

# Catalan Numbers: From EGS to BEG

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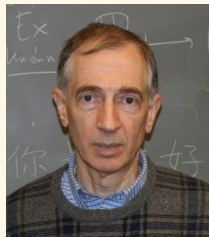
# Goal of the Talk

This talk was inspired by an article of Igor Pak on the history of Catalan numbers, (<http://arxiv.org/abs/1408.5711>) which now appears as an appendix in Richard Stanley's monograph *Catalan Numbers*. Igor also maintains a webpage with an extensive bibliography and links to original sources:

<http://www.math.ucla.edu/~pak/lectures/Cat/pakcat.htm>



Pak



Stanley

# Goal of the Talk

The goal of the current talk is to connect the history of Catalan numbers with recent trends in geometric representation theory. To make the story coherent I'll have to skip some things (sorry).



Hello!

# Plan of the Talk

The talk will follow Catalan numbers through three levels of generality:

Amount of Talk	Level of Generality
41.94%	Catalan
20.97%	Fuss-Catalan
24.19%	Rational Catalan

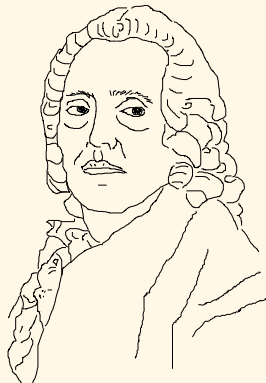
# Catalan Numbers

# Catalan Numbers

On September 4, 1751, Leonhard Euler wrote a letter to his friend and mentor Christian Goldbach.



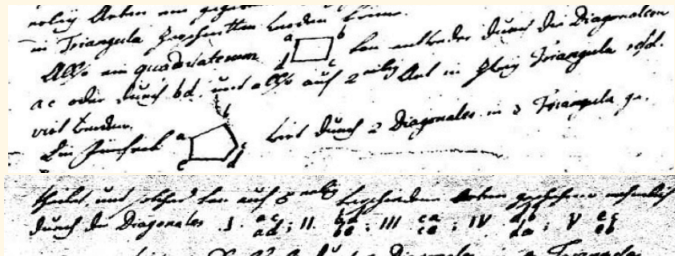
Euler



Goldbach

# Catalan Numbers

In this letter Euler considered the problem of counting the triangulations of a convex polygon. He gave a couple of examples.

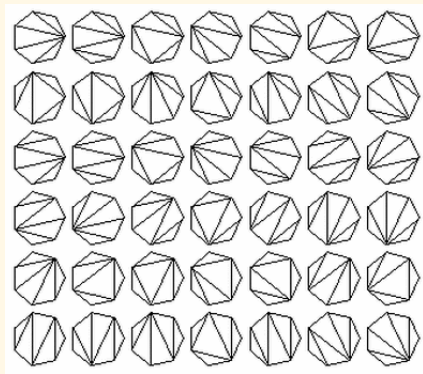


The pentagon  $abcde$  has five triangulations:

$$\text{I } \begin{array}{l} ac \\ ad \end{array} ; \quad \text{II } \begin{array}{l} bd \\ be \end{array} ; \quad \text{III } \begin{array}{l} ca \\ ce \end{array} ; \quad \text{IV } \begin{array}{l} db \\ da \end{array} ; \quad \text{V } \begin{array}{l} ec \\ eb \end{array}$$

# Catalan Numbers

Here's a bigger example that Euler computed but didn't put in the letter.

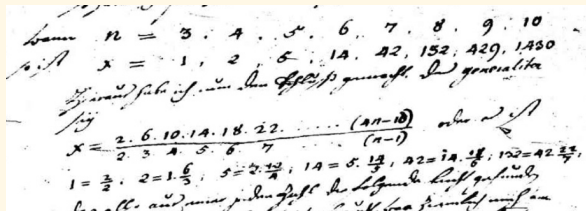


A convex heptagon has 42 triangulations.



# Catalan Numbers

He gave the following table of numbers and he **conjectured** a formula.



$n$	=	3,	4,	5,	6,	7,	8,	9,	10
$x$	=	1,	2,	5,	14,	42,	152,	429,	1430

$$x = \frac{2 \cdot 6 \cdot 10 \cdot 14 \cdot 18 \cdot 22 \cdots (4n-10)}{2 \cdot 3 \cdot 4 \cdot 5 \cdot 6 \cdot 7 \cdots (n-1)}$$

# Catalan Numbers

This was the first\* appearance of what we now call **Catalan numbers**. Following modern terminology we will define  $C_n$  as the number of triangulations of a convex  $(n + 2)$ -gon. The most commonly quoted formula is

$$C_n = \frac{1}{n+1} \binom{2n}{n}.$$

\*See Ming Antu.

