

On this homework you will further explore the idea of Galois connections. We will begin by defining a notion of Galois connection for general posets. Let  $(P, \leq)$  and  $(Q, \leq)$  be posets. A pair of maps  $* : P \rightleftarrows Q : *$  is called a **Galois connection** if it satisfies the following property:

$$\boxed{\text{for all } p \in P \text{ and } q \in Q \text{ we have } p \leq q^* \iff q \leq p^*}$$

**Problem 1. Equivalent Definition.** Prove that a pair of maps  $* : P \rightleftarrows Q : *$  is a Galois connection (as defined above) if and only if the following two statements hold:

- For all  $p \in P$  and  $q \in Q$  we have

$$p \leq p^{**} \quad \text{and} \quad q \leq q^{**}.$$

- For all  $p_1, p_2 \in P$  and  $q_1, q_2 \in Q$  we have

$$p_1 \leq p_2 \implies p_1^* \leq p_2^* \quad \text{and} \quad q_1 \leq q_2 \implies q_2^* \leq q_1^*.$$

[Hint: Since the statements come in dual pairs, you only have to prove half of them.]

Recall that a **lattice** is a poset  $(P, \leq)$  in which every pair of elements  $x, y \in P$  has a (necessarily unique) **join**  $x \vee y$  and **meet**  $x \wedge y$ . By induction, any **finite** subset  $A \subseteq P$  also has a join  $\bigvee A \in P$  and meet  $\bigwedge A \in P$ .

**Problem 2. Lattice Structure.** Let  $* : P \rightleftarrows Q : *$  be a Galois connection. If, in addition,  $P$  and  $Q$  happen to be **lattices**, prove that for all  $p_1, p_2 \in P$  and  $q_1, q_2 \in Q$  we have

- $p_1^* \vee p_2^* \leq (p_1 \wedge p_2)^*$  and  $q_1^* \vee q_2^* \leq (q_1 \wedge q_2)^*$
- $p_1^* \wedge p_2^* = (p_1 \vee p_2)^*$  and  $q_1^* \wedge q_2^* = (q_1 \vee q_2)^*$

In the next problem you will show that the first inequalities are sometimes strict.

**Problem 3. Counterexample.** Consider the usual topology on the set of real numbers  $\mathbb{R}$ . Let  $\mathcal{O} \subseteq 2^{\mathbb{R}}$  be the collection of open sets and let  $\mathcal{C} \subseteq 2^{\mathbb{R}}$  be the collection of closed sets. Let  $- : 2^{\mathbb{R}} \rightarrow 2^{\mathbb{R}}$  be the “topological closure” and let  $\circ : 2^{\mathbb{R}} \rightarrow 2^{\mathbb{R}}$  be the “topological interior”. One can check (you don’t need to) that for all  $O \in \mathcal{O}$  and  $C \in \mathcal{C}$  we have

$$O \subseteq C^\circ \iff O^- \subseteq C.$$

In other words, we have a Galois connection  $- : \mathcal{O} \rightleftarrows \mathcal{C} : \circ$  where  $\mathcal{O}$  is partially ordered by inclusion (“ $\leq$ ” = “ $\subseteq$ ”) and  $\mathcal{C}$  is partially ordered by **reverse-inclusion** (“ $\leq$ ” = “ $\supseteq$ ”). Note that  $\mathcal{O}$  is a lattice with  $\wedge = \cap$  and  $\vee = \cup$ , whereas  $\mathcal{C}$  is a lattice with  $\wedge = \cup$  and  $\vee = \cap$ .

In this case, find **specific elements**  $O_1, O_2 \in \mathcal{O}$  and  $C_1, C_2 \in \mathcal{C}$  such that

$$O_1^- \vee O_2^- \subsetneq (O_1 \wedge O_2)^- \quad \text{and} \quad C_1^\circ \vee C_2^\circ \subsetneq (C_1 \wedge C_2)^\circ.$$

Now you will investigate under what conditions the first inequalities in Problem 2 become equalities.

