Rational Parking Functions

Drew Armstrong et al.

University of Miami www.math.miami.edu/~armstrong

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Rational Catalan Numbers

CONVENTION

Given $x \in \mathbb{Q} \setminus [-1, 0]$, there exist **unique coprime** $(a, b) \in \mathbb{N}^2$ such that

$$c = \frac{a}{b-a}$$

We will always identify $x \leftrightarrow (a, b)$.

Definition

For each $x \in \mathbb{Q} \setminus [-1, 0]$ we define the **Catalan number**:

$$Cat(x) = Cat(a, b) := \frac{1}{a+b} \binom{a+b}{a, b} = \frac{(a+b-1)!}{a!b!}$$

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When $b = 1 \mod a \ldots$

Eugène Charles Catalan (1814-1894)

(a,b) = (n, n+1) gives the good old Catalan number:

$$\operatorname{Cat}(n) = \operatorname{Cat}\left(\frac{n}{(n+1)-n}\right) = \frac{1}{2n+1}\binom{2n+1}{n}.$$

Nicolaus Fuss (1755-1826)

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Observation

The process $Cat(x) \mapsto Cat'(x) \mapsto Cat''(x) \mapsto \cdots$ is a categorification of the Euclidean algorithm.

Example: x = 5/3 and (a, b) = (5, 8)

Subtract the smaller from the larger:

 $\begin{array}{l} {\sf Cat}(5,8)=99,\\ {\sf Cat}'(5,8)={\sf Cat}(3,5)=7,\\ {\sf Cat}''(5,8)={\sf Cat}'(3,5)={\sf Cat}(2,3)=2,\\ {\sf Cat}''(5,8)={\sf Cat}''(3,5)={\sf Cat}'(2,3)={\sf Cat}(1,2)=1 \ \ ({\sf STOP}) \end{array}$

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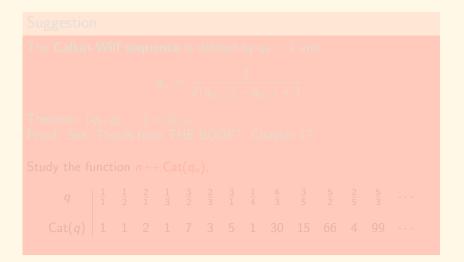
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How to put it in Sloane's OEIS



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Suggestion

The **Calkin-Wilf sequence** is defined by $q_1 = 1$ and

$$q_n := rac{1}{2\lfloor q_{n-1}
floor - q_{n-1} + 1}.$$

Theorem: $(q_1, q_2, ...) = \mathbb{Q}_{>0}$. Proof: See "Proofs from THE BOOK", Chapter 17.

Study the function $n \mapsto Cat(q_n)$.

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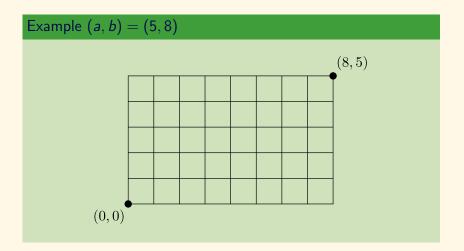
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Well, that was fun.

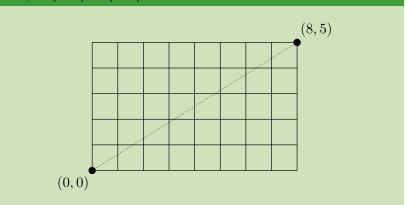


• Consider the "Dyck paths" in an $a \times b$ rectangle.

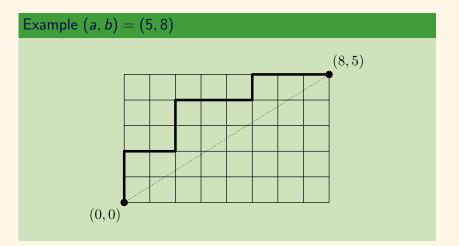


• Again let x = a/(b-a) with a, b positive and coprime.

Example (a, b) = (5, 8)



• Let $\mathcal{D}(x) = \mathcal{D}(a, b)$ denote the set of Dyck paths.



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The number of Dyck paths is the Catalan number:

$$|\mathcal{D}(x)| = \operatorname{Cat}(x) = \frac{1}{a+b} \binom{a+b}{a,b}.$$

- Claimed by Grossman (1950), "Fun with lattice points, part 22".
- Proved by Bizley (1954), in Journal of the Institute of Actuaries.
- Proof: Break (^{a+b}_{a,b}) lattice paths into cyclic orbits of size a + b. Each orbit contains a unique Dyck path.

