

**Problem 0 (Drawing Pictures).** Sketch the curves  $y^2 = f(x)$  in  $\mathbb{R}^2$  for the following polynomials  $f(x) \in \mathbb{R}[x]$ :  $f(x) = x^3$  and  $f(x) = (x+1)(x^2 + \varepsilon)$  for  $\varepsilon < 0$ ,  $\varepsilon = 0$ ,  $\varepsilon > 0$ . [Hint: First sketch  $y = f(x)$  then sketch  $y = \pm\sqrt{f(x)}$ .]

What is a polynomial? Let  $R$  be a ring and let  $x$  be a formal symbol. A polynomial is a formal expression  $a_0 + a_1x^1 + a_2x^2 + \dots$  in which all but finitely many of the coefficients  $a_i \in R$  are zero. If we define addition and multiplication by

$$\sum_k a_k x^k + \sum_k b_k x^k := \sum_k (a_k + b_k) x^k$$

and

$$\left( \sum_k a_k x^k \right) \left( \sum_\ell b_\ell x^\ell \right) := \sum_m \left( \sum_{k+\ell=m} a_k b_\ell \right) x^m,$$

then the set of polynomials becomes a ring which we call  $R[x]$ . Note that  $R$  is naturally embedded in  $R[x]$  as a subring via the map  $a \mapsto a + 0x + 0x^2 + \dots$ . We define the **degree**  $\deg(f)$  of a nonzero polynomial  $f(x) = \sum_k a_k x^k$  as the largest  $k$  such that  $a_k \neq 0$  (this  $a_k$  is called the **leading coefficient**), and we define the degree of the zero polynomial as  $\deg(0) = -\infty$  (but this is rather arbitrary). We consider the symbols  $1, x, x^2, \dots$  to be linearly independent over  $R$ , and therefore we have  $\sum_k a_k x^k = \sum_k b_k x^k$  if and only if  $a_k = b_k$  for all  $k$ . This makes  $R[x]$  into an infinite-dimensional “free” module over  $R$ .

**Problem 1 (The Division Algorithm).** We say that a polynomial  $g(x) \in R[x]$  is **monic** if its leading coefficient is a unit. Consider polynomials  $f(x) = \sum_k a_k x^k$  and  $g(x) = \sum_k b_k x^k$  in  $R[x]$  with  $g(x)$  monic.

- Prove that **there exist** polynomials  $q(x), r(x) \in R[x]$  such that  $f(x) = q(x)g(x) + r(x)$  and  $\deg(r) < \deg(g)$  (this includes the case  $r(x) = 0$  since  $\deg(0) = -\infty < \deg(g)$  for any  $g$ ). [Hint: Use induction on  $\deg(f)$ . Assume that  $\deg(g) = m \geq 0$  with leading coefficient  $b_m \in R^\times$ . If  $\deg(f) < m$  then we can take  $q(x) = 0$  and  $r(x) = f(x)$ , so the assertion is true. Now suppose that  $\deg(f) = n \geq m$  and consider the polynomial  $f_1(x) = f(x) - \frac{a_n}{b_m} x^{n-m} g(x)$ . Since  $\deg(f_1) < n$  there exist  $q_1(x), r(x)$  with  $f_1(x) = q_1(x)g(x) + r(x)$  and  $\deg(r) < \deg(g)$ .]
- Prove that the polynomials  $q(x), r(x)$  from part (a) are **unique**. [Hint: Assume that  $f(x) = q_1(x)g(x) + r_1(x) = q_2(x)g(x) + r_2(x)$  with  $\deg(r_1), \deg(r_2) < \deg(g)$ . Since  $g(x)$  is monic, note that  $\deg(gh) = \deg(g) + \deg(h)$  for any nonzero  $h(x) \in R[x]$ . Note that  $\deg(r_2 - r_1) \leq \max\{\deg(r_1), \deg(r_2)\}$ . Now assume that  $r_2(x) - r_1(x) \neq 0$  and show that this leads to a contradiction.]
- Give an example where  $g(x)$  is not monic and the polynomials  $q(x), r(x)$  do not exist.