# Catalan Numbers

Does this agree with Euler's formula?

$$\begin{aligned} & \frac{2 \cdot 6 \cdot 10 \cdot 14 \cdot 18 \cdot 22 \cdots (4n - 10)}{2 \cdot 3 \cdot 4 \cdot 5 \cdot 6 \cdot 7 \cdots (n - 1)} \\ &= \frac{2^{n-2}}{(n-1)!} \cdot 1 \cdot 3 \cdot 5 \cdots (2n - 5) \\ &= \frac{2^{n-2}}{(n-1)!} \cdot \frac{1 \cdot \cancel{2} \cdot 3 \cdot \cancel{4} \cdot 5 \cdot \cancel{6} \cdots (2n - 5) \cancel{(2n - 4)}}{\cancel{2} \cdot \cancel{4} \cdot \cancel{6} \cdots \cancel{(2n - 4)}} \\ &= \frac{\cancel{2^{n-2}}}{(n-1)!} \cdot \frac{(2n - 4)!}{\cancel{2^{n-2}} \cdot (n - 2)!} \\ &= \frac{1}{n - 1} \binom{2(n - 2)}{n - 2} \\ &= C_{n-2}. \end{aligned}$$

Yes it does.

# Catalan Numbers

At the end of the letter Euler even guessed the generating function for this sequence of numbers.

Handwritten notes by Euler showing the sequence 1, 2, 5, 14, 42, 132 and the generating function formula:

$$1 + 2a + 5a^2 + 14a^3 + 42a^4 + 132a^5 + \text{etc.} = \frac{1 - 2a - \sqrt{(1 - 4a)}}{2a}$$

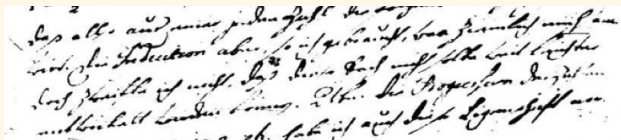
all. wenn  $a = \frac{1}{4}$  ist  $1 + \frac{2}{4} + \frac{5}{4^2} + \frac{14}{4^3} + \frac{42}{4^4} + \text{etc.} = 4$ .

$$1 + 2a + 5a^2 + 14a^3 + 42a^4 + 132a^5 + \text{etc.} = \frac{1 - 2a - \sqrt{(1 - 4a)}}{2a}$$

He knew that this generating function agrees with the closed formula.

# Catalan Numbers

But he also knew that something was missing.



*Die Induction aber, so ich gebraucht, war ziemlich mühsam, doch zweifle ich nicht, dass diese Sach nicht sollte weit leichter entwickelt werden können.* [However, the induction that I employed was pretty tedious, and I do not doubt that this result can be reached much more easily.]

# Catalan Numbers

Goldbach replied a month later, observing that the generating function

$$A = \frac{1 - 2a - \sqrt{(1 - 4a)}}{2a}$$

satisfies the functional equation

$$1 + aA = A^{\frac{1}{2}},$$

which is equivalent to infinitely many equations in the coefficients. He suggested that these equations in the coefficients might be proved directly. Euler replied, giving more details on the derivation of the generating function, but he did not finish the proof.

# Catalan Numbers

Somewhat later (date unknown), Euler communicated the problem of triangulations to Johann Andreas von Segner.



Euler



Segner

# Catalan Numbers

Segner published a paper in 1758 with a combinatorial proof of the following recurrence:

$$C_{n+1} = C_0 C_n + C_1 C_{n-1} + \cdots + C_{n-1} C_1 + C_n C_0.$$

ordine, atque ad quemlibet adscripto diuisionum numero, hunc in modum

0	1	2	.	.	$n-3$	$n-2$	$n-1$
$a$	$b$	$c$	.	.	$o$	$p$	$q$

erit numerus omnium indicum ita scriptorum, vel par, vel impar: prius quidem si  $n-1$  impar fuerit, atque

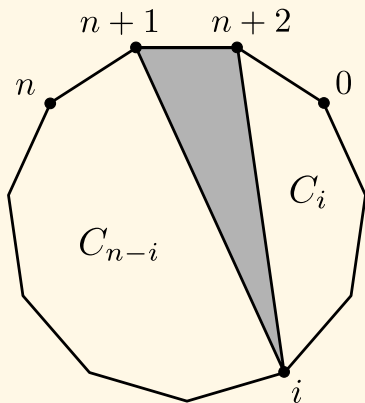
factis, quia terminum intermedium vnum superesse necesse est, qui sit  $d$ , huius quadratum factis adde, vt fiat  $x = 2aq + 2bp + 2co + \text{etc.} + dd$ .

Ad indicem  $o$  est  $a = x$ . Si enim linea recta concipiatur vt triangulum: figuram istam aliter atque



# Catalan Numbers

The proof is not hard.



# Catalan Numbers

Segner's recurrence was exactly the piece that Euler and Goldbach were missing. Thus, by 1758, Euler, Goldbach, and Segner had pieced together a proof that the number of triangulations of a convex  $(n + 2)$ -gon is  $\frac{1}{n+1} \binom{2n}{n}$ . However, no one bothered to publish it.

The last word on the matter was an unsigned summary of Segner's work published in the same volume. (It was written by Euler.)

# Catalan Numbers

All the pieces were available, but a self-contained proof was not published until 80 years later, after a French mathematician named Orly Terquem communicated the problem to Joseph Liouville in 1838. Specifically, he asked whether Segner's recurrence can be used to prove Euler's product formula for the Catalan numbers. Liouville, in turn, proposed this problem to "various geometers".



