

8/21/17

Representation Theory

Nullstellensatz:

• Galois Correspondence:

$P, Q$  posets, A Galois Connection is

$$* : P \rightleftarrows Q : *$$

$$\text{s.t. } \forall p \in P, q \in Q, p \leq q^* \iff q \leq p^*$$

Thm: Every Galois Connection restricts to an isomorphism on the subposets of "closed" elements.

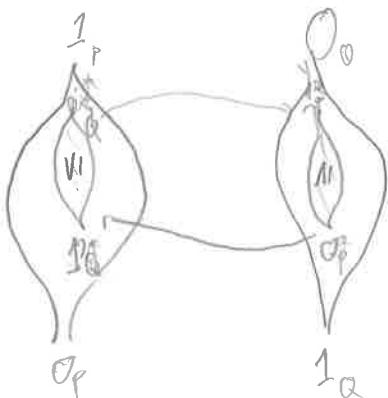
Lemma: ①  $\forall p \in P, q \in Q, p \leq p^{**}, q \leq q^{**}$

②  $\forall p_1, p_2 \in P, q_1, q_2 \in Q, p_1 \leq p_2 \implies p_1^* \geq p_2^*$   
 $q_1 \leq q_2 \implies q_1^* \geq q_2^*$

③  $\forall p \in P, q \in Q, p^{***} = p^*, q^{***} = q^*$

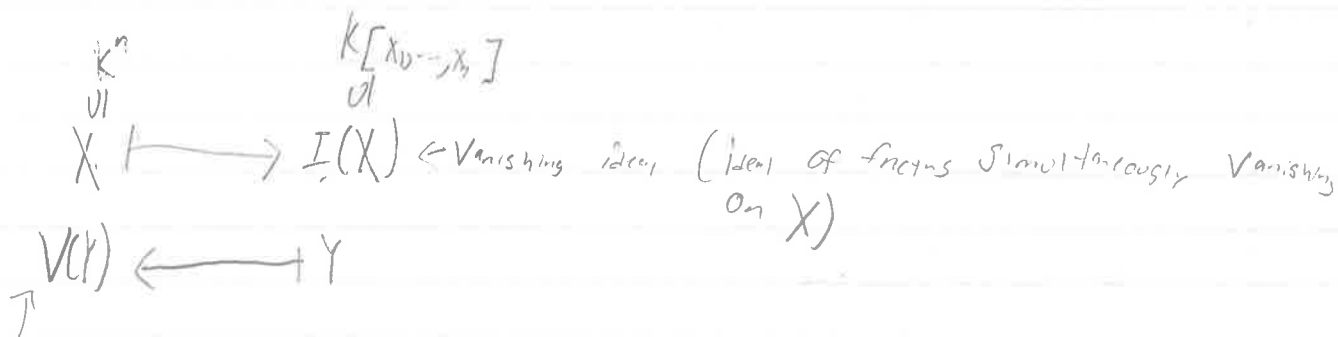
$$* : P \rightleftarrows Q : *$$

$$* : Q^* \xrightarrow{\cong} P^* : *$$



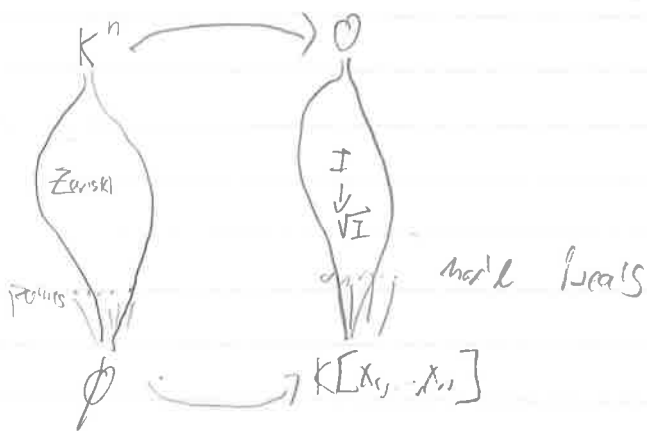
Let  $K$  be alg. closed field,  $K^n$  affine  $n$ -space

$$K[x_1, \dots, x_n] = (\varphi: K^n \rightarrow K)$$



Common  
vanishing set  
of  $Y$

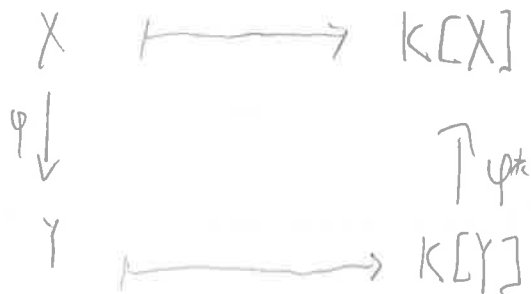
$$X \subseteq V(Y) \implies I(X) \supseteq Y$$



$$X \subseteq K^n$$

$$I(X) \subseteq K[x_1, \dots, x_n], \quad K[X] := \frac{K[x_1, \dots, x_n]}{I(X)} \leftarrow \text{has no nilpotents (i.e., it's reduced)}$$

Aff. Varieties  $\longrightarrow$  Reduced Alg.



We have an equivalence of categories

$$\text{Aff}_K \cong \text{Red Alg}_K^{\text{op}}$$

$$F: \text{Aff}^{\text{op}} \xrightarrow{\quad} \text{Red Alg} : G$$

Full, faithful, essentially surjective.

$$FG \cong \text{id}$$

$$GF \cong \text{id}$$

$$\text{Geometry} \cong \text{Alg}^{\text{op}}$$

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Central Concepts

Adjoint Functors

Limits / Colimits

RAPL/LAPC

Right-adjoints

Preserve

limits,

left-adjoints

Preserve

(colimits)

ex:  $a(b+c) = ab+ac$

$$A \otimes (B+C) = (A \otimes B) \oplus (A \otimes C)$$

Def: A Category  $\mathcal{C}$  consists of a collection  $\text{Obj}(\mathcal{C})$  of objects  
 " $x \in \mathcal{C}$ "  $\Leftrightarrow$  " $x \in \text{Obj}(\mathcal{C})$ "

o)  $\forall x, y \in \mathcal{C}$ , a set of arrows  $\text{Hom}_{\mathcal{C}}(x, y)$

$$"\alpha: x \rightarrow y" \Leftrightarrow "\alpha \in \text{Hom}_{\mathcal{C}}(x, y)"$$

o)  $\forall x, y, z \in \mathcal{C}$ , a function

$$o: \text{Hom}_{\mathcal{C}}(x, y) \times \text{Hom}_{\mathcal{C}}(y, z) \rightarrow \text{Hom}_{\mathcal{C}}(x, z)$$

if  $\alpha: x \rightarrow y$ ,  $\beta: y \rightarrow z$ , then  $\beta \circ \alpha: x \rightarrow z$

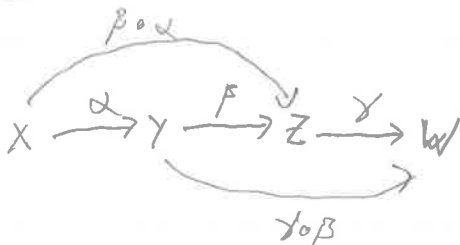
with two axioms:

1) Identity:  $\forall x \in \mathcal{C}$ ,  $\exists id_x \in \text{Hom}_{\mathcal{C}}(x, x)$  S.t.

$\forall \alpha: x \rightarrow y$ , the following diagram commutes



2) Associativity:  $\forall x \xrightarrow{\alpha} y \xrightarrow{\beta} z \xrightarrow{\gamma} w$ , TFDC



Subcategory:  $\mathcal{C}' \subseteq \mathcal{C}$

•  $\text{Obj}(\mathcal{C}') \subseteq \text{Obj}(\mathcal{C})$

•  $\forall x, y \in \mathcal{C}', \text{Hom}_{\mathcal{C}'}(x, y) \subseteq \text{Hom}_{\mathcal{C}}(x, y)$

↑  
if this is  
always =,  
 $\mathcal{C}'$  is a full subcategory

ex:  $\text{Ab} \subseteq \text{Grp}$  is full

ex: Let  $\mathcal{P}$  be a category satisfying

$$\forall x, y \in \mathcal{P}, |\text{Hom}_{\mathcal{P}}(x, y)| \in \{0, 1\}$$

What is this?

$$"x \rightarrow y" \iff "x \leq y"$$

Axioms: 1)  $x \leq x \quad \forall x \in \mathcal{P}$

2)  $x \leq y, y \leq z \Rightarrow x \leq z$

This is a Preorder

Antisymmetric?

$$x \leq y + y \leq x \Rightarrow x = y$$

not defined...  
↓

Solution: We can define " $\cong$ " to have this property.  
 Now it's a poset.

Def: For  $x, y \in \mathcal{C}$ , we say  $x \cong_{\mathcal{C}} y$  if  $\exists \alpha: x \rightarrow y, \beta: y \rightarrow x$

s.t.  $\beta \circ \alpha = id_x$  and  $\alpha \circ \beta = id_y$ .

"Subobject of  $x$ " = Equivalence class of monic arrows into  $x$ .

A skeleton of a category  $\mathcal{C}$  is a full subcategory obtained by throwing away all but one object from each isomorphism class.

$Sk(\text{procat}) = \text{Poset}$

$Sk(\text{Set}) = \text{Cardinals}$

"Operations" in a category  
 (Limits & Colimits)

Def: Category  $\mathcal{C}$  is small if  $\text{Obj}(\mathcal{C})$  is a set.

Dichotomy:

Categories  
 as Structures

(Small)

Poset

Groupoid (every arrow is an iso)

Categories

of Structures

Set, Ab, Top, Top<sub>0</sub>

Def: A functor  $F: \mathcal{C} \rightarrow \mathcal{D}$

consists of

• a function  $F: \text{Obj}(\mathcal{C}) \rightarrow \text{Obj}(\mathcal{D})$

•  $\forall c_1, c_2 \in \mathcal{C}$  a function

$$F: \text{Hom}_{\mathcal{C}}(c_1, c_2) \rightarrow \text{Hom}_{\mathcal{D}}(F(c_1), F(c_2))$$

$$\begin{array}{ccc} & c_2 & \\ \alpha \uparrow & & \uparrow F(\alpha) \\ & c_1 & \end{array} \quad \xrightarrow{F} \quad \begin{array}{ccc} & F(c_2) & \\ & \uparrow & \\ & F(c_1) & \end{array}$$

Satisfying two axioms:

•  $\forall c \in \mathcal{C}, F(\text{id}_c) = \text{id}_{F(c)}$

•  $\forall x \xrightarrow{\alpha} y \xrightarrow{\beta} z \quad \rightsquigarrow \quad F(x) \xrightarrow{F(\alpha)} F(y) \xrightarrow{F(\beta)} F(z)$

$$F(\beta \circ \alpha) = F(\beta) \circ F(\alpha)$$

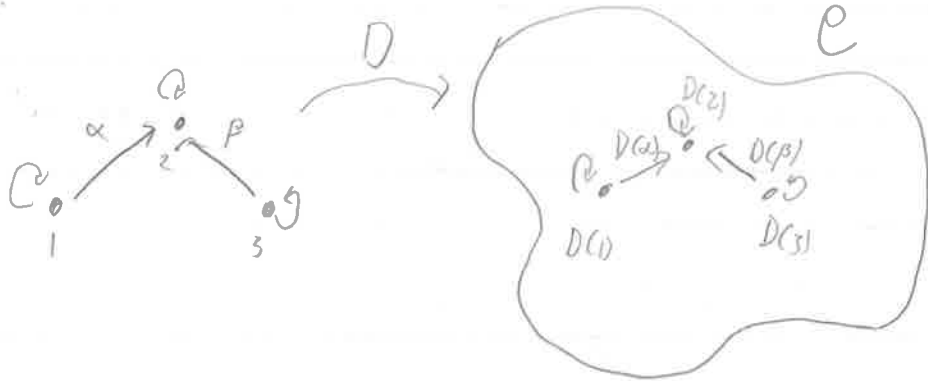
Contravariant functor:  $F: \mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$   
 $F: \mathcal{C} \rightarrow \mathcal{D}^{\text{op}}$

Claim: Categories + Functors form a Category, called  $\text{Cat}$ .

Def: Let  $\mathcal{I}$  be a small category. Thought of as "an index set with arrows". A diagram of shape  $\mathcal{I}$  in  $\mathcal{C}$  is a functor

$$D: \mathcal{I} \rightarrow \mathcal{C}$$

Picture:

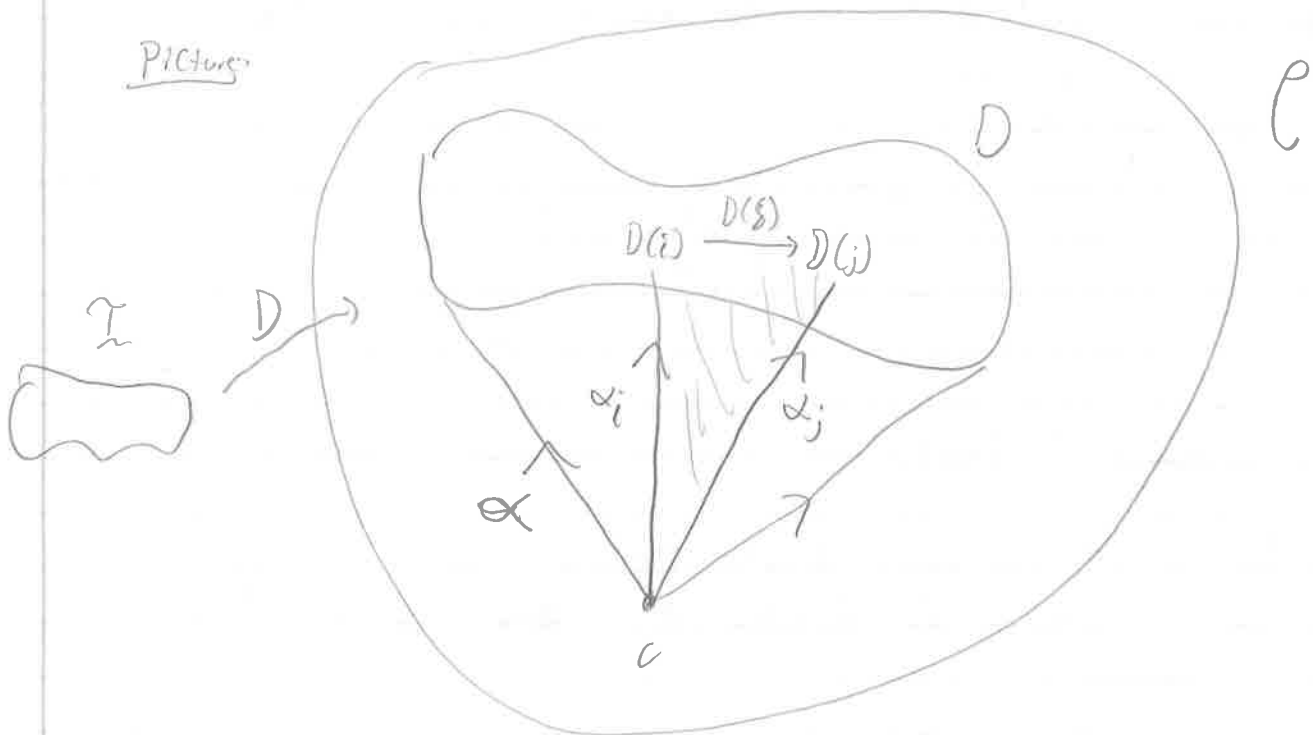


Def: A Cone under the diagram  $D$  consists of

- an object  $c \in \mathcal{C}$  (the apex)
- Set of arrows  $\alpha_i : C \rightarrow D(i) \quad \forall i \in I$

s.t.  $\forall \delta : i \rightarrow j \in I$ , we have  $\alpha_j = D(\delta) \circ \alpha_i$

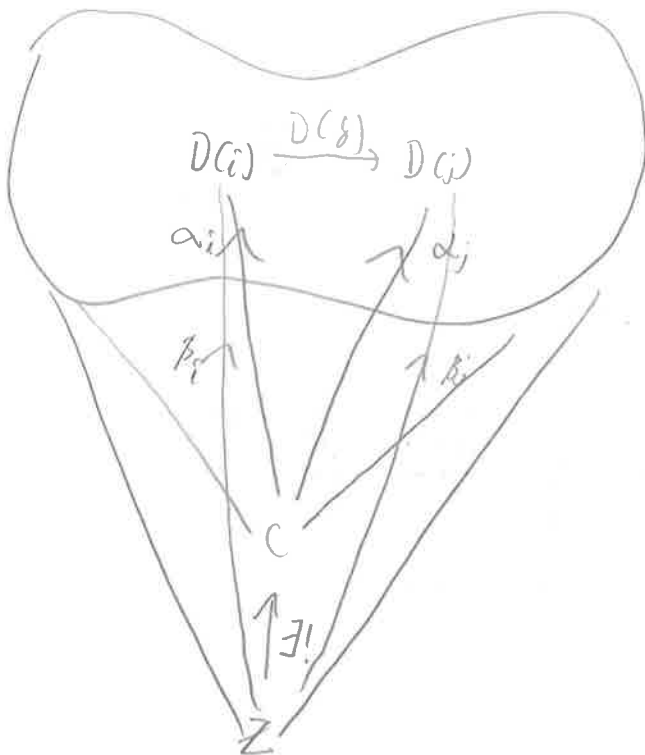
Picture:





Denote the cone by  $(C, (\alpha_i)_{i \in I})$ .

Def. We say this cone is a limit of the diagram  $D$  if for any other cone  $(Z, (\beta_i))$  under  $D$ , there exists a unique arrow  $V: Z \rightarrow C$  s.t.



(commutes  $\forall i$ )

Limit of  $D \equiv$  highest cone under  $D$   
 Colimit of  $D \equiv$  lowest cone over  $D$

## Current Goal:

8/29/17

- Limits/Colimits
- Adjoint Functors
- RAPL/LAPC

Recall: Let  $\mathcal{I}$  be a small category.

A diagram of shape  $\mathcal{I}$  is a functor  $D: \mathcal{I} \rightarrow \mathcal{C}$

A Cone under  $D$  consists of

- An obj  $C \in \mathcal{C}$
- arrows  $\alpha_i: C \rightarrow D(i), \forall i \in \mathcal{I}$   
s.t.  $\forall \delta: i \rightarrow j, \alpha_j = D(\delta) \circ \alpha_i$

We say a cone  $(C, \alpha)$  is a limit of  $D$  if for any other cone  $(Z, \beta)$  under  $D$ ,

$\exists! \nu: Z \rightarrow C$  s.t.  $\forall \delta: i \rightarrow j \in \mathcal{I},$

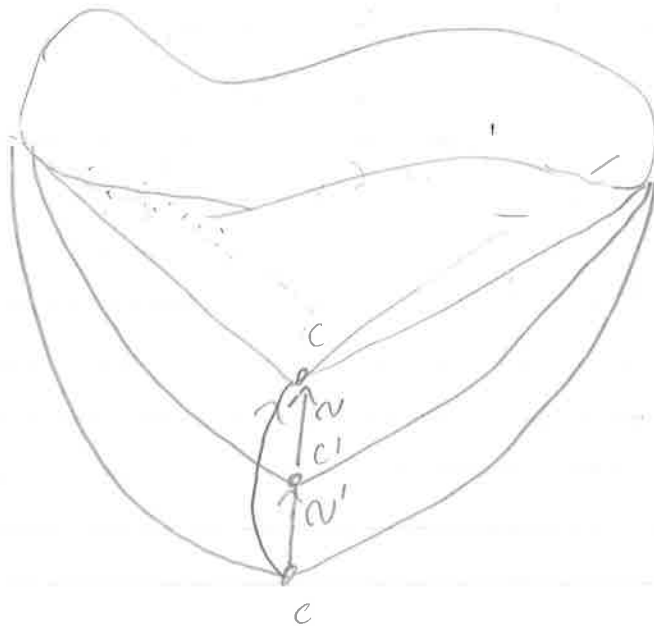
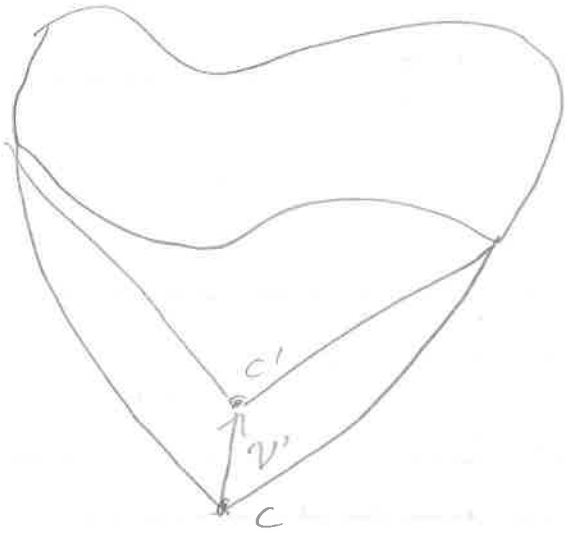
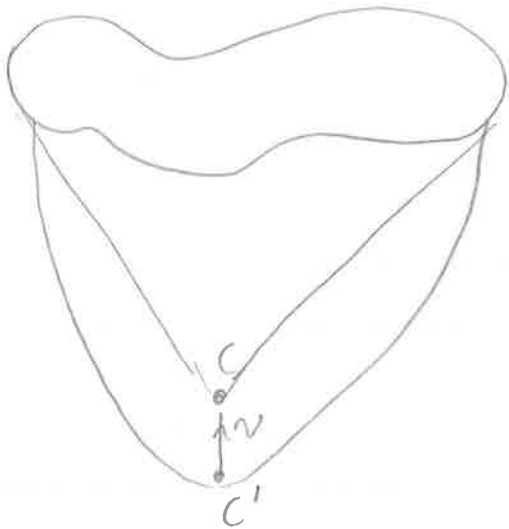


Comments.

