

Next Exam Wed Mar 28

Part 2: Polynomials in one variable.

Given $R =$ comm. ring with 1 we define

$$R[x] = \left\{ \sum_{k=0}^{\infty} a_k x^k \mid a_k \in R, a_k = 0 \text{ a.e.} \right\}.$$

Then $R[x]$ is a ring with

$$\left(\sum a_k x^k \right) + \left(\sum b_k x^k \right) := \sum (a_k + b_k) x^k$$

$$\left(\sum a_k x^k \right) \left(\sum b_k x^k \right) := \sum_k \left(\sum_{i+j=k} a_i b_j \right) x^k$$

$$\text{i.e. } (a_0 + a_1 x + \dots) (b_0 + b_1 x + \dots)$$

$$\begin{aligned} &= (a_0 b_0) + (a_0 b_1 + a_1 b_0) x \\ &\quad + (a_0 b_2 + a_1 b_1 + a_2 b_0) x^2 \\ &\quad + \text{etc.} \dots \end{aligned}$$

Remarks:

(1) \exists natural embedding $R \hookrightarrow R[x]$

We say $R \subseteq R[x]$ is a
ring extension.

(2) The elements $1, x, x^2, x^3, \dots$ are linearly independent over R , i.e.

$$\sum a_k x^k = 0 \iff a_0 = a_1 = \dots = 0$$

all coeffs. are zero.

Corollary: $\sum a_k x^k = \sum b_k x^k \iff a_k = b_k \forall k$

Proof:

$$\sum a_k x^k = \sum b_k x^k \iff \sum (a_k - b_k) x^k = 0$$

$$\iff a_k - b_k = 0 \forall k \quad \square$$

Think of $R[x]$ as an ∞ -dimensional "vector space" over R ,
(actually a "free R -module")

(3) Clearly $R^x \subseteq R[x]^x$.

The converse is not always true.

e.g. in $\mathbb{Z}/(4)[x]$ we have

$$(\bar{2}x + \bar{1})(\bar{2}x + \bar{1}) = \bar{4}x^2 + \bar{4}x + \bar{1} = \bar{1}$$

Hence $\bar{2}x + \bar{1} \in (\mathbb{Z}/(4)[x])^x$

However: if R is an integral domain then $(R[x])^{\times} = R^{\times}$ (HW 3)

Q: What is the ring structure of $R[x]$?

DEF: Given $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$ with $a_n \neq 0$ we say f has degree n and leading coefficient a_n .

• We say $f(x)$ is MONIC if its leading coefficient is 1.

Proposition 11.2.9:

Given $g, f \in R[x]$ with f MONIC,
 $\exists q, r \in R[x]$ such that

$$\bullet g(x) = q(x)f(x) + r(x)$$

$$\bullet r \equiv 0 \text{ OR } \deg(r) < \deg(f).$$

Proof by example:

Consider $\left. \begin{array}{l} g(x) = 2x^3 + 3x + 1 \\ f(x) = 1x + 1 \end{array} \right\} \text{ in } \mathbb{Z}[x]$

↑
monic.

↓

$$2x^2 - 2x + 5$$

$$\begin{array}{r|l}
 x+1 & 2x^3 + 0x^2 + 3x + 1 \\
 & 2x^3 + 2x^2 + 0 + 0 \\
 \hline
 & \ominus -2x^2 + 3x + 1 \\
 & \quad -2x^2 - 2x + 0 \\
 \hline
 & \quad \quad \ominus + 5x + 1 \\
 & \quad \quad \quad 5x + 5 \\
 \hline
 & \quad \quad \quad \quad \ominus - 4
 \end{array}$$

$$\Rightarrow (2x^3 + 3x + 1) = (2x^2 - 2x + 5)(x+1) + (-4)$$

↑
↑
quo
rem

Note: $\deg(-4) < \deg(x+1)$

0
1
□

Try to divide $x^2 + 1$ by $2x + 1$ in $\mathbb{Z}[x]$.

$$\begin{array}{r|l}
 \frac{1}{2}x - \frac{1}{4} & x^2 + 0x + 1 \\
 & x^2 + \frac{1}{2}x + 0 \\
 \hline
 \ominus & -\frac{1}{2}x + 1 \\
 & \quad -\frac{1}{2}x - \frac{1}{4} \\
 \hline
 & \quad \quad \ominus + \frac{5}{4}
 \end{array}$$

Can't be done
since $2x + 1$
is not monic

However, if we think $x^2+1, 2x+1 \in \mathbb{Q}[x]$

Then

$$(x^2+1) = \left(\frac{1}{2}x - \frac{1}{4}\right)(2x+1) + \frac{5}{4}$$

↑
quo

↑
rem.

This works because $2 \in \mathbb{Q}$ is a unit.

Conclusion: Actually we can divide
by $f(x) \in R[x]$ if f has a unit
leading coefficient.

(i.e. f is associate to a monic poly.)



Corollary: If F is a field, then
 $F[x]$ is a Euclidean Domain

Proof: Every nonzero poly is assoc.
to a monic poly, so we can divide \square

Corollary: $F[x]$ is a UFD.

i.e. Every poly $f(x)$ can be written uniquely
as

$$f(x) = k a_1(x) a_2(x) \cdots a_n(x)$$

↑
nonzero constant. monic irreducibles

Exam 1 out of 15 points.

