

## THE FUNDAMENTAL THEOREM OF ALGEBRA

The Fundamental Theorem of Algebra was first proved by Gauss (at a very young age!). There are now many proofs of this theorem known. Here we give one which uses a number of things we've done in Math 25.

Let  $F$  be a field, and let  $F[x]$  denote the set of all polynomials in one variable with coefficients in  $F$ . As usual, we denote the field of complex numbers by  $\mathbf{C}$ . By a *root* of a polynomial  $P(x) \in F[x]$ , we mean an element  $\lambda \in F$  such that  $P(\lambda) = 0$ . According to the *Factor theorem* (see Curtis, section 20),  $P(\lambda) = 0$  iff  $x - \lambda$  divides  $P(x)$ .

**Theorem 1:** (Fundamental Theorem of Algebra) If  $P(z) \in \mathbf{C}[z]$  has degree  $n \geq 1$ , then there exists a nonzero  $a \in \mathbf{C}$  and elements  $\lambda_1, \dots, \lambda_n \in \mathbf{C}$  such that

$$P(z) = a(z - \lambda_1) \cdots (z - \lambda_n).$$

To prove the Fundamental Theorem of Algebra (henceforth called the FTA), it suffices to prove the seemingly weaker statement:

**Theorem 1':** Every nonconstant polynomial  $P(z) \in \mathbf{C}[z]$  has a complex root.

Proof that Theorem 1' implies Theorem 1:

We use induction on the degree  $n$  of  $P$ . If  $n = 1$ , then  $P(z) = az + b$  for some  $a, b \in \mathbf{C}$  with  $a \neq 0$ , and we're done by setting  $\lambda_1 = -b/a$ .

Now assume that the FTA is true for all polynomials of degree  $\leq n - 1$ . Let  $P$  be a polynomial of degree  $n$ , and let  $\lambda_n$  be a complex root of  $P$  (which is guaranteed to exist by Theorem 1'). By the Factor theorem,  $z - \lambda_n$  divides  $P$ , i.e., there exists  $Q(z) \in \mathbf{C}[z]$  of degree  $n - 1$  such that  $P(z) = (z - \lambda_n)Q(z)$ . The proof follows by applying the inductive hypothesis to  $Q(z)$ . QED

To prove Theorem 1', we need to review five facts. For the rest of these notes,  $P(z)$  will denote a nonzero polynomial of degree  $n \geq 1$  with complex coefficients, and we will think of  $P$  as giving us a continuous map from  $\mathbf{C}$  to  $\mathbf{C}$ , or equivalently from  $\mathbf{R}^2$  to  $\mathbf{R}^2$ .

1. If  $n \geq 2$  and  $A \subset \mathbf{R}^n$  is a finite set of points, then  $\mathbf{R}^n - A$  is path connected, hence connected. [We leave this as an exercise. Note that this would be false for  $n = 1$ .]

2. If  $P(z) \in \mathbf{C}[z]$  is a nonzero polynomial of degree  $n$ , then  $P$  has *at most*  $n$  complex roots. [This is easily proved using the induction and the factor theorem.]
3. If  $S$  denotes the set of roots of  $P'(z)$  (the complex derivative of  $P(z)$ ), and  $S'$  denotes  $P(S)$ , then

$$P : \mathbf{R}^2 - S \rightarrow \mathbf{R}^2 - S'$$

is an *open mapping* (the image of every open set is open). [This follows from Math 25(b) HW # 4, Problem 6(e), which was itself a consequence of the inverse function theorem and the Cauchy–Riemann equations.]

4. In addition,

$$P : \mathbf{R}^2 - S \rightarrow \mathbf{R}^2 - S'$$

is a *closed mapping* (the image of every closed set is closed). [This follows from Math 25(b) HW # 5, Problem 4, since the inverse image of a bounded set in  $\mathbf{R}^2 - S'$  must be bounded.]

5. If  $X$  is a connected metric space, then the only subsets of  $X$  which are both open and closed are  $\emptyset$  and  $X$ . [This follows from Math 25(a) HW # 8, Problem 3(c).]

Proof of Theorem 1':

Since  $n \geq 1$ ,  $P'(z)$  is not identically zero. By (3) and (4),  $P(\mathbf{R}^2 - S)$  is both open and closed in  $\mathbf{R}^2 - S'$ . By (2),  $S$  is finite, so  $S'$  is finite, and therefore by (1),  $\mathbf{R}^2 - S'$  is connected. By (5),  $P : \mathbf{R}^2 - S \rightarrow \mathbf{R}^2 - S'$  is *surjective*. Since  $S' = P(S)$ ,  $P : \mathbf{R}^2 \rightarrow \mathbf{R}^2$  is surjective. Therefore 0 is in the image, so  $P$  has a root. QED