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The number of Dyck paths is the Catalan number:

$$|\mathcal{D}(x)| = \operatorname{Cat}(x) = \frac{1}{a+b} \binom{a+b}{a,b}.$$

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Theorem (Armstrong 2010, Loehr 2010)

► The number of Dyck paths with k vertical runs equals

$$\operatorname{Nar}(x;k) := \frac{1}{a} \binom{a}{k} \binom{b-1}{k-1}.$$

Call these the Narayana numbers

And the number with r_j vertical runs of length j equals

Krew(x; **r**) :=
$$\frac{1}{b} \binom{b}{r_0, r_1, \dots, r_a} = \frac{(b-1)!}{r_0!r_1!\cdots r_a!}$$

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Call these the Kreweras numbers.

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Call these the Kreweras numbers.

Definition

Let $\lambda \vdash n$ be an integer partition of "size" n.

- Say λ is a *p*-core if it has no cell with hook length *p*.
- Say λ is an (a, b)-core if it has no cell with hook length a or b.

Example

The partition $(5, 4, 2, 1, 1) \vdash 13$ is a (5, 8)-core.

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Theorem (Anderson 2002)

The number of (a, b)-cores (of any size) is finite if and only if (a, b) are coprime, in which case they are counted by the Catalan number

$$\operatorname{Cat}(a,b) = \frac{1}{a+b} \begin{pmatrix} a+b\\ a,b \end{pmatrix}.$$

Theorem (Olsson-Stanton 2005, Vandehey 2008)

For (a, b) coprime \exists unique largest (a, b)-core of size $\frac{(a^2-1)(b^2-1)}{24}$, which contains all others as subdiagrams.

Suggestion

Study Young's lattice restricted to (a, b)-cores

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Suggestion

Study Young's lattice restricted to (*a*, *b*)-cores.

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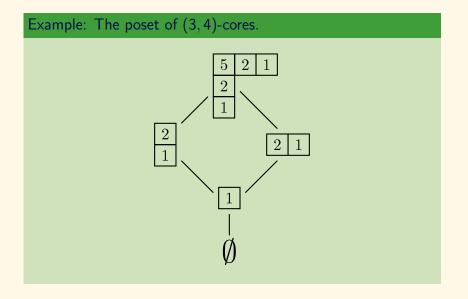
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Theorem (Ford-Mai-Sze 2009)

For a, b coprime, the number of self-conjugate (a, b)-cores is $\begin{pmatrix} \lfloor \frac{d}{2} \rfloor + \lfloor \frac{d}{2} \rfloor \\ \lfloor \frac{d}{2} \rfloor, \lfloor \frac{b}{2} \rfloor \end{pmatrix}$. Note: Beautiful bijective proof! (omitted)

Observation/Problem

$$\begin{pmatrix} \lfloor \frac{a}{2} \rfloor + \lfloor \frac{b}{2} \rfloor \\ \lfloor \frac{a}{2} \rfloor, \lfloor \frac{b}{2} \rfloor \end{pmatrix} = \frac{1}{[a+b]_q} \begin{bmatrix} a+b \\ a,b \end{bmatrix}_q \Big|_{q=-1}$$

Conjecture (Armstrong 2011)

The average size of an (a, b)-core and the average size of a self-conjugate (a, b)-core are **both equal** to $\frac{(a+b+1)(a-1)(b-1)}{24}$.

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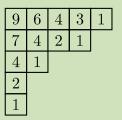
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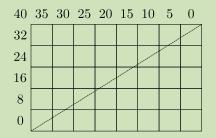
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Proof.

Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (The (5, 8)-core from earlier.)



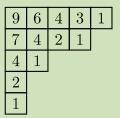


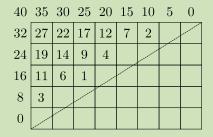
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Proof.

Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (Label the rectangle cells by "height".)



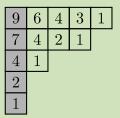


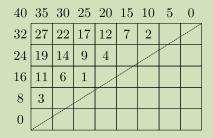
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Proof.

Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (Label the first column hook lengths.)





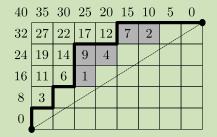
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Proof.

Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (Voila!)

9	6	4	3	1
7	4	2	1	
4	1			
2				
1				



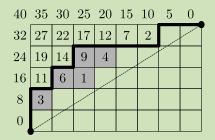
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Bijection: (a, b)-cores \leftrightarrow Dyck paths in $a \times b$ rectangle

Example (Observe: Conjugation is a bit strange.)

