

Tue Aug 27, 2013

Welcome to MTH 661/662 (Grad Algebra).

HW 1 is a review of 561/562.

It is verbatim the Prelim Exam

I gave in Summer 2012.

Course notes from 561/562 are on  
my webpage.

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Begin.

Math is Hard.

Algebra is Hard.

My goal in 661/662 is to try  
to make some sense of it.

The Plan:

① Fall 661.

Noncommutative Algebra

Groups & Representations

Intro to "Lie Theory"

② Spring 662  
Commutative Algebra  
Rings & Fields  
Intro to "Algebraic Geometry"  
(and "Number Theory")

Some Philosophy:

Let  $X$  be a "space" that we want  
to study using algebra.  
There are two competing approaches

① Felix Klein

Find a group  $G$  that acts transitively  
on  $X$ . That is --

Let  $\text{Aut}(X)$  = "symmetries" of  $X$   
= group of structure-preserving  
bijections  $X \rightarrow X$ .

Let  $\varphi: G \rightarrow \text{Aut}(X)$  be a group hom.  
written as

$$\varphi(g)(x) = "g(x)" \quad \forall g \in G, x \in X.$$

And suppose that  $\forall x, y \in X \exists g \in G$   
such that  $g(x) = y$ . (Transitive)

"All the points of  $X$  look the same".

Given  $x \in X$  consider the stabilizer

$$\text{Stab}(x) = \{ g \in G : g(x) = x \} \leq G$$

Then we have a bijection

$$\begin{aligned} X &\longrightarrow G/\text{Stab}(x) \\ g(x) &\longmapsto g\text{Stab}(x) \end{aligned}$$

Proof:

$$\begin{aligned} g(x) = h(x) &\iff x = g^{-1}(h(x)) = g^{-1}h(x) \\ &\iff g^{-1}h \in \text{Stab}(x) \\ &\iff g\text{Stab}(x) = h\text{Stab}(x) \end{aligned}$$

$\implies$  well-defined

$\Leftarrow$  injective

(surjective by definition)



Also note that  $\text{Stab}(x) \cong \text{Stab}(y) \quad \forall x, y \in X$ .

Proof: By transitivity,  $\exists g(x) = y$ . Then

$$\text{Stab}(x) = g^{-1} \text{Stab}(y) g$$

because  $h(y) = y \Leftrightarrow h(g(x)) = g(x)$   
 $\Leftrightarrow hg(x) = g(x)$   
 $\Leftrightarrow g^{-1}hg(x) = x$ . □

So we might as well just say  $\text{Stab}(x) = H$ .  
Finally note that the bijection  $X \leftrightarrow G/H$   
preserves structure.

$$X \cong G/H$$

Klein's Erlangen Program (1872) says  
we should replace the study of  $X$   
with the study of the

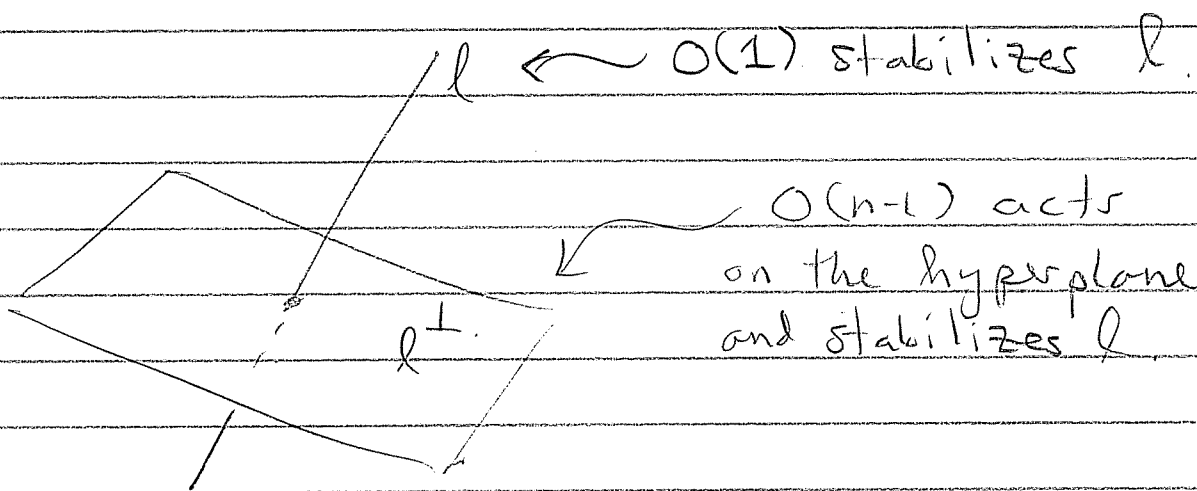
"coset space"  $G/H$ .

Example : Projective Space

Let  $X = \{ \text{lines through } \vec{0} \text{ in } \mathbb{R}^n \}$

Let  $O(n) = \{ A \in GL(n, \mathbb{R}) : A^t A = I \}$   
= distance-preserving linear  
maps  $\mathbb{R}^n \rightarrow \mathbb{R}^n$   
= the "orthogonal group"

Note that  $O(n) \curvearrowright X$  transitively.  
What is the stabilizer of a line?



