Rational Catalan Combinatorics (Type A)

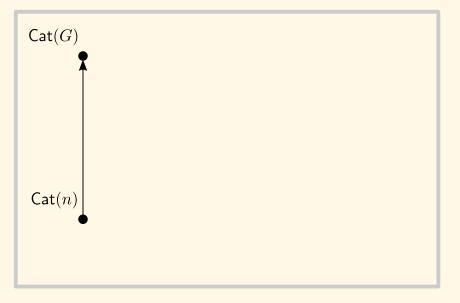
Drew Armstrong

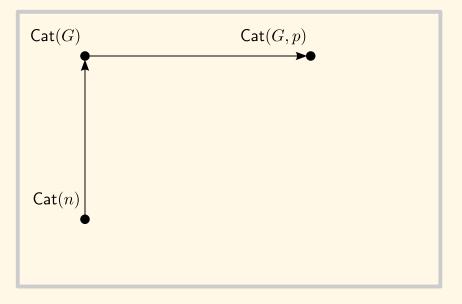
University of Miami www.math.miami.edu/~armstrong

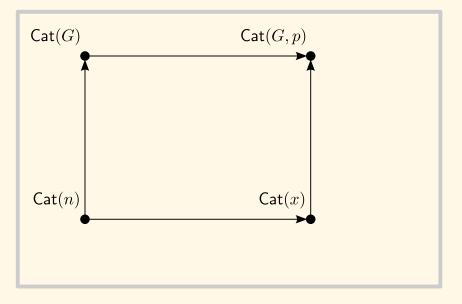
AIM Workshop December 17–21, 2012

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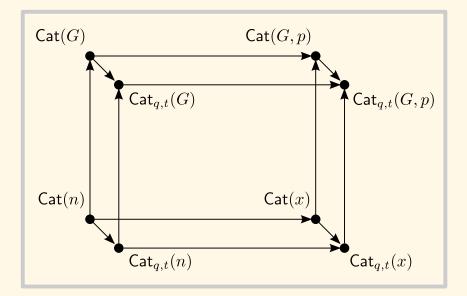






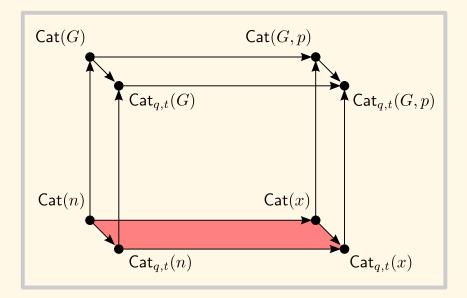


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Catalan Combinatorics? This talk is the red stuff.



Plan for the Talk

Catalan Numbers

- Dyck Paths
- Noncrossing Partitions
- Associahedra
- Core Partitions
- Parking Functions
- Parking Spaces (q and t)

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Rational Catalan Numbers

CONVENTION

Given $x \in \mathbb{Q} \setminus [-1, 0]$, there exist **unique coprime** $(a, b) \in \mathbb{N}^2$ such that

$$x = \frac{a}{b-a}$$

We will always identify $x \leftrightarrow (a, b)$.

Definition

For each $x \in \mathbb{Q} \setminus [-1, 0]$ we define the **Catalan number**:

$$Cat(x) = Cat(a, b) := \frac{1}{a+b} \binom{a+b}{a, b} = \frac{(a+b-1)!}{a!b!}$$

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When $b = 1 \mod a \ldots$

Eugène Charles Catalan (1814-1894)

(a,b) = (n, n+1) gives the good old Catalan number:

$$\operatorname{Cat}(n) = \operatorname{Cat}\left(\frac{n}{(n+1)-n}\right) = \frac{1}{2n+1}\binom{2n+1}{n}.$$

Nicolaus Fuss (1755-1826)

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Observation

The process $Cat(x) \mapsto Cat'(x) \mapsto Cat''(x) \mapsto \cdots$ is a categorification of the Euclidean algorithm.

Example: x = 5/3 and (a, b) = (5, 8)

Subtract the smaller from the larger:

Cat(5,8) = 99, Cat'(5,8) = Cat(3,5) = 7, Cat''(5,8) = Cat'(3,5) = Cat(2,3) = 2,Cat'''(5,8) = Cat''(3,5) = Cat'(2,3) = Cat(1,2) = 1 (STOP)

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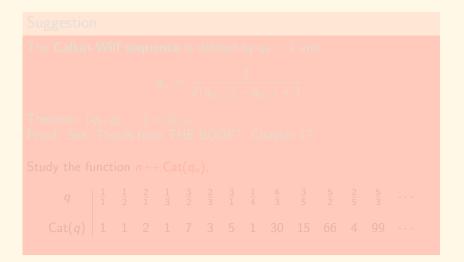
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How to put it in Sloane's OEIS



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Suggestion

The **Calkin-Wilf sequence** is defined by $q_1 = 1$ and

$$q_n := rac{1}{2\lfloor q_{n-1}
floor - q_{n-1} + 1}.$$

Theorem: $(q_1, q_2, ...) = \mathbb{Q}_{>0}$. Proof: See "Proofs from THE BOOK", Chapter 17.

Study the function $n \mapsto Cat(q_n)$.

