

Some new HW problems posted.

Homework = Algebraic Details.

Last time: PIDs & Homogeneous Polys.

This time:  $R[x]$  where  $R$  is PID

(more generally,  $R$  is UFD) and

"Study's Lemma" (Nullstellensatz for curves & hypersurfaces.)

Motivation: To study a curve

$F(x, y) = 0$  it is convenient to consider the ideal  $I_F = \{g \in \mathbb{F}[x, y] : F(a, b) = 0 \Rightarrow g(a, b) = 0\} =$  polynomials

that vanish on the curve. The

"coordinate ring" of the curve is defined

as  $\mathbb{F}[x, y] / I_F =$  classes of

polynomials that agree on the curve.

Question: Can we go backwards?

$$\mathbb{F}[x, y] / I_g \cong \mathbb{F}[x, y] / I_f$$

$$\Rightarrow f(x, y) = g(x, y).$$

Yes, but we have to work over an algebraically closed field, and the polynomials have to be squarefree (no repeated prime factors).

Classical Statement:

"Sturm's Lemma"

Let  $f, g \in \mathbb{F}[x, y]$ ,  $\mathbb{F}$  alg. closed.  
(e.g.  $\mathbb{F} = \mathbb{C}$ ). Let  $C_f, C_g \subseteq \mathbb{F}^2$  be the corresponding curves.

If  $f$  irreducible then

$$C_f \subseteq C_g \iff f \mid g$$

Idea: A curve in  $\mathbb{C}^2$  has a "minimal polynomial," i.e., it is defined by a unique polynomial.

This generalizes Descartes' Theorem:

A point in  $a \in \mathbb{C}$  has a minimal polynomial:

$$f(a) = 0 \iff (x-a) \mid f(x).$$

Algebraic Background:

If  $R$  is PID, then the prime ideals of  $R[x]$  are

- zero,
- $f(x)R[x]$  where  $f(x)$  is irreducible. ( $f(x) = p$  allowed)
- $pR[x] + f(x)R[x]$  where  $p \in R$  is prime &  $f(x)$  is irreducible in the ring  $(R/pR)[x]$ .

That's All.

The third kind of ideals are not principal, but they are generated by 2 elements.

Krull Dimension of a Ring:

length of longest chain of prime ideals.

- $\dim(\text{field}) = 0$ .
- $\dim(\text{PID}) = 1$
- $\dim(\text{PID}[x]) = 2$ .

The proof is quite involved!



That was just a preview of HW 2.

Back to Taylor series.

Last Time: for any  $\vec{a} \in \mathbb{R}^n$  &

any polynomial  $f(\vec{x}) \in \mathbb{R}[x_1, \dots, x_n]$  we have the Taylor expansion

$$\begin{aligned} f(\vec{x}) &= \sum_{\mathbf{I} \in \mathbb{N}^n} \frac{(D_{\vec{x}}^{\mathbf{I}} f)(\vec{a})}{\mathbf{I}!} (\vec{x} - \vec{a}) \\ &= f(\vec{a}) + (\nabla f)_{\vec{a}} (\vec{x} - \vec{a}) \\ &\quad + \frac{1}{2} (\vec{x} - \vec{a})^T (Hf)_{\vec{a}} (\vec{x} - \vec{a}) \\ &\quad + \text{higher terms.} \end{aligned}$$

Now we apply this to the study of tangent spaces.

A line  $L \subseteq \mathbb{R}^n$  containing a point  $\vec{a} \in \mathbb{R}^n$  can be parametrized as

$$L: \vec{a} + t\vec{v}$$

where  $t \in \mathbb{R}$  is a parameter

$\vec{v} \in \mathbb{R}^n$  is a direction vector.