Ave 12

$A = \geq 11$

Med. 12

$B = \leq 10$

St Dev 3.6

Recall: Given comm ring R with 1 we get a ring extension $R \subseteq R[x]$ where

$$R[x] := \left\{ \sum_{i=0}^{\infty} a_i x^i : a_i \in R, a_i = 0 \text{ a.e.} \right\}$$

$R[x]$ is an ∞ -dimensional "vector space" over R with "basis" $1, x, x^2, \dots$.

Division Theorem: Given $f, g \in R[x]$, if g has unit leading coefficient, then $\exists q, r$ such that

$$f(x) = q(x)g(x) + r(x)$$

with $\deg(r) < \deg(g)$ OR $r = 0$.

Cor: If F is a field, then $F[x]$ is Euclidean, hence PID, hence UFD.

Other Corollary (Descartes' Factor Theorem):

Let R be comm. with 1 , $f(x) \in R[x]$, $\alpha \in R$.

Then

$$\underbrace{f(\alpha) = 0}_{\text{"Evaluation"}} \iff (x - \alpha) \mid f(x) \text{ in } R[x].$$

Proof: (\Leftarrow) Suppose $f(x) = (x - \alpha)g(x)$.

Then $f(\alpha) = (\alpha - \alpha)g(\alpha) = 0 \cdot g(\alpha) = 0$. \checkmark

(\Rightarrow) Suppose $f(\alpha) = 0$. Divide $f(x)$ by (monic) $x - \alpha$ to get

$$f(x) = q(x)(x - \alpha) + \underbrace{(k)}_{\substack{\text{constant} \\ \text{or} \\ \text{zero}}}.$$

Evaluate at $x = \alpha$ to get

$$0 = f(\alpha) = q(\alpha) \cdot 0 + k = k$$

$$\Rightarrow f(x) = q(x)(x - \alpha)$$



Def: Say $\alpha \in R$ is a root of $f(x) \in R[x]$ if $(x - \alpha) \mid f(x)$. The multiplicity of the root is max k such that

$$(x - \alpha)^k \mid f(x).$$

Theorem: Let $R = \text{integral domain}$.
Then a polynomial $f(x) \in R[x]$ of degree n
has at most n roots (counting multiplicity)
in any domain extension $R \subseteq S$.

Proof: Given $f(x) \in R[x] \subseteq S[x]$.

If $f(x)$ has 0 roots, done ✓ ✓ ^{highest power}
Else consider $\alpha \in S$ with $(x-\alpha)^k \parallel f(x)$

Hence $f(x) = (x-\alpha)^k g(x)$.

with $g(x) \in S[x]$ of degree $n-k$, $g(\alpha) \neq 0$.

Suppose $f(\beta) = 0$ for $\beta \neq \alpha$, so

$$0 = f(\beta) = (\beta-\alpha)^2 g(\beta)$$

Since $(\beta-\alpha)^2 \neq 0$, $g(\beta) = 0$.

(Every other root of f is a root of g)

By induction, g has $\leq n-k$ roots,
counting multiplicity

$\Rightarrow f$ has $\leq k + (n-k) = n$ roots \square

Translation: Given $f(x) \in R[x]$, $\deg(f) = n$.

If $f(\alpha) = 0$ for $n+1$ distinct α

then $f \equiv 0$.

Polynomials are "Rigid"

DEF: Given $f \in R[x]$ of deg. n and $R \subseteq S$.
We say f splits over S if it has n roots in S .

We say R is algebraically closed if every $f \in R[x]$ splits over R .

Big Theorem (Fundamental Theorem of Algebra):
The field \mathbb{C} is algebraically closed.

Eg. Consider $x^4 + 1 \in \mathbb{Q}[x]$.

Has no roots in \mathbb{Q} or \mathbb{R} .

Has 4 roots in \mathbb{C} , $\left\{ \frac{1}{\sqrt{2}}(\pm 1 \pm i) \right\}$.

Irreducible factorizations

$$x^4 + 1 = x^4 + 1 \quad \text{irred over } \mathbb{Q}$$

$$= (x^2 - \sqrt{2}x + 1)(x^2 + \sqrt{2}x + 1) \quad \text{over } \mathbb{R}.$$

$$= (x - \alpha_1)(x - \alpha_2)(x - \alpha_3)(x - \alpha_4) \quad \text{"splits" over } \mathbb{C}.$$

$$(\alpha_1, \alpha_2, \alpha_3, \alpha_4) = \left(\frac{1}{\sqrt{2}}(\pm 1 \pm i) \right).$$

Explanation: To solve $x^4 + 1 = 0$.

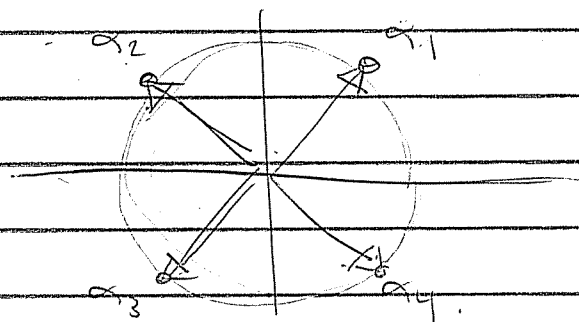
$$x^4 = -1 \Rightarrow |x|^4 = 1 \Rightarrow |x| = 1$$
$$\Rightarrow x = e^{i\theta}$$

$$x^4 = -1 = e^{-i\pi} \Rightarrow e^{i4\theta} = e^{-i\pi + 2\pi k} \quad \forall k \in \mathbb{Z}$$

$$\Rightarrow 4\theta = \pi + 2\pi k$$

$$\theta = \frac{\pi}{4} + \frac{\pi}{2} k \quad \forall k \in \mathbb{Z}$$

exactly 4 angles



$$x^4 + 1 = \prod_{i=1}^4 (x - \alpha_i)$$

Note: $(x - \alpha_1)(x - \alpha_2)$

$$= (x^2 - (\alpha_1 + \alpha_2)x + \alpha_1\alpha_2)$$
$$= (x^2 - \sqrt{2}x + 1)$$

etc.

