equation  $x^k y - s^{k(e_++e_-)} = 0$  has non-isolated singularities and hence is non-normal. Its normalization V = Spec A can be given as the Zariski open part  $\mathbb{D}_+(z)$  of the hypersurface  $V_+(xy'-s^{e_++e_-})$  in  $\mathbb{P}' = \mathbb{P}(e_+, e_-, d, 1)$ (which corresponds to the choice k = 1). Indeed, the element  $y' = s^{e_++e_-}/x \in K$  with  $y'^k = y$  is integral over A. However cf. Theorem 1.1(a).

<sup>&</sup>lt;sup>4</sup>See 3.1(a) below.

**Example 2.8.** (Danilov-Gizatullin surfaces) We recall that a Danilov-Gizatullin surface V(n) of index n is the complement to a section S in a Hirzebruch surface  $\Sigma_d$ , where  $S^2 = n > d$ . By a remarkable result of Danilov and Gizatullin [DaGi, Theorem 5.8.1] up to an isomorphism such a surface only depends on n and neither on d nor on the choice of the section S; see also [CNR], [FKZ<sub>3</sub>] for alternative proofs.

According to [FKZ<sub>1</sub>, §5], up to conjugation V(n) carries exactly (n-1) different  $\mathbb{C}^*$ -actions. They admit DPD-presentations with  $A_0 = \mathbb{C}[t]$  and

$$(D_+, D_-) = \left(-\frac{1}{d}[0], -\frac{1}{n-d}[1]\right), \text{ where } d = 1, \dots, n-1.$$

Applying Theorem 2.4 with  $e_+ = 1$ ,  $e_- = 0$ , and k = n - d, the  $\mathbb{C}^*$ -surface V(n) is the normalization of the principal open subset  $\mathbb{D}_+(z)$  of the hypersurface  $\mathbb{V}_+(F_{n,d}) \subseteq \mathbb{P}(1, d, d, 1)$  of degree n, where

$$F_{n,d}(x, y, z, s) = x^{n-d}y - s^{n-d}(s^d - z)$$

Taking here d = 1 it follows that V(n) is isomorphic to the normalization of the hypersurface  $x^{n-1}y - (s-1)s^{n-1} = 0$  in  $\mathbb{A}^3$ .

As our next example, let us consider yet another remarkable class of surfaces. These were studied from different viewpoints e.g., in [MM, Theorem 1.1], [FlZa<sub>3</sub>, Theorem 1.1(iii)], [GMMR, 3.8-3.9], [KK, Theorem 1.1. and Example 1], [Za, Theorem 1(b) and Lemma 7]. Collecting results from *loc.cit*. and from this section, we obtain the following equivalent characterizations.

**Theorem 2.9.** For a smooth affine surface V, the following conditions are equivalent.

- (i) V is not Gizatullin and admits an effective  $\mathbb{C}^*$ -action and an  $\mathbb{A}^1$ -fibration  $V \to \mathbb{A}^1$ with exactly one degenerate fiber, which is irreducible<sup>5</sup>.
- (ii) V is Q-acyclic,  $\bar{k}(V) = -\infty^6$  and V carries a curve  $\Gamma \cong \mathbb{A}^1$  with  $\bar{k}(V \setminus \Gamma) \ge 0$ .
- (iii) V is Q-acyclic and admits an effective  $\mathbb{C}^*$  and  $\mathbb{C}_+$ -actions. Furthermore, the  $\mathbb{C}^*$ -action possesses an orbit closure  $\Gamma \cong \mathbb{A}^1$  with  $\bar{k}(V \setminus \Gamma) \ge 0$ .
- (iv) The universal cover  $\tilde{V} \to V$  is isomorphic to a surface  $x^k y (s^d 1) = 0$  in  $\mathbb{A}^3$ , with the Galois group  $\pi_1(V) \cong E_d$  acting via  $\zeta.(x, y, s) = (\zeta x, \zeta^{-k} y, \zeta^e s)$ , where k > 1 and gcd(e, d) = 1.
- (v) V is isomorphic to the  $\mathbb{C}^*$ -surface with DPD presentation  $\operatorname{Spec} \mathbb{C}[t][D_+, D_-]$ , where

$$(D_+, D_-) = \left(-\frac{e}{d}[0], \ \frac{e}{d}[0] - \frac{1}{k}[1]\right) \quad with \quad 0 < e \le d, \ \gcd(e, d) = 1, \quad and \quad k > 1.$$