For any poly  $f(\vec{x}) \in \mathbb{R}[x_1, \dots, x_n]$  we consider the hypersurface

$$V_f : f(\vec{x}) = 0.$$

To compute the intersection  $L \cap V_f$ , we substitute  $\vec{x} = \vec{a} + t\vec{v}$  into  $f(\vec{x}) = 0$ , to get

$$\varphi(t) := f(\vec{a} + t\vec{v}) \in \mathbb{R}[t]$$

Note  $\vec{a} \in V_f \Leftrightarrow \varphi(0) = 0$ .

To be more explicit, expand  $f(\vec{x})$  near  $\vec{x} = \vec{a}$  to get

$$\begin{aligned} f(\vec{x}) &= f(\vec{a}) + (\nabla f)_{\vec{a}} (\vec{x} - \vec{a}) \\ &\quad + \frac{1}{2} (\vec{x} - \vec{a})^T (Hf)_{\vec{a}} (\vec{x} - \vec{a}) + \dots \end{aligned}$$

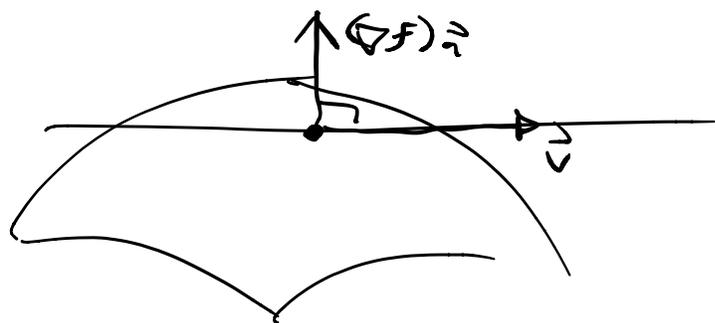
$$\begin{aligned} \varphi(t) &= f(\vec{a}) + t (\nabla f)_{\vec{a}} \vec{v} \\ &\quad + \frac{t^2}{2} \vec{v}^T (Hf)_{\vec{a}} \vec{v} + \dots \end{aligned}$$

Indeed,  $\varphi(0) = f(\vec{a})$  ✓

Definition: We say line  $L$  is

tangent to  $V_f$  at  $\vec{a}$  if  $t=0$

is a root of multiplicity at least 2.



"double contact"

From the Taylor series:

$\vec{v}$  is a tangent vector at point  $\vec{a}$

$\Leftrightarrow$  first 2 coefficients of  $\varphi(t)$  are zero

$$\Leftrightarrow \begin{cases} f(\vec{a}) = 0 \\ (\nabla f)_{\vec{a}} \cdot \vec{v} = 0. \end{cases}$$

i.e.  $\vec{v}$  is  $\perp$  to the gradient vector at  $\vec{a}$ .

If  $(\nabla f)_{\vec{a}} \neq \vec{0}$  then the set of tangent lines at  $\vec{a}$  forms a  $(n-1)$  dim hyperplane called the tangent space:

$$(\nabla f)_{\vec{a}} (\vec{x} - \vec{a}) = 0.$$

Shorthand: Write  $D_{x_i} f = f_{x_i}$ .

$$f_{x_1}(\vec{a})(x_1 - a_1) + \dots + f_{x_n}(\vec{a})(x_n - a_n) = 0.$$

If  $(\nabla f)_{\vec{a}} = \vec{0}$  &  $f(\vec{a}) = 0$ , then say  $\vec{a}$  is a singular point of  $V_f$ .

In this case we have  $(\nabla f)_{\vec{a}} \vec{v} = 0$  for all  $\vec{v}$  hence we could say the tangent space at  $\vec{a}$  is all  $\mathbb{R}^n$ .

Emphasis: Hypersurface  $V_f$ ,

- $\vec{a} \in V_f$  smooth  $\Leftrightarrow \dim T_{\vec{a}} V_f = (n-1)$ .
- $\vec{a} \in V_f$  singular  $\Leftrightarrow \dim T_{\vec{a}} V_f = n$ .



Where next?

- apply to curves
- projective space
- intrinsic version of tangent space.

Question: How does the tangent space behave under change of coordinates?