HW 3 due Wed Mar 7

Exam 2 Wed Mar 28

Let R be comm with 1 .

Recall (Factor Theorem).

Given $f \in R[x]$ and $\alpha \in R$ we have

$$"f(\alpha) = 0 \iff (x - \alpha) \mid f(x).$$

Corollary: If R is a domain and $f \in R[x]$ has $\deg(f) = n$ then f has $\leq n$ roots counting multiplicity.

Remarks:

① "Domain" is needed since $x^2 - 1$ has 4 roots in $\mathbb{Z}/(8)$, $x = 1, 3, 5, 7$.

② "Commutative" is needed since $x^2 + 1$ has ∞ many roots in \mathbb{H} .

$$(ai + bj + c\mathbb{R})^2 = -1 \quad \forall a, b, c \in \mathbb{R}.$$

}

DEF: Say R is algebraically closed
if $\deg(f) = n \Rightarrow f$ has exactly n roots
in R . (every polynomial "splits")

Note: alg. closed \Rightarrow field.

since $\forall 0 \neq a \in R$ the poly $ax - 1$
has a root.

Theorem (FTA): \mathbb{C} is alg. closed.

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New Topic: What does " $f(\alpha)$ " mean?

(comm.)

Given rings $R \subseteq S$ and $\alpha \in S$, $\exists!$
homomorphism $\varphi_\alpha: R[x] \rightarrow S$ s.t.

- $\varphi_\alpha(r) = r \quad \forall r \in R$ (coeffs. are fixed)
- $\varphi_\alpha(x) = \alpha$ ($x \rightsquigarrow \alpha$).

φ_α is called "evaluation at α " and we
write $\varphi_\alpha(f(x)) = "f(\alpha)"$

Q: When is $\varphi_\alpha: R[x] \rightarrow S$ injective?

}

DEF: If φ_α is injective say α is "transcendental over R ". Otherwise say α is "algebraic over R ".

i.e.:

$$\alpha \text{ alg. over } R \iff \ker \varphi_\alpha \neq 0 \\ (\exists f(\alpha) = 0).$$

Ex.

π trans. / \mathbb{Q} (Lindemann, 1882).

π alg. / \mathbb{R} ($f(x) = x - \pi \in \mathbb{R}[x]$).

$\sqrt{2}$ alg. / \mathbb{Q} ($f(x) = x^2 - 2 \in \mathbb{Q}[x]$).

Claim: $\alpha = \sqrt{2} + \sqrt{3} \in \mathbb{R}$ is alg. / \mathbb{Q} .

$$\alpha^2 = 2 + 2\sqrt{6} + 3.$$

$$\alpha^2 - 5 = 2\sqrt{6}.$$

$$\alpha^4 - 10\alpha^2 + 25 = 24.$$

$$\alpha^4 - 10\alpha^2 + 1 = 0 \quad \checkmark$$

DEF: Let $R[\alpha] := \text{im } \varphi_\alpha$.

We can identify $R \subseteq R[\alpha]$ by

$$\begin{array}{ccc} R[\alpha] & \xrightarrow{\varphi_\alpha} & R[\alpha] \leftrightarrow S \\ \uparrow & & \uparrow \\ R & \xrightarrow{\varphi_\alpha} & "R" \end{array}$$

Claim: $R[\alpha]$ is the smallest subring of S containing R & α .

Proof: Suppose $R \subseteq R' \subseteq S$ with $\alpha \in R'$.
Then since R' is a ring we have
 $\text{im } \varphi_\alpha = R[\alpha] \subseteq R'$ //

Say $R[\alpha] =$ "R adjoin α ".

Examples:

(1) $\varphi_\pi : \mathbb{Q}[\pi] \rightarrow \mathbb{R}$ is injective
hence

$$\mathbb{Q}[\pi] = \text{im } \varphi_\pi \approx \mathbb{Q}[\pi]/(0) \approx \mathbb{Q}[\pi]$$

$$\mathbb{Q}[\pi] \approx \mathbb{Q}[\pi]$$

π acts just like a variable.

Note: $e \approx 2.71828$ is also trans. / \mathbb{Q} .

$$\text{Hence } \mathbb{Q}[\pi] \approx \mathbb{Q}[\pi] \approx \mathbb{Q}[e]$$

π & e are algebraically indistinguishable over \mathbb{Q} .

" \mathbb{Q} can't tell the difference between π and e ". (\mathbb{R} can).

HW 3 due Wed Mar 7

Recall: Given $R \hookrightarrow S$ and $\alpha \in S$, $\exists!$ evaluation homomorphism $\varphi_\alpha: R[\alpha] \rightarrow S$ such that

- $\varphi_\alpha|_R = \text{id}$
- $\varphi_\alpha(\alpha) = \alpha$

DEF: $R[\alpha] := \text{im } \varphi_\alpha$.