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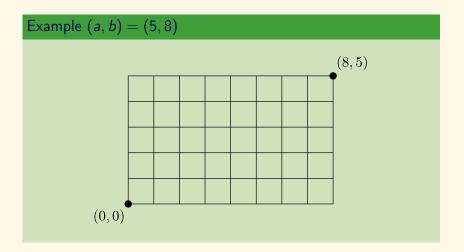
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Well, that was fun.

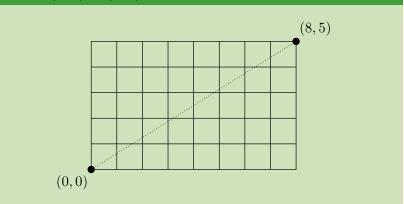


• Consider the "Dyck paths" in an $a \times b$ rectangle.

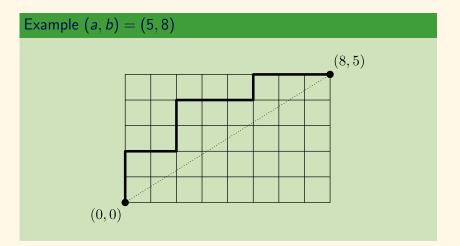


• Again let x = a/(b-a) with a, b positive and coprime.

Example (a, b) = (5, 8)



• Let $\mathcal{D}(x) = \mathcal{D}(a, b)$ denote the set of Dyck paths.



The number of Dyck paths is the Catalan number:

$$|\mathcal{D}(x)| = \operatorname{Cat}(x) = \frac{1}{a+b} \binom{a+b}{a,b}.$$

- Claimed by Grossman (1950), "Fun with lattice points, part 22".
- Proved by Bizley (1954), in Journal of the Institute of Actuaries.
- Proof: Break (^{a+b}_{a,b}) lattice paths into cyclic orbits of size a + b. Each orbit contains a unique Dyck path.

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Theorem (Armstrong 2010, Loehr 2010)

► The number of Dyck paths with k vertical runs equals

$$\operatorname{Nar}(x;k) := \frac{1}{a} \binom{a}{k} \binom{b-1}{k-1}.$$

Call these the Narayana numbers

And the number with r_j vertical runs of length j equals

Krew(x; **r**) :=
$$\frac{1}{b} \binom{b}{r_0, r_1, \dots, r_a} = \frac{(b-1)!}{r_0!r_1!\cdots r_a!}$$

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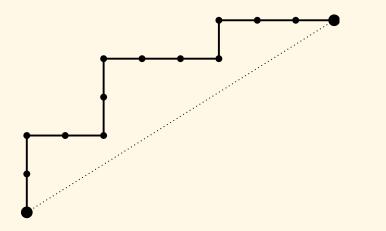
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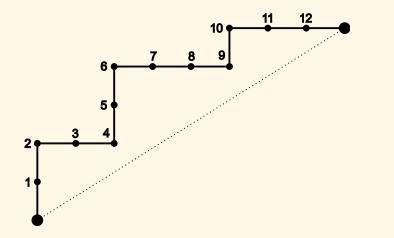
Next: Rational NC Partitions

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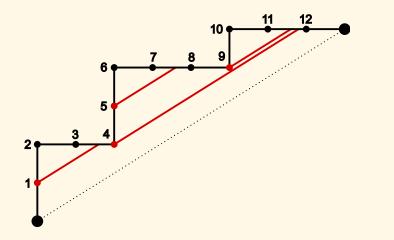
• Start with a Dyck path. Here (a, b) = (5, 8).



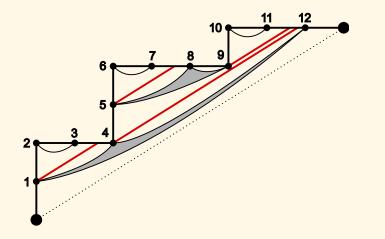
• Label the internal vertices by $\{1, 2, \ldots, a + b - 1\}$.



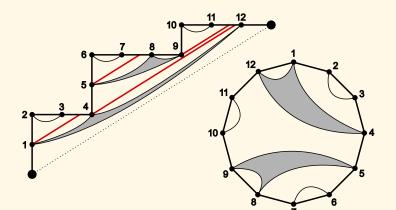
• Shoot lasers from the bottom left with slope a/b.



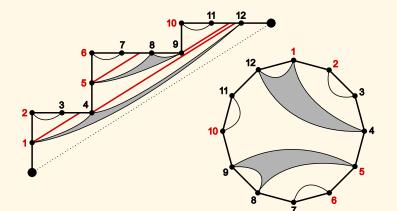
Who can see each other?



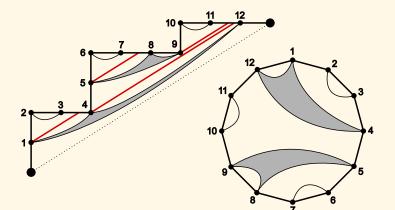
► There you go!



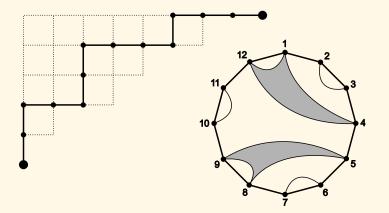
We have created Cat(x) = ¹/_a (^{a+b}/_{a,b}) different noncrossing partitions of the cycle [a + b − 1], and each of them has a blocks.



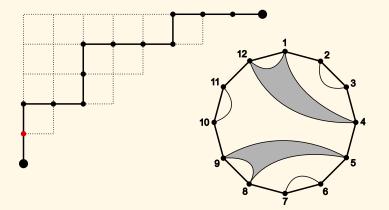
▶ Q: What does "rotation" of the partition correspond to?