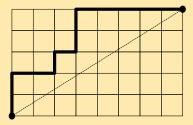




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Definition

• Label the up-steps by $\{1, 2, \ldots, a\}$, increasing up columns.

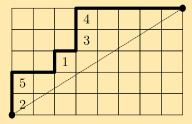


► Call this a parking function.

- Let PF(x) = PF(a, b) denote the set of parking functions.
- Classical form (z_1, z_2, \ldots, z_a) has label z_i in column *i*.
- ► Example: (3,1,4,4,1)

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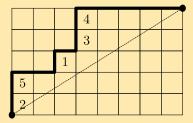


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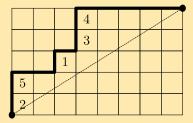
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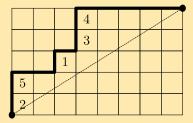
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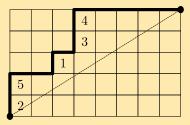


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Definition

• The symmetric group \mathfrak{S}_a acts on classical forms.

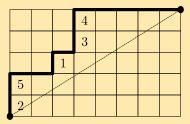


- ► Example: (3,1,4,4,1) versus (3,1,1,4,4)
- ▶ By abuse, let PF(x) = PF(a, b) denote this representation of \mathfrak{S}_a

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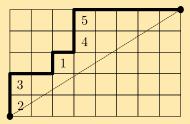


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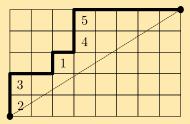


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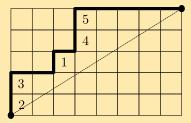


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Definition

• The symmetric group \mathfrak{S}_a acts on classical forms.



- ► Example: (3,1,4,4,1) versus (3,1,1,4,4)
- ▶ By abuse, let PF(x) = PF(a, b) denote this representation of \mathfrak{S}_a .

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Theorems (with N. Loehr and N. Williams)

- The dimension of PF(a, b) is b^{a-1} .
- ► The complete homogeneous expansion is

$$\mathsf{PF}(a,b) = \sum_{\mathsf{r}\vdash a} \frac{1}{b} \binom{b}{r_0, r_1, \dots, r_a} h_{\mathsf{r}},$$

where the sum is over $\mathbf{r} = 0^{r_0} 1^{r_1} \cdots a^{r_a} \vdash a$ with $\sum_i r_i = b$.

▶ That is: PF(a, b) is the coefficient of t^a in $\frac{1}{b}H(t)^b$, where

 $H(t)=h_0+h_1t+h_2t^2+\cdots$

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Theorems (with N. Loehr and N. Williams)

Then using the Cauchy product identity we get...

► The power sum expansion is

$$\mathsf{PF}(a,b) = \sum_{\mathsf{r}\vdash a} b^{\ell(\mathsf{r})-1} \frac{p_\mathsf{r}}{z_\mathsf{r}}$$

i.e. the # of parking functions fixed by $\sigma \in \mathfrak{S}_a$ is $b^{\# \operatorname{cycles}(\sigma)-1}$

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$$\mathsf{PF}(a,b) = \sum_{\mathsf{r}\vdash a} \frac{1}{b} s_{\mathsf{r}}(1^b) s_{\mathsf{r}}.$$

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Observation/Definition

The multiplicities of the **hook Schur functions** $s[k+1, 1^{a-k-1}]$ in PF(*a*, *b*) are given by the **Schröder numbers**

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$$(a, b; k) := \frac{1}{b} s_{[k+1, 1^{a-k-1}]}(1^b) = \frac{1}{b} \binom{a-1}{k} \binom{b+k}{a}.$$

Special Cases:

- ▶ Trivial character: Schrö(a, b; a 1) = Cat(a, b).
- Smallest k that occurs is $k = \max\{0, a b\}$, in which case

 $\operatorname{Schr\"o}(a,b;k) = \operatorname{Cat}'(a,b).$

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Given a, b coprime we have an \mathfrak{S}_a -module $\mathsf{PF}(a, b)$ of dimension b^{a-1} and an \mathfrak{S}_b -module $\mathsf{PF}(b, a)$ of dimension a^{b-1} .

What is the relationship between PF(a, b) and PF(b, a)?

Note that hook multiplicities are the same:

 $\operatorname{Schr\"o}(a, b; k) = \operatorname{Schr\"o}(b, a; k + b - a).$

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How about *q* and *t*?