Hence  $X \cong \frac{O(n)}{O(1) \times O(n-1)} = \mathbb{R}P^{n-1}$

(real projective  $n-1$  dim space)

More generally, let

$$\text{Gr}(r, n) = \{ r\text{-dim subspaces of } \mathbb{R}^n \}$$

Again  $O(n) \curvearrowright \text{Gr}(r, n)$  transitively.

Stabilizer of a  $r$ -dim subspace is  
 $\cong O(r) \times O(n-r)$ .

$$\text{Hence } \text{Gr}(r, n) \cong \frac{O(n)}{O(r) \times O(n-r)}$$

the "Grassmannian"

[ Does it remind you of binomial coefficients? Good. ]

Today the philosophy

$$X \cong G/H$$

Falls under Lie Theory and  
Representation Theory

## ② The Competing Approach 662 (Alexander Grothendieck)

Let  $X$  be a "space" we want to study, and consider a field  $K$

Let  $R (= K[X])$  be the ring of structure-preserving maps  $X \rightarrow K$  pointwise addition/multiplication.

i.e.  $\forall f, g \in R, x \in X$  we define

$$(f+g)(x) := f(x) + g(x)$$

$$(fg)(x) := f(x)g(x).$$

— Given a subset  $Y \subseteq X$  define

$$I(Y) := \{ f \in R : f(y) = 0 \forall y \in Y \} \subseteq R$$

and note that  $I(Y) \subseteq R$  is an ideal.

Proof: Given  $f \in I(Y)$  and any  $g \in R$  we have

$$fg(y) = f(y)g(y) = 0 \cdot g(y) = 0 \quad \forall y \in Y$$

— Given a subset  $S \subseteq R$  define

$$V(S) := \{x \in X : f(x) = 0 \ \forall f \in S\} \subseteq X$$

Then the functions

$$V \circ I : 2^X \rightarrow 2^X$$

$$I \circ V : 2^R \rightarrow 2^R$$

are "closure operators".

Def: Say  $d : 2^S \rightarrow 2^S$  is a closure if  
for all  $A, B \subseteq S$

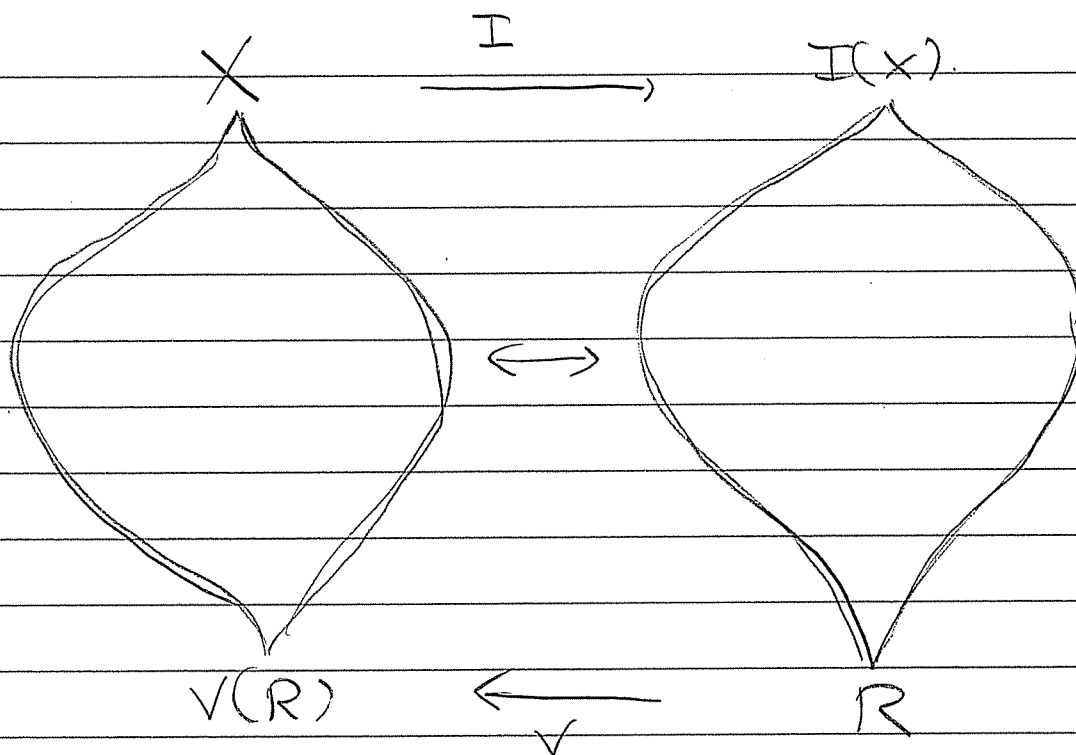
- $A \subseteq d(A)$
- $A \subseteq B \Rightarrow d(A) \subseteq d(B)$
- $d(d(A)) = d(A)$ .

Fact: We have an order-reversing  
lattice isomorphism between

$(V \circ I)$ -closed subsets of  $X$

$(I \circ V)$ -closed subsets of  $R$ .