**Problem 4. Closed Elements.** Let  $* : P \rightleftarrows Q : *$  be a Galois connection between lattices  $P$  and  $Q$ . We will say that  $p \in P$  (resp.  $q \in Q$ ) is **\*\*-closed** if  $p^{**} = p$  (resp.  $q^{**} = q$ ).

- Prove that the meet of any two **\*\*-closed** elements is **\*\*-closed**.
- Prove that the following two conditions are equivalent:
  - The join of any two **\*\*-closed** elements is **\*\*-closed**.

- For all  $**$ -closed elements  $p_1, p_2 \in P$  and  $q_1, q_2 \in Q$  we have

$$p_1^* \vee p_2^* = (p_1 \wedge p_2)^* \quad \text{and} \quad q_1^* \vee q_2^* = (q_1 \wedge q_2)^*.$$

Finally, let's put everything together. Basically, if we have a Galois connection between lattices in which joins of closed elements are closed, then this restricts to an **isomorphism** on their sublattices of closed elements. If  $(P, \leq)$  is a poset we'll use the notation  $P^{\text{op}}$  for the same set of elements with the **opposite** partial order (and hence with meets and joins switched).

**Problem 5. Galois Correspondence.** Let  $* : P \rightleftarrows Q : *$  be a Galois connection between lattices  $P$  and  $Q$ . Denote the image of  $* : P \rightarrow Q$  by  $P^* \subseteq Q$  and denote the image of  $* : Q \rightarrow P$  by  $Q^* \subseteq P$ . We will think of these as subposets with the induced partial order.

- Prove that  $Q^* \subseteq P$  and  $P^* \subseteq Q$  are precisely the subposets of  $**$ -closed elements.
- Prove that the restricted maps  $* : Q^* \rightleftarrows P^* : *$  are an **isomorphism of posets**:

$$Q^* \approx (P^*)^{\text{op}}.$$

- If, in addition, the join of any two  $**$ -closed elements is  $**$ -closed, prove that  $Q^* \subseteq P$  and  $P^* \subseteq Q$  are **sublattices**, and that the isomorphism from (b) is an **isomorphism of lattices**.

Epilogue: You might ask whether the definition of Galois connection given above is more general than the one discussed in class. The answer is: “yes and no”. The answer is “yes” in the sense that this definition applies to more general posets. However, if  $P$  and  $Q$  happen to be Boolean lattices then the answer is “no”. I will define a **Boolean lattice** as the collection of subsets of a set  $U$ , partially ordered by inclusion. Note that the lattice operations are  $\wedge = \cap$  and  $\vee = \cup$ .

**Problem 6. Boolean Galois Connections.** Let  $S$  and  $T$  be sets and consider the corresponding Boolean lattices  $P = 2^S$  and  $Q = 2^T$ . For any relation  $R \subseteq S \times T$  and for any subsets  $A \subseteq S$  and  $B \subseteq T$  we will define the sets  $A^R \subseteq T$  and  $B^R \subseteq S$  as follows:

- $A^R = \{t \in T : \forall a \in A, aRt\}$
- $B^R = \{s \in S : \forall b \in B, sRb\}$

In class we called this an “abstract Galois connection” and we showed that it has many nice properties. Now let  $* : P \rightleftarrows Q : *$  be a Galois connection of posets in the sense defined above. Prove that **there exists a unique relation**  $R \subseteq S \times T$  such that for all  $A \subseteq S$  and  $B \subseteq T$  we have

$$A^* = A^R \quad \text{and} \quad B^* = B^R.$$

[Hint: Consider the singleton subsets of  $S$  and  $T$ . You will need to use the fact that the power set  $2^U$  is a **complete lattice**, i.e., it is possible to take the intersection and union of arbitrary collections of subsets.]

Remark: The theory of Galois connections between posets is a special case of the theory of adjoint functors between categories. Maybe I will say something about this later; maybe not.