• A: Think of the path as a maximal chain in a poset.

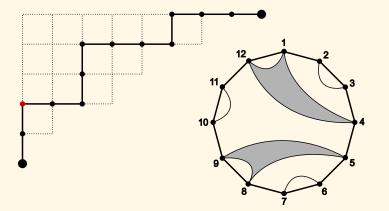


▶ Perform "promotion" on the chain.

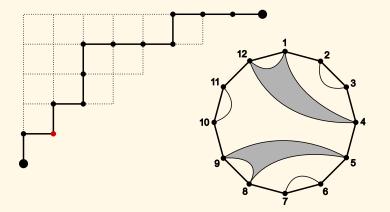


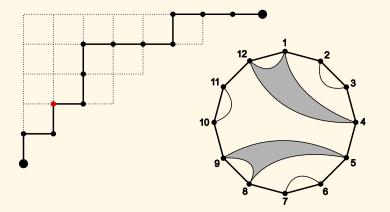
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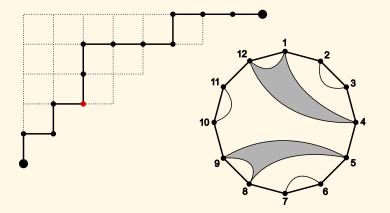
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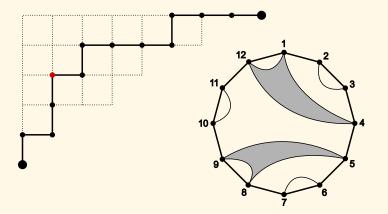


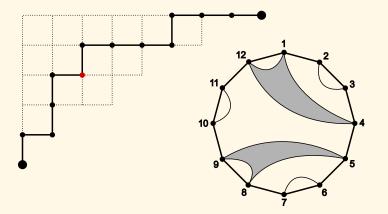
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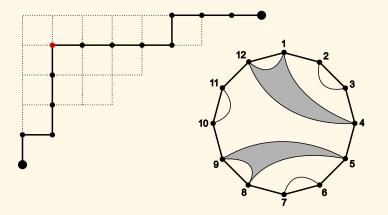


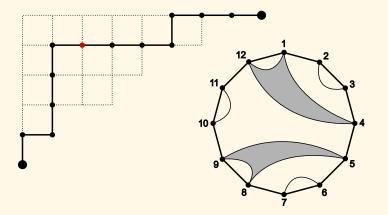


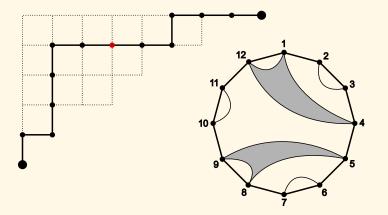


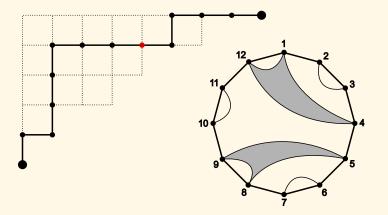


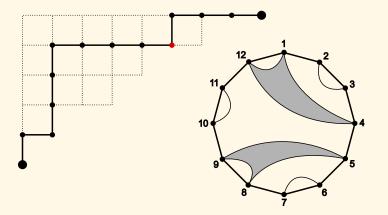


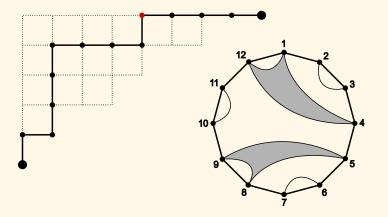


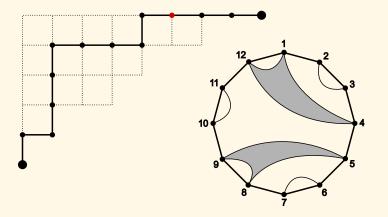


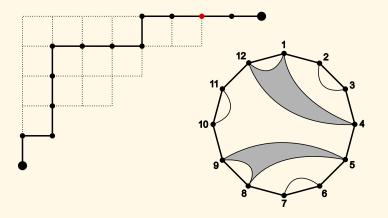




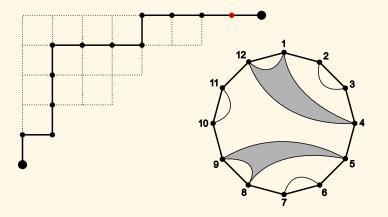




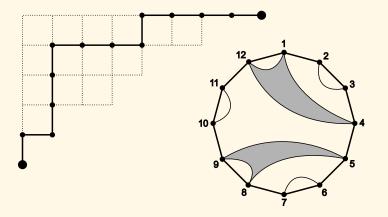




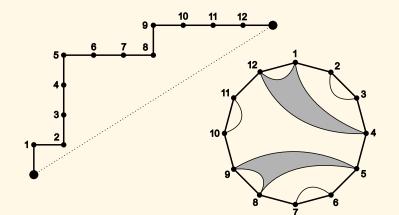
▶ Perform "promotion" on the chain.



▶ Perform "promotion" on the chain.

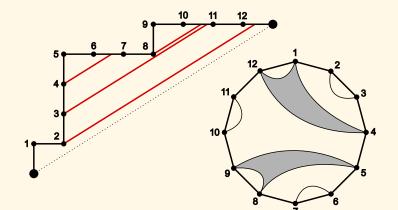


► Think of it as a path again.