Terquem



Liouville

# Catalan Numbers

At this point, several French mathematicians published papers improving the methods of Euler-Goldbach-Segner. One of these mathematicians was a student of Liouville named *Eugène Charles Catalan*.

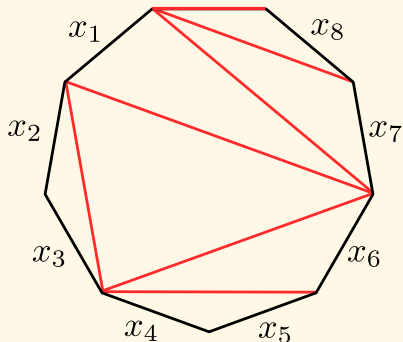


Catalan

Catalan's contributions were modest: He was the first to observe that  $C_n = \binom{2n}{n} - \binom{2n}{n-1}$  and he was also the first to interpret triangulations as “bracketed sequences”.

# Catalan Numbers

Like so.



$$(((x_1((x_2x_3)((x_4x_5)x_6)))x_7)x_8)$$

# Catalan Numbers

Despite this modest contribution, Catalan's name eventually stuck to the problem. Maybe this is because he published several papers throughout his life that popularized the subject.

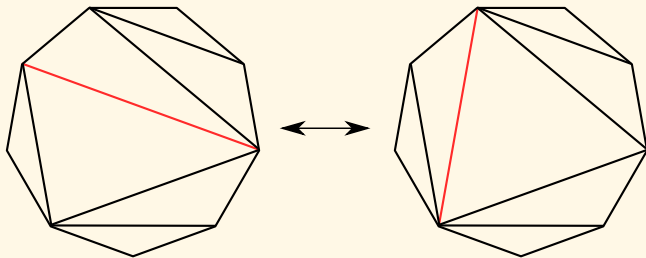
The first occurrence of the term “Catalan's numbers” appears in a 1938 paper by Eric Temple Bell, but seen in context he was not suggesting this as a name.

reference. It is an immediate consequence of (1.10), and there are similar (but more complicated) summations involving  $\xi_n^{(m)}$ ,  $m > 1$ . Catalan's problem of 1838 (loc. cit. (1), p. 508–16, vol. 3) of finding the number of different products of  $n$  factors is similar to Epstein's (1) of finding the total number of decompositions of an integer into coprime factors where, instead of Catalan's sequence 1, 2, 5, 14, 42, 132,  $\dots$ , the sequence where 1, 2, 3,  $\dots$  distinct primes are concerned is 1, 2, 5, 15, 52, 203,  $\dots$ , that is,  $\xi_n^{(1)}$ . Catalan's numbers first appeared in Euler's and Segner's problem of finding the number of partitions of a rectilinear  $n$ -gon into triangles by diagonals. In my previous papers (1) the connection between the  $\xi_n^{(1)}$  and partitions was noted. A combinatorial inter-

According to Pak, the term “Catalan numbers” became standard only after John Riordan's book *Combinatorial Identities* was published in 1968. (Catalan himself had called them “Segner numbers”.)

# Catalan Numbers

Now let me skip forward a bit. Eventually interest in triangulations passed beyond their enumeration to their structure. We will say that two triangulations are **adjacent** if they differ in exactly one diagonal.



# Catalan Numbers

The first person to consider this structure was born in Germany in 1911 as **Bernhard Teitler**. In 1942 he officially changed his name to **Dov Tamari**, after spending time in a Jerusalem prison for being a member of the militant underground organization IZL and for being caught with explosives in his room.



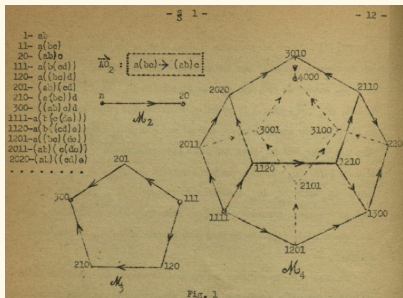
Tamari

In 1942 Bernhard Teitler officially changed his name to Dov Tamari [20]. Unofficially Teitler had already used the new name earlier [21]. 'Bernhard' has the meaning 'strong as a bear', and 'Dov' is Hebrew for 'bear'. The name 'Teitler' may have its origin in the Yiddish word 'teytl' for 'date', the fruit, and 'tamar' is the name of a palm tree carrying this fruit.



# Catalan Numbers

After several more adventures, Tamari finally completed his thesis at the Sorbonne in 1951, entitled *Monoides préordonnés et chaînes de Malcev*. In this work he considered the set of bracketed sequences as a partially ordered set, and he also thought of this poset as the skeleton of a convex polytope. This partially ordered set is now called the **Tamari lattice**.



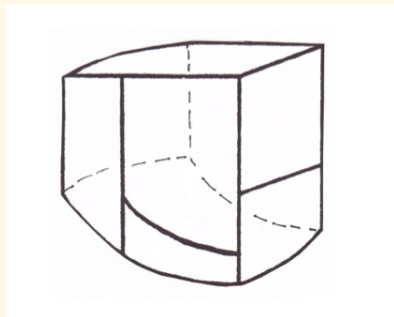
Picture from Tamari's Thesis

# Catalan Numbers

Ten years later, in 1961, the topologist Jim Stasheff independently considered the same structure in his thesis at Princeton, entitled *Homotopy associativity of H-spaces, etc.* John Milnor verified that it is a polytope and it became known as the **Stasheff polytope** in the topology literature.