Note: The  $V \circ I$  closure is called the Zariski Topology on  $X$ .

Philosophy (Grothendieck):

Under nice conditions we can recover the space  $X$  from the ring  $R$ .

Let  $\text{Spec}(R) = \{\text{prime ideals of } R\}$ .

Then

$$X \cong \text{Spec}(R)$$

Example:

Let  $X$  be a compact Hausdorff space.

e.g.  $X = [0, 1] \subseteq \mathbb{R}$

Consider the ring of continuous functions  
from  $X \rightarrow \mathbb{R}$

$$R = C^0(X)$$

Then the Zariski Topology on  $X$   
is just the usual topology

and the points of  $X$  are in bijection  
with maximal ideals of  $R$

points  $\longleftrightarrow$  maximal ideals  
of  $X$  of  $R$

This philosophy is called "Algebraic  
Geometry".

To be continued Spring 2014 ...

Thu Aug 29

HW 1 due next Thurs Sept 5.

MTH 661

Groups & Representations

Plan:

(A) Abstract structure theory of groups

(B) Matrix groups and representations  
("Lie Theory")

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First topic: Jordan-Hölder Theorem

Definition: A (bounded) lattice is a structure  $(\mathcal{L}, \leq, \wedge, \vee, 0, 1)$  in which

•  $(\mathcal{L}, \leq)$  is a partially-ordered set

i.e. for all  $x, y, z \in \mathcal{L}$  we have

-  $x \leq x$

-  $x \leq y$  and  $y \leq x \implies x = y$

-  $x \leq y$  and  $y \leq z \implies x \leq z$ .

"x meet y"

- for all  $x, y \in \mathcal{L} \exists x \wedge y \in \mathcal{L}$  with  
 $(z \leq x \text{ and } z \leq y) \Rightarrow z \leq x \wedge y$ .

"greatest lower bound"

- for all  $x, y \in \mathcal{L} \exists x \vee y \in \mathcal{L}$  with  
 $(x \leq z \text{ and } y \leq z) \Rightarrow x \vee y \leq z$ .

"least upper bound"

- $\exists 0 \in \mathcal{L}$  with  $0 \leq x \forall x \in \mathcal{L}$ .

- $\exists 1 \in \mathcal{L}$  with  $x \leq 1 \forall x \in \mathcal{L}$

Examples:

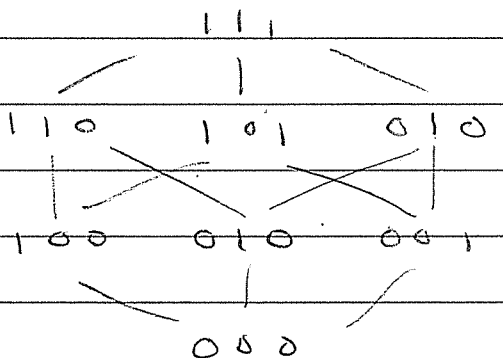
Let  $U$  be any set. Then

$$2^U := \sum \text{subsets of } U \subseteq$$

is a lattice with  $\leq = \subseteq$ ,  $\wedge = \cap$ ,  
 $\vee = \cup$ ,  $0 = \emptyset$ ,  $1 = U$ .

Let  $\mathcal{L} = \mathcal{B}(n) = \{ \text{binary strings of length } n \}$

e.g.  $\mathcal{B}(3)$



Think  $0 = \text{False}$ ,  $1 = \text{True}$ .

$\wedge$	0	1
0	0	0
1	0	1

"AND"

$\vee$	0	1
0	0	1
1	1	1

"OR"

Componentwise

$\mathcal{B}(n)$  is called a Boolean Lattice/Algebra

Let  $\mathcal{L} = \mathbb{N} = \{0, 1, 2, 3, \dots\}$

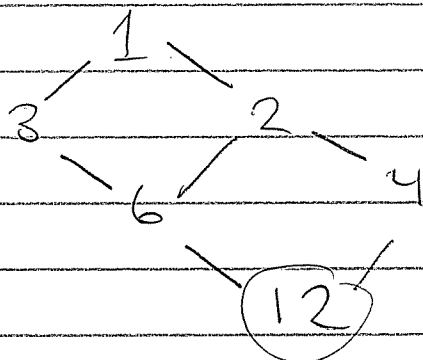
with  $a \leq b = \text{"a divisible by b"}$   
 $= b \mid a$

Note.  $a \leq 1 \quad \forall a \in \mathbb{N}$   
 $0 \leq a \quad \forall a \in \mathbb{N}$

Then  $a \wedge b =$  least common multiple  
 $a \vee b =$  greatest common divisor.

Let  $D(n) = \{ \text{divisors of } n \}$   
with again  $a \leq b = b \mid a$

e.g.  $D(12) =$



I guess we could  
say  $D(0) = \mathbb{N}$ ?

plays the role of  $\emptyset$

Let  $G$  be a group with identity  $1 \in G$ , and

$\mathcal{L}(G) = \{ \text{subgroups of } G \}$

This is a lattice (the subgroup lattice  
of  $G$ ) with

$$0 = \{ 1 \}$$

$$1 = G$$

$$\leq = \subset$$

what about

$\wedge$  and  $\vee$

?