FACT: IF $R \subseteq R' \subseteq S$ and $\alpha \in R'$, then $R[\alpha] \subseteq R'$.

($R[\alpha]$ is the smallest subring of S containing $R \cup \{\alpha\}$.)

Say $R[\alpha] = \text{"R adjoint } \alpha \text{"}$)

Alternatively:

(Q: Is S really necessary?)

$$R[\alpha] = \bigcap_{R \cup \{\alpha\} \subseteq R' \subseteq S} R'$$

= the subring of S "generated by" R and α .

DEF: $\ker \varphi_\alpha = 0 \iff \alpha$ "transcendental over R "
 $\ker \varphi_\alpha \neq 0 \iff \alpha$ "algebraic over R ."

Examples :

(1) $\pi \in \mathbb{R}$ is trans. / \mathbb{Q} (Lindemann, 1882).

$$\Rightarrow \ker \varphi_\pi = 0$$

$$\Rightarrow \mathbb{Q}[\pi] = \text{im } \varphi_\pi \cong \mathbb{Q}[x] / \ker \varphi_\pi = \mathbb{Q}[x]$$

$$\mathbb{Q}[\pi] \cong \mathbb{Q}[x].$$

(π is just like x)

$\Rightarrow \mathbb{Q}[\pi]$ is ∞ -dim vector space over \mathbb{Q} with basis $1, \pi, \pi^2, \dots$.

(2) $\sqrt{2} \in \mathbb{R}$ is algebraic / \mathbb{Q}

Since \mathbb{Q} is a field, $\mathbb{Q}[x]$ is PID.

$$\Rightarrow \mathbb{Q}[\sqrt{2}] = \text{im } \varphi_{\sqrt{2}} \cong \frac{\mathbb{Q}[x]}{\ker \varphi_{\sqrt{2}}} = \frac{\mathbb{Q}[x]}{(f_{\sqrt{2}})}$$

for some unique monic $f_{\sqrt{2}} \in \mathbb{Q}[x]$.

DEF : $f_{\sqrt{2}}$ is called THE minimal polynomial of $\sqrt{2}$ over \mathbb{Q} .

Guess: $f_{\sqrt{2}}(x) = x^2 - 2$.

How can we prove this?

General Method:

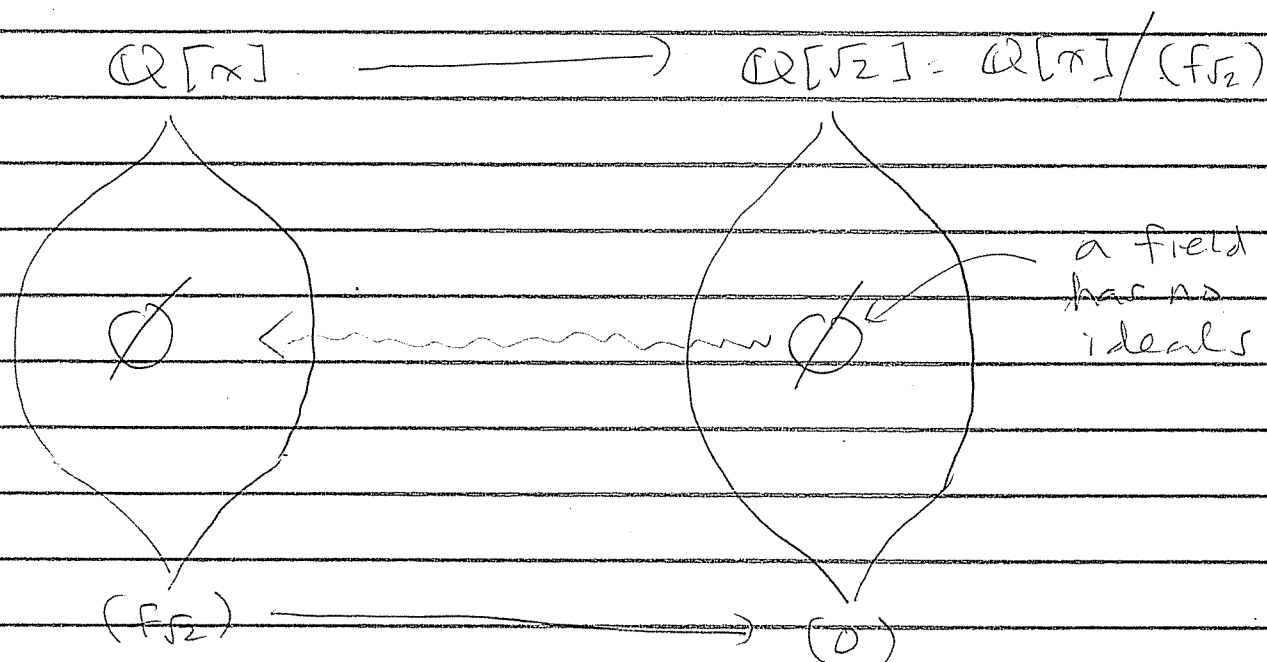
Claim 1: $f_{\sqrt{2}}$ is irreducible / \mathbb{Q} .