Stasheff



Picture from Stasheff's Thesis

# Catalan Numbers

But there is one more name for this structure. In 1984, apparently independently of Tamari and Stasheff, Micha Perles asked Carl Lee whether the collection of mutually non-crossing sets of diagonals in a convex polygon is isomorphic to the boundary complex of some simplicial polytope. Mark Haiman verified that this is the case and he called this polytope the **associahedron**. Here is a quote from his unpublished manuscript of 1984, *Constructing the associahedron*:

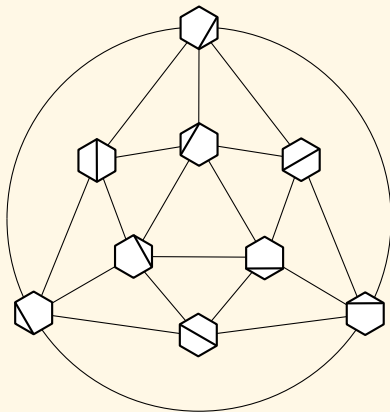


Haiman

The associahedron is a mythical polytope whose face structure represents the lattice of partial parenthesizations of a sequence of variables, in a way to be made precise below. The purpose of these notes is to give an explicit construction of such a polytope.

# Catalan Numbers

Here is a picture.



# Catalan Numbers

Thus the (simplicial) **associahedron** is merely the dual polytope of the (simple) **Stasheff polytope**, and the names are used interchangeably. Study of the associahedron has exploded in recent years and I will confine myself to just one aspect: computation of the  $f$ -vector.

Triangulations correspond to maximal faces of the associahedron, so they are counted by the Catalan numbers. As Haiman mentions, the lower-dimensional faces correspond to “partial triangulations”. The problem of counting these partial triangulations had been solved over 100 years earlier.

# Catalan Numbers

In the year 1857, unaware of the previous work on triangulations, the Reverend Thomas Kirkman considered the problem of placing  $k$  non-crossing diagonals in a convex  $r$ -gon:

This summation I must leave to the learned and industrious reader; but, meanwhile, I shall venture to enunciate with the best demonstration, such as it is, that occurs to me, the following

**THEOREM T.** *The number of  $(1+k)$ -divisions of an  $r$ -gon, i. e. of all the ways in which  $k$  diagonals can be drawn in it, none crossing another, is*

$$D(r, k) = \frac{r^{k+1}}{(k+2)} \times \frac{(r-k-2)^{k+1}}{(k+1)}.$$

I don't know what-the-heck kind of terminology that is. In modern language the answer is

$$D(r, k) = \frac{1}{k+1} \binom{r+k-1}{k} \binom{r-3}{k}$$

# Catalan Numbers

Kirkman freely admitted that his proof was incomplete. In 1891, Arthur Cayley used generating function methods to completely solve Kirkman's problem.



Kirkman



Cayley

Thus, we now call  $D(r, k)$  the **Kirkman-Cayley numbers**.

# Fuss-Catalan Numbers



# Fuss-Catalan Numbers

This man is Nicolas Fuss.



Fuss

He was born in 1723 in Switzerland and moved to St. Petersburg in 1773 to become Euler's assistant. He later married Euler's granddaughter Albertina Philippine Louise (daughter of Johann Albrecht Euler).

# Fuss-Catalan Numbers

In the year 1793 it seems that Johann Friedrich Pfaff sent a letter to Fuss.



Pfaff



Fuss

He asked whether anything was known about the problem of dissecting a convex  $n$ -gon into convex  $m$ -gons. Fuss admitted that he knew nothing about this and he was tempted to investigate the problem. He wrote a paper about it one month later.

# Fuss-Catalan Numbers

SOLVTIO QVAESTIONIS,  
QVOT MODIS POLYGONVM  $n$  LATERVM  
IN POLYGONA  $m$  LATERVM,  
PER DIAGONALES RESOLVI QVEAT.

Auctore  
NICOLAO FVSS.

Conuentui exhib. die 9 Sept. 1793.

*S. I.*  
In litteris a Clarissimo Pfaff Helmftadio die 15<sup>mo</sup> mensis proxime fuperioris ad me datis, quibus acutiffimus ifte Geometra plura infignia inuenta, integrationem potiffimum formularum differentialium irrationalium fpectantia, beneuole necum communicare voluit, mentio quoque fit Problematif: quot modis n-gonum in m-gona per diagonales refolvere liceat? cuius folutionem generalem fe confecutum fignificabat Cl. Pfaff, quaerendo ex me, num mihi, praeter cafum  $m = 3$ , folutio aliqua huius quaeftionis innotuerit. Cum igitur, praeter hunc cafum memoratum, olim a Segnero in Tomo VII. notorum Commentariorum tractatum, nulla mihi innotuerit folutio huius Problematif maxime curiofi, abftinere non potui, quominus ipfe, quomodo inueftigatio ifta in genere fufcipienda fit, tentarem. Methodum a me adhibitam, conatumque meorum fuffeffus hic exhibere conftitui.