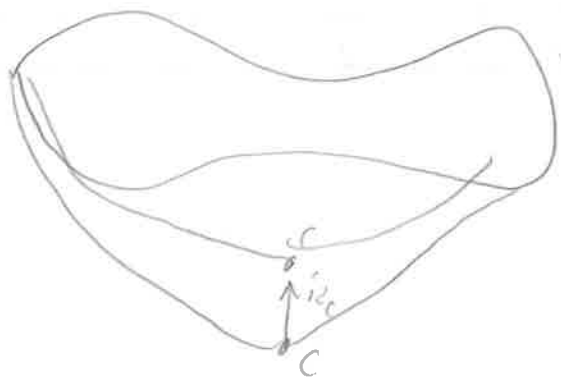
Uniqueness of Limits: A limit of  $D$  (if it exists) is unique in some sense.

Suppose  $(C, \alpha), (C', \alpha')$  are limits.

Canonical forms



But we also have



Univalence  $\Rightarrow V \circ V' = id_C, V' \circ V = id_{C'}$

$\Rightarrow C \cong_{\mathcal{P}} C'$ , and isomorphisms  $V \dashv V'$  are univalence

wrt commuting with  $\alpha \dashv \alpha'$ .

Colimits (if they exist) are univalence in the same way.

ex': Let  $P$  be a poset (i.e. a small category where  $|\text{Hom}_P(x,y)| \in \{0,1\}$ ).

Consider  $\mathcal{I} = \emptyset$ , the empty category.

$\phi: \emptyset \rightarrow P$  empty diagram.

$C$  is a limit of  $\phi \Leftrightarrow \forall z \in P, z \leq c$   
 $\Leftrightarrow c = \hat{1}_P$ .

Let  $1, 1'$  be top elts of  $P$ . Then  $1 \leq 1', 1' \leq 1 \Rightarrow \underline{1} \cong \underline{1}'$ .

Similarly, colim of  $\phi$ , if it exists, is a bottom elt  $0 \in P$ , univalence up to isomorphism.

Next, let  $\mathcal{I} = \{ \overset{P}{1} \quad \overset{P}{2} \} = \overline{2}$

Diagram  $D: \overline{2} \rightarrow P$  is just two elements

Picture:



Concave order  $D =$  lower bound  
 limit of  $D = \text{glb} = \text{meet}$

Convex order  $D =$  upper bound  
 Colimit of  $D = \text{lub} = \text{join}$

General diagram in  $P$  is just a subset  $S \subseteq P$

Limit + Colimit are meet + join/resp.

Example: Boolean lattice  $P = 2^U$

$$\begin{aligned} \bigvee \emptyset &= U & \bigwedge U &= \emptyset \\ \bigwedge \emptyset &= \emptyset & \bigvee U &= U \end{aligned}$$

Complete (all limits exist)

Cocomplete (all colimits exist)

$$\begin{aligned} \bigvee \emptyset &= \emptyset & \text{Monomorphisms: } \sum_{\emptyset} &= \emptyset \\ \bigwedge \emptyset &= U & \prod_{\emptyset} &= U \end{aligned}$$

Limits are "multiplicative"

Colimits are "additive"

In a General Category, consider  $D: \bar{n} \rightarrow \mathcal{C}$

The limit (if it exists) is the categorical product

$$\prod_{i=1}^n D(i)$$

The colimit (if it exists) is categorical sum/coproduct

$$\coprod_{i=1}^n D(i)$$

Empty Product/Coproduct is called terminal/initial object:

Examples:

In Set:  $\prod$  = Cartesian product     $\coprod$  = Disjoint Union  
 initial =  $\emptyset$     terminal =  $\{*\}$   
 "0"    "1"

In Grp:  $\prod$  = direct product     $\coprod$  = free product  
 initial =  $\{e\}$     = final (Zero object)

In  $\mathbb{R}ng$ :  $\prod$  = direct product     $\coprod$  =  $\oplus_{\mathbb{Z}}$   
 initial =  $\mathbb{Z}$     Terminal = 0

In Fld:  $\prod$  doesn't exist,     $\coprod$  doesn't exist

???

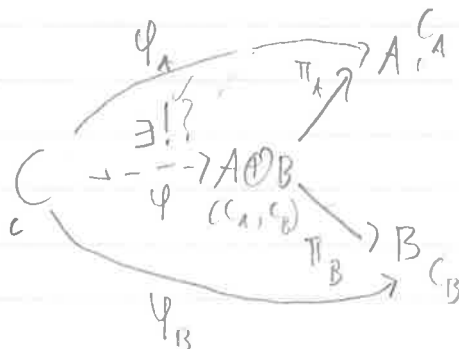
In  $Vec_K$ :  $\prod, \coprod = \oplus$   
 initial = final = 0

In  $Ab$ : finite products + coproducts coincide =  $\oplus$   
 initial = terminal = 0

Given  $A, B \in Ab$ , define  $A \oplus B = \{(a, b) \mid a \in A, b \in B\}$

$(a_1, b_1) + (a_2, b_2) = (a_1 + a_2, b_1 + b_2)$

Projections



$c_A = \varphi_A(c)$

$c_B = \varphi_B(c)$

(given uniqueness)

Only possible choice:

$$\varphi(c) := (\varphi_A(c), \varphi_B(c)).$$

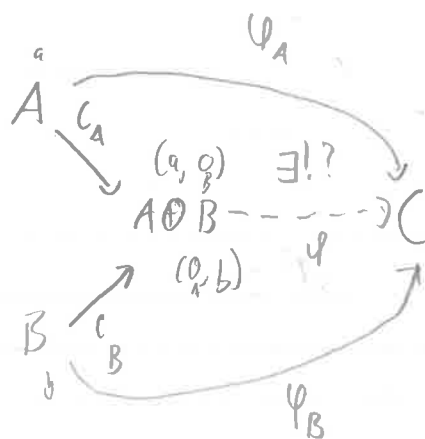
Is this a group hom?

$$\begin{aligned} \varphi(c_1 + c_2) &= (\varphi_A(c_1 + c_2), \varphi_B(c_1 + c_2)) \\ &= (\varphi_A(c_1) + \varphi_A(c_2), \varphi_B(c_1) + \varphi_B(c_2)) \\ &= (\varphi_A(c_1), \varphi_B(c_1)) + (\varphi_A(c_2), \varphi_B(c_2)) \\ &= \varphi(c_1) + \varphi(c_2) \end{aligned}$$



Why is this object also the coproduct?

Inclusions?



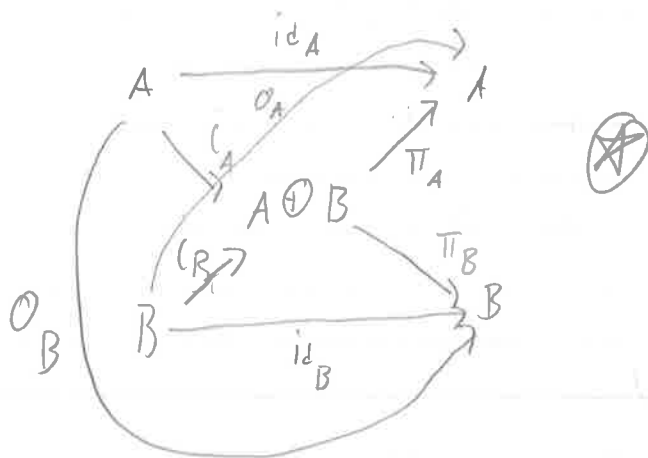
Suppose  $\varphi(a, b) = c$

Trick:  $(a, b) = (a, 0) + (0, b)$ , so  $\varphi(a, b) = \varphi(a, 0) + \varphi(0, b)$   
 $= \varphi(c_A(a)) + \varphi(c_B(b))$   
 $= \varphi_A(a) + \varphi_B(b)$

Is this a homomorphism?


Define  $\varphi(a, b) = \varphi_A(a) + \varphi_B(b)$ . This is a group homomorphism, b/c  
 "+" is a commutative operation.

$$\begin{aligned} \varphi(a_1 + a_2, b_1 + b_2) &= \varphi_A(a_1 + a_2) + \varphi_B(b_1 + b_2) = \varphi_A(a_1) + \varphi_A(a_2) + \varphi_B(b_1) + \varphi_B(b_2) \\ &= \varphi_A(a_1) + \varphi_B(b_1) + \varphi_A(a_2) + \varphi_B(b_2) \\ &= \varphi(a_1, b_1) + \varphi(a_2, b_2). \quad \checkmark \end{aligned}$$



$$\begin{array}{ccccc} \mathcal{O}_A : & B & \longrightarrow & \mathcal{O} & \longrightarrow & A \\ & \exists! & & \exists! & & \uparrow \\ & & & & & \mathcal{O} \end{array}$$



In a category with a 0-object (and hence 0-arrows),  
 a biproduct satisfies 

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What is a matrix?

Consider a group hom

$$\varphi: A \oplus B \longrightarrow A \oplus B$$

$\varphi$  defines four "component" homs:

$$\varphi_{ij} := \pi_j \circ \varphi \circ \iota_j \quad \text{for } i, j \in \{A, B\}$$

By universal properties,  $\varphi$  is uniquely determined by  
 its components  $\varphi_{AA}, \varphi_{AB}, \varphi_{BA}, \varphi_{BB}$ .

$$\varphi \text{ "=" } \begin{pmatrix} \varphi_{AA} & \varphi_{AB} \\ \varphi_{BA} & \varphi_{BB} \end{pmatrix}$$

Composition = matrix multiplication.

$$\begin{pmatrix} \varphi_{AA} & \varphi_{AB} \\ \varphi_{BA} & \varphi_{BB} \end{pmatrix} \circ \begin{pmatrix} \varphi'_{AA} & \varphi'_{AB} \\ \varphi'_{BA} & \varphi'_{BB} \end{pmatrix} = \begin{pmatrix} \varphi_{AA} \circ \varphi'_{AA} + \varphi_{AB} \circ \varphi'_{BA} & \text{---} \\ \text{---} & \text{---} \end{pmatrix}$$

8/30/17 Why are limits/colimits interesting?

- They're ubiquitous
- Because they're preserved by right/left adjoint functors, which are also ubiquitous

A Galois connection b/w posets is

$$* : P \rightleftarrows Q : *$$

$$\text{with } p \leq q^* \iff q \leq p^* \quad \forall p \in P, q \in Q$$

Theorem (RAPL): Galois connections send joins to meets, i.e.,  
 Suppose we have  $S \subseteq P$  where  $\bigvee_p S$  exists; then the meet of the image exists, and is given by  $\bigwedge_Q S^* = (\bigvee_p S)^*$ .

Duality:  $(\bigvee_Q T)^* = \bigwedge_P (T^*)$ .

PF. We know that  $\bigvee_p S \in P$  exists.

By definition, we have,  $\forall q \in Q$ , that  $q \leq (\bigvee_p S)^* \iff \bigvee_p S \leq q^*$

$$\iff s \leq q^* \quad \forall s \in S$$

$$\iff q \leq s^* \quad \forall s \in S$$

$$q \leq (\bigvee_p S)^* \iff q \leq s^* \quad \forall s \in S$$

To show  $(\bigvee_p S)^* = \bigwedge_Q S^*$ :

- $q = (\bigvee_p S)^* \Rightarrow (\bigvee_p S)^* \leq s^* \quad \forall s \in S$
- $\Rightarrow (\bigvee_p S)^*$  is a lower bound for  $S^*$ .

• For any other lower bound  $z$ ,  
 $z \leq s^* \forall s \in S \Rightarrow z \leq (V_P S)^*$



□

Corollary: Meet of closed elts is closed. (almost a topology...)

Special case: If  $O_P = V_P \emptyset$  exists, then

$$O_P^* = (V_P \emptyset)^* = \bigwedge_Q \emptyset^* = \bigwedge_Q \emptyset = 1_Q$$

Change language:

Let  $P, Q$  be posets. An adjunction is a pair of fctns

$$L: P \rightleftarrows Q: R$$

Satisfying

$$p \leq R(q) \Leftrightarrow L(p) \leq q \quad \forall p \in P, q \in Q$$

Compare to  $\langle p, R(q) \rangle = \langle L(p), q \rangle$  Vector Spcs

Notation: "L - R"

↑  
left  
adjoint

↑  
right  
adjoint

Thm (RAPL): For  $\forall S \subseteq P, T \subseteq Q$ , we have

• if  $\bigvee_P S$  exists then  $\bigvee_Q L(S) = L(\bigvee_P S)$  exists "left adjoints preserve colimits"

• if  $\bigwedge_Q T$  exists, then  $\bigwedge_P R(T) = R(\bigwedge_Q T)$  exists "right adjoints preserve limits"

Thm (Uniqueness of Adjoints):

Consider a function  $L: P \rightarrow Q$ .

If we have two adjunctions

$$L: P \rightleftarrows Q: R \quad L: P \rightleftarrows Q: R'$$

then  $R = R'$ .

pf:  $\forall p \in P, q \in Q$ , we have

$$p \leq R(q) \Leftrightarrow L(p) \leq q \Leftrightarrow \exists r \leq R'(q)$$

$$p \leq R(q) \Leftrightarrow p \leq R'(q).$$

Put  $p = R(q)$ . Then  $R(q) \leq R(q) \Rightarrow R(q) \leq R'(q)$

•  $p = R'(q)$ . Then  $R'(q) \leq R'(q) \Rightarrow R'(q) \leq R(q)$ .

$$\Rightarrow R(q) \equiv_p R'(q) \quad \forall q$$

20 (So if  $P$  is really a poset,  $R(q) = R'(q) \quad \forall q$ ).  $\square$

•  $L, R$  Order-preserving maps

• <sup>"closure"</sup>  $(ROL)$  is a Closure Operator  $2^P \rightarrow 2^P$

• <sup>"interior"</sup>  $(LOR)$  is an "interior operator"  $2^Q \rightarrow 2^Q$

$$LRL \approx L \quad + \quad RLR \approx R$$

Example: Consider a set function  $f: U \rightarrow V$ .

This induces a function on subsets

$$f: 2^U \rightarrow 2^V$$

Note:  $f$  is monotone + preserves arbitrary unions:

$$\bullet u_1 \subseteq u_2 \Rightarrow f(u_1) \subseteq f(u_2)$$

$$\bullet f(u_1 \cup u_2) = f(u_1) \cup f(u_2)$$

Maybe  $f: 2^U \rightarrow 2^V$  is left-adjoint to something...

Indeed,  $f \dashv f^{-1}$ , i.e.

$$f: 2^U \rightleftarrows 2^V: f^{-1}$$

However  $f$  doesn't preserve intersections, so the string of adjunctions cannot be extended on the left

$$\times \dashv f \dashv f^{-1} \dashv f! \dashv \times$$

$$\text{where } f!(S) := \{v \in V \mid f^{-1}(v) \subseteq S\}$$

Compare to  $f(S) := \{ v \in V \mid f^{-1}(v) \cap S \neq \emptyset \}$

Note:  $f_!(S) \subseteq f(S)$ .

Rephrase:  $f(S) = \{ v \in V \mid \exists u \in f^{-1}(v), u \in S \}$   
 $f_!(S) = \{ v \in V \mid \forall u \in f^{-1}(v), u \in S \}$

"Sheaf-theoretic logic"

$f \dashv f^! \dashv f_!$

sets  
 sheaves

$\mathcal{Q}_{\text{coh}}(\text{Spec } A) \rightarrow \mathcal{Q}_{\text{coh}}(\text{Spec } B)$   
 $\parallel \qquad \qquad \parallel$

$A\text{-mod} \rightleftarrows B\text{-mod}$

Categories  $\cong$  Vector Spaces

vector space  $V$  = category  $\mathcal{C}$   
 over field  $K$

The free  $K$  = category of sets

$K$ -linear factn = functor

dual space  $V^* = \text{Set}^{\mathcal{C}}$

bilinear pairing  $V \times V \rightarrow K$  =  $\text{Hom}(-, -)$  bifunctor  
 $(x, y) \in \mathcal{C} \mapsto \text{Hom}_{\mathcal{C}}(x, y)$

Adjoint operators = Adjoint functors

RAPL + Uniqueness of adjoints =  $\text{Hom}_{\mathbb{C}}(-, -)$  is "cont. o.s." + "non-degenerate" (Yoneda)

$\mathbb{C}G$ -modules:  $U, V$

$$\dim_{\mathbb{C}} \text{Hom}_{\mathbb{C}G}(U, V) = \langle U, V \rangle_G$$

Irreducible  $\mathbb{C}G$ -modules are an "orthonormal basis"

Let  $U$  be an irreducible  $K$ -module, ( $K$  alg. close)

$\varphi: U \rightarrow U$  homomorphism

$\ker(\varphi) \subseteq U$  submodule  $\Rightarrow \ker(\varphi) = 0$  or  $U$   
 $\text{im}(\varphi) \subseteq U$  submodule, so  $\text{im}(\varphi) = 0$  or  $U$

$\ker(\varphi)$	$\text{im}(\varphi)$	
$0$	$U$	$\varphi$ iso
$U$	$0$	$\varphi = 0$

in this case, isomorphism  $U \rightarrow U$   
 $\parallel$   
 scalar mult.

$(\varphi - \lambda I): U \rightarrow U$  is the 0 map exactly when  $\varphi = \lambda I$ .

$$\Rightarrow \text{Hom}_{\mathbb{C}G}(\text{irr}, \text{irr}) \cong \mathbb{C} \Rightarrow \langle \text{irr}, \text{irr} \rangle_G = \begin{cases} 1, & \text{irr} \cong \text{irr}' \\ 0, & \text{irr} \not\cong \text{irr}' \end{cases}$$

9/25/17 Application of RAPL to posets:

Let  $P, Q$  be posets in which all joins/colimits exist,  
and let  $*: P \rightleftarrows Q: *$  be a Galois connection.

Then the meet of closed elts exists and is also closed.

PF:  $\forall S \subseteq P, T \subseteq Q$ , we have  $\bigwedge_Q S^* = (V_P S)^*$ , which exists, and

$\bigwedge_P T^* = (V_Q T)^*$ , which exists. □

(Note: In general, the join of closed elts needn't be closed).

Ex: Zariski Topology

$$I: K^n \rightleftarrows k[X_1, \dots, X_n]: V$$

Automatic:  $I(\bigcup_i S_i) = \bigcap_i I(S_i)$

$$V(\sum_i J_i) = \bigcap_i V(J_i)$$

$$V(J_1 \cap J_2) = V(J_1) \cup V(J_2)$$

By the general nonsense, we have  $J_1 \cap J_2 \subseteq J_1, J_1 \cap J_2 \subseteq J_2$

$$\Rightarrow V(J_1) \supseteq V(J_1 \cap J_2) \quad V(J_2) \supseteq V(J_1 \cap J_2)$$

$$\Rightarrow V(J_1) \cup V(J_2) \supseteq V(J_1 \cap J_2) \quad \checkmark$$

How about  $\supseteq$ ?

We will show that  $(V(J_1) \cup V(J_2))^c \subseteq (V(J_1 \cap J_2))^c$

24 Consider  $x \notin V(J_1) \cup V(J_2) \Rightarrow x \notin V(J_1), \text{ and } x \notin V(J_2)$ .



$\therefore \exists f_1 \in J_1, f_2 \in J_2, \text{ s.t. } f_1(x) \neq 0, f_2(x) \neq 0.$

Now, observe that  $(f_1 f_2)(x) = (f_1(x))(f_2(x)) \neq 0$ , but  $f_1, f_2 \in J_1 \cap J_2$ , so  $x \notin V(J_1 \cap J_2)$ .

□

We conclude that Zariski-closed sets are closed under arbitrary intersections and under finite unions. Hence, the Zariski topology is actually a topology.

How can we extend this theory of adjunctions to general categories?

$$L: \mathcal{C} \rightleftarrows \mathcal{D}: R$$

We have two functors

$$\begin{aligned} L: \mathcal{C} &\longrightarrow \mathcal{D} \\ R: \mathcal{D} &\longrightarrow \mathcal{C}. \end{aligned}$$

What conditions make this an adjunction?

Recall that for posets, we say  $x \leq y \iff |\text{Hom}(x, y)| = 1$

$$\begin{aligned} \therefore "x \leq R(y) \iff L(x) \leq y" &\iff "|\text{Hom}(x, R(y))| = 1 \iff |\text{Hom}(L(x), y)| = 1" \\ &\iff "|\text{Hom}(x, R(y))| \overset{\exists}{\iff} |\text{Hom}(L(x), y)|" \end{aligned}$$

Idea: Maybe we call this an adjunction if we have bijections b/w the hom sets

$$\text{Hom}(x, R(y)) \longleftrightarrow \text{Hom}(L(x), y) \quad \forall x \in \mathcal{C}, y \in \mathcal{D}$$

Right idea, but it doesn't go far enough:

This family of bijections has to fit together in some kind of "natural" way.

We need, for example, that  $L$  determines  $R$  uniquely. How would we prove it?

$\forall x \in \mathcal{C}, y \in \mathcal{D}$ , we have bijections

$$\text{Hom}(x, R(y)) \longleftrightarrow \text{Hom}(L(x), y) \longleftrightarrow \text{Hom}(x, R'(y))$$

$$\Rightarrow \text{Hom}(x, R(y)) \longleftrightarrow \text{Hom}(x, R'(y)).$$

Fix  $y \in \mathcal{D}$ . This holds for all  $x$ , so we want to conclude that  $R(y) \cong_{\mathcal{C}} R'(y)$ .

We need a property that forces this:

$$\text{Hom}(x, y) = \text{Hom}(x, y') \quad \forall x \Rightarrow y = y'$$

e.g.:  $\langle x, y \rangle = \langle x, y' \rangle \quad \forall x = \dots$  !

If  $\langle, \rangle$  is bilinear + nondegenerate,  $\langle x, y - y' \rangle = 0 \quad \forall x$

$$\Rightarrow y - y' = 0 \Rightarrow y = y'$$

Let  $\langle -, - \rangle$  be a nondegenerate bilinear form on the  $K$ -vector space  $V$ .

For each  $x \in V$ , we set two linear maps  $V \rightarrow V^*$

$$\begin{aligned} V &\xleftrightarrow{\quad} V^* \\ x &\longmapsto \langle x, - \rangle \\ x &\longmapsto \langle -, x \rangle \end{aligned}$$

Grothendieck generalizes this to Categories:

The hom bifunctor:

Given a Category  $\mathcal{C}$ , we have some kind of "function"

$$\begin{aligned} \text{Hom} : \mathcal{C}^2 &\longrightarrow \text{Set} \\ (x, y) &\longmapsto \text{Hom}_{\mathcal{C}}(x, y) \end{aligned}$$

We want to think of this like an "inner product" on the category.