(vi) V is isomorphic to the Zariski open subset  $^{7}$ 

$$\mathbb{D}_+(x^ky - s^d) \subseteq \mathbb{P}(e, d - ke, 1), \quad where \quad 0 < e \le d, \ \gcd(e, d) = 1, \quad and \quad k > 1.$$

In view of the references cited above it remains to show that the surfaces in (v) and (vi) are isomorphic. By Corollary 2.6(ii) with  $e_{+} = -e_{-} = e$ , the surface V as in (v) is

<sup>&</sup>lt;sup>5</sup>Since V is not Gizatullin there is actually a unique  $\mathbb{A}^1$ -fibration  $V \to \mathbb{A}^1$ . A surface V as in (i) is necessarily a  $\mathbb{Q}$ -homology plane (or  $\mathbb{Q}$ -acyclic) that is, all higher Betti numbers of V vanish.

<sup>&</sup>lt;sup>6</sup>As usual,  $\bar{k}$  stands for the logarithmic Kodaira dimension.

<sup>&</sup>lt;sup>7</sup>In the case where d - ke < 0, see Remark 2.5.

isomorphic to the principal open subset  $\mathbb{D}_+(z)$  in the weighted projective hypersurface

$$V_+(x^ky - (s^d - z)) \subseteq \mathbb{P}(e, d - ke, d, 1)$$

Eliminating z from the equation  $x^k y - (s^d - z) = 0$  yields (vi).

These surfaces admit as well a constructive description in terms of a blowup process starting from a Hirzebruch surface, see [GMMR, 3.8] and [KK, Example 1].

An affine line  $\Gamma \cong \mathbb{A}^1$  on V as in (*ii*) is distinguished because it cannot be a fiber of any  $\mathbb{A}^1$ -fibration of V. There is always a family of such affine lines on V, see [Za].

Some of the surfaces as in Theorem 2.9 can be properly embedded in  $\mathbb{A}^3$  as *Bertin* surfaces  $x^e y - x - s^d = 0$ , see [FlZa<sub>2</sub>, Example 5.5] or [Za, Example 1].

## 3. GIZATULLIN SURFACES WITH A FINITE DIVISOR CLASS GROUP

A *Gizatullin surface* is a normal affine surface completed by a zigzag i.e., a linear chain of smooth rational curves. By a theorem of Gizatullin [Gi] such a surface can be characterized by the property that it admits two  $\mathbb{C}_+$ -actions with different general orbits, unless it is isomorphic to  $\mathbb{A}^1 \times \mathbb{A}^1_*$ .

In this section we give an alternative proof of the Daigle-Russell Theorem 1.1 cited in the Introduction. It will be deduced from the following result proven in  $[FKZ_2, Corollary 5.16]$ .

**Proposition 3.1.** Every normal Gizatullin surface with a finite divisor class group is isomorphic to one of the following surfaces.

(a) The toric surfaces  $V_{d,e} = \mathbb{A}^2/E_d$ , where the group  $E_d \cong \mathbb{Z}/d\mathbb{Z}$  of d-th roots of unity acts on  $\mathbb{A}^2$  via

$$\zeta.(x,y) = (\zeta x, \zeta^e y)$$

- (b) The non-toric  $\mathbb{C}^*$ -surfaces  $V = \operatorname{Spec} \mathbb{C}[t][D_+, D_-]$ , where
- (10)  $(D_+, D_-) = \left(-\frac{e}{m}[p], \frac{e}{m}[p] c[q]\right) \quad with \quad c \ge 1, \ p, q \in \mathbb{A}^1, \ p \neq q,$

and with coprime integers e, m such that  $1 \leq e < m$ .

Conversely, any normal affine  $\mathbb{C}^*$ -surface V as in (a) or (b) is a Gizatullin surface with a finite divisor class group.

Let us now deduce Theorem 1.1.