-  $\wedge$  is easy.

Given subgroups  $H, K \leq G$  we see that  $HNK$  is also a subgroup (easy)

Claim:  $HNK = HAK$ .

Proof: Certainly  $HNK \leq H$   
and  $HNK \leq K$ .

Now let  $N$  be any subgroup with  $N \leq H$  and  $N \leq K$ . Then  $N \leq HNK$  as sets, hence  $N \leq HNK$  as groups



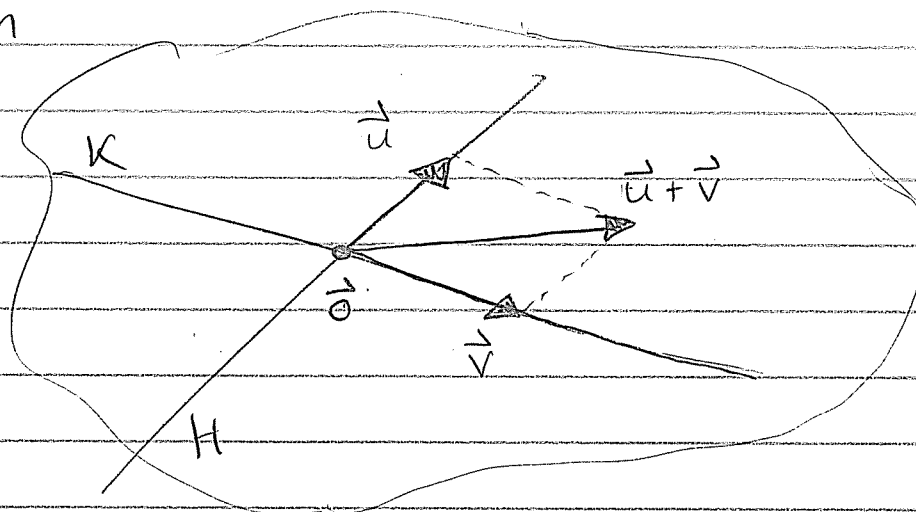
-  $\vee$  is not so easy.

Given subgroups  $H, K \leq G$ , note that  $H \vee K$  is probably NOT a subgroup.

e.g. Let  $G = (\mathbb{R}^n, +)$ .

let  $H, K$  be 1-dim subspaces (lines).

$$G = \mathbb{R}^n$$



If  $\vec{u} \in H$ ,  $\vec{v} \in K$ , then  $\vec{u} + \vec{v} \notin H \cup K$ .

In linear algebra we fix this by taking the span of  $H$  and  $K$ .

$$H \vee K := \text{span}(H, K) = H + K$$

For a general group  $G$ , the best we can say is

$$H \vee K = \bigcap_{\substack{N \leq G \\ H \cup K \subseteq N}} N$$

Exercise: Prove this is the smallest subgroup of  $G$  containing  $H$  and  $K$



Now we can state some structure theorems.

Given  $g \in G$ , we can define the cyclic subgroup generated by  $g$ :

$$\langle g \rangle := \{ \dots, g^{-2}, g^{-1}, 1, g, g^2, \dots \}$$

If  $\langle g \rangle$  is finite we say  $|\langle g \rangle|$  is the order of  $g \in G$ .

Exercise: Show  $\langle g \rangle = \bigcap_{\substack{H \leq G \\ g \in H}} H$  ///

Def: We say that  $G$  is a cyclic group if  $\exists g \in G$  such that

$$G = \langle g \rangle.$$



Fundamental Theorem of Cyclic Groups  
(Theorems 1.1.4 & 1.1.5 in Alperin).



If  $G = \langle g \rangle$  is cyclic then.

$$\mathcal{L}(G) \cong D(n) \quad \text{for some } n \in \mathbb{N}$$

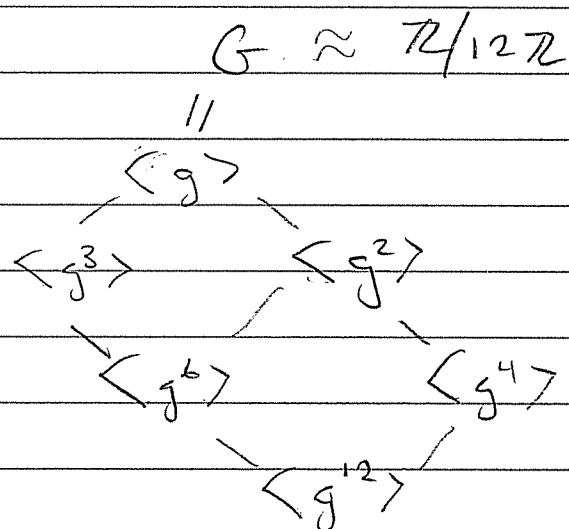
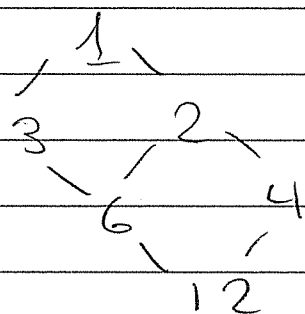
↑  
lattice isomorphism.