## SOLUTION OF THE QUESTION

... the 15th of last month...

... in how many ways can an  $n$ -gon be resolved along diagonals into  $m$ -gons?...

... I was tempted ...

# Fuss-Catalan Numbers

Clearly this is only possible for certain  $m$ . Following modern notation we let  $C_n^{(m)}$  be the number of ways a convex  $(mn + 2)$ -gon can be dissected by diagonals into  $(m + 2)$ -gons. Note that  $C_n^{(1)} = C_n$ .

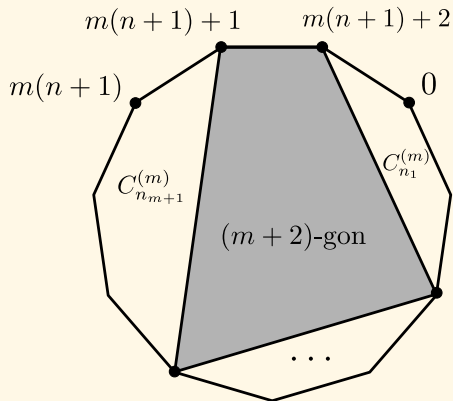
I don't read Latin but I believe that Fuss proved the following recurrence, generalizing Segner's recurrence:

$$C_{n+1}^{(m)} = \sum_{n_1+n_2+\dots+n_{m+1}=n} C_{n_1}^{(m)} C_{n_2}^{(m)} \dots C_{n_{m+1}}^{(m)}.$$

Today we call these  $C_n^{(m)}$  the **Fuss-Catalan numbers**.

# Fuss-Catalan Numbers

Again, the proof is not hard.



# Fuss-Catalan Numbers

Actually, I can't find the recurrence stated explicitly in Fuss' paper. But I do see a functional equation that is equivalent.

$$\begin{aligned} &\text{primum autem coefficientem A unitati esse aequalem ipsa} \\ &\text{positio } (1 + Ax + Bx^2 + Cx^3 + \text{etc.})^{m-1} \\ &= A + Bx + Cx^2 + Dx^3 + \text{etc.}, \end{aligned}$$

Fuss did not find a product formula for the coefficients and it seems that this remained open an open question until Liouville solved the problem using Lagrange inversion in **1843**.

ne n'a pas même été exprimé. La seule fois encore il a réussi à échapper une conclusion élégante qui s'offrait à lui sans efforts.

L'équation

$$u = 1 + xu^{m-1}$$

se résout aisément par la formule de Lagrange; ou du moins la formule de Lagrange fournit celle des racines qui est développable suivant les

De là résulte  $\varphi(2) = m - 1$ , puis, en général,

$$\varphi(i) = \frac{(m-i)(m-i-1)\dots(m-2i+2)}{1 \cdot 2 \cdot \dots \cdot i}.$$

Telle est la valeur de  $\varphi(i)$ , que Fuss aurait dû trouver. On voit par les *Nova acta* que Pfaff s'était aussi occupé de ce problème, mais j'ignore entièrement quelle solution il avait obtenue.

# Fuss-Catalan Numbers

Let's examine Liouville's formula.

$$\begin{aligned}\varphi(i) &= \frac{(im - i)(im - i - 1) \cdots (im - 2i + 2)}{1 \cdot 2 \cdots i} \\ &= \frac{(im - i)!}{i!(im - 2i + 1)!} \\ &= \frac{1}{i(m - 2) + 1} \binom{i(m - 1)}{i}.\end{aligned}$$

In our language we would write

$$\boxed{C_n^{(m)} = \frac{1}{nm + 1} \binom{n(m + 1)}{n}}.$$

Observe again that  $C_n^{(1)} = C_n$ .

# Fuss-Catalan Numbers

And where does the name “Fuss-Catalan” come from? It must certainly be more recent than Riordan’s 1968 book. The earliest occurrence I can find is in the 1989 textbook *Concrete Mathematics* by Graham, Knuth, and Patashnik.

$mn + 1 - n$  occurrences of  $+1$ , and Raney’s lemma tells us that the number of such sequences with all partial sums positive is exactly

$$\binom{mn+1}{n} \frac{1}{mn+1} = \binom{mn}{n} \frac{1}{(m-1)n+1}. \quad (7.67)$$

So this is the number of  $m$ -Raney sequences. Let’s call this a Fuss-Catalan number  $C_n^{(m)}$ , because the sequence  $\langle C_n^{(m)} \rangle$  was first investigated by N. I. Fuss [135] in 1791 (many years before Catalan himself got into the act). The ordinary Catalan numbers are  $C_n = C_n^{(2)}$ .

Now that we know the answer (7.67), let’s play “Jeopardy” and figure

The earliest occurrence I can find in a research paper is:

- ▶ *Algebras associated to intermediate subfactors* (1997), by Dietmar Bisch and Vaughan Jones.

Bisch and Jones refer to [GKP] for the terminology.