- It's a functor

$$\text{Hom} : \mathcal{C}^{\text{op}} \times \mathcal{C} \longrightarrow \text{Set}$$

An arrow in  $\mathcal{C}^{\text{op}} \times \mathcal{C}$  is a pair of arrows

$$\begin{array}{ccc} (x_2, y_2) & & x_2 \quad y_2 \\ \uparrow & \xrightarrow{\quad} & \uparrow \quad \uparrow \\ (x_1, y_1) & & x_1 \quad y_1 \end{array}$$

To say that  $\text{Hom}(-, -)$  is a "bifunctor" means that it has two component functors;

For each  $x \in \mathcal{C}$ , we get

$$H_x^x = \text{Hom}_{\mathcal{C}}(x, -) : \mathcal{C} \rightarrow \text{Set}$$

and

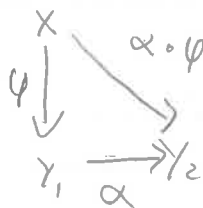
$$H_x = \text{Hom}_{\mathcal{C}}(-, x) : \mathcal{C}^{\text{op}} \rightarrow \text{Set}$$

Why are they functors?

$$\alpha : \gamma_1 \rightarrow \gamma_2$$

$$H^x(\alpha) : \text{Hom}(x, \gamma_1) \rightarrow \text{Hom}(x, \gamma_2)$$

$$\varphi \mapsto \alpha \circ \varphi$$



$$H^x(\alpha_1 \circ \alpha_2)(\varphi) = \alpha_1 \circ \alpha_2 \circ \varphi = \alpha_1 \circ (\alpha_2 \circ \varphi) = H^x(\alpha_1)(\alpha_2 \circ \varphi)$$

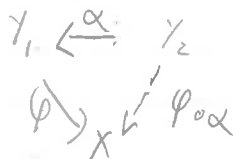
$$= H^x(\alpha_1)(H^x(\alpha_2)(\varphi))$$

$$\Rightarrow H^x(\alpha_1 \circ \alpha_2) = H^x(\alpha_1) \circ H^x(\alpha_2)$$

This is a "representation" of the "abstract" operation as some kind of composition.

$$H_x(\alpha) : \text{Hom}(\gamma_1, x) \rightarrow \text{Hom}(\gamma_2, x)$$

$$\varphi \mapsto \varphi \circ \alpha$$



$$H_x(\alpha_1 \circ \alpha_2)(\varphi) = H_x(\alpha_2)(H_x(\alpha_1)(\varphi))$$

$$b/c \quad \varphi_0(\alpha_1 \circ \alpha_2) = (\varphi_0 \alpha_1) \circ \alpha_2$$

What kind of functors are  $H^X$  +  $H_X$ ?

Answer: Yoneda's Lemma

Statement: Rough idea:  $\text{Hom}(X, Y) \cong \text{Hom}(X, Y') \quad \forall X \Rightarrow Y \cong Y'$

By analogy with  $V \hookrightarrow V^*$ , Yoneda gives

$$\begin{aligned} \mathcal{C} &\hookrightarrow \text{Set}^{e^{\text{op}}} \\ e^{\text{op}} &\hookrightarrow \text{Set}^e \end{aligned}$$

More precisely,

$$\text{Nat}_{\text{Set}}^{e^{\text{op}}}(H^X, F) \leftrightarrow \text{Hom}(X, F(-))$$

9/27/17

Flashback to RAPP for Posets

Q: If  $L: P \rightleftarrows Q: R$ ,  $L \dashv R$ , and

$\forall L(S)$  exists in  $Q$ , does  $\forall S$  necessarily exist?

"Do left adjoints create colimits?"

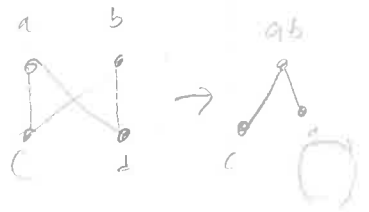
ex: If  $L \dashv R$  then

- ①  $L$  preserves order
- ②  $L$  preserves any colimits that exist in  $P$ .

$$L(x) \leq y \iff x \leq R(y)$$

$$L(S) \leq VL(S) \quad \forall S$$

$$\Rightarrow S \leq R(VL(S)) \quad \forall S$$



(Conversely, let  $L: P \rightarrow Q$  be any function of posets and suppose that  $P$  has all colimits.

If (1) + (2) hold, prove that  $L$  has a necessary unique right adjoint.

"Freyd's Adjoint Functor Theorem"

ex.  $\uparrow: Ab \iff Ab: \text{Hom}(A, -)$

$$\uparrow$$

$$A \otimes (-)$$

$$\langle , \rangle: V \times V \longrightarrow K$$

Bilinear + Nondegenerate Pairing

For each  $x \in V$ , get two <sup>linear</sup> functions

$$H^x: V \rightarrow K, \quad H_x: V \rightarrow K$$

$$y \mapsto \langle x, y \rangle, \quad y \mapsto \langle y, x \rangle$$

Moreover, we have that  $H^x, H_x \in V^* = K^V$

So, we really have two functions

$$H^{(-)}: V \hookrightarrow K^V, \quad H_{(-)}: V \hookrightarrow K^V$$

$$x \mapsto H^x, \quad x \mapsto H_x$$

Two embeddings  $V \hookrightarrow K^V$

Categories:  $\text{Hom}(-, -): \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \text{Set}$

This is a bifunctor.

Bilinear?

Nondegenerate?

For each object  $x \in \mathcal{C}$ , we have two functors

$$H_x: \mathcal{C} \rightarrow \text{Set}$$

$$y \mapsto \text{Hom}(x, y)$$

$$\alpha \mapsto \alpha \circ (-)$$

$$H_y: \mathcal{C}^{\text{op}} \rightarrow \text{Set}$$

$$x \mapsto \text{Hom}(x, y)$$

$$\alpha \mapsto (-) \circ \alpha$$

$$= \text{“} \alpha^{\text{op}} \circ_{\mathcal{C}} (-)^{\text{op}} \text{”}$$

Given categories  $\mathcal{C}, \mathcal{D}$ , write  $\mathcal{D}^{\mathcal{C}} := \{ \text{functors } \mathcal{C} \rightarrow \mathcal{D} \}$

Thus, we have some kind of “functions”

$$H^{(-)}: \mathcal{C} \rightarrow \text{Set}^{\mathcal{C}}$$

$$H_{(-)}: \mathcal{C} \rightarrow \text{Set}^{\mathcal{C}^{\text{op}}}$$

They should be functors

How could they act on arrows?

Consider  $\gamma: C_1 \rightarrow C_2$  in  $\mathcal{C}^{\text{op}}$

Do we get an “arrow”  $H^\gamma: H^{C_1} \rightarrow H^{C_2}$ ?

$H^\gamma: \text{Hom}(C_1, -) \rightarrow \text{Hom}(C_2, -)$  ?

It needs to be a family of arrays:

$\forall d \in \mathcal{C}$ , we need an arrow

$$H^{\gamma}_d : \text{Hom}(C_1, d) \rightarrow \text{Hom}(C_2, d)$$

$$\psi \mapsto \psi \circ \gamma$$



$H^{\gamma}(-) = (-) \circ \gamma$  ← This is a family of arrays.

How does it work with arrays?

$$d_1 \xrightarrow{\delta} d_2 \quad H^{\gamma}_{d_1} ? H^{\gamma}_{d_2}$$

$$\begin{array}{ccc} H^{C_1}(d_2) & \xrightarrow{H^{\gamma}_{d_2}} & H^{C_2}(d_2) \\ \uparrow H^{C_1}(\delta) & & \uparrow H^{C_2}(\delta) \\ H^{C_1}(d_1) & \xrightarrow{H^{\gamma}_{d_1}} & H^{C_2}(d_1) \end{array}$$

$$\begin{array}{ccc} \text{Hom}(C_1, d_2) & \xrightarrow{(-) \circ \gamma} & \text{Hom}(C_2, d_2) \\ \uparrow \delta \circ (-) & \curvearrowright & \uparrow \delta \circ (-) \\ \text{Hom}(C_1, d_1) & \xrightarrow{(-) \circ \gamma} & \text{Hom}(C_2, d_1) \end{array}$$

$$(\delta \circ \psi) \circ \gamma = \delta \circ (\psi \circ \gamma) \quad \checkmark$$



# Natural Transformations

Def: Given two functors  $F_1, F_2: \mathcal{C} \rightarrow \mathcal{D}$ , a Natural Transformation

$$\Phi: F_1 \Rightarrow F_2$$

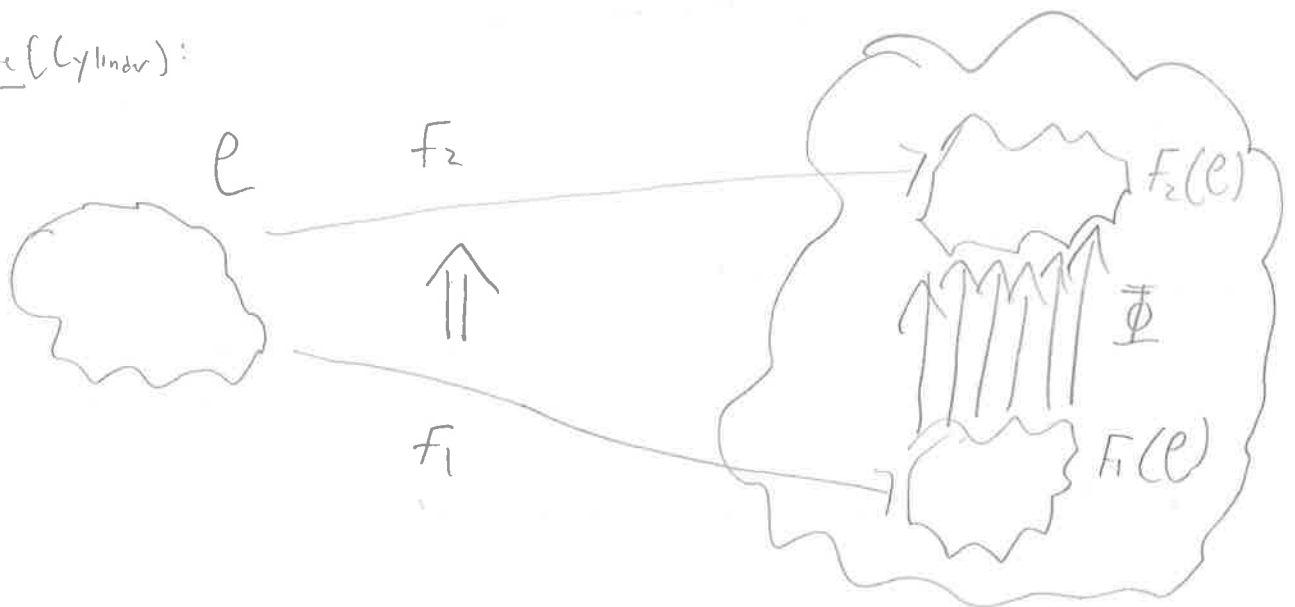
consists of a family of arrows in  $\mathcal{D}$

$$\Phi_c: F_1(c) \rightarrow F_2(c) \quad (\text{one for each object of } \mathcal{C})$$

Such that for each arrow  $c_1 \xrightarrow{\gamma} c_2$  in  $\mathcal{C}$ , we have a commutative square

$$\begin{array}{ccc}
 F_1(c_2) & \xrightarrow{\Phi_{c_2}(\gamma)} & F_2(c_2) \\
 \uparrow F_1(\gamma) & \curvearrowright & \uparrow F_2(\gamma) \\
 F_1(c_1) & \xrightarrow{\Phi_{c_1}(\gamma)} & F_2(c_1)
 \end{array}$$

Picture (Cylinder):



Observation: Functors  $\mathcal{C} \rightarrow \mathcal{D}$  are like "diagrams of shape  $\mathcal{C}$  in  $\mathcal{D}$ ".

Natural transformations are "cylinders" b/w diagrams.

We get a category of diagrams  $\mathcal{D}^{\mathcal{C}}$  where the  
 Arrows are natural transformations.

ex: If  $\mathcal{I}$  is a small category then  $\mathcal{C}^{\mathcal{I}}$  is the  
 category of shape  $\mathcal{I}$  in  $\mathcal{C}$ .

For each object  $c \in \mathcal{C}$ , we can define the constant diagram

$$c^{\mathcal{I}}: \mathcal{I} \longrightarrow \mathcal{C}$$

$$\begin{array}{ccc} i & \longmapsto & c \\ \varphi & \longmapsto & id_c \end{array}$$

For any diagram  $D: \mathcal{I} \longrightarrow \mathcal{C}$ ,  $\Phi: c^{\mathcal{I}} \rightrightarrows D$   
 Cone under  $D$

$$\Phi: D \rightrightarrows c^{\mathcal{I}}$$

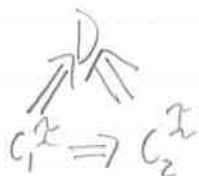
Cone over  $D$

Limits + Colimits: For a diagram  $D: \mathcal{I} \longrightarrow \mathcal{C}$ , we

have a category of Cones under  $D$ :

$$\text{Cone}(D) = \{ c^{\mathcal{I}} \rightrightarrows D \}$$

Arrows:



A limit, if it exists, is a terminal object in  $\text{Con}(D)$ .

Since  $\text{Set}^e + \text{Set}^{e^{op}}$  are categories, we can now state that

$$H_{(-)}, e^{op} \rightarrow \text{Set}^e$$

$$H_{(-)} : e \rightarrow \text{Set}^{e^{op}}$$

are functors, Bilinearity ✓

Non-degeneracy?

Def. A functor  $F: e \rightarrow \mathcal{B}$  is called full if all functions

$$F: \text{Hom}_e(c_1, c_2) \rightarrow \text{Hom}_{\mathcal{B}}(F(c_1), F(c_2))$$

are surjective; faithful if all functions

$$F: \text{Hom}_e(c_1, c_2) \rightarrow \text{Hom}_{\mathcal{B}}(F(c_1), F(c_2))$$

are injective; and an embeddings (fully faithful) if all functions

$$F: \text{Hom}_e(c_1, c_2) \rightarrow \text{Hom}_{\mathcal{B}}(F(c_1), F(c_2))$$

are bijective.

Lemma: Embeddings are

• essentially injective on objects:

$$C_1 \cong_{\mathcal{C}} C_2 \iff F(C_1) \cong_{\mathcal{D}} F(C_2)$$

• essentially surjective: Given  $B \begin{matrix} \xrightarrow{G_1} \\ \cong \\ \xrightarrow{G_2} \end{matrix} C \xrightarrow{F} \mathcal{D}$

$$G_1 \cong_{\mathcal{C}} G_2 \iff F \circ G_1 \cong_{\mathcal{D}} F \circ G_2$$

"left-cancellable up to natural iso."

$$H^X \cong H^Y \iff X \cong Y$$

10/11/17: Recall: A natural transformation is a "cylinder between diagrams".

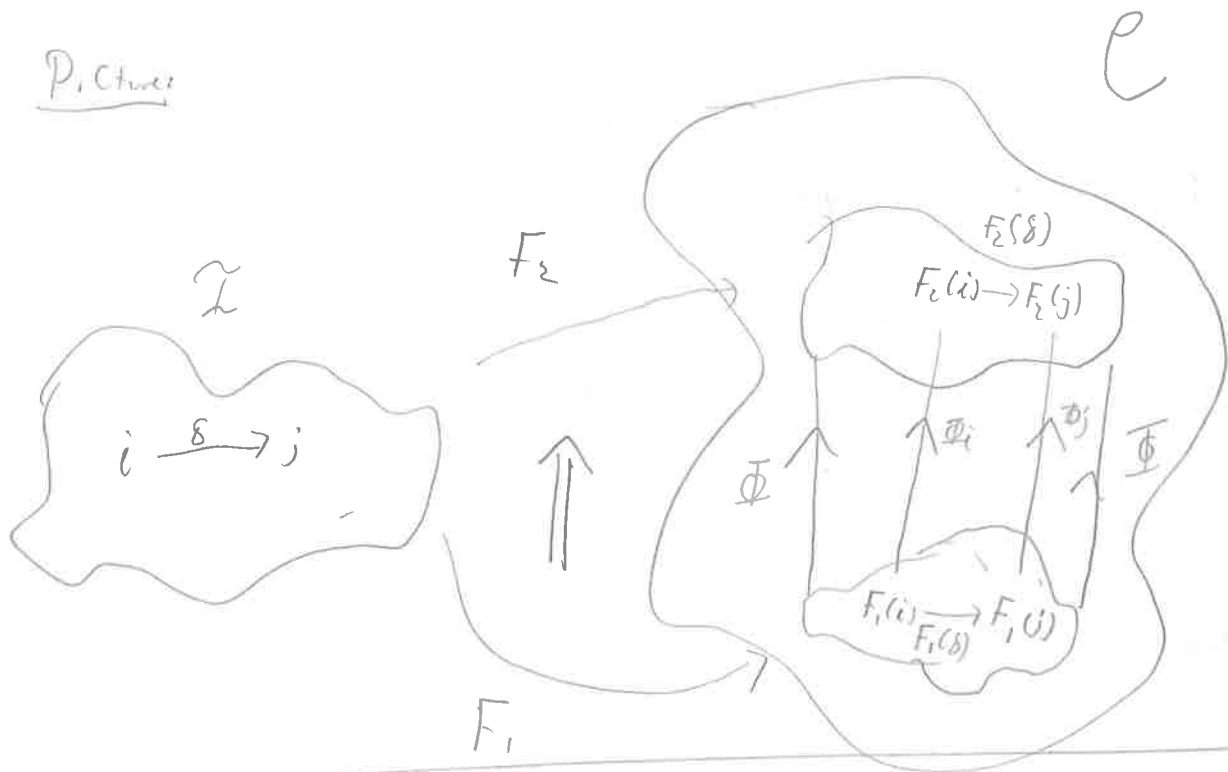
Let  $F_1, F_2: \mathcal{X} \rightarrow \mathcal{C}$ . A natural transformation consists of,  $\forall i \in \mathcal{X}$ ,  
an arrow  $\Phi_i: F_1(i) \rightarrow F_2(i)$  s.t.

$\forall f: i \rightarrow j \in \mathcal{X}$ , the same

$$\begin{array}{ccc} F_2(i) & \xrightarrow{F_2(f)} & F_2(j) \\ \Phi_i \uparrow & \curvearrowright & \uparrow \Phi_j \\ F_1(i) & \xrightarrow{F_1(f)} & F_1(j) \end{array}$$

commutes.

Pictures



Def: Consider functors  $L: \mathcal{C} \rightleftarrows \mathcal{D}: R$ . We say that this is an adjunction  $(L \dashv R)$  if there exists a family of bijections

$$\Phi_{c,d}: \text{Hom}_{\mathcal{C}}(c, R(d)) \xrightarrow{\cong} \text{Hom}_{\mathcal{D}}(L(c), d)$$

that is natural in  $(c, d) \in \mathcal{C}^{op} \times \mathcal{D}$

In other words, we have an isomorphism of functors

$$\Phi: \text{Hom}_{\mathcal{C}}(-, R(-)) \cong \text{Hom}_{\mathcal{D}}(L(-), -)$$

in the category  $[\mathcal{C}^{op} \times \mathcal{D} \rightarrow \text{Set}]$ .

Analogy:  $\langle -, R(-) \rangle = \langle L(-), - \rangle$

Convenient to write  $\bar{\Phi}_{C,d} \dashv \bar{\Phi}_{C,d}^{-1}$  simply as

$$\varphi \longmapsto \bar{\varphi} \longmapsto \bar{\bar{\varphi}} = \varphi$$

Then, naturality just says

$$\left. \begin{aligned} \overline{\varphi \circ L(\gamma)} &= \bar{\varphi} \circ \gamma \\ \overline{R(\delta) \circ \psi} &= \delta \circ \bar{\psi} \end{aligned} \right\} \text{whenever composition is defined.}$$

How do we know that this is the "correct" definition?

If  $L \dashv R$  and  $L' \dashv R'$ , then we should have  $L \cong L'$ .

Assume we have isomorphisms

$$\text{Hom}_{\mathcal{D}}(L(-), -) \cong \text{Hom}_{\mathcal{D}}(-, R(-)) \cong \text{Hom}_{\mathcal{D}}(L'(-), -).$$

We have a family of bijections

$$\Phi_{C,d}: \text{Hom}_{\mathcal{D}}(L(C), d) \xrightarrow{\cong} \text{Hom}_{\mathcal{D}}(L'(C), d), \text{ natural in } (C,d) \in \mathcal{C}^{\text{op}} \times \mathcal{D}.$$

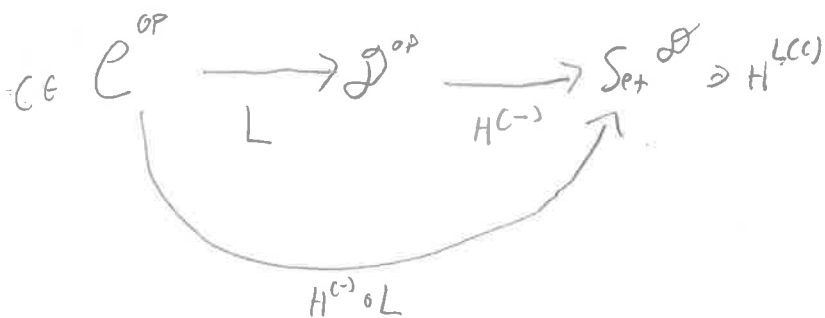
For each fixed  $C \in \mathcal{C}^{\text{op}}$ , naturality in  $d \in \mathcal{D}$  means we have an iso:

$$\text{Hom}_{\mathcal{D}}(L(C), -) \cong \text{Hom}_{\mathcal{D}}(L'(C), -)$$

in the category  $[\mathcal{D} \rightarrow \text{Set}]$

Equivalently,  $H^{L(C)} \cong H^{L'(C)}$ , or

$$(H^{(-)} \circ L)(C) \cong (H^{(-)} \circ L')(C)$$



Now, naturality in  $C \in \mathcal{C}^{op}$  gives us an isomorphism

$$H^{(-)} \circ L \cong H^{(-)} \circ L'$$

in the category  $[\mathcal{C}^{op} \rightarrow \text{Set}^{\mathcal{D}}]$

Question:  $H^{(-)} \circ L \cong H^{(-)} \circ L' \Rightarrow L \cong L' ?$

I.e., is  $H^{(-)}$  left-cancellative, at least up to isomorphism?