Specifically, the isomorphism is given by

$$D(n) \longrightarrow \mathcal{L}(G)$$

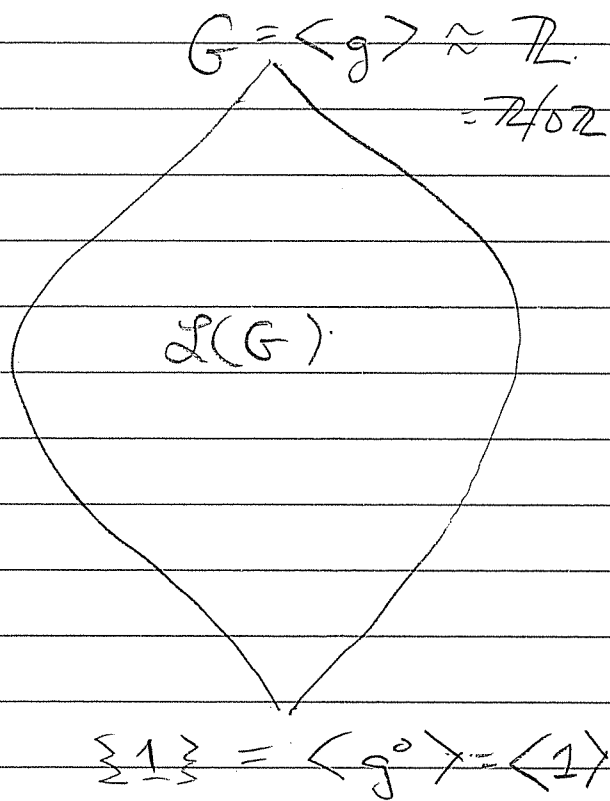
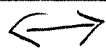
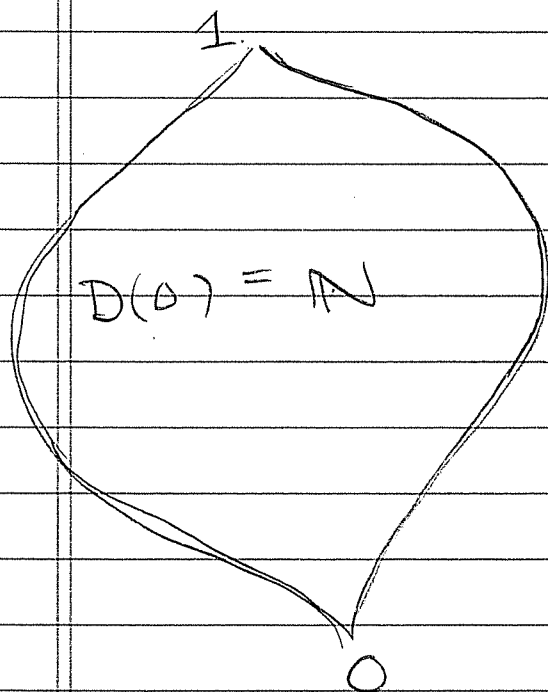
$$d \longmapsto \langle g^d \rangle$$

e.g.  $n=12$



Because  $g^{12} = 1$  ||  $\sum_{i=1}^3 1$

e.g.  $n=0$ .



Q: What can we say about the structure of  $\mathcal{L}(G)$  for general finite groups

This was actually the first problem of group theory, going back to Galois (1830)

Let  $k$  be a field and consider a polynomial

$$f(x) \in k[x]$$

Let  $k \subseteq K$  be the smallest subfield in which  $f(x)$  has a full set of roots ("the splitting field").

The classical problem of "algebra" was to study the structure of the lattice of intermediate fields

$$\mathcal{L}(K/k) = \{ \text{fields } L : k \subseteq L \subseteq K \}$$

↑  
Describes algebraic relationship between

$$\begin{array}{ccc} \text{coefficients} & \longleftrightarrow & \text{roots of } f(x) \\ k & & K \end{array}$$

The question was: is  $f(x)$  "solvable by radicals", and if so, how?