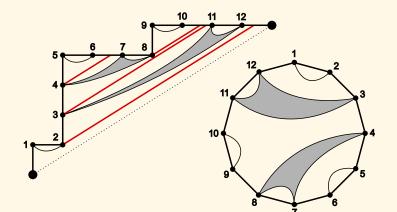
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► Again the lasers.

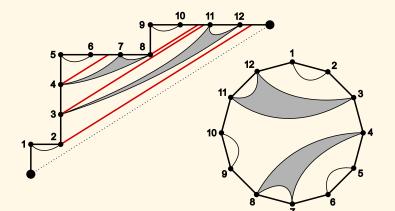


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► And there you go!



• Drew: mention the case (a, b) = (n, (k-1)n+1).

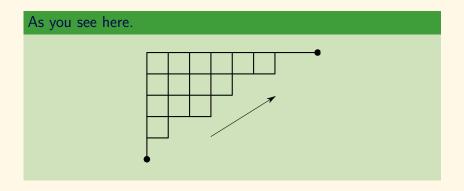


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Definition

For (a, b) coprime, consider the triangle poset

$$\mathcal{T}(a,b):=\{(x,y)\in\mathbb{Z}^2:y\leq a,\ x\leq b,\ yb-xa\geq 0\}.$$



Results (with Nathan Williams)

- ▶ Promotion on T(a, b) has order a + b 1.
- Conjecture: The number of chains invariant under promotion^d is the q-Catalan number evaluated at a root of unity:

$$\frac{1}{[a+b]_q} \begin{bmatrix} a+b\\a,b \end{bmatrix}_q \Big|_{q=e^{\frac{2\pi id}{a+b-1}}}$$

𝓕 𝒯(n, n + 1) is related to the type A root poset.

D.White, Panyushev, Striker-Williams, A-Stump-Thomas.

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Our rational NC partitions don't form a nice poset. Indeed, they each have the same number of blocks! (i.e., a)

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Question

Can one define a nice poset of rational NC partitions?

Answer

Our rational NC partitions don't form a nice poset. Indeed, they each have the same number of blocks! (i.e., a)

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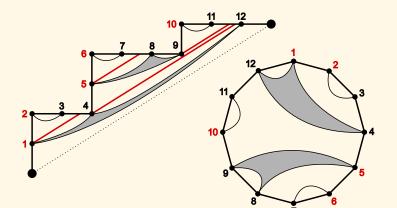
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Question

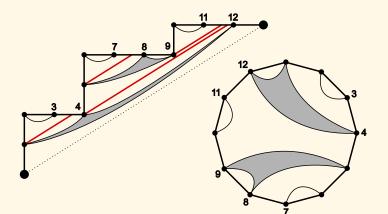
Can one define a nice poset of rational NC partitions?

Answer

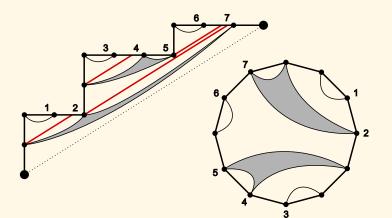
► Recall this.



► Now we label only the horizontal steps.

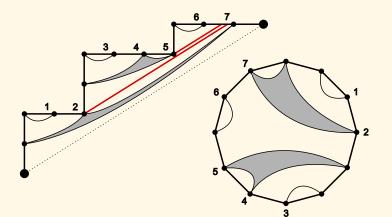


Now we label only the horizontal steps.

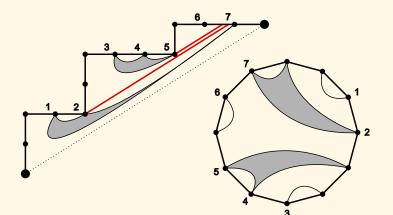


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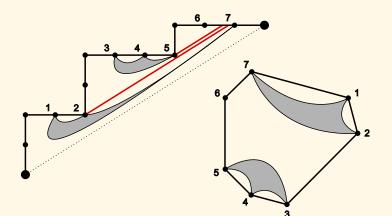
Now we shoot lasers only from the corners.



Now who can see each other?



► There you go!



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Definition

Let NC(x) = NC(a, b) be the poset of non-homogeneous NC partitions.

Facts (with Nathan Williams)

- NC(n, n+1) = NC(n) is the good old noncrossing partitions
- ▶ NC(n, (k-1)n+1) is the k-divisible noncrossing partitions.
- ▶ NC(a, b) is a (graded) order filter in NC(b-1)
- ▶ NC(a, b) is ranked by the Narayana numbers $\frac{1}{a} {a \choose k} {b-1 \choose k-1}$.
- NC(x) has Cat(x) = $\frac{1}{a+b} {a,b \choose a,b}$ elements
- NC(x) has Cat'(x) = $\frac{1}{b} {b \choose a}$ elements of minimum rank.

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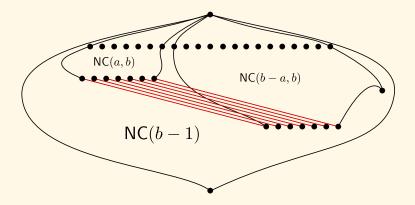
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Rational Duality

• Note that $x \leftrightarrow 1/x$ is the same as $(a < b) \leftrightarrow (b - a < b)$.