Issue: "injective", "embeddings", "left-cancellable"

Def: We say  $f \in \text{Arr}(\mathcal{C})$  is monic if it is left-cancellable, i.e.  $\forall \alpha, \beta \in \text{Arr}(\mathcal{C}), (f \circ \alpha = f \circ \beta \Leftrightarrow \alpha = \beta)$

Exercise: In  $\text{Set}$ , monic  $\equiv$  injective

Jargon: We are hoping that  $H^{(-)}$  is "monic up to isomorphism" a.k.a. "essentially monic"

### Category Embeddings:

Every functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  comes with a family of Hom functions  $\forall (C_1, C_2) \in \mathcal{C}^2, F: \text{Hom}_{\mathcal{C}}(C_1, C_2) \rightarrow \text{Hom}_{\mathcal{D}}(F(C_1), F(C_2)).$

Call  $F$  faithful if all Hom functions are injective  
full " " " surjective  
embeddings " " " bijective

Embedding Lemma: Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be an embedding. Then  $F$  is essentially injective, i.e.,

$$C_1 \cong_{\mathcal{C}} C_2 \iff F(C_1) \cong_{\mathcal{D}} F(C_2)$$

AND

$F$  is essentially monic, i.e., for any functors  $G_1, G_2: \mathcal{B} \rightarrow \mathcal{C}$ ,

$$G_1 \cong G_2 \iff F \circ G_1 \cong F \circ G_2$$

Pf: Suppose  $\alpha: C_1 \xrightarrow{\beta} C_2$  is an isomorphism in  $\mathcal{C}$ .  
 Apply  $F$  to get arrows:

$$F(\alpha): F(C_1) \xrightarrow{F(\beta)} F(C_2)$$

Note:  $F(\alpha) \circ F(\beta) = F(\alpha \circ \beta) = F(\text{id}_{C_2}) = \text{id}_{F(C_2)}$  ✓

Similarly,  $F(\beta) \circ F(\alpha) = \text{id}_{F(C_1)}$  ✓

Conversely, suppose  $\alpha': F(C_1) \xrightarrow{\beta'} F(C_2)$

By fullness,  $\exists \alpha: C_1 \xrightarrow{\beta} C_2$  with  $F(\alpha) = \alpha'$ ,  $F(\beta) = \beta'$

$$F(\alpha \circ \beta) = F(\alpha) \circ F(\beta) = \alpha' \circ \beta' = \text{id}_{F(C_2)} = F(\text{id}_{C_2})$$

By faithfulness, this means that  $\alpha \circ \beta = \text{id}_{C_2}$  ✓  
 Similarly,  $\beta \circ \alpha = \text{id}_{C_1}$  ✓



$$G_1 \cong G_2 \Rightarrow F \circ G_1 \cong F \circ G_2 \quad \text{always } \checkmark$$

(conversely, suppose  $\exists \Phi': F \circ G_1 \xrightarrow{\sim} F \circ G_2$ , i.e.

$$\forall \beta: b_1 \rightarrow b_2 \in \mathcal{B}$$

$$\begin{array}{ccc} F(G_1(b_2)) & \xrightarrow{\Phi'_{b_2}} & F(G_2(b_2)) \\ \uparrow F(G_1(\beta)) & \curvearrowright & \uparrow F(G_2(\beta)) \\ F(G_1(b_1)) & \xrightarrow{\Phi'_{b_1}} & F(G_2(b_1)) \end{array}$$

By 1<sup>st</sup> part,  $\exists \Phi_b: G_1(b) \xrightarrow{\sim} G_2(b)$ ,

where  $F(\Phi_b) = \Phi'_b$ . Does the resulting square

$$\begin{array}{ccc} G_1(b_2) & \xrightarrow[\sim]{\Phi_{b_2}} & G_2(b_2) \\ \uparrow G_1(\beta) & & \uparrow G_2(\beta) \\ G_1(b_1) & \xrightarrow[\Phi_{b_1}]{\sim} & G_2(b_1) \end{array}$$

commute?

$$\begin{aligned} F(\Phi_{b_2} \circ G_1(\beta)) &= F(\Phi_{b_2}) \circ F(G_1(\beta)) = \Phi'_{b_2} \circ F(G_1(\beta)) \\ &= F(G_2(\beta)) \circ \Phi'_{b_1} \\ &= F(G_2(\beta) \circ \Phi_{b_1}) \end{aligned}$$

Since  $F$  is faithful  $\Phi_{b_2} \circ G_1(\beta) = G_2(\beta) \circ \Phi_{b_1}$

□ 41

10/6/17 Theorem (Uniqueness of adjoints):

$$\text{If } \begin{array}{l} L: \mathcal{C} \rightleftarrows \mathcal{D}: R \quad \text{adjunction} \\ L': \mathcal{C} \rightleftarrows \mathcal{D}: R \quad \text{adjunction} \end{array}$$

$$\Rightarrow L \cong L'$$

$$\text{Ex: } \begin{array}{l} (-) \otimes (-) : \text{Ab} \otimes \text{Ab} \rightarrow \text{Ab} \\ \text{Hom}(-, -) : \text{Ab}^{\text{op}} \times \text{Ab} \rightarrow \text{Ab} \end{array}$$

$$\text{PF: } \text{Hom}(L(-), -) \cong \text{Hom}(-, R(-)) \cong \text{Hom}(L'(-), -)$$

$$\Rightarrow \text{Hom}(L(-), -) \cong \text{Hom}(L'(-), -)$$

$$\Leftrightarrow H^{(-)} \circ L \cong H^{(-)} \circ L' \Rightarrow L \cong L' \quad \text{Since } H^{(-)} \text{ is an embedding}$$

□

The Yoneda Lemma (in "full generality"):

Two functors  $\mathcal{C} \times \text{Set}^{\mathcal{C}} \rightarrow \text{Set}$ :

$$\text{Eval: } \mathcal{C} \times \text{Set}^{\mathcal{C}} \rightarrow \text{Set} \\ (c, F) \mapsto F(c)$$

$$\text{Yon: } \mathcal{C} \times \text{Set}^{\mathcal{C}} \rightarrow \text{Set} \\ (c, F) \mapsto \text{Nat}(H^c, F)$$

Claim: Natural isomorphism

$$\boxed{\text{---}}_{\mathcal{C} \times \text{Set}^{\mathcal{C}}} : \text{Nat}(H^c, F) \xrightarrow{\sim} F(c). \\ (\Phi: H^c \Rightarrow F) \mapsto \Phi_c(\text{id}_c)$$

Corollaries:

$H^{(-)}: \mathcal{C}^{op} \rightarrow \text{Set}^e$  is an embeddings  
 $c \mapsto H^c$

Pf: Let  $F: \mathcal{C} \rightarrow \text{Set}$  be  $H^d$

Get bijections  $\text{Nat}(H^c, H^d) \xleftrightarrow{\sim} H^d(c)$

$$\text{Hom}_{\text{Set}^e}(H^c, H^d) \xleftrightarrow{\sim} \text{Hom}_{\mathcal{C}}(d, c) = \text{Hom}_{\mathcal{C}^{op}}(c, d)$$

$\Rightarrow H^{(-)}$  is fully faithful.

□

Remark: This implies that  $C_1 \cong C_2 \Leftrightarrow H^{C_1} \cong H^{C_2}$   
 $C_1 \cong C_2 \Leftrightarrow H_{C_1} \cong H_{C_2}$

"regular representations"

$H_{(-)}: \mathcal{C} \rightarrow \text{Set}^{eop}$  free Co-completion

$\gamma: \mathcal{C} \rightarrow \hat{\mathcal{C}}$

The Yoneda functor embeds  $\mathcal{C}$  into its category of presheaves

Remark: Let  $\gamma: \mathcal{C} \rightarrow \text{Set}^{eop}$  be Yoneda.

Then  $\exists$

$$U + V + W + X + Y \Leftrightarrow \mathcal{C} \cong \text{Set}$$

RAPL: Right-adjoints preserve limits, left-adjoints preserve colimits.

Idea/Motivation: Let  $\langle -, - \rangle: V \times V \rightarrow \mathbb{C}$  be

a continuous + nondegenerate bilinear function, let  $L: V \rightleftarrows V: R$  be adjoint operators, i.e.

$$\langle u, R(v) \rangle = \langle L(u), v \rangle \quad \forall u, v \in V$$

Claim: If  $\lim_i v_i \in V$  exists, then  $\lim_i R(v_i)$  also exists, and

$$\lim_i R(v_i) = R(\lim_i v_i)$$

PF:  $\forall u \in V$ , we have  $\langle u, R(\lim_i v_i) \rangle = \langle L(u), \lim_i v_i \rangle$

$$= \lim_i \langle L(u), v_i \rangle$$

$$= \lim_i \langle u, R(v_i) \rangle$$

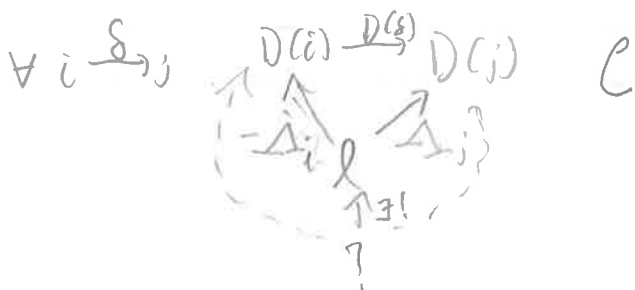
$$= \langle u, \lim_i R(v_i) \rangle$$

$$\Rightarrow R(\lim_i v_i) = \lim_i R(v_i).$$

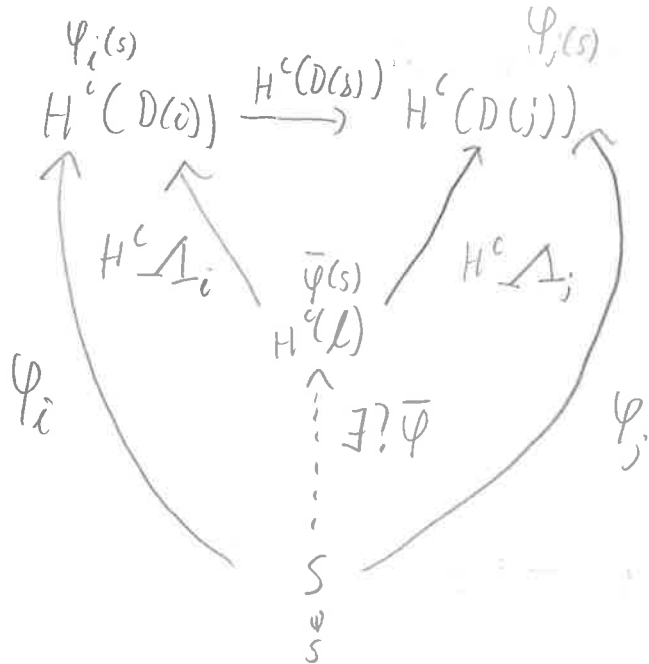
□

Question: Given  $c \in \mathcal{C}$ , is the functor  $H^c: \mathcal{C} \rightarrow \text{Set}$  continuous?

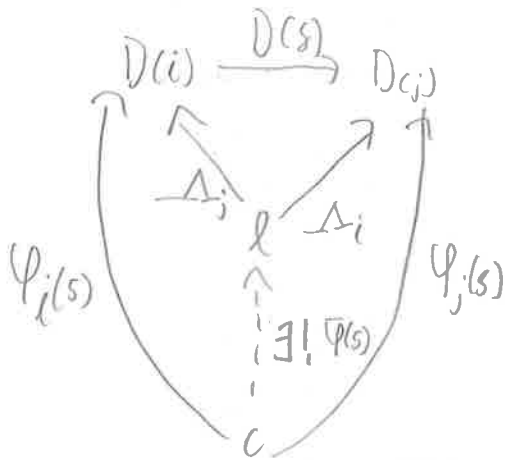
Check: Suppose the diagram  $D: \mathcal{I} \rightarrow \mathcal{C}$  has a limit  $L$ , with cone  $\Delta$ , i.e.



Then, applying  $H^c$  we have



Go back to the first diagram!  $\varphi_i(s), \varphi_j(s) \in \text{Hom}(S, D(i)), \text{Hom}(S, D(j))$



$$\Rightarrow \varphi_i(s) = \Delta_i \circ \bar{\varphi}(s), \quad \varphi_j(s) = \Delta_j \circ \bar{\varphi}(s)$$

$\Rightarrow H^c$  is continuous!

□

$\text{Hom}(-, -)$  is continuous in  $2^{\text{nd}}$  coordinate. What about  $1^{\text{st}}$  coord?

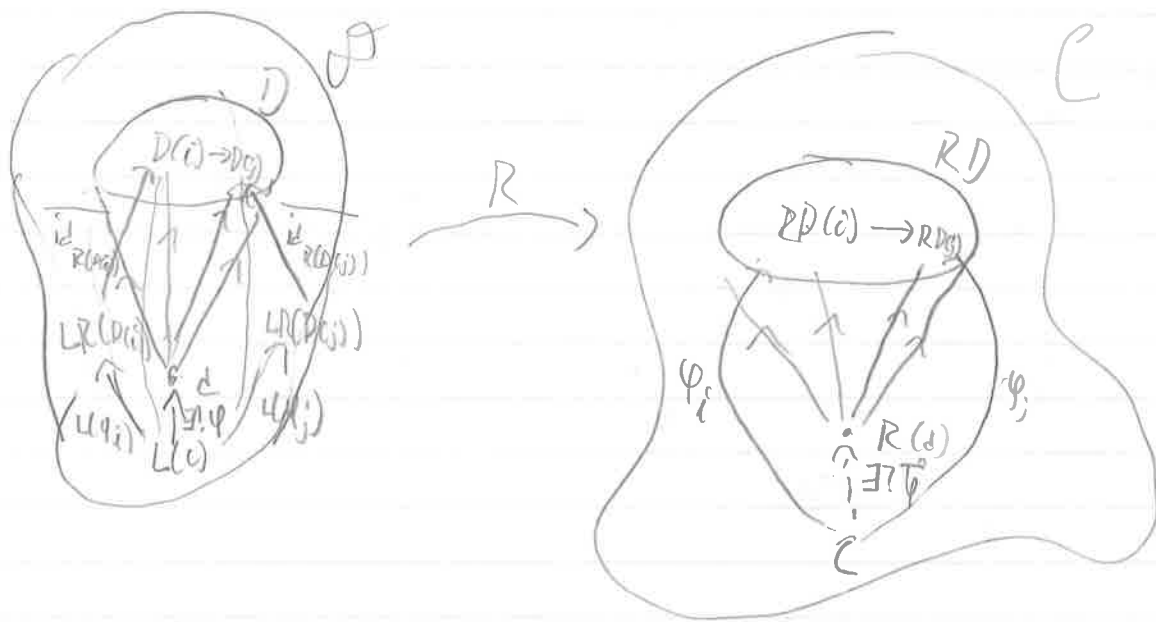
Claim:  $\text{Hom}_e(\text{colim}_e \mathcal{A}, -) = \text{lim}_e \text{Hom}_e(\mathcal{A}, -)$

$\text{Hom}_{e^{\text{op}}}(-, \text{colim}_e \mathcal{A}) = \text{Hom}_{e^{\text{op}}}(-, \text{lim}_{e^{\text{op}}} \mathcal{A}) \dots ?$

General adjunction  $L: \mathcal{C} \rightleftarrows \mathcal{D}: R$

Show:  $R$  preserves limits!

Suppose the diagram  $D: \mathcal{I} \rightarrow \mathcal{D}$



Adjunction:  $\text{Hom}(\mathcal{C}, R(d)) \leftrightarrow \text{Hom}(L(c), d)$

(consider  $R(x) \xrightarrow{\text{id}_{R(x)}} R(x) \xrightarrow{\text{adjunction}} L(R(x)) \xrightarrow{\text{id}_{R(x)}} x$ )

$\Rightarrow L(c)$  is also a cone below  $D$ , so  $\exists! \varphi: L(c) \rightarrow D$

## Constant Diagrams

$$c^X: I \rightarrow C$$

Given a diagram  $D: I \rightarrow C$ , a cone under  $D$  is a natural transformation  $c^X \Rightarrow D$

## Diagonal Functor

$$(-)^X: C \begin{matrix} \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{matrix} C^I \quad ; ?$$

Reason:  $\text{Colim}_I + (-)^X \rightarrow \text{Var}_I$

10/16/17

What is "representation theory"?

Symmetries of an object  $\rightsquigarrow$  abstract group

Abstract group  $\rightsquigarrow$  concrete representations of the group

Category of representations

$G \rightsquigarrow$  functors  $\left[ \begin{matrix} BG \rightarrow C \\ BG \rightarrow \text{Set} \end{matrix} \right]$

Philosophy:

$G \rightsquigarrow$  category of  $G$ -sets  
 $G\text{-Set}$

Hopefully we don't lose any information, i.e.  $G\text{-Set}$  determines  $G$  up to iso.

## Thm (Tanaka Duality):

Let  $m$  be a monoid. The category  $\text{Set}^{BM}$  of  $M$ -sets determines the monoid  $M$  as follows:

$\text{Set}^{BM}$  is a concrete category, meaning we have a faithful functor  
$$U: \text{Set}^{BM} \rightarrow \text{Set}$$
  
Forgetful "Underlying set" functor.

Observe that  $U$  is an object in the functor category  $\text{Set}^{(\text{Set}^{BM})}$

Claim:  $M \cong \text{End}(U)$

Proof: Yoneda twice

$$\begin{aligned} \text{Yoneda} \Rightarrow \text{Eval}, \text{Yon}: \text{Set}^C \times C &\rightarrow \text{Set} \\ (F, c) &\longmapsto F(c) \\ (F, c) &\longmapsto \text{Nat}(H^c, F) \end{aligned}$$

$$\text{Eval} \cong \text{Yon}$$

$$M\text{-Set} = \text{Set}^{BM}$$

$$\begin{aligned} M\text{-Set} \times BM &\rightarrow \text{Set} \\ (F, *) &\longmapsto F(*) \end{aligned}$$

$$\begin{aligned} \Rightarrow \text{Eval} = U: M\text{-Set} &\rightarrow \text{Set} \\ (-, *) &\longmapsto (-)(*) \end{aligned}$$



$$\eta = \text{Eval}(\cdot, *) = \text{Nat}(H^*, *) = H^{H^*}$$

Thus, by Yoneda we get

$$\text{Hom}(\eta, \eta) = \text{Hom}(H^{H^*}, H^{H^*})$$

Yoneda:  $\text{Hom}(H^c, H^g) = \text{Hom}(G, d)$

$$= \text{Hom}(H^*, H^*) \in \text{in } \mathcal{B}\mathcal{M} \rightarrow \text{Set}$$

$$= \text{Hom}(*, *) = \mathcal{M}$$

$\uparrow$   
 $\mathcal{B}\mathcal{M}$

□

Idea:  $G \rightarrow G\text{-Set}$

Last time: In  $G\text{-Set}$ , we have indecomposable  $\Rightarrow$  irreducible

red  $\Rightarrow$  decomp.

$$\begin{array}{c} Y \\ \downarrow \\ G \\ \downarrow \\ X \end{array} \rightsquigarrow Y = X \coprod_G (Y \setminus X)$$

$\rightsquigarrow$  Unique irreducible decomposition of  $G\text{-Set}$

$$X = \coprod \text{orbits}$$

Orbit-Stabilizer Theorem:  $X = \coprod_i G/H_i$

$\Rightarrow$  Simple objects have the form  $G/H_i$ ,  $H_i \leq G$ , and

$$G/H \cong_G G/K \iff gHg^{-1} = K \text{ for some } g \in G$$

Simple objects  $\longleftrightarrow$  Conj. Classes of Subgroups

Let  $[G/H] =$  isomorphism class of  $G/H$  as a  $G$ -set

Arrows in  $G$ -set?

$$\varphi: \coprod G/H_i \longrightarrow_G \coprod G/K_j$$

$$\text{Hom}(\coprod G/H_i, \coprod G/K_j) = \prod \text{Hom}(G/H_i, \coprod G/K_j)$$

$$\varphi: G/H_i \longrightarrow \coprod G/K_j$$

$G \curvearrowright \varphi(G/H)$  transitively, so  $\varphi: G/H \longrightarrow G/K$

$$\text{Hom}(G/H, G/K) = \emptyset, \text{ unless } gHg^{-1} \subseteq K$$

To what extent does  $\text{Hom}(-, -)$  behave like an inner product on a vector space with basis = Conj. classes of subgroups?

Were that the case, we'd want

$$\dim(\text{Hom}(u, v)) = \begin{cases} 1, & u \cong v \\ 0, & u \not\cong v \end{cases}$$

Burnside's Table of Marks:

rows/columns = Conj. classes of subgroups

50 Entry in row  $H$ , col  $K = |\text{Hom}(G/H, G/K)|.$

Is that all we can say?

Louis Solomon 1967:

$G$ -Set  $\rightsquigarrow$   $B[G\text{-Set}]$   $\leftarrow$  Commutative Ring Structure

Gröthendieck ring of  $G$ -set, called the Burnside ring

Generated by iso. classes  $[G/H]$  with operations

$$[G/H] + [G/K] = [G/H \amalg G/K]$$

$$[G/H] \cdot [G/K] = [G/H \times G/K]$$

$B[G] =$  Burnside Ring

What does structure of  $B[G]$  tell us about  $G$ ?

Consider the poset  $P_G$  of conjugacy classes of subgroups of  $G$  w.r.t inclusion

Solomon's Theorem:  $B[G] \otimes_{\mathbb{Z}} \mathbb{Q} \cong \text{Möb}[P_G]$

Given  $x, y \in P_G$ , define  $xy = \sum_{p \in P} \left( \sum_{p \in Z \subseteq xy} \mu(p, z) \right) \cdot p$

General Situation: Let  $A$  be an "algebra" of some sort, thought of as a category with one object.