Proof: Note that $\mathbb{Q}[\sqrt{2}]$ is actually
a field because

$$\frac{1}{a+b\sqrt{2}} = \frac{1}{a+b\sqrt{2}} \frac{a-b\sqrt{2}}{a-b\sqrt{2}} = \frac{a-b\sqrt{2}}{a^2+2b^2}$$

$$= \left(\frac{a}{a^2+2b^2} \right) + \left(\frac{-b}{a^2+2b^2} \right) \sqrt{2} \in \mathbb{Q}[\sqrt{2}].$$

Now use Correspondence:



$\Rightarrow \nexists g \in \mathbb{Q}[x]$ with $(f_{\sqrt{2}}) < (g) < \mathbb{Q}[x]$.

$\Rightarrow f_{\sqrt{2}}$ is irreducible \square

Claim 2: $f_{\sqrt{2}}(x) = x^2 - 2$

Proof: Note that "conjugation" $\overline{a+b\sqrt{2}} := a-b\sqrt{2}$ is a field automorphism $\mathbb{Q}[\sqrt{2}] \rightarrow \mathbb{Q}[\sqrt{2}]$.

Check: $\overline{\alpha+\beta} = \overline{\alpha} + \overline{\beta}$, $\overline{\alpha\beta} = \overline{\alpha}\overline{\beta}$.

Now take any $g \in \ker \varphi_{\sqrt{2}}$ (i.e. $g(\sqrt{2}) = 0$).
Then we also have

$$g(-\sqrt{2}) = g(\sqrt{2}) = \overline{g(\sqrt{2})} = \overline{0} = 0.$$

Apply Factor Theorem over $\mathbb{Q}[\sqrt{2}]$ to get

$$g(x) = (x+\sqrt{2})(x-\sqrt{2})h(x) \text{ (for } h(x) \in \mathbb{Q}[\sqrt{2}][x])$$
$$g(x) = (x^2-2)h(x)$$

Then $g, x^2-2 \in \mathbb{Q}[x] \Rightarrow h(x) \in \mathbb{Q}[x]$.

Hence $x^2-2 \mid g$ in $\mathbb{Q}[x]$

\downarrow

In particular, $f_{\sqrt{2}} \in \ker \varphi_{\sqrt{2}}$ (by def.)

$$\Rightarrow x^2 - 2 \mid f_{\sqrt{2}} \text{ in } \mathbb{Q}[x]$$

Then $f_{\sqrt{2}}$ monic irred $\Rightarrow f_{\sqrt{2}} = x^2 - 2$



Conclusion:

(where's \mathbb{R} ?)

$$\mathbb{Q}[\sqrt{2}] = \mathbb{Q}[x] / (x^2 - 2)$$

But the same argument shows

$$\mathbb{Q}[-\sqrt{2}] = \mathbb{Q}[x] / (x^2 - 2) = \mathbb{Q}[\sqrt{2}]$$

" $\pm\sqrt{2}$ are indistinguishable over \mathbb{Q} "

So let's just say

$$\mathbb{Q}[\gamma] = \mathbb{Q}[x] / (x^2 - 2) \text{ where } \gamma^2 - 2 = 0.$$

↑
we don't care
what γ "is".

HW 3 due Wed Mar 7

⇒

Continue Example $\varphi_{\sqrt{2}}: \mathbb{Q}[x] \rightarrow \mathbb{R}$.

$$\mathbb{Q}[\sqrt{2}] = \text{im } \varphi_{\sqrt{2}} \approx \frac{\mathbb{Q}[x]}{\ker \varphi_{\sqrt{2}}} = \frac{\mathbb{Q}[x]}{(f_{\sqrt{2}})}$$

for some unique monic $f_{\sqrt{2}} \in \mathbb{Q}[x]$
called THE min. poly. of $\sqrt{2}$ over \mathbb{Q} .

Claim 1: $f_{\sqrt{2}} \in \mathbb{Q}[x]$ is irreducible.

Proof: $\mathbb{Q}[\sqrt{2}]$ is a field $\Rightarrow (f_{\sqrt{2}}) \subseteq \mathbb{Q}[x]$
maximal ideal $\Rightarrow f_{\sqrt{2}}$ irreducible \square

Claim 2: $f_{\sqrt{2}}(x) = x^2 - 2$.

Proof: Let $g \in \ker \varphi_{\sqrt{2}}$ so $g(\sqrt{2}) = 0$. Then
also $g(-\sqrt{2}) = 0$. Hence $g(x) = (x - \sqrt{2})(x + \sqrt{2})h(x)$
 $= (x^2 - 2)h(x)$ for some $h \in \mathbb{Q}[x]$.

In particular $(x^2 - 2) \mid f_{\sqrt{2}}$.

Then $f_{\sqrt{2}}$ irred $\Rightarrow f_{\sqrt{2}} = x^2 - 2$ \square

Get

$$\mathbb{Q}[\sqrt{2}] \approx \frac{\mathbb{Q}[x]}{(x^2 - 2)} \approx \mathbb{Q}[-\sqrt{2}]$$

algebraically
indistinguishable

Claim 3: $\mathbb{Q}[\sqrt{2}]$ is a 2D vector space / \mathbb{Q}
with basis $\{1, \sqrt{2}\}$.

Proof: $\bullet \{1, \sqrt{2}\}$ SPANS $\mathbb{Q}[\sqrt{2}] = \text{im } \varphi_{\sqrt{2}} = \mathbb{Q}[x]/(x^2-2)$.