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The good old associahedron is a nice polytope with *h*-vector given by the good old Narayana numbers.

Question

Can one define a rational associahedron with *h*-vector given by

$$\operatorname{Nar}(x;k) = \frac{1}{a} \binom{a}{k} \binom{b-1}{k-1}?$$

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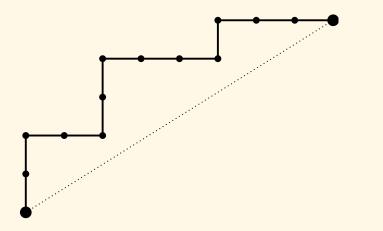
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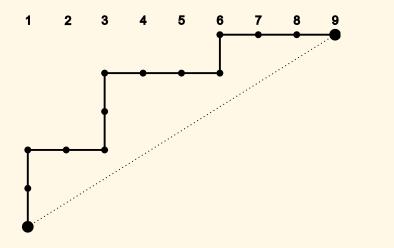
Answer

• Start with a Dyck path. Here (a, b) = (5, 8).



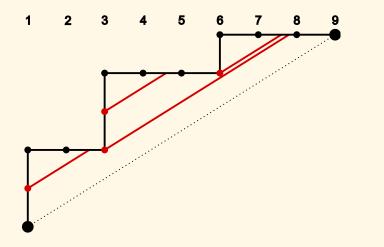
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• Label the columns by $\{1, 2, \ldots, b+1\}$.

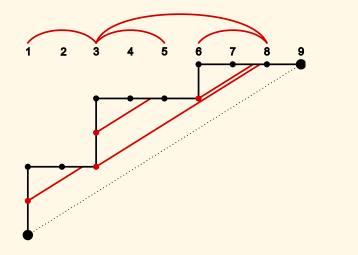


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• Shoot some lasers from the bottom left with slope a/b.

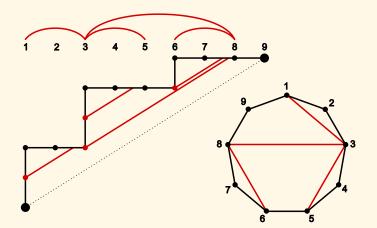


► Lift the lasers up.

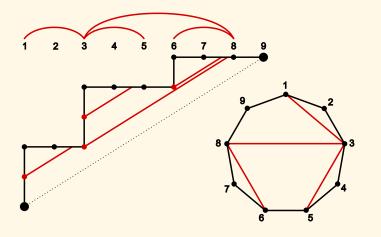


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► There you go!



▶ We have created Cat(x) = ¹/_a (^{a+b}) different "rational dissections" of the cycle [b + 1], and each of them has a diagonals.



Definition

Let Ass(x) = Ass(a, b) be the simplicial complex with the desired facets.

- Ass(n, n+1) = Ass(n) is the good old associated ron
- ▶ Ass(n, (k − 1)n + 1) is the generalized cluster complex of Athanasiadis-Tzanaki and Fomin-Reading.
- Ass(x) has Cat(x) facets, and Euler characteristic Cat'(x).
- Ass(x) is shellable with *h*-vector Nar(x; k) = $\frac{1}{a} {a \choose k} {b-1 \choose k-1}$.
- Hence its f-vector is given by the Kirkman numbers:

$$\operatorname{Kirk}(x;k) := \frac{1}{a} \binom{a}{k} \binom{b+k-1}{k-1}.$$

Definition

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- Ass(n, n + 1) = Ass(n) is the good old associated associated ron
- ► Ass(n, (k 1)n + 1) is the generalized cluster complex of Athanasiadis-Tzanaki and Fomin-Reading.
- Ass(x) has Cat(x) facets, and Euler characteristic Cat'(x).
- Ass(x) is shellable with *h*-vector Nar(x; k) = $\frac{1}{a} {a \choose k} {b-1 \choose k-1}$.
- Hence its f-vector is given by the Kirkman numbers:

$$\operatorname{Kirk}(x;k) := \frac{1}{a} \binom{a}{k} \binom{b+k-1}{k-1}.$$

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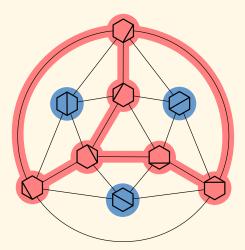
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Rational Duality = Alexander Duality

► E.g. Ass(2/3) and Ass(3/2) are Alexander dual inside Ass(4).



Definition

Let $\lambda \vdash n$ be an integer partition of "size" n.

- Say λ is a *p*-core if it has no cell with hook length *p*.
- Say λ is an (a, b)-core if it has no cell with hook length a or b.

Example

The partition $(5, 4, 2, 1, 1) \vdash 13$ is a (5, 8)-core.

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Theorem (Anderson 2002)

The number of (a, b)-cores (of any size) is finite if and only if (a, b) are coprime, in which case they are counted by the Catalan number

$$\operatorname{Cat}(a,b) = \frac{1}{a+b} \begin{pmatrix} a+b\\ a,b \end{pmatrix}.$$

Theorem (Olsson-Stanton 2005, Vandehey 2008)

For (a, b) coprime \exists unique largest (a, b)-core of size $\frac{(a^2-1)(b^2-1)}{24}$, which contains all others as subdiagrams.

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Suggestion

Study Young's lattice restricted to (a, b)-cores

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Suggestion

Study Young's lattice restricted to (*a*, *b*)-cores.