We want a "Shuffle Conjecture"

Define a quasisymmetric function with coefficients in $\mathbb{N}[q, t]$ by

$$\mathsf{PF}_{q,t}(a,b) := \sum_{\mathsf{P}} q^{\mathsf{qstat}(\mathsf{P})} t^{\mathsf{tstat}(\mathsf{P})} F_{\mathsf{iDes}(\mathsf{P})}.$$

Sum over (a, b)-parking functions P.

F is a fundamental (Gessel) quasisymmetric function.
 — natural refinement of Schur functions

• We require $PF_{1,1}(a, b) = PF(a, b)$.

Must define qstat, tstat, iDes for (a, b)-parking function P.

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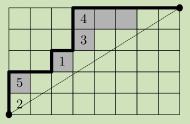
qstat is easy

Definition

- ▶ Let qstat := area := # boxes between the path and diagonal.
- ► Note: Maximum value of area is (a 1)(b 1)/2. (Frobenius) — see Beck and Robins, Chapter 1

Example

• This (5, 8)-parking function has area = 6.



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Definition

- ▶ Read labels by increasing "height" to get permutation $\sigma \in \mathfrak{S}_a$.
- iDes := the descent set of σ^{-1} .

Example

Remember the "height"?

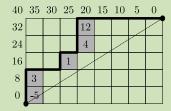
					15			
32	27	22	17	12	7	2	-3	-8
24	19	14	9	4	-1	-6	-11	-16
16	11	6	1	-4	-9	-14	-19	-24
8	3	-2	7مر	-12	-17	-22	-27	-32
0	-5-	-10	-15	-20	-25	-30	-35	-40

Definition

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Example

Look at the heights of the vertical step boxes.

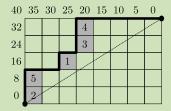


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Example

Remember the labels we had before.

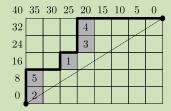


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Example

• Read them by increasing height to get $\sigma = 2\overline{1}53\overline{4} \in \mathfrak{S}_5$.

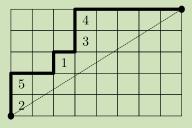


Definition

- ▶ "Blow up" the (*a*, *b*)-parking function.
- ► Compute "dinv" of the blowup.

Example

▶ Recall our favorite the (5,8)-parking function.

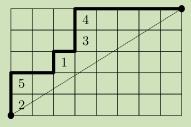


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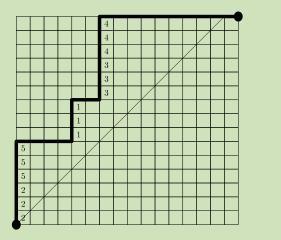
Since $2 \cdot 8 - 3 \cdot 5 = 1$ we "blow up" by 2 horiz. and 3 vert....



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Example

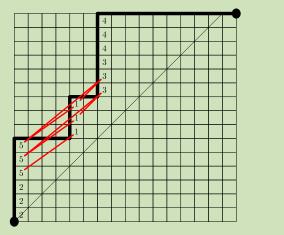
► To get this!



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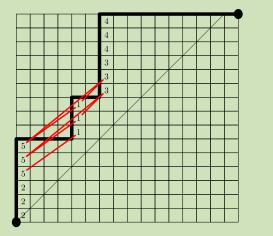
Example

• To get this! Now compute dinv = 7.



Example

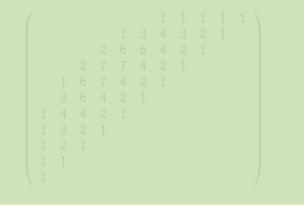
▶ (There's a scaling factor *depending on the path*, so tstat = 3.)



All Together

Example

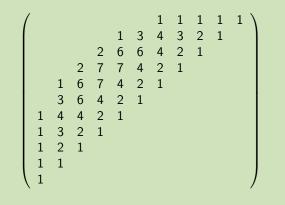
- So our favorite (5,8)-parking function contributes $q^6 t^3 F_{\{1,4\}}$.
- ▶ Proof of Concept: The coefficient of s[2,2,1] in $PF_{q,t}(5,8)$ is



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Facts

$\blacktriangleright \mathsf{PF}_{1,1}(a,b) = \mathsf{PF}(a,b).$

- PF_{q,t}(a, b) is symmetric and Schur-positive with coeffs ∈ N[q, t].
 via LLT polynomials (HHLRU Lemma 6.4.1)
- Experimentally: PF_{q,t}(a, b) = PF_{t,q}(a, b).
 this will be "impossible" to prove (see Loehr's Maxim)
- ▶ Definition: The coefficient of the hook s[k + 1, 1^{a-k-1}] is the q, t-Schröder number Schrö_{g,t}(a, b; k).
- **Experimentally:** Specialization t = 1/q gives

