

# Metrics for Population Persistence in Rivers

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# Outline

- 1 Overview
- 2 Model
- 3 Measures for population persistence
- 4 A numerical example
- 5 Extensions of the work

Streams and rivers are important habitats for certain aquatic species.

The *drift paradox*: how stream-dwelling organisms can persist, without being washed out, when they are continuously subject to the unidirectional stream flow.

*Instream flow needs (IFNs)*: the flows needed to maintain ecosystem integrity at a particular level.

Question: How does the water flow influence population growth and persistence?

## Models

- Reaction-diffusion-advection models  
( Bencala and Walters (1983), DeAngelis et al. (1995), Speirs and Gurney (2001), Pachepsky et al. (2005), Lutscher et al (2006), etc.)
- Integro-differential/difference models  
(Lutscher et al (2005), Lutscher et al. (2010), etc.)
- Numerical flow models coupled to population dynamical equations  
(uses River2D, Steffler, Blackburn, Jin and Lewis (in prep)).
- etc.

Population spread and persistence in streams or rivers.

- Spreading speeds (asymptotic speeds of spread)
- Critical domain size
- **River metrics to provide useful ways to understand persistence in spatially variable rivers**

# The model

$$\begin{cases} n_t = g(x, n)n - \frac{Q}{A(x)}n_x + \frac{1}{A(x)} (D(x)A(x)n_x)_x, & x \in (0, L), t > 0, \\ \alpha_1 n(0, t) - \beta_1 n_x(0, t) = 0, & t > 0, \\ \alpha_2 n(L, t) + \beta_2 n_x(L, t) = 0, & t > 0, \\ n(x, 0) = n_0(x), & x \in (0, L), \end{cases} \quad (1)$$

$n$ : the population density

$g$ : the per capita growth rate function

$A$ : the cross-sectional area of the stream

$D$ : the spatially variable diffusion coefficient

$Q > 0$ : the constant stream discharge

$\alpha_i, \beta_i$ : nonnegative constants ( $i = 1, 2$ )

$n_0$ : the initial distribution of the population

$g(x, 0) = f(x) - v(x)$

$f(x) > 0$ : spatially varying intrinsic birth rate

$v(x) > 0$ : mortality rate

## Two typical boundary conditions

Hostile conditions (zero-flux at the stream source and zero-density at the stream outflow:

$$Qn(0, t) - D(0)A(0)n_x(0, t) = 0 \text{ and } n(L, t) = 0. \quad (2)$$

Danckwerts conditions (zero-flux at the stream source and free-flow or insulated condition at the stream outflow:

$$Qn(0, t) - D(0)A(0)n_x(0, t) = 0 \text{ and } n_x(L, t) = 0. \quad (3)$$

The strongly elliptic linear operator

$$\mathcal{L} := -\frac{Q}{A(x)} \frac{\partial}{\partial x} + \frac{1}{A(x)} \frac{\partial}{\partial x} \left( D(x) A(x) \frac{\partial}{\partial x} \right) \quad (4)$$

The linearized system of (1) at  $n^* = 0$  is

$$\begin{cases} n_t = g(x, 0)n + \mathcal{L}n, & x \in (0, L), t > 0, \\ \alpha_1 n(0, t) - \beta_1 n_x(0, t) = 0, & t > 0, \\ \alpha_2 n(L, t) + \beta_2 n_x(L, t) = 0, & t > 0, \\ n(x, 0) = n_0(x), & x \in (0, L). \end{cases} \quad (5)$$



# The next generation operator

The next generation operator  $\Gamma : X = C[0, L] \rightarrow X$

$$\Gamma \psi_0(x) = \int_0^\infty f(x) \psi(x, t) dt = f(x) \int_0^\infty \psi(x, t) dt, \quad (6)$$

where  $\psi(x, t)$ , the distribution of initial individuals at  $t$ , is the solution of

$$\begin{cases} \psi_t = -v(x)\psi + \mathcal{L}\psi, & x \in (0, L), t > 0, \\ \psi(x, 0) = \psi_0(x), & x \in (0, L). \end{cases} \quad (7)$$

# The next generation operator

Alternatively,

$$\Gamma\psi_0(x) = f(x) \int_0^L k(x, y)\psi_0(y) dy.$$

$k(x, y)$  is the solution of the ordinary boundary value problem

$$\begin{cases} \mathcal{L}k(x, y) - v(x)k(x, y) = -\delta(x - y), & x \in (0, L) \\ \alpha_1 k(0, y) - \beta_1 k'(0, y) = 0 \\ \alpha_2 k(L, y) + \beta_2 k'(L, y) = 0. \end{cases} \quad (8)$$

The function  $k(x, y)$  can be considered the lifetime density of space use of an individual originally introduced at  $y$ .