# Fuss-Catalan Numbers

Back in Cayley's 1891 paper he had listed and solved the following three problems:

1. The partitions are made by non-intersecting diagonals; the problems which have been successively considered are (1) to find the number of partitions of an  $r$ -gon into triangles, (2) to find the number of partitions of an  $r$ -gon into  $k$  parts, and (3) to find the number of partitions of an  $r$ -gon into  $p$ -gons,  $r$  of the form  $n(p-2)+2$ .

(1) is Euler's problem, (2) is Kirkman's problem, and (3) is the problem solved by Fuss. However, Cayley did **not** consider the natural problem of finding a common generalization of (2) and (3), i.e., to count partial dissections of an  $(sn+2)$ -gon by  $i$  non-crossing diagonals into  $(sj+2)$ -gons for various  $j$ .

# Fuss-Catalan Numbers

This problem was posed and solved in 1998 by Józef Przytycki and Adam Sikora, motivated directly by the 1997 paper of Bisch and Jones.

Our work on knot theory motivated an elementary and short “bijective” proof of the common generalization of (2) and (3).

Let  $Q_i(s, n)$  denote the set of dissections of a convex  $(sn+2)$ -gon by  $i$  non-crossing diagonals into  $sj+2$ -gons ( $1 \leq j \leq n-1$ ), i.e. we allow dissections which can be subdivided to dissections into  $(s+2)$ -gons. Let

They proved that

$$\#Q_i(s, n) = \frac{1}{i+1} \binom{sn+i+1}{i} \binom{n-1}{i}.$$

# Fuss-Catalan Numbers

And here are their pictures.



Przytycki



Sikora

# Fuss-Catalan Numbers

The Przytycki-Sikora numbers can be viewed as the  $f$ -vector of a certain “generalized associahedron” that was studied by Sergey Fomin, Nathan Reading, and Eleni Tzanaki in 2005.



Fomin



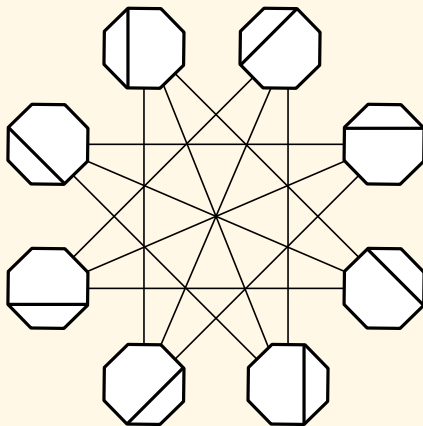
Reading



Tzanaki

# Fuss-Catalan Numbers

Here is a generalized associahedron.



# Rational Catalan Numbers

# Rational Catalan Numbers

Now I will describe some joint work with Brendon Rhoades and Nathan Williams.



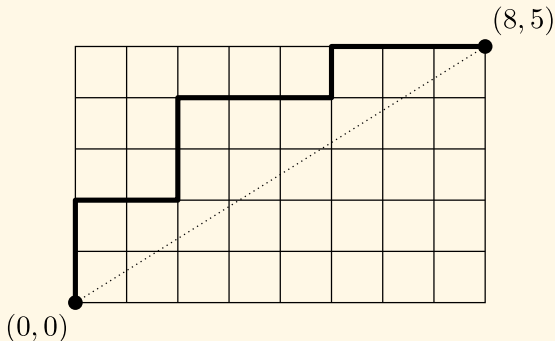
Rhoades



Williams

# Rational Catalan Numbers

Let  $a < b$  be **coprime positive integers** and consider the set of lattice paths from  $(0, 0)$  to  $(b, a)$  staying above the line of slope  $a/b$ . We will call these  $(a, b)$ -Dyck paths.





# Rational Catalan Numbers

The history of lattice path enumeration is very murky, but it was known to Howard Grossman in 1950 and proved by M. T. L. Bizley in 1954 (in the *Journal of the Institute of Actuaries*) that the number of  $(a, b)$ -Dyck paths is

$$\frac{1}{a+b} \binom{a+b}{a}.$$

Grossman<sup>(4)</sup> announced without proof in 1950 a formula for the number of paths from  $(0, 0)$  to  $(km, kn)$  which may touch but never rise above the line  $my = nx$ , where  $k$  is a positive integer and  $m$  and  $n$  are coprime positive integers; thus  $(km, kn)$  is any point having positive integral coefficients. Grossman's formula is

$$\sum F_1^{k_1} F_2^{k_2} \dots / k_1! k_2! \dots,$$

where

$$F_j = \frac{1}{j(m+n)} \binom{jm+jn}{jm},$$

the sum extending over all positive integral  $k_i$  such that  $k_i \geq 0$  and  $\sum i k_i = k$ . If  $k = 1$  this takes the simple form  $\frac{1}{m+n} \binom{m+n}{m}$ .