Representations are functors

$$F: A \rightarrow \mathcal{C}$$

Say  $C^A$  has same kind of  $\oplus, \otimes$ .

Group-like rings  $R[A]$  of "Characters"

Question:  $[X][Y] = \sum_Z [C(?)] [Z]$

$Z \uparrow$   
Structure  
Constants

$$\underline{M\text{-Set}} \rightsquigarrow \underline{G\text{-Set}} \xrightarrow{\quad} \underline{G\text{-Ab}}$$

$X, \perp$                        $\oplus, \otimes$

What is a ring?  
 $\text{End}(Ab)$

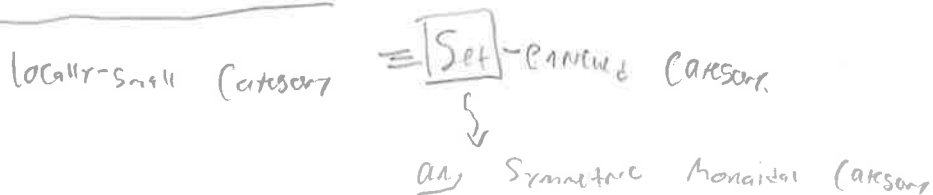
Let  $A \in Ab$ ,  $\text{End}(A) = \text{monoid of group endomorphisms } \psi: A \rightarrow A$

We get an extra operation " $\psi_1 + \psi_2$ "  
 $(\psi_1 + \psi_2)(a) = \psi_1(a) + \psi_2(a)$

$(\text{End}(A), +, \circ)$  is a ring.

It's nice when we can add arrows in a category.  $Ab$  is the prime example.

$Ab$  is " $Ab$ -enriched"



Monoidal:  $\otimes$  Coproduct  
 $\otimes$  tensor

If  $V$  is a symmetric monoidal (tensor) we can talk about  $V$ -enriched categories, and everything we did still works.

10/18/17: Enriched Categories:

Let  $V$  be (some kind) of category

A  $V$ -category  $\mathcal{C}$  consists of

• A collection  $\text{Obj}(\mathcal{C})$  of objects

•  $\forall x, y \in \mathcal{C}$  a Hom-object

$\text{Hom}_{\mathcal{C}}(x, y) \in V$

•  $\forall x, y, z \in \mathcal{C}$ , an arrow  $\text{Hom}_{\mathcal{C}}(x, y) \otimes \text{Hom}_{\mathcal{C}}(y, z) \rightarrow \text{Hom}_{\mathcal{C}}(x, z)$  in  $V$

We require, at least,  $(V, \otimes, 1) \leftarrow \mathcal{C}$  a monoidal category

Axioms as before.

Possibilities:

Set-category  $\equiv$  Category

Ab-category  $\equiv$  additive category

$\mathbb{L}$ -linear category

Consider the category  $\vec{2} = (0 \rightarrow 1)$

$\otimes$	0	1
0	0	0
1	0	1

A  $\bar{2}$ -category  $\equiv$  preorder/poset

Enriched Yoneda!

If  $\mathcal{C}$  is a  $\mathcal{V}$ -category, we have two canonical embeddings

$$\mathcal{C} \hookrightarrow \mathcal{V}^{\mathcal{C}^{\text{op}}} \quad \text{free co-completion}$$

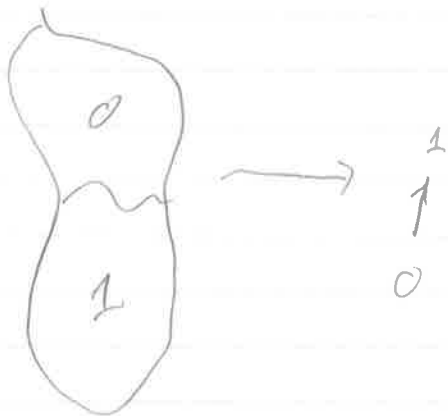
$$\mathcal{C} \hookrightarrow (\mathcal{V}^{\mathcal{C}})^{\text{op}} \quad \text{free completion}$$

Ex:  $\mathcal{V} = \bar{2}$

Let  $\mathcal{P}$  be a poset, i.e. a  $\bar{2}$ -category. Describe the category

$$\mathcal{I}^{\mathcal{P}^{\text{op}}}$$

functors  $\mathcal{P}^{\text{op}} \rightarrow \bar{2} \equiv$  order ideals in  $\mathcal{P}$



$\mathcal{P} \hookrightarrow$  order ideals of  $\mathcal{P}$

$x \mapsto \mathcal{P}_{\leq x}$

Similarly,  $P \hookrightarrow (\bar{a}^P)^{op} = \text{order filter}$   
 $X \mapsto P_{zx}$

Q! Can we perform the Completion + Cocompletion Simultaneously  
 to embed  $P$  into a lattice?

A! Yes! Dedekind cut (1872)



$(A, B)$  with property that  
 $A \subseteq \text{lower bounds of } B = B^\wedge$   
 $B \subseteq \text{upper bounds of } A = A^\vee$

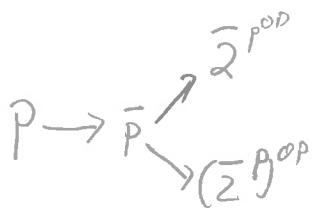
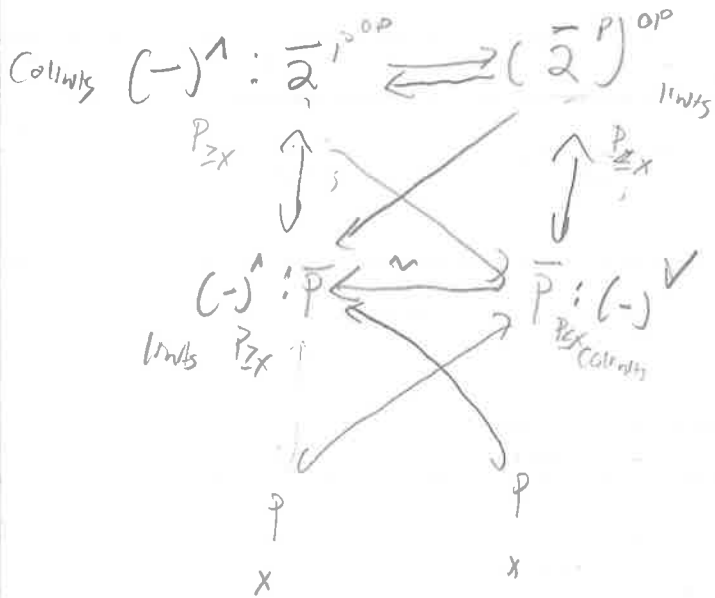
In fact, we claim that for any poset  $P$  we obtain  
 an adjunction

$$(-)^\wedge : \mathcal{A}^P \rightleftarrows (\mathcal{A}^P)^{op} : (-)^\vee$$

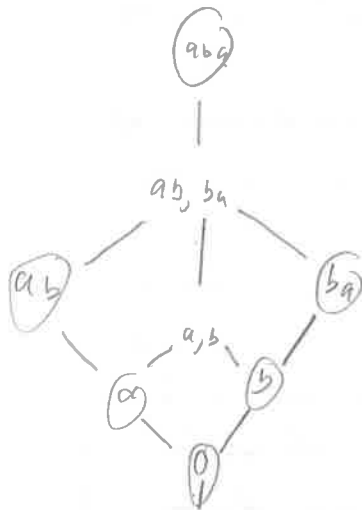
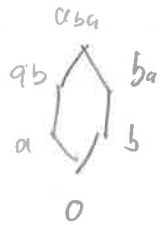
$\forall A, B \subseteq P$ , we have

$$\begin{aligned} A \subseteq B^\wedge &\Leftrightarrow \forall x \in A, x \in B^\wedge \\ &\Leftrightarrow \forall x \in A, \forall y \in B, x \leq y \\ &\Leftrightarrow \forall y \in B, \forall x \in A, x \leq y \\ &\Leftrightarrow \forall y \in B, y \in A^\vee \\ &\Leftrightarrow B \subseteq A^\vee \\ &\Leftrightarrow A^\vee \subseteq_{op} B \end{aligned}$$

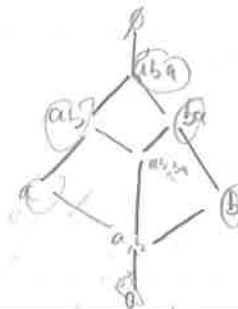
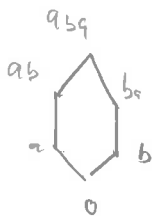
This adjunction (trivially) restricts to the subsets of order ideals and order filters:



ex:

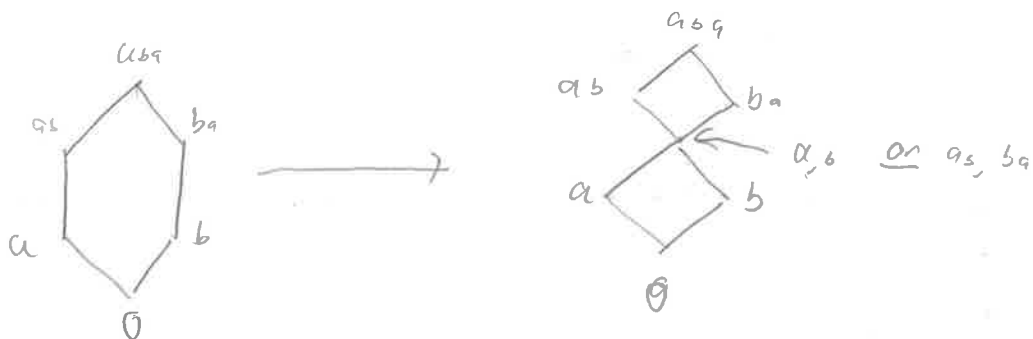


vs





So the ~~De~~ Dedekind-Macneille completion is



Ab-categories: Situation where Hom-sets are abelian groups

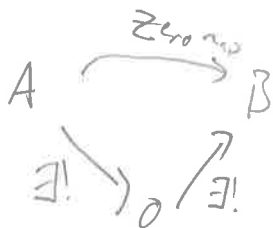
Category  $\mathcal{A}_b$  is the prototypical  $\mathcal{A}_b$ -category. Let  $A, B \in \mathcal{A}_b$ .

Then,  $\text{Hom}_{\mathcal{A}_b}(A, B)$  is an abelian group ← commutative

$$\psi_1, \psi_2: A \rightarrow B, (\psi_1 + \psi_2)(a) = \psi_1(a) + \psi_2(a)$$

$$(-\psi)(a) = \psi(-a)$$

$$0(a) = 0_B$$



Composition Given  $A, B, C \in \mathcal{A}_b$

$$\text{Hom}(A, B) \times \text{Hom}(B, C) \xrightarrow{0} \text{Hom}(A, C)$$

↑  
homom of  
Abelian groups?

$$\begin{aligned}
 (\psi_1 + \psi_2)(\varphi_1 + \varphi_2)(x) &= \psi_1(\varphi_1 + \varphi_2) + \psi_2(\varphi_1 + \varphi_2) \\
 &= \psi_1(\varphi_1) + \psi_1(\varphi_2) + \psi_2(\varphi_1) + \psi_2(\varphi_2)
 \end{aligned}$$

$$(\psi_1, \varphi_1) + (\psi_2, \varphi_2) \xrightarrow{?} \psi_1 \circ \varphi_1 + \psi_2 \circ \varphi_2$$

NO!

This map is a biconomorphism, so... "Use tensor products"

$$\begin{array}{ccc}
 A \otimes B & \xrightarrow{\text{bicon}} & C \\
 ? & \xrightarrow{\text{hom}} & C
 \end{array}$$

0/23/17

### Enriched Categories:

Let  $(\mathcal{V}, \otimes, 1)$  be a "monoidal category". We can define a " $\mathcal{V}$ -category"  $\mathcal{C}$  where

•  $\forall x, y \in \mathcal{C}$ , we have a Hom-object  $\text{Hom}_{\mathcal{C}}(x, y) \in \mathcal{V}$

•  $\forall x, y, z \in \mathcal{C}$ , we have a composition arrow

$$\text{Hom}_{\mathcal{C}}(y, z) \otimes \text{Hom}_{\mathcal{C}}(x, y) \xrightarrow{\circ_{x, y, z}} \text{Hom}_{\mathcal{C}}(x, z)$$

•  $\forall x \in \mathcal{C}$ , an arrow  $\text{id}_x: 1 \rightarrow \text{Hom}_{\mathcal{C}}(x, x)$

Satisfying 3 commutative diagrams

EXAMPLES:  $(\mathcal{V}, \otimes, 1) = (\text{Set}, \times, \{*\})$

$$(\mathcal{V}, \otimes, 1) = \left( \begin{array}{c} \mathbb{R} \\ \mathbb{0} \end{array} \rightarrow \mathbb{I}, \otimes, 1 \right), \quad \begin{array}{c|cc} \otimes & 0 & 1 \\ \hline 0 & 0 & 0 \\ 1 & 0 & 1 \end{array}$$

A "OI-Cat"  $\Leftrightarrow$  Preorder

Yoneda:  $P \hookrightarrow \text{Order ideals of } P$   
 $x \longmapsto P_{\leq x}$

$$P \hookrightarrow \text{Order filters of } P$$
$$x \longmapsto P_{\geq x}$$

Example: The category of abelian groups is enriched over itself.

$(\text{Ab}, \otimes, \mathbb{Z})$  is monoidal

For all  $A, B \in \text{Ab}$ , we have seen that  $\text{Hom}_{\text{Ab}}(A, B)$  is an abelian group defined by

$$(\varphi + \mu)(a) = \varphi(a) + \mu(a)$$

Zero elt defined by

$$0_{AB}(a) = 0_B \quad 0: \mathbb{Z} \rightarrow \text{Hom}_{\text{Ab}}(A, B)$$

$\forall A, B, C \in \text{Ab}$ , we have a composition function of underlying hom sets

$$\text{Hom}_{\text{Set}}(B, C) \times \text{Hom}_{\text{Set}}(A, B) \xrightarrow{\circ_{A,B,C}} \text{Hom}_{\text{Set}}(A, C)$$

$$\text{Hom}_{\text{Ab}}(B, C) \oplus \text{Hom}_{\text{Ab}}(A, B) \xrightarrow{\circ_{A,B,C}} \text{Hom}_{\text{Ab}}(A, C)$$

This is not a group hom.  $\ddot{\smile}$

It's " $\mathbb{Z}$ -bilinear":

$$(\alpha + \beta) \circ \gamma = (\alpha \circ \gamma) + (\beta \circ \gamma) \checkmark$$

$$\alpha \circ (\beta + \gamma) = (\alpha \circ \beta) + (\alpha \circ \gamma) \checkmark$$

Isomorphism  $\mathbb{Z} \cong \text{Hom}_{\mathbb{A}_0}(\mathbb{Z}, \mathbb{Z})$

$$n \mapsto (m \mapsto n \cdot m)$$

We get a multiplication bicharacterism

$$\begin{array}{ccc} (-) \cdot m & (-) \cdot n & \longrightarrow & (-) \cdot mn \\ \mathbb{Z} \oplus \mathbb{Z} & \longrightarrow & \mathbb{Z} \\ \cdot (a, b) & \longrightarrow & ab \\ & (c, d) & \longrightarrow & cd \\ (a, b) + (c, d) & \longrightarrow & (a+c)(b+d) \neq ab + cd \end{array}$$

What can we do?

Let's suppose that for  $B \in \mathbb{A}_B$ , the functor  $\text{Hom}(B, -)$  has a left adjoint  $(-) \otimes B$ . Then we have a family of bijections

$$\Phi_{A, B, C}: \text{Hom}(A \otimes B, C) \xrightarrow{\sim} \text{Hom}(A, \text{Hom}(B, C))$$

which is natural in  $A, B, C \in \mathbb{A}_B^{\text{op}} \times \mathbb{A}_B^{\text{op}} \times \mathbb{A}_B$

Notation:  $[A \otimes B, C] \xrightarrow{\sim} [A, [B, C]]$

Remark:  $[A, [B, C]]$  is the set (group) of bicharacterisms  $\mathbb{A}_B \rightarrow C$ .

Indeed, given  $\Psi: A \rightarrow [B, C]$ , then  $\forall a \in A$ , we get  $\Psi(a)$  has  $\Psi(a): B \rightarrow C$ . So we get a bicharacterism by defining

Jacobi-Id. for Lie Brackets

$$[X, [Y, Z]] + [Z, [X, Y]] + [Y, [Z, X]] = 0$$

$$\psi(a, b) := (\psi(a))(b)$$

$$\psi: A \otimes B \rightarrow C$$

$$(a, b) \mapsto \psi(a, b)$$

This suggests we should define

$$[A, B; C] = [A, [B, C]] = [B, [A, C]]$$

"bimorphisms"  $A, B \rightarrow C$

"adjunction"

"has  $A \otimes B \rightarrow C$ "

$$\mathbb{I}_{A, B, C}: [A \otimes B, C] \xrightarrow{\sim} [A, B; C]$$

$$\mathbb{I}_{A, B}: [A \otimes B, A \otimes B] \xrightarrow{\sim} [A, B; A \otimes B]$$

$$\downarrow \text{id}_{A \otimes B} \quad \longmapsto \quad \tau$$

Furthermore, for any group has  $\psi: A \otimes B \rightarrow C$ , we have a commutative square

$$\begin{array}{ccc} [A \otimes B, C] & \xleftarrow{\sim} & [A, B; C] \\ \uparrow \psi \circ (-) & \curvearrowright & \uparrow \psi \circ (-) \\ [A \otimes B, A \otimes B] & \xrightarrow{\sim} & [A, B; A \otimes B] \end{array}$$

$\downarrow \text{id}_{A \otimes B} \quad \longmapsto \quad \tau \quad \downarrow \psi \circ \tau$

$$(\text{hom } A \otimes B \xrightarrow{\psi} C) \equiv (\text{bimom } A \otimes B \xrightarrow{\tau} A \otimes B \xrightarrow{\psi} C)$$

$\psi$  is determined by how it acts on  $\text{im}(\mathcal{Z}) \subseteq A \otimes B$

Notation:  $\mathcal{Z}(a, b) =: "a \otimes b"$

Remark:  $\text{Hom } \psi: A \otimes B \rightarrow C$  is completely determined by the values  $\psi("a \otimes b") \in C$ .

Looks like the group  $A \otimes B$  is generated <sup>freely?</sup> by the elements  $"a \otimes b" \forall a \in A, b \in B$

What is a free group?

Recall:  $\text{Ab}$  is a "Concrete Category", i.e. we have a "forgetful functor"

$$\text{Set} \xleftarrow{\quad} \text{Ab} : \mathcal{U}$$

Suppose  $\exists$  left adjoint  $F \dashv \mathcal{U}$

$$F : \text{Set} \xrightleftharpoons{\quad} \text{Ab} : \mathcal{U}$$

i.e. a family of bijections

$$\text{Hom}_{\text{Set}}(S, \mathcal{U}(A)) \xleftrightarrow{\quad} \text{Hom}_{\text{Ab}}(F(S), A)$$

$$(\text{Function } S \rightarrow \mathcal{U}(A)) \equiv (\text{hom } F(S) \rightarrow A)$$

Idea:  $F(S)$  is the free abelian group gen'd by the "basis"  $S$ .

Substituting  $A = F(S)$

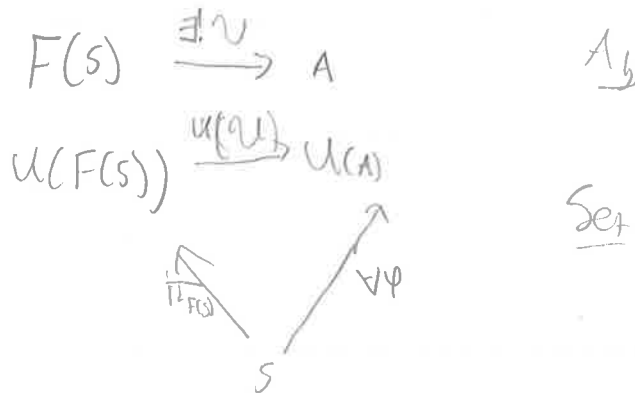
$$(\text{functions } S \rightarrow \mathcal{U}(F(S))) \equiv (\text{hom } F(S) \rightarrow F(S))$$

$$\overline{\text{id}}_{F(S)} = \mathcal{Z} \xleftarrow{\quad} \text{id}_{F(S)} = \mathcal{E}$$

"injection of generators"

Universal property of free groups:

For any function  $\psi: S \rightarrow U(A)$ ,  $\exists!$  homomorphism  $\nu: F(S) \rightarrow A$  extending  $\psi$ .



Pf: Suppose such  $\nu$  exists, with

$$\psi = U(\nu) \circ \overline{i_{F(S)}}$$

$$\text{Then } \nu = \nu \circ \overline{i_{F(S)}} = \nu \circ \overline{i_{F(S)}}$$

$$= U(\nu) \circ \overline{i_{F(S)}} \quad (\text{Since } F \rightarrow U)$$

$$= \psi, \quad \text{unique } \checkmark$$

where  $\psi: F(S) \rightarrow A$

Remains to show that  $\psi = U(\bar{\psi}) \circ \overline{i_{F(S)}}$

$$\bar{\psi} = \bar{\psi} \circ \overline{i_{F(S)}}$$

$$\bar{\psi} = U(\bar{\psi}) \circ \overline{i_{F(S)}}$$

$$\Rightarrow \psi = U(\bar{\psi}) \circ \overline{i_{F(S)}} \quad \checkmark$$



$$\beta_X: X \hookrightarrow \prod_{f \in [G]} [G]$$

$$x \longmapsto \prod_{f \in [G]} f(x)$$

Why do free groups exist?

Theorem (The free functor  $F: \text{Set} \rightarrow \text{Ab}$  exists):

PF: Given a set  $S$ , we define two abelian groups:

$$\mathbb{Z}^S := \{ \text{functions } S \rightarrow \mathbb{Z} \}$$

$$\mathbb{Z}^{\oplus S} := \{ \text{functions } S \rightarrow \mathbb{Z} \text{ with finite support} \}$$

Claim:  $F: \text{Set} \rightarrow \text{Ab}$

$$S \longmapsto \mathbb{Z}^{\oplus S}$$

$$g \longmapsto ?$$

is the free functor.