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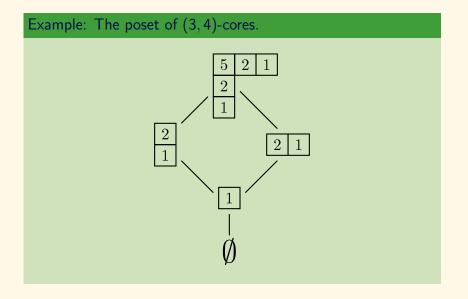
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Suggestion

Study Young's lattice restricted to (a, b)-cores.



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Theorem (Ford-Mai-Sze 2009)

For a, b coprime, the number of self-conjugate (a, b)-cores is $\begin{pmatrix} \lfloor \frac{d}{2} \rfloor + \lfloor \frac{d}{2} \rfloor \\ \lfloor \frac{d}{2} \rfloor, \lfloor \frac{b}{2} \rfloor \end{pmatrix}$. Note: Beautiful bijective proof! (omitted)

Observation/Problem

$$\begin{pmatrix} \lfloor \frac{a}{2} \rfloor + \lfloor \frac{b}{2} \rfloor \\ \lfloor \frac{a}{2} \rfloor, \lfloor \frac{b}{2} \rfloor \end{pmatrix} = \frac{1}{[a+b]_q} \begin{bmatrix} a+b \\ a,b \end{bmatrix}_q \Big|_{q=-1}$$

Conjecture (Armstrong 2011)

The average size of an (a, b)-core and the average size of a self-conjugate (a, b)-core are **both equal** to $\frac{(a+b+1)(a-1)(b-1)}{24}$.

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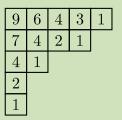
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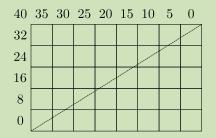
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Proof.

Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (The (5, 8)-core from earlier.)



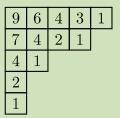


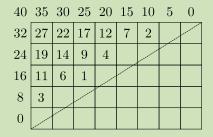
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Proof.

Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (Label the rectangle cells by "height".)



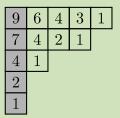


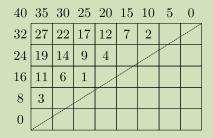
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Proof.

Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (Label the first column hook lengths.)





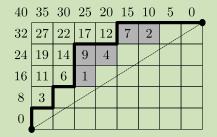
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Proof.

Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (Voila!)

9	6	4	3	1
7	4	2	1	
4	1			
2				
1				



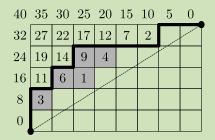
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Proof.

Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (Observe: Conjugation is a bit strange.)



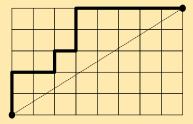


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Next: Rational Parking Functions/Spaces

Definition

• Label the up-steps by $\{1, 2, \ldots, a\}$, increasing up columns.

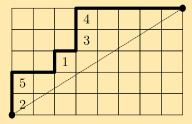


Call this a parking function.

- Let PF(x) = PF(a, b) denote the set of parking functions.
- Classical form (z_1, z_2, \ldots, z_a) has label z_i in column *i*.
- ► Example: (3,1,4,4,1)

Definition

• Label the up-steps by $\{1, 2, \ldots, a\}$, increasing up columns.

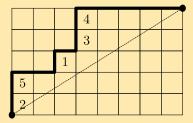


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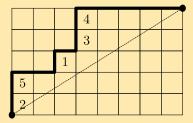


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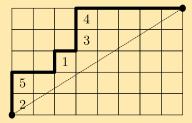
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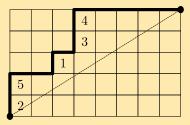


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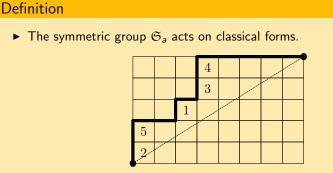
• The symmetric group \mathfrak{S}_a acts on classical forms.



- ► Example: (3,1,4,4,1) versus (3,1,1,4,4)
- ▶ By abuse, let PF(x) = PF(a, b) denote this representation of \mathfrak{S}_a

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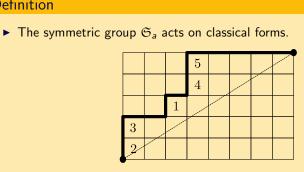
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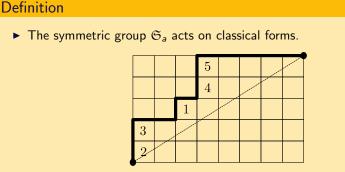
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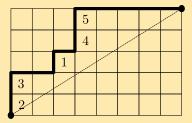


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Theorems (with N. Loehr and N. Williams)

- The dimension of PF(a, b) is b^{a-1} .
- ► The complete homogeneous expansion is

$$\mathsf{PF}(a,b) = \sum_{\mathbf{r}\vdash a} \frac{1}{b} \binom{b}{r_0, r_1, \dots, r_a} h_{\mathbf{r}},$$

where the sum is over $\mathbf{r} = 0^{r_0} 1^{r_1} \cdots a^{r_a} \vdash a$ with $\sum_i r_i = b$.