**3.2.** Proof of Theorem 1.1. To prove (a), we note that according to 2.1 the cyclic group  $E_d$  acts on the ring  $\mathbb{C}[x, y, z]/(z - 1) \cong \mathbb{C}[x, y]$  via  $\zeta . x = \zeta x, \ \zeta . y = \zeta^e y$ , and  $\zeta . z = z$ , where

 $\deg x = 1$ ,  $\deg y = e$ , and  $\deg z = d$ .

Hence  $\mathbb{D}_+(z) = \operatorname{Spec} \mathbb{C}[x, y]^{E_d} = V_{d,e}$ , as required in (a).

To show (b) we consider  $V = \operatorname{Spec} A$  as in 3.1(b), where

$$A = \mathbb{C}[t][D_+, D_-] \subseteq \mathbb{C}(t)[u, u^{-1}].$$

By definition (see (1)) the homogeneous pieces  $A_{\pm 1}$  of A are generated as  $\mathbb{C}[t]$ -modules by the elements

 $u_{+} = tu$  and  $u_{-} = (t-1)^{c} u^{-1}$ ,

and similarly  $A_{\pm m}$  by

$$v_{+} = t^{e}u^{m}$$
 and  $v_{-} = t^{-e}(t-1)^{cm}u^{-m}$ .

Thus

$$u_{+}^{m} = t^{m-e}v_{+}, \quad u_{-}^{m} = t^{e}v_{-}, \text{ and } u_{+}u_{-} = t(t-1)^{c}.$$

The algebra A is the integral closure of the subalgebra generated by  $u_{\pm}$ ,  $v_{\pm}$  and t.

Consider now the normalization A' of A in the field  $L = \operatorname{Frac}(A)[u'_+]$ , where

(11) 
$$u'_{+} = \sqrt[d]{v_{+}}$$
 with  $d = cm$ .

Clearly the elements  $\sqrt[m]{v_+} = t^{\frac{e-m}{m}} u_+$  and then also  $t^{\frac{e-m}{m}}$  both belong to L. Since e and m are coprime we can choose  $\alpha, \beta \in \mathbb{Z}$  with  $\alpha(e-m) + \beta m = 1$ . It follows that the element  $\tau := t^{\frac{1}{m}} = t^{\alpha \frac{e-m}{m}} t^{\beta}$  is as well in L whence being integral over A we have  $\tau \in A'$ .

The element  $u'_+$  as in (11) and then also  $u'_- := \sqrt[d]{v_-} = (t-1)(\sqrt[d]{v_+})^{-1}$  belongs to A'. Now  $v_+v_- = (t-1)^{cm}$ , so taking dth roots we get for a suitable choice of the root  $u'_-$ , (12)

(12) 
$$u'_{+}u'_{-} = \tau^{m} - 1.$$

We note that  $u_{\pm}$ ,  $v_{\pm}$  and t are contained in the subalgebra  $B = \mathbb{C}[u'_+, u'_-, \tau] \subseteq A'$ . The equation (12) defines a smooth surface in  $\mathbb{A}^3$ . Hence B is normal and so

$$A' = B \cong \mathbb{C}[u'_+, u'_-, \tau] / (u'_+ u'_- - (\tau^m - 1)) \,.$$

By Lemma 3.3 below, for a suitable  $\gamma \in \mathbb{Z}$  the integers  $a = e - \gamma m$  and d are coprime. We may assume as well that  $1 \leq a < d$ . We let  $E_d$  act on A' via  $\zeta . u'_+ = \zeta^a u'_+$  and  $\zeta | A = \mathrm{id}_A$ . Since  $\mathrm{gcd}(a, d) = 1$ , A is the invariant ring of this action. We claim that the action of  $E_d$  on  $(u'_+, u'_-, \tau)$  is given by