The object of the present note is to supply a proof of Grossman's formula and to extend his result to cover also the problem of enumerating the paths which

# Rational Catalan Numbers

Call these the **rational Catalan numbers**:

$$\text{Cat}(a, b) := \frac{1}{a+b} \binom{a+b}{a}.$$

Note that the classical Catalan numbers and Fuss-Catalan numbers occur as special cases:

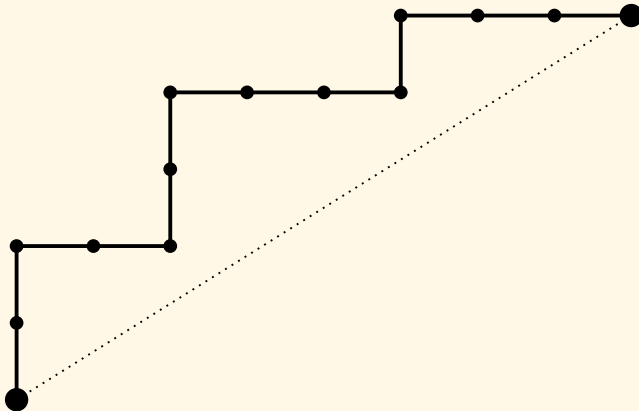
$$C_n = \frac{1}{n+1} \binom{2n}{n} = \text{Cat}(n, n+1)$$

$$C_n^{(m)} = \frac{1}{mn+1} \binom{n(m+1)}{n} = \text{Cat}(n, mn+1).$$

In the spirit of this talk, we wish to define a **rational associahedron** generalizing the earlier examples.

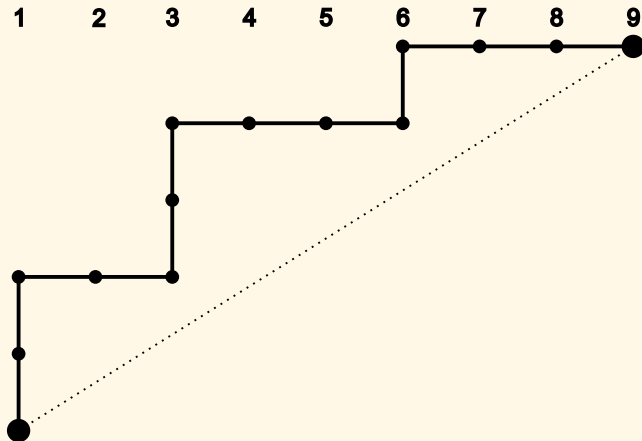
# Rational Catalan Numbers

Start with a Dyck path. Here  $(a, b) = (5, 8)$ .



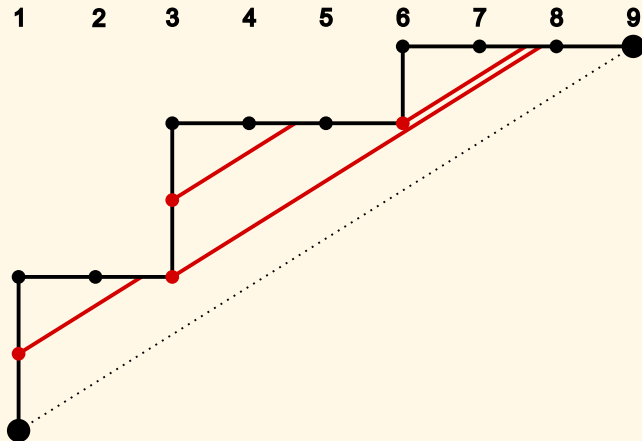
# Rational Catalan Numbers

Label the **columns** by  $\{1, 2, \dots, b + 1\}$ .



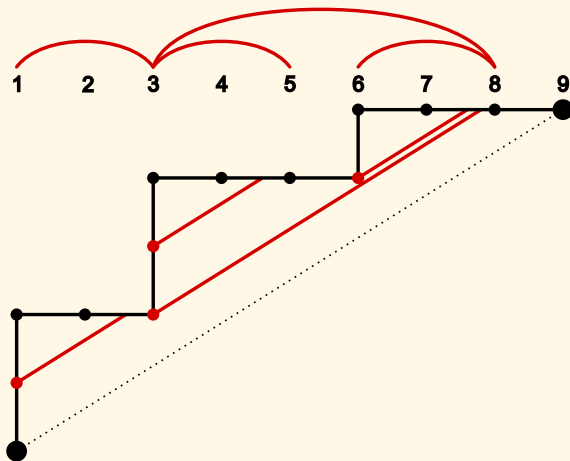
# Rational Catalan Numbers

Shoot **lasers** from the bottom left with **slope  $a/b$** .



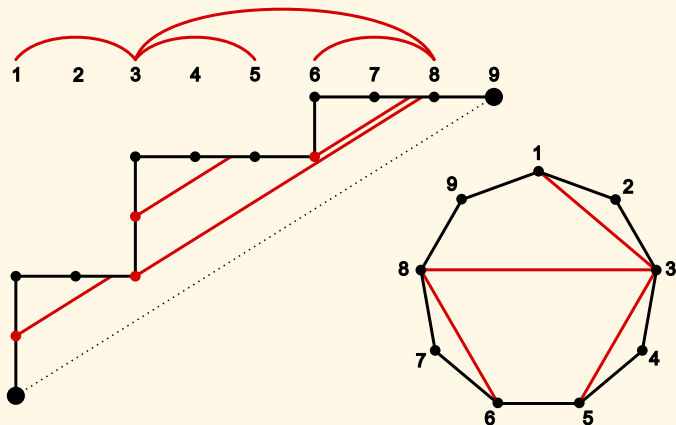
# Rational Catalan Numbers

Lift the lasers up.