▶ That is: PF(a, b) is the coefficient of t^a in $\frac{1}{b}H(t)^b$, where

 $H(t)=h_0+h_1t+h_2t^2+\cdots$

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Observation/Definition

The multiplicities of the **hook Schur functions** $s[k+1, 1^{a-k-1}]$ in PF(*a*, *b*) are given by the **Schröder numbers**

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$$(a, b; k) := \frac{1}{b} s_{[k+1, 1^{a-k-1}]}(1^b) = \frac{1}{b} \binom{a-1}{k} \binom{b+k}{a}.$$

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- ▶ Trivial character: Schrö(a, b; a 1) = Cat(a, b).
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What is the relationship between PF(a, b) and PF(b, a)?

Note that hook multiplicities are the same:

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Summary of Catalan Refinements

► The Kirkman/Narayana/Schröder numbers are equivalent. They contain information about rank. (1 < k < a - 1)</p>

$Kirk(x;k) = \frac{1}{a} \binom{a}{k} \binom{b+k-1}{k-1}$)	f-vector			
$\operatorname{Nar}(x;k) = \frac{1}{a} {a \choose k} {b-1 \choose k-1}$	}	<i>h</i> -vector			
$\operatorname{Schrö}(x;k) = \frac{1}{b} \binom{a-1}{k} \binom{b+k}{a}$	J	"dual" <i>f</i> -vector			

► The Kreweras numbers are more refined. They contain parabolic information. (r ⊢ a)

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$$\mathsf{Krew}(x;\mathbf{r}) = \frac{1}{b} \begin{pmatrix} b \\ r_0, r_1, \dots, r_a \end{pmatrix}$$

We want a "Shuffle Conjecture"

Define a quasisymmetric function with coefficients in $\mathbb{N}[q, t]$ by

$$\mathsf{PF}_{q,t}(a,b) := \sum_{\mathsf{P}} q^{\mathsf{qstat}(\mathsf{P})} t^{\mathsf{tstat}(\mathsf{P})} F_{\mathsf{iDes}(\mathsf{P})}.$$

Sum over (a, b)-parking functions P.

F is a fundamental (Gessel) quasisymmetric function.
 — natural refinement of Schur functions

• We require $PF_{1,1}(a, b) = PF(a, b)$.

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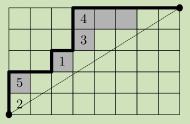
qstat is easy

Definition

- ▶ Let qstat := area := # boxes between the path and diagonal.
- ► Note: Maximum value of area is (a 1)(b 1)/2. (Frobenius) — see Beck and Robins, Chapter 1

Example

• This (5, 8)-parking function has area = 6.



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Definition

- ▶ Read labels by increasing "height" to get permutation $\sigma \in \mathfrak{S}_a$.
- iDes := the descent set of σ^{-1} .

Example

Remember the "height"?

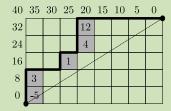
					15			
32	27	22	17	12	7	2	-3	-8
24	19	14	9	4	-1	-6	-11	-16
16	11	6	1	-4	-9	-14	-19	-24
8	3	-2	7مر	-12	-17	-22	-27	-32
0	-5-	-10	-15	-20	-25	-30	-35	-40

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Example

Look at the heights of the vertical step boxes.

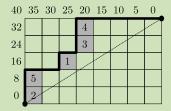


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Example

Remember the labels we had before.

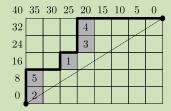


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Example

• Read them by increasing height to get $\sigma = 2\overline{1}53\overline{4} \in \mathfrak{S}_5$.



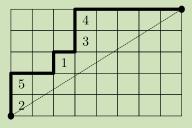
tstat is hard (as usual)

Definition

- ▶ "Blow up" the (*a*, *b*)-parking function.
- ► Compute "dinv" of the blowup.

Example

▶ Recall our favorite the (5,8)-parking function.



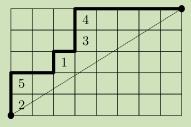
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- Compute "dinv" of the blowup.

Example

Since $2 \cdot 8 - 3 \cdot 5 = 1$ we "blow up" by 2 horiz. and 3 vert....

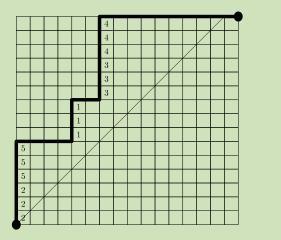


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Example

► To get this!

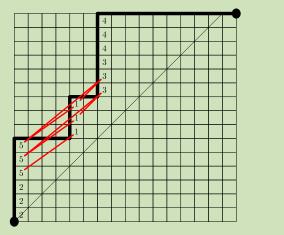


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tstat is hard (as usual)

Example

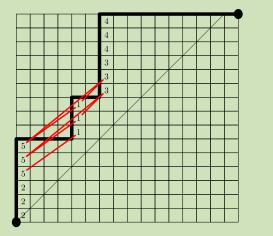
• To get this! Now compute dinv = 7.



tstat is hard (as usual)

Example

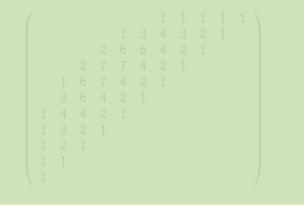
▶ (There's a scaling factor *depending on the path*, so tstat = 3.)