Galois considered the group

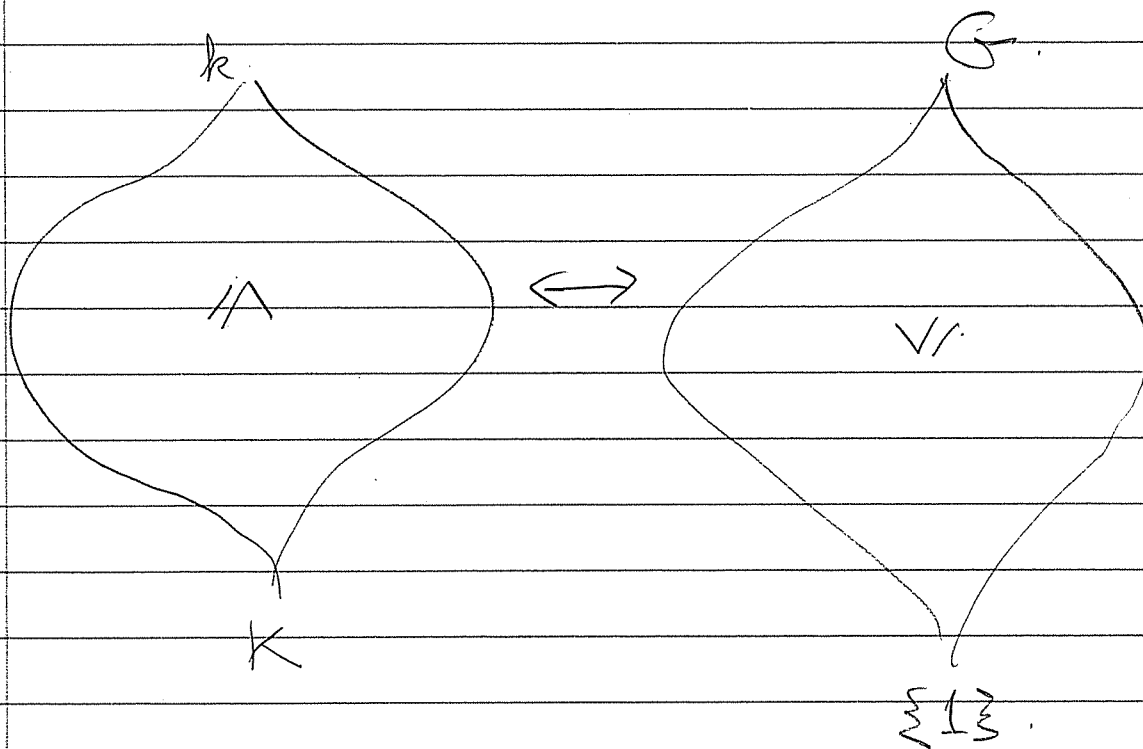
$$\text{Gal}(K/k) := \{ g \in \text{Aut}(K) : g(x) = x \ \forall x \in k \}$$

Under nice conditions we have.

★ Fundamental Theorem of Galois Theory ★

Let  $G = \text{Gal}(K/k)$ . Then there is an order-reversing lattice isomorphism.

$$\mathcal{L}(K/k) \cong \mathcal{L}(G)$$



So... to "solve" the polynomial equation

$$f(x) = 0$$

we must study the structure of the subgroup lattice  $\mathcal{L}(G)$ .

If  $f(x) = 0$  is "solvable by radicals",  
what does this say about  $\mathcal{L}(G)$ ??  
o o

Tues Sept 3.

HW 1 due Thurs.

Course webpage is up.

Note: Starting Thurs we are moving  
to MM 205

Current Goal: Jordan-Hölder

Today: Group Homomorphism Theorems  
(of Dedekind-Noether).

Given a group  $G$  recall the  
lattice of subgroups  $\mathcal{L}(G)$  with

$$H \wedge K = H \cap K$$

$$H \vee K = \langle H, K \rangle = \bigcap_{\substack{N \in \mathcal{L}(G) \\ H \cup K \subseteq N}} N$$

Q: Why can't we just say

$$H \vee K = HK := \{hk : h \in H, k \in K\}$$

A: Sometimes we can.

Let  $G, G'$  be groups and consider a group homomorphism

$$\varphi: G \rightarrow G'$$

i.e.  $\forall a, b \in G$  we have  $\varphi(ab) = \varphi(a)\varphi(b)$ .

Note that  $\varphi(1_G) = 1_{G'}$  since

$$\varphi(1_G) = \varphi(1_G 1_G) = \varphi(1_G) \varphi(1_G) \quad \equiv$$

and  $\varphi(a^{-1}) = \varphi(a)^{-1}$  since

$$\varphi(a^{-1}) \varphi(a) = \varphi(a^{-1}a) = \varphi(1_G) = 1_{G'} \quad \equiv$$

Define

$$\begin{aligned} \text{im } \varphi &:= \{ g' \in G' : g' = \varphi(g) \text{ for some } g \in G \} \\ \text{ker } \varphi &:= \{ g \in G : \varphi(g) = 1_{G'} \} \end{aligned}$$

Note that  $\text{im } \varphi \leq G'$  since given  $\varphi(a)$  and  $\varphi(b) \in \text{im } \varphi$  we have

$$\varphi(a)\varphi(b) = \varphi(ab) \in \text{im } \varphi$$

and  $\ker \varphi \leq G$  since given  $a, b \in \ker \varphi$   
we have

$$\varphi(ab) = \varphi(a)\varphi(b) = 1_{G'} 1_{G'} = 1_{G'}$$

$\Rightarrow ab \in \ker \varphi$ . ///

But  $\ker \varphi$  is not just any subgroup.  
It has a special property:

if  $k \in \ker \varphi$  and  $g \in G$  then  
 $gkg^{-1} \in \ker \varphi$ .

Proof:

$$\begin{aligned}\varphi(gkg^{-1}) &= \varphi(g)\varphi(k)\varphi(g)^{-1} \\ &= \varphi(g)1_{G'}\varphi(g)^{-1} \\ &= \varphi(g)\varphi(g)^{-1} \\ &= 1_{G'}\end{aligned}$$
□

We say  $\ker \varphi$  is a normal subgroup.

$$\ker \varphi \trianglelefteq G$$



Conversely, any normal subgroup is the kernel of canonical homomorphism.