① Given  $g: S_1 \rightarrow S_2$ , we need a group hom  $F(g): \mathbb{Z}^{\oplus S_1} \rightarrow \mathbb{Z}^{\oplus S_2}$

② Show  $F \dashv U$

Natural basis of  $\mathbb{Z}^{\oplus S}$  of " $\delta$ -functions"

$$\forall s \in S, \delta_s: S \rightarrow \mathbb{Z}$$

$$s \longmapsto 1_{\mathbb{Z}}$$

$$\emptyset \longmapsto 0_{\mathbb{Z}}$$

Any  $f \in \mathbb{Z}^{\oplus S}$  is a finite sum

$$f = \sum_{s \in S} f(s) \delta_s$$



This representation is unique, b/c  $f$  is linear

$$\left( \sum f(s) \delta_s \right) |t = \left( \sum c_s \delta_s \right) |t$$

$$\Rightarrow \underbrace{\left( \sum f(s) \delta_s \right)}_{f(t)}(t) = \underbrace{\left( \sum c_s \delta_s \right)}_{c_t}(t)$$

① Given  $g: S_1 \rightarrow S_2$ , define a group hom

$$F(g): \mathbb{Z}^{\oplus S_1} \rightarrow \mathbb{Z}^{\oplus S_2}$$

on the basis by

$$F(g)(\delta_s) = \delta_{g(s)}$$

and extend linearly:

$$F(g)\left(\sum_s c_s \delta_s\right) = \sum_s c_s \delta_{g(s)} \in \mathbb{Z}^{\oplus S_2}$$

② For each group hom  $\mathbb{Z}^{\oplus S} \xrightarrow{\varphi} A$ , we need a function  $S \xrightarrow{\bar{\varphi}} U(A)$

Neces:  $\varphi \mapsto \bar{\varphi}$  bijective,  $\bar{\varphi} \circ g = \overline{\varphi \circ F(g)}$   
 $\overline{\alpha \circ \varphi} = U(\alpha) \circ \bar{\varphi}$

Define  $\bar{\varphi} = \varphi(\delta_s)$ .

10/25/17 Tensor Products exist, i.e.,  
 $(Ab, \otimes, \mathbb{Z})$  is a monoidal category.

So what?

$(Ab, \otimes, \mathbb{Z}) \longrightarrow$  Rings exist!

Q: What is a ring?

A: A ring is a "monoid object" in the monoidal category  $(Ab, \otimes, \mathbb{Z})$ .

Compare: A monoid is a "monoid object" in the monoidal category  $(Set, \times, *)$ .

$(Set, \times, *) \rightsquigarrow (Ab, \otimes, \mathbb{Z})$   
monoid  $\rightsquigarrow$  ring  
action  $\rightsquigarrow$  module

Details: Consider an abelian group  $A \in Ab$ .

The Set of endomorphisms  $Hom_{Ab}(A, A) = End_{Ab}(A)$   
is a monoid under composition.

$(End(A), \circ, id_A)$

Since  $Ab$  is " $\mathbb{Z}$ -linear", we also have that  $End(A)$  is  
an abelian group under "pointwise addition".

The resulting structure is called a "ring".

$(End(A), \circ, id_A, +, 0_A)$

66 Note that " $0$ " distributes over addition because " $0$ " is  $\mathbb{Z}$ -bilinear.

We can axiomatize this:

Endomorphisms of an abelian group  $\rightsquigarrow$  abstract "ring"

But then we want to go back: abstract ring  $\rightsquigarrow$  endomorphisms of something?

We already know how to do this for monoids in Set:

Given a monoid  $M$  in Set, a representation is a "Set-functor"

$$F: BM \longrightarrow \text{Set}$$

We obtain a Set-category of representations  $\text{Set}^{BM}$ , which inherits most structure from Set, i.e., it's a topos.

Given a ring  $R$ , i.e. a monoid in Ab, a representation of  $R$  is an Ab-functor

$$F: BR \longrightarrow \text{Ab}$$

a.k.a. an  $R$ -module.

These naturally form an Ab-category called  $R\text{-Mod} = \text{Ab}^{BR}$ , which inherits most structure from Ab, i.e. it's an abelian category, that is:

• we have a biproduct  $\amalg = \oplus = \coprod$  (i.e. matrix algebra)

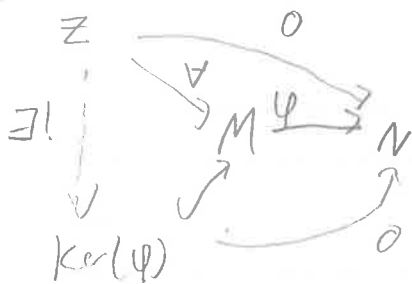
• we have all kernels + cokernels

• one further axiom

Let  $M, N$  be  $R$ -modules, and consider an  $R$ -linear map

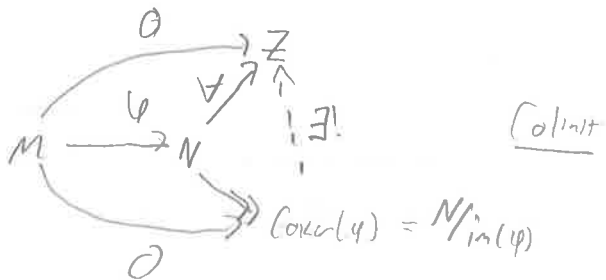
$$\varphi: M \rightarrow N$$

Kernel:



Limit

Cokernel:

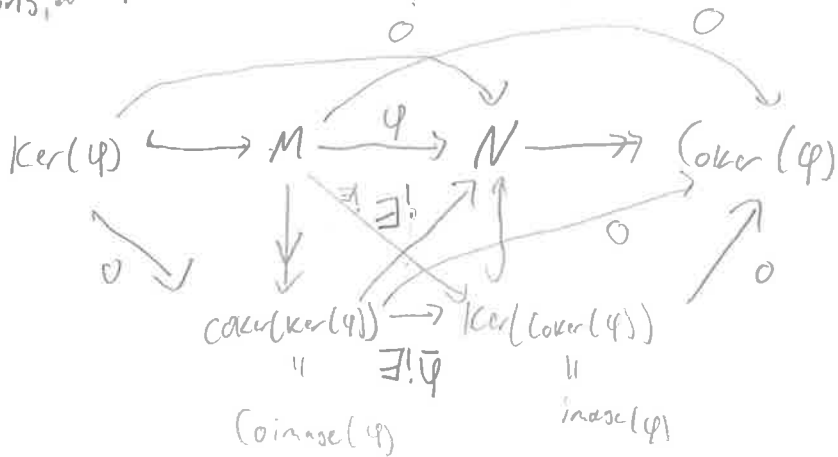


Colimit

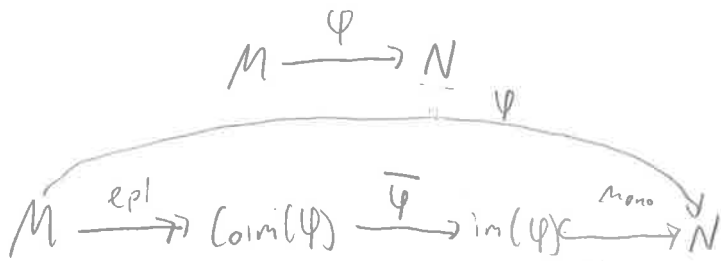
For abstract reasons,

$$\begin{aligned} \text{Ker}(\varphi) \hookrightarrow M & \text{ is monic} \\ N \twoheadrightarrow \text{Coker}(\varphi) & \text{ is epic} \end{aligned}$$

Consider the following picture:



We obtain a canonical factorization



Def: The category is abelian if  $\bar{\varphi}$  is always an isomorphism

Concretely,  $\text{Coim}(\varphi) = M/\text{Ker}(\varphi)$

The category  $\text{Ab}$  is abelian, so  $R\text{-Mod} = \text{Ab}^{BR}$  is iso.

The "R-action" is mostly decoration

$\text{Ab} = \mathbb{Z}\text{-mod}$

Change of base rings:



Topic: Ring  $R$   $\rightsquigarrow$  Category  $R\text{-Mod}$

To what extent does the category of  $R$ -modules determine the ring  $R$ ?

"Tannaka Duality" for rings?

$$\begin{array}{l} U: M\text{-Set} \rightarrow \text{Set} \\ \text{End}(U) \cong M \end{array}$$

"Set"

$$\begin{array}{l} U: R\text{-Mod} \rightarrow \text{Ab} \\ \text{End}_{\text{Ab}}(U) \cong R \end{array} \checkmark$$

"Ab"

If you ask the question:

Does the  $\text{Ab}$ -category  $R\text{-Mod}$  determine the ring  $R$ ?

Yes: If you include the full  $(\text{Ab}, \otimes, \mathbb{Z})$ -structure

If functors + Nat. transformations preserve  $(\text{Ab}, \otimes, \mathbb{Z})$ ,

the proof of Tannaka duality goes through verbatim.

To what extent does the  $\text{Set}$ -category (or  $\mathbb{Z}$ -linear category, ...)

determine  $R$ ?

Depends if  $R$  is commutative!

If  $R, S$  commutative, then  $R\text{-Mod} \cong S\text{-Mod} \implies R \cong S$

Otherwise, not.

Example:  $R\text{-Mod} \cong \text{Mat}_n(R)\text{-Mod}$  for any ring  $R$  and any  $n \in \mathbb{N}$ .

But in general  $R \not\cong \text{Mat}_n(R)$

10/30/17

Let  $(\mathcal{V}, \otimes, 1)$  be a monoidal category.

Let  $\mathcal{C}, \mathcal{D}$  be small  $\mathcal{V}$ -categories

Ex:  $\mathcal{V} = (\mathcal{C} \rightarrow 1)$ ,  $\mathcal{C}, \mathcal{D}$  posets or  $\mathcal{C}, \mathcal{D}$  sets

$\mathcal{V} = \text{Set}$ ,  $\mathcal{C}, \mathcal{D}$  monoids

$\mathcal{V} = \text{Ab}$ ,  $\mathcal{C}, \mathcal{D}$  rings/modules /  $\mathbb{Z}$ -algebras

We'll add one more

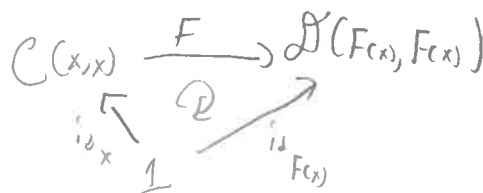
Let  $R$  be a commutative ring,

$\mathcal{V} = R\text{-Mod}$ ,  $\mathcal{C}, \mathcal{D}$   $R$ -algebras /  $R$ -algebroids

A  $\mathcal{V}$ -functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  consists of an object function  $F: \text{Ob}_{\mathcal{C}} \rightarrow \text{Ob}_{\mathcal{D}}$  and for all  $x, y \in \mathcal{C}$  a  $\mathcal{V}$ -arrow

$$F: \underset{\substack{\mathcal{M} \\ \mathcal{V}}}{\mathcal{C}(x, y)} \longrightarrow \underset{\substack{\mathcal{M} \\ \mathcal{V}}}{\mathcal{D}(F(x), F(y))}$$

that preserve identities:  
 $\forall x \in \mathcal{C}$ ,



and Preserves Composition:  $\forall x, y, z \in \mathcal{C}$ ,

$$\begin{array}{ccc}
 \mathcal{D}(F(y), F(z)) \otimes \mathcal{D}(F(x), F(y)) & \xrightarrow{\circ} & \mathcal{D}(F(x), F(z)) \\
 \uparrow F \otimes F & \curvearrowright & \uparrow F \\
 \mathcal{C}(y, z) \otimes \mathcal{C}(x, y) & \xrightarrow{\circ} & \mathcal{C}(x, z)
 \end{array}$$

Ex:  $\mathcal{V} = (0 \rightarrow 1)$ ,  $\mathcal{C}, \mathcal{D}$  posets, a  $\mathcal{V}$ -functor  $\mathcal{C} \rightarrow \mathcal{D}$  is an order-preserving map.

$\mathcal{V} = \text{Set}$ ,  $\mathcal{C}, \mathcal{D}$  monoids, a  $\mathcal{V}$ -functor  $\mathcal{C} \rightarrow \mathcal{D}$  is a monoid homom.

$\mathcal{V} = \text{Ab}$ ,  $\mathcal{C}, \mathcal{D}$  rings,  $\mathcal{V}$ -functor  $\mathcal{C} \rightarrow \mathcal{D}$  is a ring homom.

Given  $\mathcal{V}$ -Categories  $\mathcal{C}$  &  $\mathcal{D}$ , can we define a 'category' of  $\mathcal{V}$ -functors  $[\mathcal{C} \rightarrow \mathcal{D}]$  (aka  $\mathcal{D}^{\mathcal{C}}$ ).

Yes: However we need to define a  $\mathcal{V}$ -natural transformation.

$$\begin{array}{ccc}
 \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\
 \Downarrow \eta & & \downarrow \\
 \mathcal{C} & \xrightarrow{G} & \mathcal{D}
 \end{array}$$



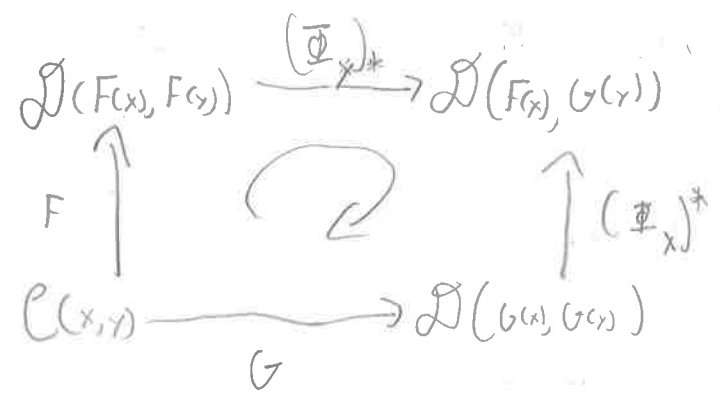
Instead of a family of "arrows"

$$\Phi_x: F(x) \longrightarrow G(x),$$

We think of a family of arrows

$$\Phi_x: 1 \longrightarrow \mathcal{D}(F(x), G(x))$$

Naturality:  $\forall x, y \in \mathcal{C}$ , we have



Definition: If  $\mathcal{C}$  is a small  $\mathcal{V}$ -category (thought of as an "algebroid"), then we define the  $\mathcal{V}$ -category of " $\mathcal{C}$ -modules"

$\mathcal{V}^{\mathcal{C}}$  objects:  $\mathcal{V}$ -functors  $\mathcal{C} \rightarrow \mathcal{V}$   
 arrows:  $\mathcal{V}$ -nat. transformations

Ex:  $\mathcal{V} = (\mathbf{0} \rightarrow \mathbf{1})$ ,  $\mathcal{P}$  poset,  $\mathcal{V}^{\mathcal{P}} = \text{Poset of order ideals}$

$\mathcal{V} = \text{Set}$ ,  $\mathcal{V}^{\mathcal{P}} = \text{Boolean alg. of subsets}$

$\mathcal{V} = \text{Set}$ ,  $M$  a monoid,  $\mathcal{V}^{M} = \text{Category of left } M\text{-sets}$

$\mathcal{V} = \text{Ab}$ ,  $R$  a ring,  $\mathcal{V}^R = \text{Category of (left) } R\text{-modules}$

## "Change of Base"

Let  $\mathcal{C}, \mathcal{D}$  be small  $\mathcal{V}$ -categories and let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a  $\mathcal{V}$ -functor. Then we obtain a functor of module categories in the opposite direction:

$$\mathcal{C} \xrightarrow{F} \mathcal{D} \rightsquigarrow \mathcal{V}^{\mathcal{C}} \xleftarrow[\text{(-) of } F]{F^*} \mathcal{V}^{\mathcal{D}}$$

Thm/Def: If  $F^*$  has a left/right adjoint, we call it the left/right Kan extension of  $F$ .

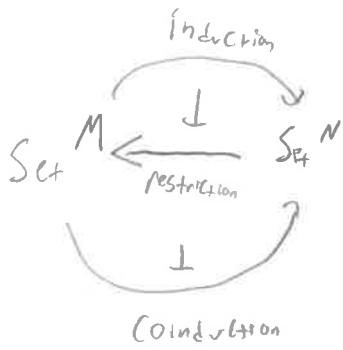
$$\begin{array}{ccc} & \text{Lan}_F(-) & \\ & \downarrow & \\ \mathcal{V}^{\mathcal{C}} & \begin{array}{c} \perp \\ \leftarrow \text{(-) of } F \\ \perp \end{array} & \mathcal{V}^{\mathcal{D}} \\ & \uparrow & \\ & \text{Ran}_F(-) & \end{array}$$

Under mild completeness/smallness assumptions, the Kan extensions always exist.

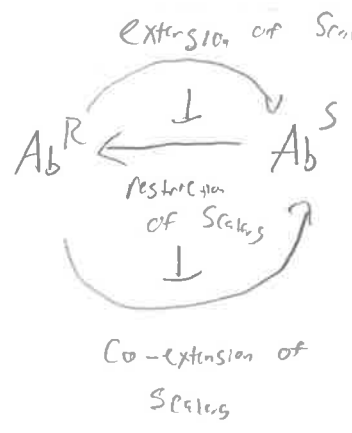
Examples:  $\mathcal{V} = (0 \rightarrow 1)$ ,  $S, T$  sets,  $F: S \rightarrow T$  is a function.

$$\begin{array}{ccc} & F & \\ & \downarrow & \\ (0 \rightarrow 1)^S & \begin{array}{c} \perp \\ \leftarrow F^* \\ \perp \end{array} & (0 \rightarrow 1)^T \\ & \uparrow & \\ & F_! & \end{array}$$

•  $\mathcal{V} = \text{Set}$ ,  $F: \mathcal{M} \leftrightarrow \mathcal{N}$   
 Module hom.



•  $\mathcal{V} = \text{Ab}$ ,  $F: R \rightarrow S$  ring homom.



Questions: • To what extent is the structure of a ring  $R$  reflected by the structure of the category  $R\text{-Mod}$ ?

$$R \xrightarrow{?} S$$

$$R\text{-Mod} \xrightarrow{\sim} S\text{-Mod}$$

• For which rings  $R$  &  $S$  do we have equivalent categories  $R\text{-Mod} \cong S\text{-Mod}$ ?

Def: Let  $\mathcal{C}, \mathcal{D}$  be Set-Categories. An equivalence is a pair of functors

$$F: \mathcal{C} \xrightleftharpoons[G]{F} \mathcal{D} : G$$

with natural isomorphisms

$$G \circ F \cong \text{id}_{\mathcal{C}} ; F \circ G \cong \text{id}_{\mathcal{D}}$$

(intuition: homotopy equivalence).

In this case, we say that  $F$  &  $G$  are quasi-inverse.

Lemma:  $F: \mathcal{C} \rightarrow \mathcal{D}$  has a quasi-inverse iff

- $F$  is fully faithful (essentially injective on objects & essentially surjective on objects).

Suppose we have an equivalence of categories

$$F: R\text{-Mod} \xrightarrow{\cong} S\text{-Mod} : G$$

So what?

Note:  $F$  is an additive, right-exact functor.

Then, there exists a unique  $(S, R)$ -bimodule  $Q$  s.t.

$$F: R\text{-Mod} \longrightarrow S\text{-Mod}$$

$$M \longmapsto Q \otimes_R M$$

11/1/17 Question: Does the forgetful functor  $U: M\text{-Set} \rightarrow \text{Set}$  have a left-adjoint (free) functor.

Ans: Yes. Given a set  $S \in \text{Set}$ , define an  $M$ -action on the set  $M \times S = \{(m, s) : m \in M, s \in S\}$  ("copairs")

$$\forall m' \in M, m' \cdot (m, s) := (m'm, s)$$

$M \times S$  is a disjoint union of copies of the left regular action  $M \curvearrowright M$ , indexed by  $S$ .

In particular, the free  $M$ -set gen'd by  $*$  is just  $F(*) = M^{\circledast}$

Since  $*$  is terminal in  $\text{Set}$ , we have that

$$\text{Hom}_{\text{Set}}(*, S) \longleftrightarrow S$$

For any  $M$ -set  $M \curvearrowright S$ , let  $U(S)$  be the underlying set. Then,

$$\begin{aligned} U(S) &\longleftrightarrow \text{Hom}_{\text{Set}}(*, U(S)) \longleftrightarrow \text{Hom}_{M\text{-Set}}(F(*), S) \\ &\longleftrightarrow \text{Hom}_{M\text{-Set}}(M, S) = H^M(S) \end{aligned}$$

We have a natural isomorphism  $U(-) \cong \text{Hom}_{M\text{-Set}}(M, -)$ .

Jargon: The forgetful functor is representable by  $M$ .

Now, we apply Yoneda:  $\text{Nat}(U, U) = \text{Nat}(H^M, H^M)$   
 $= \text{Hom}_{M\text{-Set}}(M, M)$

$\text{End}(U) = \text{End}_{M\text{-Set}}(M)$ .

Let  $\varphi: M \rightarrow M$  be any  $M$ -equivariant map and let  $m' = \varphi(1_M)$ .  
 Then  $\forall m \in M, \varphi(m) = \varphi(m \cdot 1_M) = m \cdot \varphi(1_M) = m \cdot m'$

$$\Rightarrow \boxed{\text{End}_{M\text{-Set}}(M) \cong M^{\text{op}}}$$

If  $M = G$  is a group, then  $\text{End}_{G\text{-Set}}(G) \cong G^{\text{op}} \cong G$

More generally, for any subgroup  $H \leq G$ , we have

$$\text{End}_{G\text{-Set}}(G/H) \cong N_G(H)/H$$

We have an adjunction

$$F: \text{Set} \rightleftarrows \text{Ab}: U$$

$$\begin{aligned} \text{End}(U) &= \text{End}(\mathbb{Z}^{\mathbb{Z}}, \mathbb{Z}^{\mathbb{Z}}) = \text{End}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z}) = (\mathbb{Z}, 0)^{\text{op}} = (\mathbb{Z}, 0) \\ &= \mathbb{Z}^{\text{op}} = \mathbb{Z} \end{aligned}$$

Ring/algebra of endomorphisms of  $U$ .

$$F: \text{Set} \rightleftarrows R\text{-Mod}: U$$

$$\text{End}(U) = \text{Mat}(H^R, H^R) = \text{Hom}_R(R, R) = \text{End}_R(R) = R^{\text{op}}$$

First Step: Want to look for  
 $Ab.$

$$F: \mathbb{Z}\text{-Mod} \rightleftarrows R\text{-Mod}; \mathcal{U}$$

What is  $F$ ?