(13) 
$$\zeta . u'_{+} = \zeta^{a} u'_{+}, \quad \zeta . u'_{-} = \zeta^{-a} u'_{-} = \zeta^{b} u'_{-} \quad \text{and} \quad \zeta . \tau = \zeta^{c} \tau ,$$

where b = d - a. Indeed, the equality  $u_{+}^{\prime c} = t^{\frac{e-m}{m}} u_{+} = \tau^{e-m} u_{+}$  implies that  $\zeta \cdot \tau^{e-m} = \zeta^{ac} \tau^{e-m}$ . Since  $\tau = \tau^{\alpha(e-m)} t^{\beta}$  the element  $\zeta \in E_d$  acts on  $\tau$  via  $\zeta \cdot \tau = \zeta^{\alpha ca} \tau$ . In view of the congruence  $\alpha a \equiv 1 \mod m$  the last expression equals  $\zeta^c \tau$ . Now the last equality in (13) follows. In the equation  $u_{+}^{\prime} u_{-}^{\prime} = \tau^m - 1$  the term on the right is invariant under  $E_d$ . Hence also the term on the left is. This provides the second equality in (13).

The algebra  $B = \mathbb{C}[u'_+, u'_-, \tau]$  is naturally graded via

$$\deg u'_{+} = a, \quad \deg u'_{-} = b, \quad \text{and} \quad \deg \tau = c$$

According to Proposition 2.1 Spec  $A = \text{Spec } A'^{E_d}$  is the complement of the hypersurface  $\mathbb{V}_+(f)$  of degree d = a + b in the weighted projective plane

$$\mathbb{P}(a, b, c)$$
, where  $f = u'_+ u'_- - \tau^m$ ,

proving (b).

To complete the proof we still have to show the following elementary lemma.

**Lemma 3.3.** Assume that  $e, m \in \mathbb{Z}$  are coprime. Then for every  $c \geq 2$  there exists  $\gamma \in \mathbb{Z}$  such that  $\gamma m - e$  and c are coprime.

*Proof.* Write c = c'd such that c' and m are coprime and every prime factor of d divides m. Then for any  $\gamma \in \mathbb{Z}$  the integers  $\gamma m - e$  and d are coprime. Hence it is enough to establish the existence of  $\gamma \in \mathbb{Z}$  such that  $\gamma m - e$  and c' are coprime. However, the latter is evident since the residue classes of  $\gamma m$ ,  $\gamma \in \mathbb{Z}$ , in  $\mathbb{Z}/c'\mathbb{Z}$  cover this group.  $\Box$ 

**Remark 3.4.** We can also recover the criterion given in Theorem A(3) in [DR] for when two surfaces as in Theorem 1.1 are isomorphic. More precisely we can argue in the cases (a) and (b) of this theorem as follows.

(a) It is a classical fact that two toric surfaces  $V_{d,e}$  and  $V_{d',e'}$  are isomorphic if and only if (d, e) = (d', e') or d = d' and  $ee' \equiv 1 \mod d$ , see e.g. [FlZa<sub>1</sub>, Remark 2.5]. Hence two triples (1, e, d) and (1, e', d') as in Theorem 1.1(a) define isomorphic surfaces if and only if (d, e) = (d', e') or d = d' and  $ee' \equiv 1 \mod d$ . We note that here the abstract isomorphism type and equivariant isomorphism type amount to the same.

(b) As follows from Theorem 0.2 in [FKZ<sub>2</sub>], the integers c, m in Theorem 1.1(b) are invariants of the (abstract) isomorphism type of V. Indeed, the fractional parts of both divisors  $D_{\pm}$  as in (10) being nonzero and concentrated at the same point, there is a unique DPD presentation for V up to interchanging  $D_{+}$  and  $D_{-}$ , passing to an equivalent pair and applying an automorphism of the affine line  $\mathbb{A}^{1} = \operatorname{Spec} \mathbb{C}[t]$ .

Furthermore, from the proof of Theorem 1.1 one can easily derive that

 $a \equiv e \mod m$  and  $b = mc - a \equiv -e \mod m$ .

Therefore also the pair (a, b) is uniquely determined by the (abstract) isomorphism type of V up to a transposition and up to replacing (a, b) by (a', b') = (a - sm, b + sm), while keeping gcd(a', b') = 1.