Proof: Let  $N \trianglelefteq G$  and consider the set of cosets

$$G/N = \{ aN : a \in G \}.$$

Note that the operation

$$(aN, bN) \mapsto (ab)N$$

is well-defined. Indeed, suppose we have  $aN = a'N$  and  $bN = b'N$  (i.e.  $\exists n_1, n_2 \in N$  with  $a = a'n_1$  and  $b = b'n_2$ ). Consider any  $(ab)n \in (ab)N$ . Then

$$\begin{aligned} abn &= a'n_1 b'n_2 n \\ &= a'b' \underbrace{[n_1^{-1} b' n_1]}_{\in N} n_2 \in (a'b')N \end{aligned}$$

Hence  $(ab)N \subseteq (a'b')N$

The other direction is similar

Then  $G/N$  is a group with identity element  $N = 1N$  and we have a canonical homomorphism

$$\begin{aligned} \varphi: G &\longrightarrow G/N \\ a &\longmapsto aN \end{aligned}$$

The kernel is

$$\ker \varphi = \{ a \in G : aN = N \} = N$$

Applying the same idea we get

★ Fundamental Homomorphism Theorem ★  
(Dedekind-Noether, pre-1930)

Let  $\varphi: G \rightarrow G'$  be a group homomorphism.  
Then the map

$$\begin{aligned} \text{im } \varphi &\longrightarrow G/\ker \varphi \\ \varphi(g) &\longmapsto g \ker \varphi \end{aligned}$$

is a group isomorphism.

$$\boxed{\text{im } \varphi \cong G/\ker \varphi}$$

This can be extended to prove the standard "isomorphism theorems" of group theory.

(I will omit tedious details)

Let  $\varphi: G \rightarrow G'$  be a group hom with kernel  $N$ . Define functions

$$\begin{aligned}\bar{\varphi}: 2^G &\rightarrow 2^{G'} \\ \bar{\varphi}^{-1}: 2^{G'} &\rightarrow 2^G\end{aligned}\quad \text{by}$$

$$\forall S \subseteq G, S' \subseteq G',$$

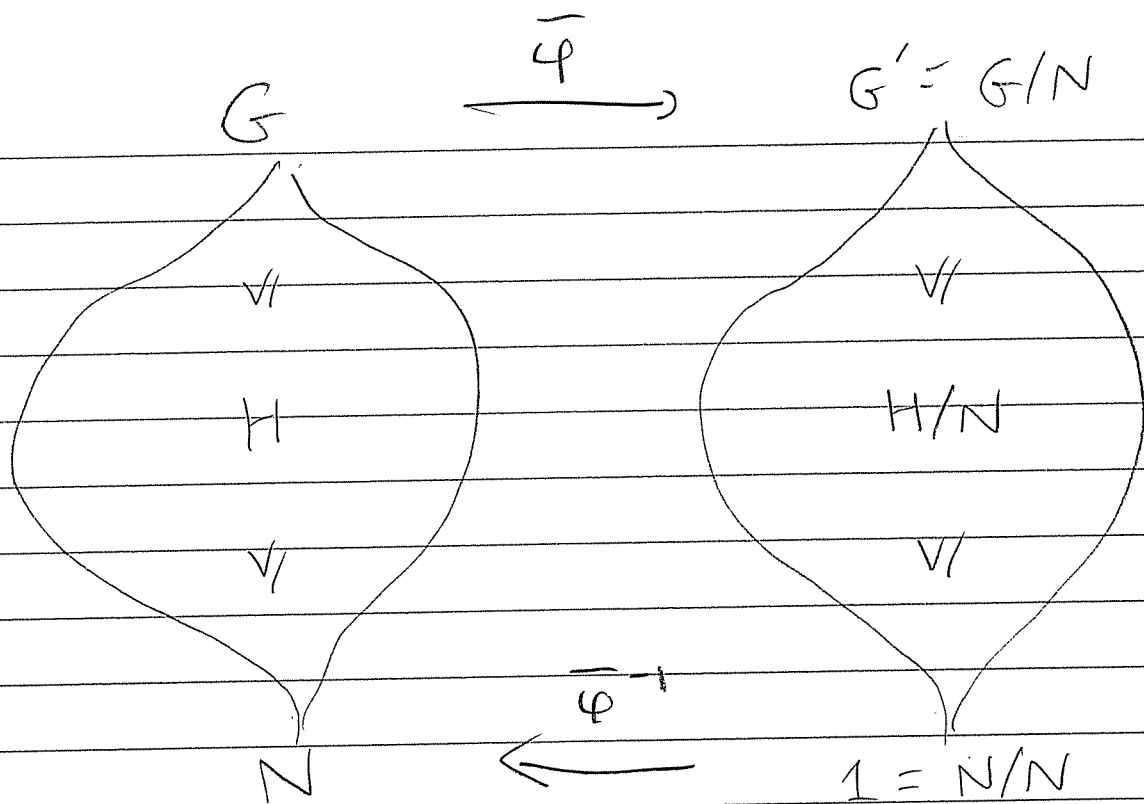
$$\bar{\varphi}(S) = \{g' \in G' : g' = \varphi(s) \text{ for some } s \in S\}$$

$$\bar{\varphi}^{-1}(S') = \{g \in G : \varphi(g) = s' \text{ for some } s' \in S'\}$$