# Rational Catalan Numbers

This defines a partial dissection of the convex  $b + 1$ -gon. We will call it an  $(a, b)$ -dissection.



# Rational Catalan Numbers

**Definition:** Given coprime positive integers  $a < b$ , let  $\text{Ass}(a, b)$  be the abstract simplicial complex whose vertices are certain diagonals of a convex  $(b + 1)$ -gon and whose maximal faces are the  $(a, b)$ -dissections.

**Theorems (Armstrong-Rhoades-Williams, 2013):**

- ▶  $\text{Ass}(n, n + 1)$  is the classical associahedron.
- ▶  $\text{Ass}(n, mn + 1)$  is the generalized associahedron of Fomin-Reading and Tzanaki.
- ▶ The  $f$ -vector of  $\text{Ass}(a, b)$  is given by the numbers

$$\frac{1}{a} \binom{a}{k} \binom{b+k-1}{k-1},$$

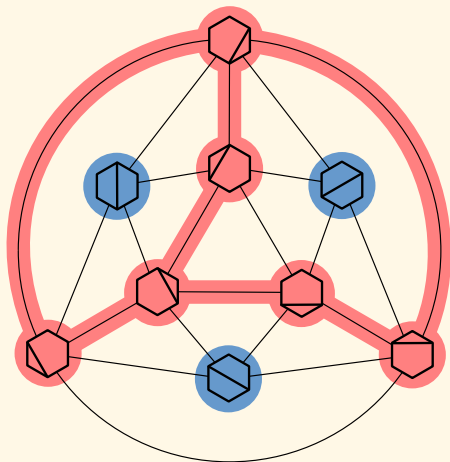
generalizing the Kirkman-Caylay-Przytycki-Sikora numbers. We will just call them the **rational Kirkman numbers**.

- ▶ The complexes  $\text{Ass}(a, b)$  and  $\text{Ass}(b - a, b)$  are Alexander duals.



# Rational Catalan Numbers

Here is a picture of  $\text{Ass}(2, 5)$  and  $\text{Ass}(3, 5)$  as subcomplexes of  $\text{Ass}(4, 5)$ .



# Rational Catalan Numbers

Finally, I will give a highbrow reason why rational Catalan numbers may be interesting.

Let the symmetric group  $S_n$  act on affine space  $\mathfrak{h} := \mathbb{C}^n$  by permuting coordinates, and consider the quotient variety  $(\mathfrak{h} \times \mathfrak{h}^*)/S_n$ . This is a singular variety with coordinate ring given by the **diagonal symmetric polynomials** in two sets of variables:

$$\mathbb{C}[(\mathfrak{h} \times \mathfrak{h}^*)/S_n] = \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]^{S_n}.$$

The singularities of  $(\mathfrak{h} \times \mathfrak{h}^*)/S_n$  can be resolved by the Hilbert scheme  $\text{Hilb}^n(\mathbb{C}^2)$  of  $n$  points in the plane. Furthermore, the smooth locus of  $(\mathfrak{h} \times \mathfrak{h}^*)/S_n$  has a natural symplectic structure that lifts to the resolution  $\text{Hilb}^n(\mathbb{C}^2)$ . And where there is a symplectic manifold, there are always 'quantum' deformations of its coordinate ring.

# Rational Catalan Numbers

In this case, there is a natural (or so I'm told) quantum deformation  $U_c(S_n)$  of the coordinate ring  $\mathbb{C}[(\mathfrak{h} \times \mathfrak{h}^*)/S_n]$  depending on a complex parameter  $c \in \mathbb{C}$  such that

$$\lim_{c \rightarrow 0} U_c(S_n) = \mathbb{C}[(\mathfrak{h} \times \mathfrak{h}^*)/S_n].$$

This deformation is called the **spherical rational Cherednik algebra**. It was introduced in 2001 by Pavel Etingof and Victor Ginzburg. The idea (or so I'm told) is that the representation theory of  $U_c(S_n)$  should tell us about the geometry of the resolution

$$\text{Hilb}^n(\mathbb{C}^2) \rightarrow (\mathfrak{h} \times \mathfrak{h}^*)/S_n.$$

# Rational Catalan Numbers

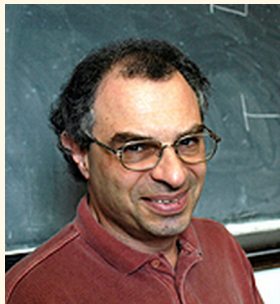
In this context, the following theorem of Yuri Berest, Pavel Etingof, and Victor Ginzburg is probably significant. First see their pictures.



Berest



Etingof



Ginzburg

# Rational Catalan Numbers

## Theorem (Berest-Etingof-Ginzburg, 2002):

Let  $a$  be a positive integer. The only values of  $c \in \mathbb{C}$  such that the algebra  $U_c(S_a)$  has a nonzero finite dimensional representation are  $c = b/a$ , where  $b$  is a positive integer coprime to  $a$ . In this case, there exists a **unique finite dimensional representation**, of dimension

$$\frac{1}{a+b} \binom{a+b}{a},$$

i.e., a rational Catalan number.

The End

Thanks!