Every elt. of $\mathbb{Q}[x]/(x^2-2)$ looks like
 $g(x) + (x^2-2)$ for some $g(x) \in \mathbb{Q}[x]$.

Divide g by (x^2-2) to get

$$\begin{aligned} g + (x^2-2) &= r - q(x^2-2) + (x^2-2) \\ &= r + (x^2-2) \end{aligned}$$

where $\deg(r) < 2$ or $r = 0$

(i.e. $r(x) = a + bx$ for $a, b \in \mathbb{Q}$).

Now apply the (surjective) natural map.

$$\mathbb{Q}[x]/(x^2-2) \xrightarrow{\varphi_{\sqrt{2}}} \mathbb{Q}[\sqrt{2}].$$

$$(a+bx) + (x^2-2) \longmapsto a+b\sqrt{2}.$$

SPAN, ✓

↓

• $\{1, \sqrt{2}\}$ lin. ind. over \mathbb{Q} .


Suppose $a1 + b\sqrt{2} = 0$ for $a, b \in \mathbb{Q}$.

Then $a + bx \in \ker \varphi_{\sqrt{2}} = (x^2 - 2)$.

$\Rightarrow a + bx = (x^2 - 2)h(x)$ for some $h \in \mathbb{Q}[x]$.

$\Rightarrow a + bx = 0 \in \mathbb{Q}[x]$

$\Rightarrow a = b = 0$

since $\{1, x\}$ are lin. ind. over \mathbb{Q} 

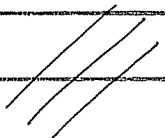
Terminology:

Since $\mathbb{Q}[\sqrt{2}]$ is a field we write

$\mathbb{Q}[\sqrt{2}] = \mathbb{Q}(\sqrt{2}) =$ the field generated by $\mathbb{Q} \cup \{\sqrt{2}\}$.

Let $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] := \dim_{\mathbb{Q}} \mathbb{Q}(\sqrt{2})$.

as a \mathbb{Q} -vector space

End of Example (2). 

General Story:

The general story works best over fields.

Let $F \hookrightarrow K$ be fields and suppose $\alpha \in K$ is algebraic / F .

Prop 1: Choose monic $f_\alpha \in \ker \varphi_\alpha$ of smallest degree. (well-ordering).
Then f_α is irreducible.

Proof: If not then $f_\alpha(x) = g(x)h(x) \in F[x]$ with $0 < \deg(g), \deg(h) < \deg(f_\alpha)$.
But $f_\alpha(\alpha) = g(\alpha)h(\alpha) = 0$
 $\Rightarrow g(\alpha) = 0$ or $h(\alpha) = 0$. Contradiction. \square

f_α is called the min. poly. of α / F .

Prop 2: $F[\alpha] = F(\alpha)$.

Proof: $F[\alpha], F(\alpha)$ are smallest ring, field containing $F \cup \{\alpha\}$. Hence $F[\alpha] \subseteq F(\alpha)$.

Since f_α is irred / F and $F[x]$ is PID, $(f_\alpha) \subseteq F[x]$ is a maximal ideal.

$\Rightarrow F[\alpha] \cong F[x] / (f_\alpha)$ is a field.

$\Rightarrow F(\alpha) \subseteq F[\alpha]$ \square

HW 3 due Wed. Mar 7

Consider fields $F \hookrightarrow K$ and $\alpha \in K$ algebraic over F .

Recall

★ Prop 1: $F[x]$ PID $\Rightarrow \ker \varphi_\alpha = (f_\alpha)$ for unique monic $f_\alpha \in F[x]$. Note that f_α is irred over F since $f_\alpha(x) = g(x)h(x) \Rightarrow f_\alpha(\alpha) = g(\alpha)h(\alpha) = 0 \Rightarrow$ WLOG $g(\alpha) = 0 \Rightarrow g \in \ker \varphi_\alpha = (f_\alpha) \Rightarrow (g) \subseteq (f_\alpha)$. But $g \mid f_\alpha \Rightarrow (f_\alpha) \subseteq (g)$. Hence g, f_α are associate \equiv .

f_α is THE min. poly. of α / F .

★ Prop 2: Then f_α irred $\Rightarrow (f_\alpha) \subseteq F[x]$ maximal $\Rightarrow F[\alpha] \cong F[x]/(f_\alpha)$ is a field $\Rightarrow F[\alpha] = F(\alpha)$.

Warning: If α is transcendental / F then $F[\alpha] \not\cong F(\alpha)$.

\parallel
 $F[x]$ not a field

$F(\alpha) \cong F(x) =$ field of fractions of $F[x]$.
= "rational functions"

★ Prop 3: Thus $F(\alpha)$ is an F -vector space.
If $\deg(f_\alpha) = n$ then $\{1, \alpha, \dots, \alpha^{n-1}\}$ is
a basis for $F(\alpha)/F$.

SPAN?

Proof: Every elt of $F[x]/(f_\alpha)$ is
 $\overline{g + (f_\alpha)}$. Divide g by f_α to get
 $g + (f_\alpha) = r - q f_\alpha + (f_\alpha) = r + (f_\alpha)$
where $\deg(r) < \deg(f_\alpha)$ OR $r = 0$.

Consider isom. $F[x]/(f_\alpha) \xrightarrow{\overline{\varphi}_\alpha} F(\alpha)$.