Schrö_{$q,\frac{1}{q}$} $(a,b;k) = \frac{1}{[b]_q} \begin{bmatrix} a-1\\k \end{bmatrix}_q \begin{bmatrix} b+k\\a \end{bmatrix}_q$ (centered)

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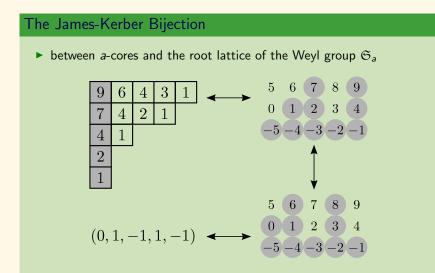
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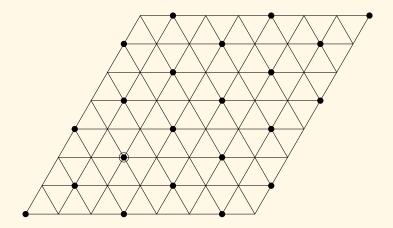
$$\operatorname{Schr}\ddot{o}_{q,\frac{1}{q}}(a,b;k) = \frac{1}{[b]_{q}} \begin{bmatrix} a-1\\k \end{bmatrix}_{q} \begin{bmatrix} b+k\\a \end{bmatrix}_{q} \quad (\operatorname{centered})$$

Motivation: Lie Theory

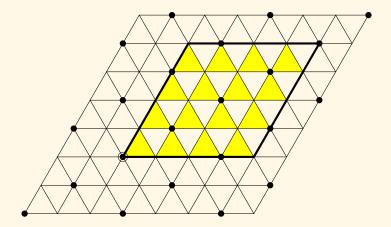


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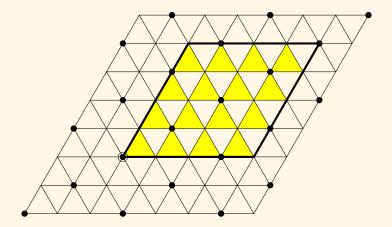
• These are the root and weight lattices $Q \subseteq \Lambda$ of \mathfrak{S}_a .



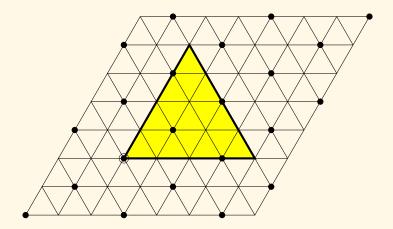
• Here is a fundamental parallelepiped for $\Lambda/b\Lambda$.



• It contains b^{a-1} elements (these are the "parking functions").

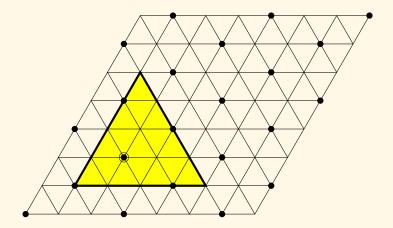


▶ But they look better as a simplex...

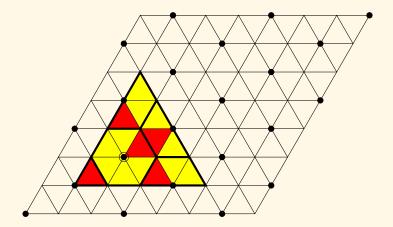


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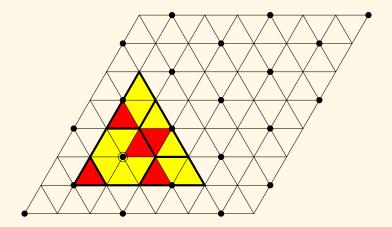
• ...which is congruent to a nicer simplex.



• There are $Cat(a, b) = \frac{1}{a+b} \begin{pmatrix} a+b \\ a,b \end{pmatrix}$ elements of the root lattice inside.



► These are the (*a*, *b*)-Dyck paths (via Anderson, James-Kerber).



Other Weyl Groups?

Definition

Consider a Weyl group W with Coxeter number h and let $p \in \mathbb{N}$ be coprime to h. We define the **Catalan number**

$$\mathsf{Cat}_q(W, p) := \prod_j rac{[p+m_j]_q}{[1+m_j]_q}$$

where $e^{2\pi i m_j/h}$ are the eigenvalues of a Coxeter element.

Observation

$$\operatorname{Cat}_q(\mathfrak{S}_a, b) = \frac{1}{[a+b]_q} \begin{bmatrix} a+b\\a,b \end{bmatrix}_q$$

Thank You