## The next generation operator

$$\Gamma : C([0, L]) \rightarrow C([0, L]),$$

$$\underbrace{\Gamma \psi_0(x)}_{\text{density of new individuals produced by } \psi_0(x)} = \underbrace{f(x)}_{\text{birth}} \int_0^\infty \underbrace{\psi(x, t)}_{\text{density of initially introduced individuals still present at time } t} dt$$

$$\begin{aligned} \psi_t &= -v\psi + \mathcal{L}\psi, & x \in (0, L), t > 0 \\ \psi(x, 0) &= \psi_0(x), & x \in (0, L) \\ &+ \text{BC} \end{aligned}$$

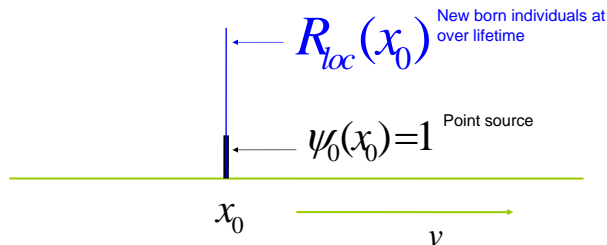
$$= f(x) \int_0^L \underbrace{k(x, y) \psi_0(y)}_{\text{lifetime spatial density of an individual introduced at } y} dy$$

$$\begin{aligned} -vk(x, y) + \mathcal{L}k(x, y) &= -\delta(x - y) \\ &+ \text{BC} \end{aligned}$$

# Three metrics for population persistence

1.  $R_{loc}(x_0)$ : number of offspring produced by an individual introduced at  $x$  (dispersal excluded), distribution of a species' fundamental niche. (see Krkosek and Lewis (2010))

$$R_{loc}(x_0) = \Gamma(\psi_0)(x_0) = f(x_0) \int_0^{\infty} e^{-v(x_0)t} dt = \frac{f(x_0)}{v(x_0)}. \quad (9)$$

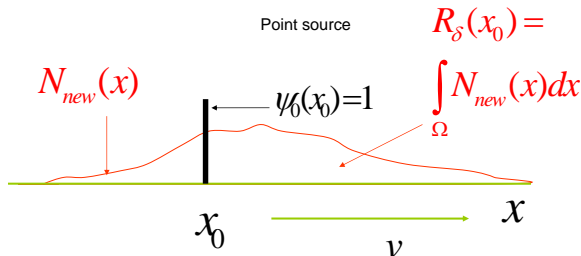


# Three metrics for population persistence

2.  $R_\delta$ : number of offspring produced by an individual introduced at dispersal included), realized niche. Source-sink regions? (see Krkosek and Lewis (2010))

$$R_\delta(x_0) = \int_0^L \Gamma \psi_0(z) dz = \int_0^L f(z)k(z, x_0) dz, \quad (10)$$

New born individuals  
at  $x$  over lifetime

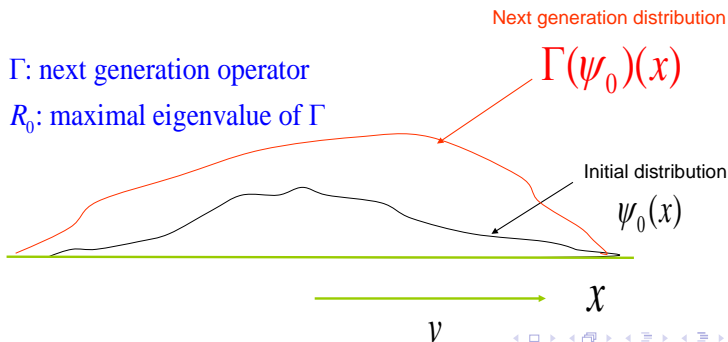


# Three metrics for population persistence

3.  $R_0$ : net reproductive rate - number of offspring produced over an individual's lifetime, given that the individual is distributed spatially in a manner appropriate for maximizing long-term growth. Globally persist?

$$R_0 := r(\Gamma). \quad (11)$$

$r(\Gamma)$  is the spectral radius of the linear operator  $\Gamma$ .



# Spectral properties of the next generation operator

- 1  $\Gamma$  is a well-defined, bounded, compact, linear operator.
- 2 Krein-Rutman Theorem:  $R_0 = r(\Gamma)$  is a simple eigenvalue and is the dominant eigenvalue of  $\Gamma$ . Furthermore,  $R_0$  is the only eigenvalue with an eigenvector that is positive on  $(0, L)$ .
- 3 Based on Thieme (2009),  $R_0$  determines the stability of the trivial solution.
- 4 Chatelin(1981): It is possible to approximate  $R_0$  numerically.

Remark:

The *spectrum* of  $\Gamma$ :  $\sigma(\Gamma) = \{\lambda \in \mathbb{C} \mid \lambda I - \Gamma \text{ is not invertible}\}$ .

The *spectral radius* of  $\Gamma$ :  $r(\Gamma) = \sup\{|\lambda| : \lambda \in \sigma(\Gamma)\}$ .

**Theorem** [Thieme (2009), Thm 3.5]. Let  $B$  be a resolvent-positive operator in the ordered Banach space  $S$  with  $s(B) < 0$ . If  $C$  is a positive linear operator such that  $A = B + C$  is also resolvent-positive, then  $s(A)$  has the same sign as  $r(-CB^{-1})$ .