Every elt has form $\overline{\varphi}_\alpha(r + (f_\alpha))$
 $= \varphi_\alpha(r) = r(\alpha) \in \text{span}\{1, \dots, \alpha^{n-1}\} \checkmark$.

INDEPENDENT?

Sp. $a_0 + a_1 \alpha + \dots + a_{n-1} \alpha^{n-1} = 0$ where
 $0 \neq g(x) = \sum_{i=0}^{n-1} a_i x^i \in F[x]$ ($\deg(g) < n$).

Then $g(\alpha) = 0 \Rightarrow g \in \ker \varphi_\alpha = (f_\alpha) \Rightarrow f_\alpha \mid g$
 $\Rightarrow n = \deg(f_\alpha) \leq \deg(g) < n \quad \times$

Hence $g = 0$ i.e. $a_0 = a_1 = \dots = a_{n-1} = 0 \checkmark$

Hence $\{1, \alpha, \dots, \alpha^{n-1}\}$ is a BASIS. ★

Get $[F(\alpha) : F] = \dim_F F(\alpha) = \deg(f_\alpha)$.

Ex $\sqrt[3]{2} \in \mathbb{R} \not\subset \mathbb{Q}$.

Note $x^3 - 2$ is irred / \mathbb{Q} (HW 3.6(a)).

Then $x^3 - 2 \in \ker \varphi_{\sqrt[3]{2}} = (f_{\sqrt[3]{2}})$

$$\Rightarrow f_{\sqrt[3]{2}}(x) = x^3 - 2$$

$\Rightarrow \mathbb{Q}(\sqrt[3]{2})$ has \mathbb{Q} -basis $\{1, \sqrt[3]{2}, (\sqrt[3]{2})^2\}$

$$\Rightarrow [\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$$

a "cubic" extension of \mathbb{Q} .

HW 3.6(b).

Compute $(1 + 2(\sqrt[3]{2}) + (\sqrt[3]{2})^{-1})$ in $\mathbb{Q}(\sqrt[3]{2})$.

Ex. $i \in \mathbb{C} \not\subset \mathbb{R}$ has min. poly. $x^2 - 1$.

$$\Rightarrow \mathbb{R}[x]/(x^2 - 1) \approx \mathbb{R}(i) = \mathbb{C}$$

$$\Rightarrow [\mathbb{C} : \mathbb{R}] = 2$$

a "quadratic" field extension

$$\text{Ex. } [\mathbb{R} : \mathbb{Q}] = \dim_{\mathbb{Q}} \mathbb{R} = \infty$$

in fact uncountable.

Theorem (The Tower Law)

Given fields $F \subseteq K \subseteq L$ we have

$$[L:F] = [L:K][K:F]$$

In particular, $[L:F] < \infty$

implies $[L:K], [K:F] < \infty$

Proof: Let $\alpha_1, \alpha_2, \dots, \alpha_m$ be a basis for K/F ; β_1, \dots, β_n basis for L/K

Claim: $\{ \alpha_i \beta_j : 1 \leq i \leq m, 1 \leq j \leq n \}$
is a basis for L/F .

SPAN? Given $\gamma \in L$ let $\gamma = b_1 \beta_1 + \dots + b_n \beta_n$
with $b_1, \dots, b_n \in K$.

Let $b_j = a_{1j} \alpha_1 + a_{2j} \alpha_2 + \dots + a_{mj} \alpha_m$
with $a_{ij} \in F$.

Then $\gamma = \sum_j \left(\sum_i a_{ij} \alpha_i \right) \beta_j = \sum_{i,j} a_{ij} (\alpha_i \beta_j)$. ✓

INDEPENDENT? Sp. $\sum_{i,j} a_{ij} (\alpha_i \beta_j)$
 $= \sum_j \left(\sum_i a_{ij} \alpha_i \right) \beta_j = 0$

Since β 's are independent / K
we get $\sum_i a_{ij} \alpha_i = 0 \quad \forall j$.

Since α 's are ind. over F we
get $a_{ij} = 0 \quad \forall i, j$



HW 3 due Wed.

Now: Galois Theory.

Summary so far.

Given fields $F \subseteq K$ and $\alpha \in K$ consider evaluation $\varphi_\alpha: F[x] \rightarrow K$ ($x \mapsto \alpha$).

Say α algebraic / F if $\ker \varphi_\alpha \neq 0$. Then $\ker \varphi_\alpha = (f_\alpha)$ where f_α is the minpoly.

$$F[x]/(f_\alpha) \cong F[\alpha] = F(\alpha), \text{ a field.}$$

$$[F(\alpha):F] = \dim_F F(\alpha) = \deg(f_\alpha).$$

If α is transcendental / F ($\ker \varphi_\alpha = 0$).

$$F[x] \cong F[\alpha] \not\cong F(\alpha) \cong F(\alpha).$$

= field of "rational functions"

Thm (The Tower Law).

Given fields $F \subseteq K \subseteq L$ have

$$[L:F] = [L:K] \cdot [K:F]$$

Applications:

(1) The field $\mathbb{Q}(\sqrt{2} + \sqrt{3})$.

Let $\alpha = \sqrt{2} + \sqrt{3} \in \mathbb{R} \subseteq \mathbb{Q}$.