★ Theorem (Lattice Isomorphism):

The functions  $\bar{\varphi}, \bar{\varphi}^{-1}$  are inverse isomorphisms of lattices

$$\mathcal{L}(G, N) \cong \mathcal{L}(G')$$



Given  $N \trianglelefteq H, K \leq G$  one should check that

$$\frac{H \wedge K}{N} = \frac{H}{N} \wedge \frac{K}{N}$$

$$\frac{H \vee K}{N} = \frac{H}{N} \vee \frac{H}{K}$$

"Lattice structure is preserved".

But wait, there's more.

One can also show that

$$\begin{array}{ccc} H \in \mathcal{L}(G, N) & \Leftrightarrow & H/N \in \mathcal{L}(G/N) \\ \text{is normal} & & \text{is normal} \\ (H \trianglelefteq G) & & (H/N \trianglelefteq G/N). \end{array}$$

and furthermore

$$\boxed{\frac{G/N}{H/N} \approx \frac{G}{H}}$$

"Normal structure is preserved"

Finally we will consider  $H \vee K$ .

Let  $H, K \in \mathcal{L}(G)$ .

Theorem: If  $K \trianglelefteq G$  then

$$HK = \{ hk : h \in H, k \in K \}$$

is a subgroup of  $G$  and moreover

$$H \vee K = HK$$

Proof: Given  $h, k_1$  and  $h_2 k_2 \in HK$   
we have

$$(h, k_1)(h_2 k_2) = h, h_2 \underbrace{(h_2^{-1} k_1 h_2)}_{\in K} k_2$$

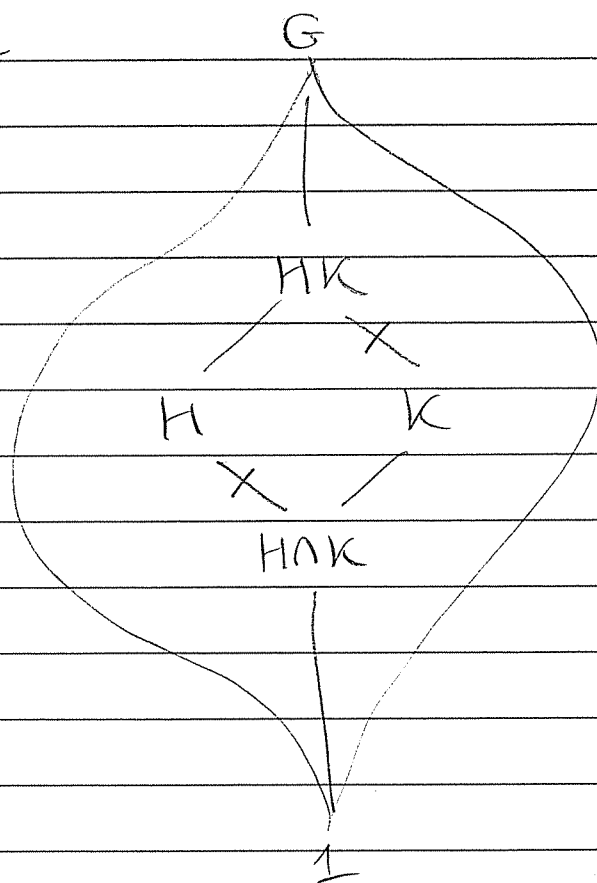
which is in  $HK$ . Hence  $HK \leq G$ .

You will show on HW 2 that

$$HK = H \vee K$$



Picture



Assuming  $H, K \leq G$  with  $K \trianglelefteq G$  we have one final isomorphism theorem

★ Theorem (Diamond Isomorphism):

$$\boxed{\frac{H}{H \cap K} \cong \frac{HK}{K}}$$

Proof: Consider the natural map

$$\varphi: G \rightarrow G/K$$

and restrict it to  $H$ ,

$$\varphi|_H: H \rightarrow G/K.$$

Note that  $\text{im } \varphi|_H = HK/K$  since every element of  $HK/K$  looks like

$$(hk)K = h(hk)K = hK \text{ for some } h \in H$$

and note that  $\ker \varphi|_H = H \cap K$  since

$$\forall h \in H, hK = 1K \iff h \in K.$$

The Fundamental Hom. Theorem gives an isomorphism.

$$\frac{H}{\ker \phi|_H} \cong \text{im } \phi|_H$$

$$\frac{H}{H \cap K} \cong \frac{HK}{K}$$



Corollary: IF  $H, K$  are finite then

$$|HK| = \frac{|H| |K|}{|H \cap K|}$$

Proof: Use Lagrange to conclude

$$\frac{|H|}{|H \cap K|} = \frac{|HK|}{|K|}$$



Exercise: Show that the corollary still holds when neither of  $H, K \leq G$  is normal