Let  $A$  be an abelian group,  $M \in R\text{-Mod}$ . We require

$$\text{Hom}_{\mathbb{Z}}(A, \mathcal{U}(M)) = \text{Hom}_R(F(A), M)$$

$$\text{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathcal{U}(M)) = \text{Hom}_R(F(\mathbb{Z}), M)$$

$$\parallel$$

$$\mathcal{U}(M) = \mathcal{U}(M)^{\text{op}}$$

↑  
Satisfies  $\text{Hom}_R(-, M) = M$ ,

So  $F: \mathbb{Z} \rightarrow R$  (well, this should be the case...)

What role plays in the category  $Ab$ ?

- Ideas:
- $\mathbb{Z}$  is initial in  $CRng$
  - $\mathbb{Z}$  is the unit object for  $\otimes_{\mathbb{Z}}$
  - $\mathbb{Z}$  is a "progenerator" in  $Ab$ :
    - 1)  $\mathbb{Z}$  is projective in  $Ab$        $\text{Hom}(\mathbb{Z}, -)$  epi-surjective
    - 2)  $\mathbb{Z}$  is compact in  $Ab$        $\text{Hom}(\mathbb{Z}, -)$  commutes with products
    - 3)  $\mathbb{Z}$  generates  $Ab$ .
- ↑  
Every abelian group  $A \in Ab$  has an epi  
from  $\mathbb{Z}^{\otimes S} \rightarrow A$

Slogan:  $R$  is a progenerator of  $R\text{-Mod}$ .  
 (not necessarily, unique)

Free  $M$ -set gen'd by  $S \in S_{\text{set}}$  is a copower

$$M \times S \text{ with } M \text{cov}(M \times S) = (M \circ M) \times S$$

So, free  $R$ -module gen'd by  $A \in A_b$  should be

$$R \text{ "x" } A \text{ with } R \circ (R \text{ "x" } A) = (R \circ R) \text{ "x" } A$$

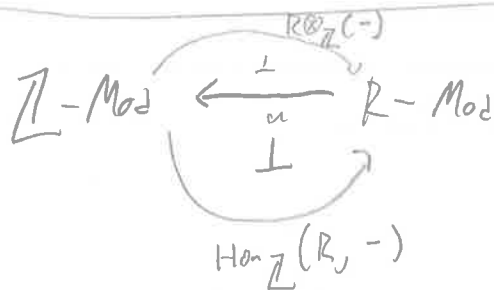
How about  $R \otimes_{\mathbb{Z}} A$ ? It's an abelian group. Is it an  $R$ -module?

Sure,  $(\sum \eta_i (r_i \otimes a_i)) \cdot r = \sum \eta_i (r_i r \otimes a_i)$

Claim:  $R \otimes_{\mathbb{Z}} A$  with the  $R$ -action defined above is the free  $R$ -module gen'd by  $A$ .

Categorically, it's a "copower".

Let  $A, B \in R\text{-mod}$   
 $\text{Hom}_R(R \otimes_{\mathbb{Z}} A, B) = \text{Hom}_{\mathbb{Z}}(R, \text{Hom}_R(A, B))$



$$\psi: R \rightarrow A$$

$$(r\psi)(a) = \psi(r) \circ a$$

$$F: R\text{-Mod} \rightleftarrows \mathbb{Z}\text{-Mod}: G$$

80  $F \dashv G \dashv F$ , so  $F, G$  exact.



$\exists Q$   $(S, R)$ -bimodule  
 $P$   $(R, S)$ -bimodule

s.t.

$$F(-) = Q \otimes_R (-)$$

$$G(-) = P \otimes_S (-)$$

$$P \otimes_S (Q \otimes_R R) \cong_R R \quad Q \otimes_R (R \otimes_S P) \cong_S P$$

11/6/17

$$U: R\text{-Mod} \rightarrow \text{Ab}$$

Does this functor know about the ring  $R$ ?

$$\begin{aligned} U(M) &= \text{Hom}_{\mathbb{Z}}(\mathbb{Z}, U(M)) = \text{Hom}_{\mathbb{Z}}(R \otimes_{\mathbb{Z}} \mathbb{Z}, M) \\ &= \text{Hom}_R(R, M) \end{aligned}$$

This is a natural isomorphism  $U(-) \cong \text{Hom}_R(R, -)$

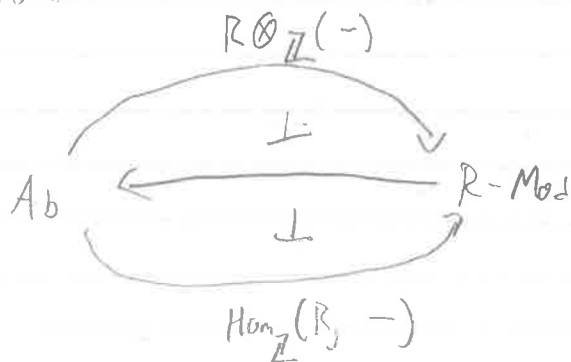
Forgetful functor is represented by  $R$ .

$$\text{Nat}(U, U) = \text{Nat}(H^R, H^R) = \text{Hom}_R(R, R) = \text{End}_R(R) = R^{\text{op}}$$

Ans:  $\text{End}(U)^{\text{op}} \cong R$ , so Yes!

Does the category  $R\text{-Mod}$  know about the ring  $R$ ?  
~~Maybe not.~~

Forgetful functor has a left & a right adjoint



Given an  $A \in \text{Ab}$ , we define

$$R \otimes_{\mathbb{Z}} R \otimes_{\mathbb{Z}} A \cong$$

$$r \left( \sum_i r_i \otimes a_i \right) = \sum_i (r r_i) \otimes a_i$$

$$R \otimes_{\mathbb{Z}} \text{Hom}_{\mathbb{Z}}(R, A)$$

$$\varphi: R \rightarrow A$$

$$r\varphi: R \rightarrow A$$

$$s \mapsto \varphi(sr)$$

Need: Given  $M \in R\text{-Mod}$ ,  $A \in \text{Ab}$ ,

$$\text{Hom}_R(M, \text{Hom}_{\mathbb{Z}}(R, A)) \stackrel{?}{\cong} \text{Hom}_{\mathbb{Z}}(\mathcal{U}(M), A)$$

$$\text{Hom}_R(R \otimes_{\mathbb{Z}} A, M) \stackrel{?}{\cong} \text{Hom}_{\mathbb{Z}}(A, \mathcal{U}(M))$$

Claim: The Category  $R\text{-Mod}$  doesn't know about the ring  $R$ , but it does know about the commutative ring

$$Z(R) = \{s \in R \mid rs = sr \ \forall r \in R\}$$

Instead of Endomorphisms of  $U: R\text{-Mod} \rightarrow \text{Ab}$ , we look at Endomorphisms of the identity functor

$$\text{id}_{R\text{-Mod}}: R\text{-Mod} \rightarrow R\text{-Mod}$$

$$\text{End}(\text{id}_{R\text{-Mod}}) \cong Z(R)$$

The Center of a Category is  $Z(\mathcal{C}) := \text{End}(\text{id}_{\mathcal{C}})$ .

$$\text{Let } \eta: \text{id}_{\mathcal{C}} \Rightarrow \text{id}_{\mathcal{C}}$$

$$\forall X \in \mathcal{C}, \eta_X: X \rightarrow X$$

$\forall f: X \rightarrow Y$ , we have

$$\begin{array}{ccc} X & \xrightarrow{\eta_Y} & Y \\ f \uparrow & \curvearrowright & \uparrow f \\ X & \xrightarrow{\eta_X} & X \end{array}$$

$$\text{i.e., } \eta_Y \circ f = f \circ \eta_X$$

Taking  $X=Y$ ,  $\forall f: X \rightarrow X$  (i.e.,  $f \in \text{End}_{\mathcal{C}}(X)$ ), we must have  $\forall X \in \mathcal{C}, \eta_X \circ f = f \circ \eta_X$

In Summary, we obtain a homom of monoids

$$(-)_x: \text{End}(Id_e) \rightarrow Z(\text{End}_e(X))$$

Injective?

Surjective?

Suppose  $\text{Hom}_e(X, -)$  is faisful, then for all  $Y, Z$

$$\begin{array}{ccc} \text{Hom}(Y, Z) & \hookrightarrow & \text{Hom}(\text{Hom}(X, Y), \text{Hom}(X, Z)) \\ g_1 \downarrow & \xrightarrow{\quad} & g_1 \circ f \\ \parallel & \longleftarrow & \parallel \\ g_2 \downarrow & \xrightarrow{\quad} & g_2 \circ f \end{array} \quad \forall f$$

$$g_1 \circ f = g_2 \circ f \quad \forall f \Rightarrow g_1 = g_2, \quad \text{or equivalently, } g_1 \neq g_2 \Rightarrow \exists f, g_1 \circ f \neq g_2 \circ f$$

"Left-cancellable relative to  $X$ "

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & & \downarrow \eta \\ & & Y \\ & & \uparrow \eta' \end{array}$$

$$" \eta \neq \eta' \Rightarrow \exists f, \eta \circ f \neq \eta' \circ f " \iff " \forall f, \eta \circ f = \eta' \circ f \Rightarrow \eta = \eta' "$$

Claim: If  $\text{Hom}(X, -)$  is faithful, then

$$(-)_x: \text{End}(Id_e) \hookrightarrow Z(\text{End}_e(X))$$

is injective (and hence  $\text{End}(Id_e)$  is commutative)

PF: Suppose  $\eta, \eta' : \text{id}_E \rightarrow \text{id}_E$  with  $\eta_x = \eta'_x$ .

WTS  $\eta = \eta'$ .

By naturality, we have,  $\forall f: X \rightarrow Y$ ,

$$\eta_y \circ f \stackrel{\text{naturality}}{=} f \circ \eta_x = f \circ \eta'_x \stackrel{\text{naturality}}{=} \eta'_y \circ f, \quad \text{i.e.}$$

$$\eta_y \circ f = \eta'_y \circ f \quad \forall f \text{ (out of } X)$$

Since  $\text{Hom}(X, -)$  is faithful, this implies  $\eta_y = \eta'_y$ .

Since  $Y$  was arbitrary,  $\eta = \eta'$ . □

Question: When is  $(-)_x : \text{End}(\text{id}_E) \rightarrow Z(\text{End}_E(X))$  surjective?

Let  $E = R\text{-Mod}$ ,  $X = {}_R R$ .

Claim:  $R$  is a generator, and that  $(-)_x$  is surjective.

Check: 1)  $X$  is a generator, i.e.,

$\text{Hom}_R(R, -) : R\text{-Mod} \rightarrow \text{Ab}$  is faithful?

$$\varphi_m : R \rightarrow M$$

$$1 \mapsto m$$

$$\varphi_m(r) = \varphi_m(r \cdot 1) = r \varphi_m(1) = r m$$

$$\text{Hom}_R(R, -) \cong \mathcal{U}(\text{---})$$

Is the forgetful functor faithful?



$$\psi \neq \psi' \Leftrightarrow \exists m \in M, \psi(m) \neq \psi'(m)$$

$$\begin{aligned} \psi \circ \varphi_M(1) &= \psi(m) \\ \psi' \circ \varphi_M(1) &= \psi'(m) \end{aligned} \quad \Leftrightarrow \quad \begin{aligned} \psi \circ \varphi_M & \\ &\neq \\ \psi' \circ \varphi_M & \end{aligned}$$

$\Rightarrow \text{Hom}_R(R, -)$  is faithful. ✓

2)  $(-)_X$  is surjective:

$$\text{Knae } (-)_R: \text{End}(\text{id}_{R\text{-Mod}}) \hookrightarrow Z(\text{End}_R(R)) = Z(R^{\text{op}}) = Z(R)$$

Surjective? Given  $r \in Z(R)$ , we need to define  $\eta: \text{id}_{R\text{-Mod}} \Rightarrow \text{id}_{R\text{-Mod}}$

$$\text{s.t. } \eta_r: R \rightarrow R \\ s1 \mapsto sr = rs$$

Idea! Given  $M \in R\text{-Mod}$ , define  $\eta_M: M \rightarrow M$

Since  $r \in Z(R)$ , this is an  $R$ -linear homomorphism. ✓

Is this family of arrows natural in  $M$ ?

Given  $\psi: M \rightarrow N$   $R$ -linear,

$$\begin{array}{ccc}
 N & \xrightarrow{r'} & N \\
 \psi \uparrow & \curvearrowright & \uparrow \psi \\
 M & \xrightarrow{r'} & M
 \end{array}$$

by  $R$ -linearity of  $\psi$ ,  $\forall r' \in R$ .

If we take  $r' = r \in Z(R)$ , we get

$$\begin{array}{ccc}
 N & \xrightarrow{r_N} & N \\
 \psi \uparrow & \curvearrowright & \uparrow \psi \\
 M & \xrightarrow{r_M} & M
 \end{array}$$

i.e. naturality.

□

Conclusion: For any  $R$ ,  $\text{End}(\text{id}_{R\text{-Mod}}) \cong Z(R)$

Corollary: If  $R\text{-Mod} \cong S\text{-Mod}$ , then  $Z(R) \cong Z(S)$ .

Corollary: If  $R, S$  are commutative,  $R\text{-Mod} \cong S\text{-Mod} \iff R \cong S$ .

Question:  $R, S$  non-commutative?

$\text{Hom}_R(R, -)$  faithful

11/8/17

Let  $K$  be a commutative ring.

Idea: We can replace  $\mathbb{Z}$  by  $K$  in any categorical construction

$(\text{Ab}, \otimes, \mathbb{Z})$

$(K\text{-Mod}, \otimes_K, K)$  monoidal category

Recall: The tensor product of abelian groups linearizes  $\mathbb{Z}$ -bilinear functions

$$\begin{array}{l} A \oplus B \longrightarrow C \\ A \otimes_{\mathbb{Z}} B \longrightarrow C \end{array}$$

$$\text{Hom}(A \otimes_{\mathbb{Z}} B, C) = \text{Hom}(A, \text{Hom}(B, C)) = \text{Hom}(B, \text{Hom}(A, C))$$

$$A \otimes B \longrightarrow C \quad K\text{-bilinear map}$$

$\downarrow \exists!$

$$A \otimes_K B \longrightarrow C \quad K\text{-linear map}$$

Furthermore,  $K\text{-Mod}$  is enriched over itself:

$$\varphi_1, \varphi_2: A \longrightarrow B, \quad \varphi_1 + \alpha \varphi_2: A \longrightarrow B \quad \text{is still } K\text{-linear}$$

A ring is a "monoid in  $\mathbb{Z}\text{-Mod}$ "

A  $K$ -algebra is a "monoid in  $K\text{-Mod}$ "



Let's say  $R, S$  are  $K$ -algebras.

Define an  $R, S$ -bimodule as an abelian group  $M$  carrying a left  $R$ -action and a right  $S$ -action, such that

$$\forall r \in R, s \in S, m \in M, (rm)s = r(ms) \quad \text{"associativity"}$$

$$\begin{array}{l} \text{left } R\text{-module: } R \rightarrow \text{End}_{\mathbb{Z}}(M) \\ \text{right } S\text{-module: } S^{\text{op}} \rightarrow \text{End}_{\mathbb{Z}}(M) \end{array}$$

$$\left. \begin{array}{l} R \rightarrow \text{End}_{\text{Mod-}S}(M) \\ S^{\text{op}} \rightarrow \text{End}_{R\text{-Mod}}(M) \end{array} \right\} \text{Commutativity of actions}$$

$$R \otimes_{\mathbb{Z}} S^{\text{op}} \rightarrow \text{End}_{\mathbb{Z}}(M)$$



Is this a ring?

Yes! Define a ring structure on the generators  
 $(r_1 \otimes s_1)(r_2 \otimes s_2) = (r_1 r_2) \otimes (s_2 s_1)$

It turns out that  $\otimes_{\mathbb{Z}}$  is the coproduct in the category of commutative rings.

$$(\text{Rings})^{\text{op}} = \text{Aff} \leftarrow \text{affine schemes}$$

$\otimes_{\mathbb{Z}}$  is the product of schemes

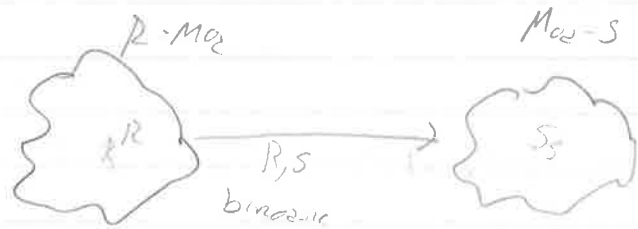


fiber product of schemes

Def: Let  $R, S$  be  $K$ -algebras. An  $(R, S)$ -bimodule is a functor

$$R \otimes_K S^{op} \longrightarrow K\text{-Mod}$$

Behaves like a Hom bifunctor



Tensor product of modules over noncommutative rings.

Let  $A_R$  be right  $R$ -module  
 ${}_R B$  be left  $R$ -module

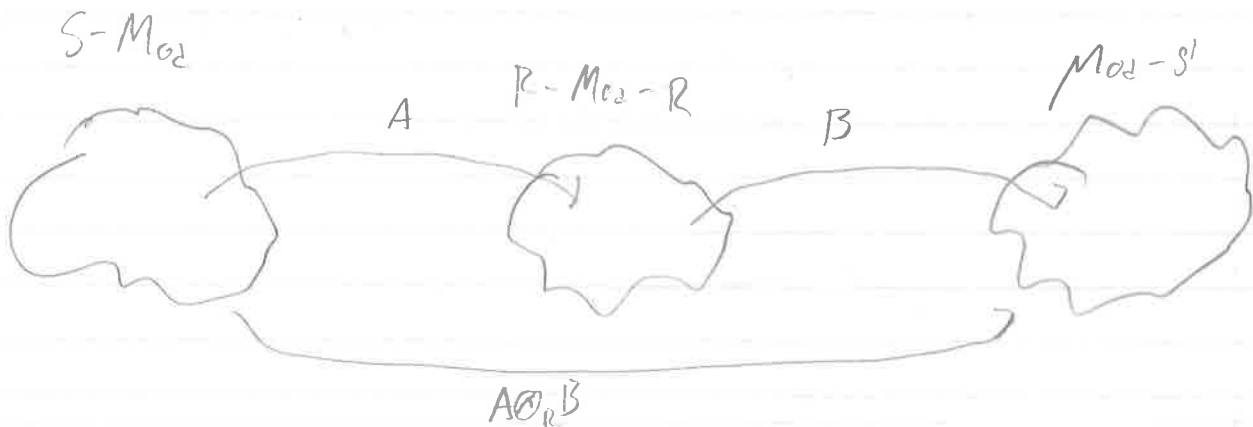
A  $\mathbb{Z}$ -bilinear map  $A \otimes B \xrightarrow{\psi} C$  is  $R$ -balanced if  
 $(a, b) \longmapsto \psi(a, b)$

$$\forall a \in A, b \in B, r \in R,$$

$$\psi(a, b) = \psi(a, rb)$$

The tensor product  ${}_S A \otimes_R B {}_{S'}$  as an abelian group, turns  $\mathbb{Z}$ -bilinear  $\&$   $R$ -balanced maps into  $\mathbb{Z}$ -linear maps.

${}_S A \otimes_R B {}_{S'}$  is an  $(S, S')$ -bimodule



## Adjunctions:

Given  $A_{R_1}$ ,  ${}_R B_S$ ,  ${}_S C$ , we have this is a right  $R$ -module

$$\text{Hom}_S(A \otimes_R B, C) = \text{Hom}_R(A, \text{Hom}_S(B, C))$$

Given  ${}_R A$ ,  ${}_S B_R$ ,  ${}_S C$ :

$$\text{Hom}_S(B \otimes_R A, C) = \text{Hom}_R(A, \text{Hom}_S(B, C))$$

Observation:  $C \otimes_R B: \text{Mod-}R \rightarrow \text{Mod-}S$

$$B \otimes_R (-): R\text{-Mod} \rightarrow S\text{-Mod}$$

both are cocontinuous.

Thm (Eilenberg-Watts): Let  $F: R\text{-Mod} \rightarrow S\text{-Mod}$  be any (additive) cocontinuous functor.

Then,  $F(-) \cong Q \otimes_R (-)$ , for some  $(S, R)$ -bimodule  $Q$ .

Proof: Idea: Let  ${}_S Q = {}_S F(R)$ .