#### References

- [BS] H. Brenner, S. Schröer: Ample families, multihomogeneous spectra, and algebraization of formal schemes. Pacific J. Math. 208 (2003), 209-230.
- [CNR] P. Cassou-Noguès, P. Russell: Birational morphisms  $\mathbb{C}^2 \to \mathbb{C}^2$  and affine ruled surfaces, in: Affine algebraic geometry. In honor of Prof. M. Miyanishi, 57–106. Osaka Univ. Press, Osaka 2007.
- [DR] D. Daigle, P. Russell: On log Q-homology planes and weighted projective planes. Can. J. Math. 56 (2004), 1145–1189.
- [DaGi] V. I. Danilov, M. H. Gizatullin: Automorphisms of affine surfaces. II. Math. USSR Izv. 11 (1977), 51–98.
- [Du] A. Dubouloz: *Embeddings of Danielewski surfaces in affine spaces*. Comment. Math. Helv. 81 (2006), 49–73.
- [Fl] H. Flenner: Rationale quasihomogene Singularitten, Arch. Math. 36 (1981), 35–44.
- [FKZ<sub>1</sub>] H. Flenner, S. Kaliman, M. Zaidenberg: Completions of C<sup>\*</sup>-surfaces, in: Affine algebraic geometry. In honor of Prof. M. Miyanishi, 149-200. Osaka Univ. Press, Osaka 2007.
- [FKZ<sub>2</sub>] H. Flenner, S. Kaliman, M. Zaidenberg: Uniqueness of C<sup>\*</sup>- and C<sub>+</sub>-actions on Gizatullin surfaces. Transformation Groups 13:2 (2008), 305–354.
- [FKZ<sub>3</sub>] H. Flenner, S. Kaliman, M. Zaidenberg: On the Danilov-Gizatullin Isomorphism Theorem. arXiv:0808.0459, Enseignement Mathématiques, 9p. (to appear).
- [FKZ<sub>4</sub>] H. Flenner, S. Kaliman, M. Zaidenberg, Smooth Gizatullin surfaces with non-unique C<sup>\*</sup>actions, arXiv:0809.0651., J. of Algebraic Geometry, 57p. (to appear).
- [FlZa1] H. Flenner, M. Zaidenberg: Normal affine surfaces with C<sup>\*</sup>-actions, Osaka J. Math. 40, 2003, 981–1009.
- [FlZa<sub>2</sub>] H. Flenner, M. Zaidenberg: Locally nilpotent derivations on affine surfaces with a C<sup>\*</sup>-action. Osaka J. Math. 42, 2005, 931–974.
- [FlZa<sub>3</sub>] H. Flenner, M. Zaidenberg: On a result of Miyanishi-Masuda. Arch. Math. 87 (2006), 15–18.
- [Gi] M.H. Gizatullin: Quasihomogeneous affine surfaces. (in Russian) Izv. Akad. Nauk SSSR Ser. Mat. 35 (1971), 1047–1071.
- [GMMR] R.V. Gurjar, K. Masuda, M. Miyanishi, P. Russell: Affine lines on affine surfaces and the Makar-Limanov invariant. Canad. J. Math. 60 (2008), 109–139.

- [KK] T. Kishimoto, H. Kojima: Affine lines on Q-homology planes with logarithmic Kodaira dimension −∞, Transform. Groups 11 (2006), 659–672; ibid. 13:1 (2008), 211-213.
- [MM] M. Miyanishi, K. Masuda: Affine Pseudo-planes with torus actions. Transform. Groups 11 (2006), 249–267.
- [Za] M. Zaidenberg: Affine lines on Q-homology planes and group actions. Transform. Groups 11 (2006), 725–735.

FAKULTÄT FÜR MATHEMATIK, RUHR UNIVERSITÄT BOCHUM, GEB. NA2/72, UNIVERSITÄTSSTR. 150, 44780 BOCHUM, GERMANY

*E-mail address*: Hubert.Flenner@ruhr-uni-bochum.de

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MIAMI, CORAL GABLES, FL 33124, U.S.A. *E-mail address*: kaliman@math.miami.edu

UNIVERSITÉ GRENOBLE I, INSTITUT FOURIER, UMR 5582 CNRS-UJF, BP 74, 38402 St. Martin d'Hères cédex, France

*E-mail address*: zaidenbe@ujf-grenoble.fr