[By uniqueness we can speak of “the” remainder when  $f(x)$  is divided by monic  $g(x)$ . We will write  $g|f$  (and say “ $g$  divides  $f$ ”) if and only if the remainder is zero.]

**Problem 2 (Descartes' Theorem).** Let  $R$  be a ring (i.e. commutative).

- If  $\alpha \in R$  is any element, we define a function  $\text{ev}_\alpha : R[x] \rightarrow R$  by sending  $\sum_k a_k x^k \in R[x]$  to  $\sum_k a_k \alpha^k \in R$ . Prove that this function (called "evaluation at  $\alpha$ ") is a morphism of rings. For simplicity we will write  $f(\alpha) := \text{ev}_\alpha(f(x))$ .
- Consider a polynomial  $f(x) \in R[x]$  and an element  $\alpha \in R$ . Prove that we have  $(x - \alpha) \mid f(x)$  if and only if  $f(\alpha) = 0$ . [Hint: Divide  $f(x)$  by  $(x - \alpha)$  and evaluate at  $\alpha$ .]

**Problem 3 (Localization of a Ring).** The construction of the field of fractions of a domain can be generalized to arbitrary rings as follows. Let  $R$  be a ring and let  $S \subseteq R$  be any subset closed under multiplication and containing 1 (we can say that  $S$  is a subsemigroup of  $(R, \times, 1)$ ). We define the set of formal symbols

$$R[S^{-1}] := \left\{ \left[ \frac{a}{b} \right] : a, b \in R, b \in S \right\}$$

and we declare that

$$\left[ \frac{a}{b} \right] = \left[ \frac{c}{d} \right] \iff \exists u \in S \text{ such that } u(ad - bc) = 0.$$

- Prove that this is an equivalence relation.
- Prove that the algebraic operations

$$\left[ \frac{a}{b} \right] \left[ \frac{c}{d} \right] := \left[ \frac{ac}{bd} \right]$$

and

$$\left[ \frac{a}{b} \right] + \left[ \frac{c}{d} \right] := \left[ \frac{ad + bc}{bd} \right]$$

are well-defined. It follows (don't prove this) that  $R[S^{-1}]$  is a ring.

- Prove that  $R[S^{-1}] = 0$  if and only if  $S$  contains 0.
- Prove that the natural map  $R \rightarrow R[S^{-1}]$  defined by  $a \mapsto \left[ \frac{a}{1} \right]$  is a ring homomorphism.
- We say that  $u \in R$  is a **zerodivisor** if there exists  $v \in R$  such that  $uv = 0$ . If  $S$  contains no zerodivisors, prove that the natural map  $R \rightarrow R[S^{-1}]$  is injective. (This holds in particular when  $R$  is a domain and  $0 \notin S$ .)
- If  $P \subseteq R$  is a prime ideal, show that  $S := R - P$  is a subsemigroup of  $R$ . The localization  $R[S^{-1}]$  is denoted as  $R_P$  and is called the localization of  $R$  at the prime  $P$ . We will discuss the geometric meaning of this later.

**Problem 4 (Localization of  $\mathbb{Z}$ ).**

- Let  $p \in \mathbb{Z}$  be prime and consider the localization  $\mathbb{Z}_{(p)}$  at the prime ideal  $(p)$ :

$$\mathbb{Z}_{(p)} := \left\{ \frac{a}{b} : a, b \in \mathbb{Z}, p \nmid b \right\}.$$

Prove that this ring has a unique nontrivial **maximal** ideal. [Hint: What are the units of  $\mathbb{Z}_{(p)}$ ? Recall that an ideal is the whole ring if and only if it contains a unit.] A ring with a unique nontrivial maximal ideal is called a **local ring**.

- Prove that every ring  $\mathbb{Z} \subseteq R \subseteq \mathbb{Q}$  between  $\mathbb{Z}$  and  $\mathbb{Q}$  is a localization of  $\mathbb{Z}$ . [Hint: Since  $R$  is a subring of  $\mathbb{Q}$  it consists of fractions. Let  $S$  be the set of denominators that occur in elements of  $R$ . Prove that  $R = \mathbb{Z}[S^{-1}]$ .]