All Together

Example

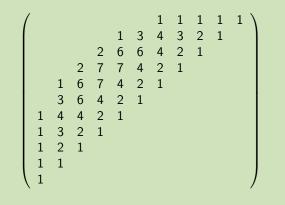
- So our favorite (5,8)-parking function contributes $q^6 t^3 F_{\{1,4\}}$.
- ▶ Proof of Concept: The coefficient of s[2,2,1] in $PF_{q,t}(5,8)$ is



All Together

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- So our favorite (5,8)-parking function contributes $q^6 t^3 F_{\{1,4\}}$.
- ▶ Proof of Concept: The coefficient of s[2, 2, 1] in $PF_{q,t}(5, 8)$ is



Facts

$\blacktriangleright \mathsf{PF}_{1,1}(a,b) = \mathsf{PF}(a,b).$

- PF_{q,t}(a, b) is symmetric and Schur-positive with coeffs ∈ N[q, t].
 via LLT polynomials (HHLRU Lemma 6.4.1)
- Experimentally: PF_{q,t}(a, b) = PF_{t,q}(a, b).
 this will be "impossible" to prove (see Loehr's Maxim)
- ▶ Definition: The coefficient of the hook s[k + 1, 1^{a-k-1}] is the q, t-Schröder number Schrö_{g,t}(a, b; k).
- **Experimentally:** Specialization t = 1/q gives

Schrö_{*q*, $\frac{1}{q}$ (*a*, *b*; *k*) = $\frac{1}{[b]_q} \begin{bmatrix} a-1\\k \end{bmatrix}_q \begin{bmatrix} b+k\\a \end{bmatrix}_q$ (centered)}

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Facts

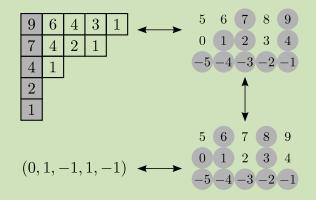
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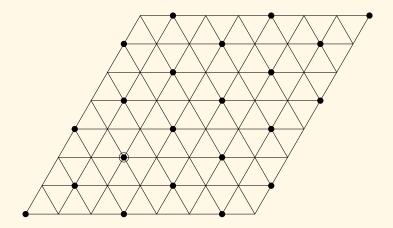
The James-Kerber Bijection

• between *a*-cores and the root lattice of the Weyl group \mathfrak{S}_a

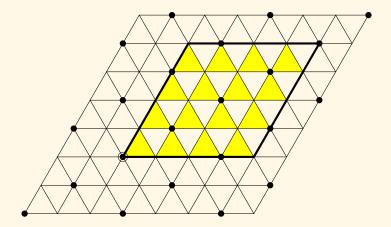


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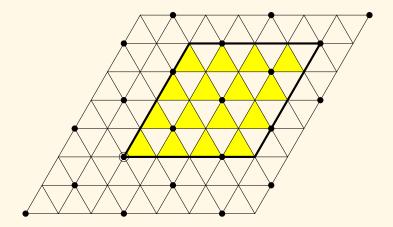
• These are the root and weight lattices $Q \subseteq \Lambda$ of \mathfrak{S}_a .



• Here is a fundamental parallelepiped for $\Lambda/b\Lambda$.

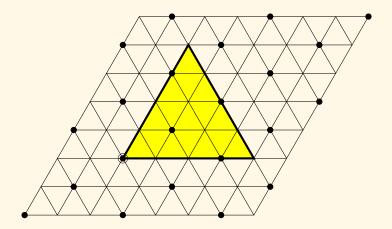


▶ It contains b^{a-1} elements (these are the "parking functions").

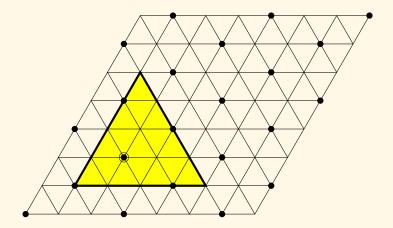


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▶ But they look better as a simplex...

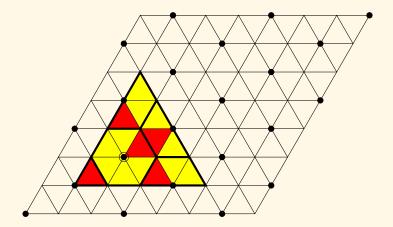


• ...which is congruent to a nicer simplex.



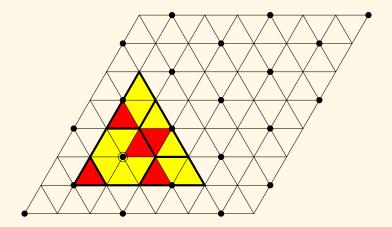
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• There are $Cat(a, b) = \frac{1}{a+b} \begin{pmatrix} a+b \\ a,b \end{pmatrix}$ elements of the root lattice inside.



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► These are the (*a*, *b*)-Dyck paths (via Anderson, James-Kerber).



Other Weyl Groups?

Definition

Consider a Weyl group W with Coxeter number h and let $p \in \mathbb{N}$ be coprime to h. We define the **Catalan number**

$$\mathsf{Cat}_q(W, p) := \prod_j rac{[p+m_j]_q}{[1+m_j]_q}$$

where $e^{2\pi i m_j/h}$ are the eigenvalues of a Coxeter element.

Observation

$$\operatorname{Cat}_q(\mathfrak{S}_a, b) = \frac{1}{[a+b]_q} \begin{bmatrix} a+b\\a,b \end{bmatrix}_q$$

Here's to a Productive Workshop