Q: Find minpoly of α / \mathbb{Q} .

$$\alpha^2 = 2 + 2\sqrt{6} + 3$$

$$\alpha^2 - 5 = 2\sqrt{6}$$

$$\alpha^4 - 10\alpha + 25 = 24$$

$$\alpha^4 - 10\alpha + 1 = 0$$

$\Rightarrow f_\alpha(x) \mid g(x) := x^4 - 10x + 1$ in $\mathbb{Q}[x]$.

$\Rightarrow [\mathbb{Q}(\alpha) : \mathbb{Q}] = \deg(f_\alpha) \leq 4$.

Q: Is $g(x) = x^4 - 10x + 1$ irred. / \mathbb{Q} ?

[Remark: Proving irreducibility/primality can be HARD.]

Note. $\mathbb{Q} \subseteq \mathbb{Q}(\sqrt{2}) \subseteq \mathbb{Q}(\sqrt{2} + \sqrt{3})$.

$\Rightarrow [\mathbb{Q}(\alpha) : \mathbb{Q}] = [\mathbb{Q}(\alpha) : \mathbb{Q}(\sqrt{2})] [\mathbb{Q}(\sqrt{2}) : \mathbb{Q}]$
 $\leq 4 \quad ? \quad 2$

But if $[\mathbb{Q}(\alpha) : \mathbb{Q}(\sqrt{2})] = 1$ then $\mathbb{Q}(\alpha) = \mathbb{Q}(\sqrt{2})$
 $\Rightarrow \alpha \in \mathbb{Q}(\sqrt{2}) \Rightarrow \exists a, b \in \mathbb{Q}$ with

$$\sqrt{2} + \sqrt{3} = a + b\sqrt{2}$$

$$\sqrt{3} = a + (b-1)\sqrt{2}$$

$$3 = a^2 + 2(b-1)^2 + 2a(b-1)\sqrt{2}$$

$\Rightarrow \sqrt{2} \in \mathbb{Q}$ X, Hence $[\mathbb{Q}(\alpha) : \mathbb{Q}(\sqrt{2})] \geq 2$

$\Rightarrow [\mathbb{Q}(\alpha) : \mathbb{Q}] = \deg(f_\alpha) = 4$

$$\Rightarrow f_\alpha(x) = x^4 - 10x^2 + 1$$

(Corollary: This is irred / \mathbb{Q})

[Remark: If $f \in F[x]$ has $\deg(f) \leq 3$.
Then

f irred / $F \Leftrightarrow f$ has NO root $\in F$.
(easier to check).

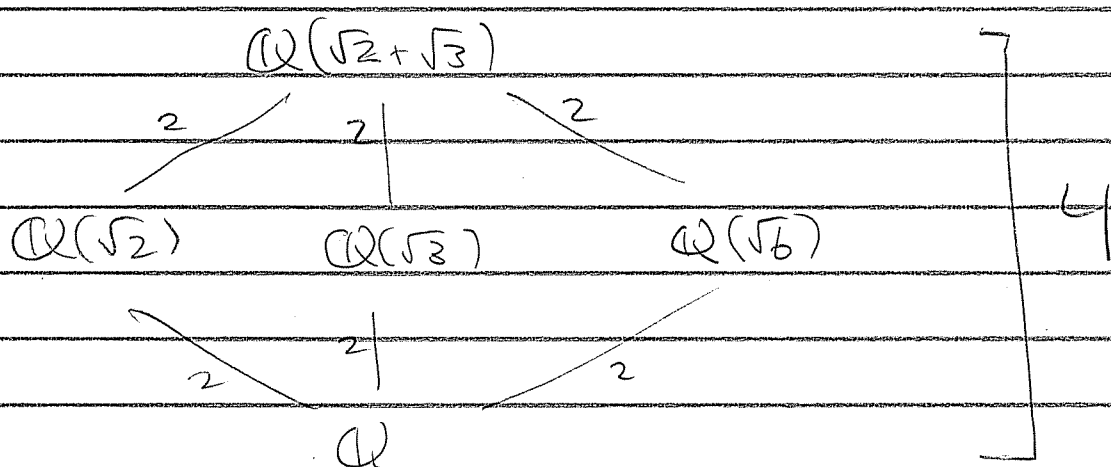
for $\deg(f) \geq 4$, this fails.

eg $f(x) = x^4 + 4 \in \mathbb{R}[x]$ has NO
roots in \mathbb{R} BUT

$$x^4 + 4 = (x^2 - 2x + 2)(x^2 + 2x + 2)$$

]

Picture:



Remarks:

• Note $1, \sqrt{2}, \sqrt{3}, \sqrt{6}$ span $\mathbb{Q}(\sqrt{2}+\sqrt{3}) : \mathbb{Q}$.
Since $\dim_{\mathbb{Q}} \mathbb{Q}(\sqrt{2}+\sqrt{3}) = 4$, They are a BASIS.

• Minpoly of $\sqrt{2}+\sqrt{3}$ over $\mathbb{Q}(\sqrt{6})$?
Answer: $x^2 - (5+2\sqrt{6})$.

• Q: \exists other fields between $\mathbb{Q}, \mathbb{Q}(\sqrt{2}+\sqrt{3})$
A: No, but we can't prove it yet.

• Observation: Lattice of fields $\mathbb{Q} \rightarrow \mathbb{Q}(\sqrt{2}+\sqrt{3})$
 \approx Lattice of subgroups of $\mathbb{Z}/(2) \times \mathbb{Z}/(2)$.
 $= \{1, a, b, ab\}$ where $a^2=1, b^2=1, (ab)^2=1$