Remark:

The *spectral bound* of  $T$ :  $s(T) = \sup\{\operatorname{Re}(\lambda) : \lambda \in \sigma(T)\}$ .

The *resolvent set* of  $T$ :  $\rho(T) = \mathbb{C} \setminus \sigma(T)$ , i.e., the complement of  $\sigma(T)$ .

The operator  $T$  is *resolvent-positive* if the resolvent set  $\rho(T)$  contains a ray  $(\omega, \infty)$  and  $(\lambda I - T)^{-1}$  is a positive operator for all  $\lambda > \omega$  [Thieme 2009].



# The sign of $R_0 - 1$

The next generation operator defined in (6) satisfies  $\Gamma = -CB^{-1}$  where  $B$  and  $C$  are defined by

$$Bw(x) = \mathcal{L}w(x) - v(x)w(x) \quad (12)$$

$$Cw(x) = f(x)w(x). \quad (13)$$

Moreover, for

$$A = B + C, \quad (14)$$

the spectral bound  $s(A)$  has the same sign as  $R_0 - 1$ , where  $R_0 = r(-CB^{-1}) = r(\Gamma)$ .

**Proposition** For (1), the following statements are valid.

- 1 If  $s(A) < 0$ , then the trivial steady state  $n^*$  for (1) is locally asymptotically stable.
- 2 If  $s(A) > 0$ , then  $n^*$  is unstable. Moreover, (1) admits a minimal positive equilibrium  $\hat{n}(x)$  and all solutions to (1) which are initially positive on an open subset of  $[0, L]$  are eventually bounded below by orbits which increase toward  $\hat{n}$  as  $t \rightarrow \infty$ .

# Uniform persistence when $s(A) > 0$

If  $s(A) > 0$ , then (1) is *uniform persistent* in the sense that there exists  $\delta > 0$  such that for any solution  $n(x, t)$  of (1) with  $n(x, 0) = n_0 \in X_+ \setminus \{0\}$  we have

$$\liminf_{t \rightarrow \infty} \min_{x \in [0, L]} n(x, t) \geq \delta \quad (15)$$

when the boundary conditions in (1) are Neumann or Robin conditions and

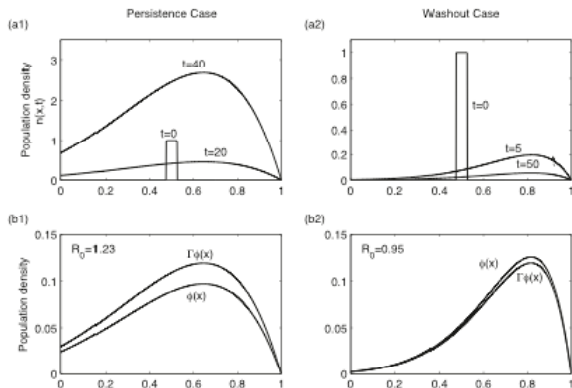
$$\liminf_{t \rightarrow \infty} \max_{x \in [0, L]} n(x, t) \geq \delta \quad (16)$$

when at least one of the boundary conditions in (1) are Dirichlet conditions.

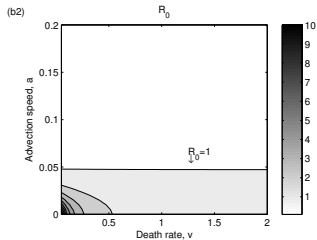
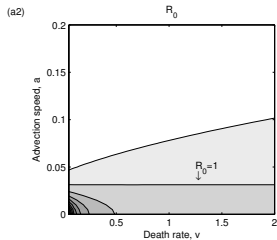
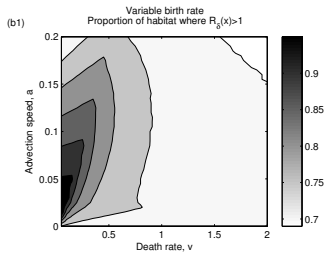
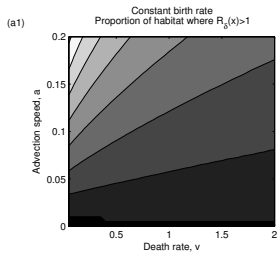
## Theorem

*Let  $\Gamma$  be the next generation operator defined by (6) and let  $R_0 = r(\Gamma)$  be the spectral radius of  $\Gamma$ . For the population model (1), the homogeneous trivial steady state solution  $n^* \equiv 0$  is locally asymptotically stable when  $R_0 < 1$  and unstable when  $R_0 > 1$ . Moreover, if  $R_0 > 1$ , then the population is uniformly persistent.*

## Net Reproductive Rate



# A numerical example



# The extensions of the work

- Metrics of the two-dimensional version of the model
- Metrics of a benthic-drift model in a one-dimensional river
- Metrics of a benthic-drift model in a two-dimensional river

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