$F(R)$  is a left  $S$ -module by definition. Why is it a right  $R$ -module

$$\begin{array}{ccc} F: R\text{-Mod} & \longrightarrow & S\text{-Mod} \\ F: \text{End}_R(R) & \longrightarrow & \text{End}_S(F(R)) \\ \parallel & \nearrow & \\ R^{\text{op}} & & \end{array}$$



We need, for each  $M \in R\text{-Mod}$ , an  $S$ -linear map

$$\zeta_M: F(R) \otimes_R M \xrightarrow{\sim} F(M)$$

Adjunction:  $\text{Hom}_S(F(R) \otimes_R M, F(M)) \cong \text{Hom}_R(M, \text{Hom}_S(F(R), F(M)))$

$$\zeta_M \swarrow \quad M \xrightarrow{\sim} \text{Hom}_R(R, M) \xrightarrow{F} \text{Hom}_S(F(R), F(M))$$

Observe:  $\zeta_R: F(R) \otimes_R R \xrightarrow{\sim} F(R)$

Since  $F(R) \otimes_R (-)$  is co-continuous, it's an isomorphism at any free module  $R^{\oplus I}$

$$\zeta_{R^{\oplus I}}: F(R) \otimes_R R^{\oplus I} \xrightarrow{\sim} F(R^{\oplus I})$$

$$F(R) \otimes_R R^{\oplus I} = F(R \otimes_R R^{\oplus I}) = F(R^{\oplus I}) \quad (\text{since } F \text{ is cocontinuous})$$

To show that  $\zeta_M$  is an iso, consider a free resolution of  $M$ :

$$R^{\oplus J} \rightarrow R^{\oplus I} \rightarrow M \rightarrow 0$$

$$F(R^{\oplus J}) \rightarrow F(R^{\oplus I}) \rightarrow F(M) \rightarrow 0 \rightarrow 0$$

$$\zeta_{R^{\oplus J}} \uparrow \quad \zeta_{R^{\oplus I}} \uparrow \quad \zeta_M \uparrow \quad 0 \uparrow \quad 0 \uparrow$$

$$F(R) \otimes_R R^{\oplus J} \rightarrow F(R) \otimes_R R^{\oplus I} \rightarrow F(R) \otimes_R M \rightarrow 0 \rightarrow 0$$

The middle map is an iso by the 5-lemma.  $\square$

11/13/17

Let  $R, S$  be any rings. Last time, we showed (Eilenberg - Watts) that

$$R\text{-Mod} \cong S\text{-Mod} \iff \exists \text{ bimodules } {}_R P_S, {}_S Q_R \text{ with}$$

$\uparrow$   
 $\mathbb{Z}$ -linear

$${}_R P_S \otimes_S {}_S Q_R \cong {}_R P_R$$

$$\text{and } {}_S Q_R \otimes_R {}_R P_S \cong {}_S Q_S$$

Because the second condition is symmetric in  $R$  &  $S$ , we find

$$R\text{-Mod} \cong S\text{-Mod} \iff \text{Mod-}R \cong \text{Mod-}S$$

In either case, we write  $R \approx S$  and say that  $R$  &  $S$  are Morita equivalent.

Recall: If  $R \approx S \Rightarrow Z(R) \cong Z(S)$

$\uparrow$   
as rings

If  $R, S$  commutative,  $R \approx S \iff R \cong S$ .

Big Theorem (Morita):  $R \approx S \iff \exists$  progenerator  $P \in R\text{-Mod}$   
s.t.  $S \cong \text{End}_R(P)^{op}$

$$\iff \exists \text{ progenerator } Q \in S\text{-Mod} \text{ s.t. } R \cong \text{End}_S(Q)^{op}$$

(Stronger version: Let  $\mathcal{C}$  be a cocomplete abelian category. Then  $\mathcal{C} \cong R\text{-Mod}$  iff  $\exists$  progenerator  $P \in \mathcal{C}$  with  $R \cong \text{End}_{\mathcal{C}}(P)^{op}$ ).

Prototype: Let  $P = R^{\oplus n} \in R\text{-Mod}$

Then since  $P$  is a generator, we have  $R \tilde{=} S$ , where  
 $S^{\text{op}} = \text{End}_R(R^{\oplus n}) = \text{Mat}_{n \times n}(R)$

Strong example: If  $K$  is a field, then  $K \tilde{=} S \Leftrightarrow S = \text{Mat}_{n \times n}(K)$

Proof: For our purposes, say  $P \in R\text{-Mod}$  is a generator if

$$\text{Hom}_R(P, -) : R\text{-Mod} \rightarrow \mathcal{A}$$

- is faithful (generator)
- preserves coproducts (compact)
- preserves epimorphisms (projective)

Remark:  $\text{Hom}(P, -)$  necessarily preserves limits, because it's right-adjoint to  $P \otimes (-)$

Fact: Given  $\psi : M \rightarrow N$ ,

$$\begin{array}{ccc} N & \xrightarrow{id_N} & N \\ \uparrow \psi & \lrcorner & \uparrow id_N \\ M & \xrightarrow{\psi} & N \end{array}$$

$\psi$  is epi  $\Leftrightarrow$  this square is a pushout / fiber sum  
 (a colimit construction)

Let  $F : R\text{-Mod} \rightarrow S\text{-Mod}$  be an equivalence. Since  $R$  is a generator in  $R\text{-Mod}$ , we see that  $F(R)$  is a generator for  $S\text{-Mod}$ .  
 Furthermore, we see that  $R^{\text{op}} \tilde{=} \text{End}_R(R) \tilde{=} \text{End}_S(F(R))$

$$\begin{array}{c} \Downarrow \\ R \tilde{=} \text{End}_S(F(R))^{\text{op}} \end{array}$$

Conversely, let  $P \in R\text{-Mod}$  be a progenerator with  $S \cong \text{End}_R(P)^{\text{op}}$

To show that  $R \tilde{\sim} S$ , we observe that  ${}_R P$  is a right  $S$ -module.  
Indeed,  $S^{\text{op}} = \text{End}_R(P)$  has a natural left action on  $P$ .

Thus,  ${}_R P_S$  is an  $R, S$  bimodule. By Eilenberg-Watts,  
it suffices to find a bimodule  ${}_S Q_R$  s.t.

$${}_R P_S \otimes_S {}_S Q_R \cong {}_R R$$

$${}_S Q_R \otimes_R {}_R P_S \cong {}_S S$$

Claim that  $Q = \text{Hom}_R(P, R) = P^*$  will work

Intuition:  $P \otimes P^* = R$

$P^* \otimes P = \text{Mat}_n(R)$

General remark: Given bimodules  ${}_R A_S$  +  ${}_R B_T$ ,  $\text{Hom}_R({}_R A_S, {}_R B_T)$  is

an  $(S, T)$ -bimodule.

Let  $\psi: {}_R A_S \rightarrow {}_R B_T$   
 $a \mapsto a\psi$

$R$ -linearity  $\Leftrightarrow (ra)\psi = r(a\psi)$

Given  $a \in A$ ,  $s \in S$ ,  $t \in T$ ,  $\psi: A \rightarrow B$ , define  $s\psi$  and  $\psi t$  so that

$a(s\psi) = (as)\psi$

$a(\psi t) = (a\psi)t$

In particular,  ${}_S Q_R = \text{Hom}_R({}_R P_S, {}_R R)$ .

Need to show

$$\textcircled{1} {}_R P_S \otimes_S {}_S Q_R \cong {}_R R_R$$

$${}_R \text{Hom}({}_R P, {}_R R) \cong {}_R R_R$$

$$\begin{array}{ccc} \text{Map } P \times \text{Hom}(P, R) & \longrightarrow & R \\ (P, \varphi) & \longmapsto & P\varphi \end{array}$$

By definition, this  $\mathbb{Z}$ -bilinear map is  $\text{End}(P)$ -balanced, so it defines a unique map

$${}_R P \otimes_{\text{End}(P)} \text{Hom}(P, R) \xrightarrow{\Phi} {}_R R_R$$

$$\Phi\left(\sum_i P_i \otimes \varphi_i\right) := \sum_i P_i \varphi_i$$

Need to show that this is bijective.

Idea:  $\Phi$  is surjective b/c  $P$  is a generator

$$\text{Hom}({}_R P^{\oplus I}, R) = \text{Hom}(P, R)^{\oplus I} \quad \checkmark$$

$\Phi$  is injective b/c of the first "associative" property

$$\begin{array}{ccc} (P \otimes Q) \otimes P & \rightsquigarrow & \Phi(\text{something}) = 0 \\ (Q \otimes P) \otimes Q & & \Rightarrow \text{something} = 0. \end{array} \quad \checkmark$$



$$\textcircled{2} \text{End}(P) \xrightarrow{\text{Hom}(P, R)} \text{Hom}(P, R) \otimes_R R \xrightarrow{\Psi} \text{End}(P)$$

$$(\psi, p) \longmapsto \psi p$$

$$\text{s.t. } \forall p', \quad p'(\psi p) = (p' \psi) p$$

$\Psi$  is onto b/c  $P$  is  $R$  and projective.  
 $\Psi$  is injective b/c of associativity conditions.

□

Prototype:  $R\text{-Mod} \cong \text{Mat}_n(R)\text{-Mod}$

Another point of view:

Let  $R$  be any ring. We say  $e \in R$  is a full idempotent if

$$\bullet e^2 = e$$

$$\bullet eRe = R$$

In this case, we get  $R \cong eRe$   
much simpler

$$R \otimes_{eRe} eRe = R, \quad eRe \otimes_R eRe = eRe$$

eg:  $R = \text{Mat}_n(S)$

$$e = E_{11} = \begin{pmatrix} 1 & & & 0 \\ & 0 & & \\ & & \ddots & \\ & & & 0 \end{pmatrix}$$

$$eRe = R$$

$$eRe \cong R$$

||

S

# 11/15/17 Big Theorem (Morita)

An equivalence  $R\text{-Mod} \cong S\text{-Mod}$   
 (ones with (nonzero)  $\text{Idem} (P_S, S Q_R)$  s.t.

$$\begin{aligned} R P_S \otimes_S S Q_R &\cong R R_R \\ S Q_R \otimes_R R P_S &\cong S S_S \end{aligned}$$

and

$$Q \otimes (-): R\text{-Mod} \rightleftarrows S\text{-Mod}: P \otimes (-)$$

•  ${}_R P \in R\text{-Mod}$  are progenerators  
 ${}_S Q \in S\text{-Mod}$

$$R \cong \text{End}_S(P_S) \cong \text{End}_S(S Q)^{\text{op}}$$

$$S \cong \text{End}_R({}_R P)^{\text{op}} \cong \text{End}_R(Q_R)$$

$${}_S Q_R \cong \text{Hom}_S({}_R P_S, {}_S S_S)$$

$${}_S Q_R \cong \text{Hom}_R({}_R P_S, {}_R R_R)$$

$${}_R P_S \cong \text{Hom}_S({}_S Q_R, {}_S S_S)$$

$${}_R P_S \cong \text{Hom}_S({}_S Q_R, {}_R R_R)$$

TY Lam calls this the "Morita Context"

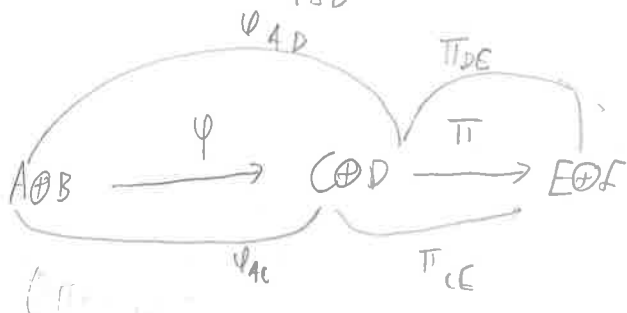
Next topic: Matrices

In any category with a Siproduct  $\oplus$ , we have a notion of 'Matrices'

$$\text{Hom}_e(A \oplus B, C \oplus D) = \text{Hom}_e(A, C) \prod \text{Hom}_e(A, D) \prod \text{Hom}_e(B, C) \prod \text{Hom}_e(B, D)$$

$\psi_{AC}$        $\psi_{AD}$        $\psi_{BC}$        $\psi_{BD}$

$$[\psi] = \begin{bmatrix} \psi_{AC} & \psi_{AD} \\ \psi_{BC} & \psi_{BD} \end{bmatrix}$$



$$(\pi \circ \psi)_{AE} = \pi_{CE} \circ \psi_{AC} + \pi_{DE} \circ \psi_{AD}$$

$$[\pi \circ \psi] = [\pi] \cdot [\psi] \quad (\text{composition in } e^{\text{op}})$$

Special case:  $R$  a ring,  $\psi \in \text{Hom}(R^{\oplus n}, R^{\oplus n})$ . Each component is in  $\text{End}_R(R) = R^{\text{op}}$

$$\begin{aligned} \text{Hom}_R(R^{\oplus n}, R^{\oplus n}) &= \text{End}_R(R^{\oplus n}) = (R^{\oplus n})^{\text{op}} \\ &= \text{Mat}_n(R^{\text{op}}) = \text{Mat}_n(R)^{\text{op}} \end{aligned}$$

We say that an  $R$ -module  $S$  is simple if it has no nontrivial submodules

Schur's Lemma: In this case  $\text{End}_R(S)$  is a division  $K$ -algebra.

Pf: Let  $\psi: S \rightarrow S$  be an  $R$ -lin map. Since  $S$  is simple

$$\ker(\psi) \in \{0, S\} \quad \perp \quad \text{im}(\psi) \in \{0, S\}$$

$$\ker \psi = S \Leftrightarrow \text{im} \psi = 0 \Leftrightarrow \psi = 0$$

$$\ker \psi = 0 \Leftrightarrow \text{im} \psi = S \Leftrightarrow \psi \neq 0$$

$\psi: S \rightarrow S$  is bijective

Special case: If  $K$  is an alg. closed field, then  $E$

$$S \text{ simple} \Rightarrow \text{End}_R(S) = K$$

What's this good for

General  $M, N \in R\text{-Mod}$ , we define

$$\langle M, N \rangle_R = \dim_K \text{Hom}_R(M, N)$$

Schur's lemma:  $S$  simple  $\Leftrightarrow \langle S, S \rangle_R = 1$

$$S, T \text{ simple} \Leftrightarrow \langle S, T \rangle_R = \begin{cases} 1, & S \cong T \\ 0, & \text{else} \end{cases}$$

From now on we need to impose some finiteness conditions

A ring  $R$  is left/right/two-sided Artinian if every descending chain of left/right/two-sided ideals stabilizes.

Motivational examples:

• Finite rings

• Finite-dimensional algebras over a field

$$|G/H| = |G/H|, \dim(U/V) = \dim(U - U \cap V)$$

Fact: If  $R$  is Artinian, then  $\forall a, b \in R, ab=1 \Leftrightarrow ba=1$

PF: Suppose  $ab=1$ . Then

$$R \supseteq bR \supseteq b^2R \supseteq \dots$$

$$\exists b^k R = b^{k+1} R$$

$$\Rightarrow \exists c \in R \text{ s.t. } b^k = b^{k+1} c$$

$$\Rightarrow a^k b^k = a^k b^{k+1} c$$

$$\Rightarrow 1 = bc$$

$$\text{Finally, } a = a1 = a(bc) = (ab)c = 1c = c. \quad \square$$

If  $R$  is Artinian, then every f.g.  $R$ -Module has a Unique decomposition as a sum of indecomposables:

$$M \cong J_1 \oplus J_2 \oplus \dots \oplus J_n \quad \text{"Knull-Remak-Schmitt-etc"}$$

J is for "Jordan Block"

A Jordan block is an indecomposable fid.  $K[x]$ -module

---

If in addition to Artinian, we have indecomp  $\Rightarrow$  Simple  
then every fid.  $R$ -module has a unique decomp. into simples:

$$M \cong S_1 \oplus S_2 \oplus \dots \oplus S_n$$

In this case, we say that  $R$  is a semisimple (Artinian) algebra.

Warning: The word "semisimple" has several seemingly-different definitions

• "semisimple" = "diagonalizable"

• "semisimple" = "radical is zero"

$$A \quad \text{Jac}(A) = 0$$

$A/\text{Jac}(A)$  is always semisimple

---

Big Theorem (Artin-Wedderburn Thm): Let  $R$  be an Artinian algebra.  $R$  is semisimple  $\Leftrightarrow$   $R$  is a direct product of matrix rings over division algebras

$$R \cong \text{Mat}_{n_1}(D_1) \oplus \dots \oplus \text{Mat}_{n_r}(D_r)$$

Ex: Let  $G$  be a finite group.

$\mathbb{C}G$  is semisimple (Maschke's Thm)

Hence  $\mathbb{C}G \cong \bigoplus_{i=1}^m M_{n_i}(\mathbb{C})$

$$\mathbb{C}G = M_{n_1}(\mathbb{C}) \oplus \dots \oplus M_{n_m}(\mathbb{C})$$

11/27/17

Idempotents ( $e^2 = e$ ):

From commutative geometry...

Let  $R$  be a commutative ring with zero divisors.

There are two ways this can happen:

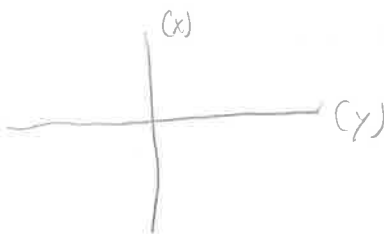
- If  $R$  has nontrivial nilpotents ( $x^n = 0, x \neq 0$ )
- $R$  has more than one minimal prime ideal.

Proof: Suppose  $R$  has no nontrivial nilpotents, i.e.  $\sqrt{0} = 0$ .

$$\begin{array}{ccc} \text{In general, } \sqrt{0} & = & \bigcap P \\ \parallel & & \text{PER} \\ 0 & & \text{prime} \end{array} \quad \bigcap P \quad \begin{array}{c} \text{minimal} \\ \text{primes} \end{array}$$

If there's only one minimal prime  $P$ , then  $P = 0$ . Hence  $R$  is a domain. □

examples:  $K[x,y]/(xy)$



has minimal primes

$(x) + (y)$ ,

gives two irreducible ("connected") components

$$K[x, y] / (y^2)$$



has nilpotent  $y$ .

$$y^2 = 0$$

direct  
sum of  
rings

By the Chinese remainder theorem, we have that  $\frac{K[x, y]}{(xy)} \cong \frac{K[x, y]}{(x)} \oplus \frac{K[x, y]}{(y)}$

$$\text{In } R \oplus S, (r_1, s_1) \cdot (r_2, s_2) = (r_1 r_2, s_1 s_2)$$

What are the zero-divisors?

$$\begin{aligned} e &= (1, 0) \\ f &= (0, 1) \end{aligned} \Rightarrow ef = (1, 0) \cdot (0, 1) = (0 \cdot 1, 1 \cdot 0) = (0, 0)$$

$$\begin{aligned} \text{Also have } e^2 &= (1^2, 0^2) = (1, 0) = e \\ f^2 &= (0^2, 1^2) = (0, 1) = f \end{aligned}$$

$$\text{and } e + f = (1, 1) = \frac{1}{R}$$

Let  $R$  be any ring, and consider a left  $R$ -module  $R \oplus M$ .

Let  $E = \text{End}_R(M)$ . Then  $M$  decomposes as a direct sum iff

$E$  has a nontrivial idempotent.



PF: If  $M = M_1 \oplus M_2$ , let  $e_1 = \pi_1 \oplus 0 = i_1 \circ \pi_1$   
 $e_2 = 0 \oplus \pi_2 = i_2 \circ \pi_2$

Clearly,  $e_1 + e_2 = \text{id}$ , and  $e_1 \circ e_2 = e_2 \circ e_1 = 0$ , and  $e_i^2 = e_i$ ,  $i=1,2$  ✓

Conversely, suppose  $e = E \setminus \{0, \text{id}\}$  is idempotent:  $e^2 = e$ .

Then  $f = \text{id} - e$  is also a nontrivial map, and also idempotent:

$$f^2 = (\text{id} - e) \circ (\text{id} - e) = \text{id} - 2e + e^2 = \text{id} - 2e + e = \text{id} - e = f.$$

Furthermore, we have  $e \circ f = f \circ e = 0$ :

$$e \circ f = e \circ (\text{id} - e) = e - e^2 = e - e = 0,$$

$$f \circ e = (\text{id} - e) \circ e = e - e^2 = e - e = 0.$$

Claim:  $M \cong_{\mathbb{R}} e(M) \oplus f(M)$

In fact, 1)  $e(M) + f(M)$  are  $\mathbb{R}$ -submodules:  $\forall n \in M, r \in \mathbb{R}$ ,

$$r(e(n)) = e(r(n)) \quad \checkmark$$

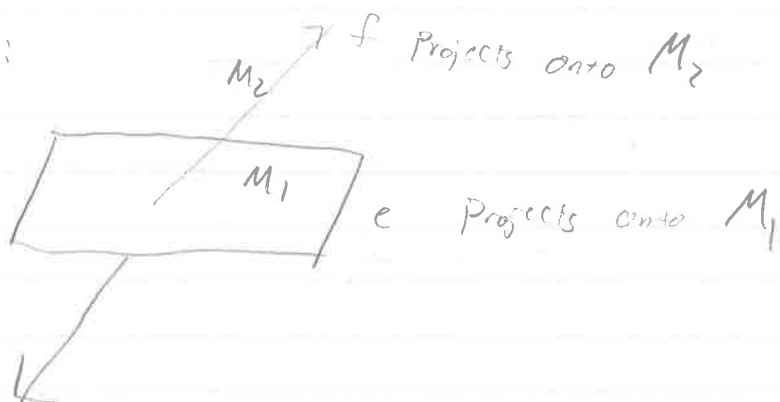
2) Consider any  $m \in M$ :  $m = \text{id}(m) = (e+f)(m) = e(m) + f(m) \in e(M) + f(M)$

3)  $e(M) \cap f(M) = 0$ .

Consider any  $n \in e(M) \cap f(M)$ . Then  $n = e(n_1) = f(n_2)$  for  $n_1, n_2 \in M$ .

$$e(n_1) = f(n_2) \Rightarrow e(e(n_1)) = e(f(n_2)) \Rightarrow n = e(n_1) = 0.$$

Picture:



By induction, we can write  $M \cong M_1 \oplus \dots \oplus M_n$  iff there exists a complete system of orthogonal idempotents.

•  $id = e_1 + e_2 + \dots + e_n$  (complete)

•  $e_i^2 = e_i$  (idempotent)

•  $e_i \cdot e_j = e_j \cdot e_i = 0 \quad \forall i \neq j$  (orthogonal)

Furthermore, the summand  $M_i$  is indecomposable iff idempotent  $e_i$  is primitive, i.e., cannot be written as

$$e_i = f + g$$

where  $f, g$  are idempotent,  $fg = gf = 0$ , and  $f, g \notin \{0, e_i\}$ .

"CSOPOTI"

Let's apply these ideas to the "regular representation"  $R \text{ over } R$ .

$$M = R, \quad E = \text{End}_R(R) = R^{\text{op}}$$

106 Thus, idempotent endomorphisms are just idempotent elts  $e \in R$ ,

whose image is the principal <sup>left</sup>  $\forall$  ideal

$$\text{im}(e) = Re = \{re : r \in R\}$$

Thus, every decomposition of  $R$  has the form

$${}_R R \cong Re_1 \oplus Re_2 \oplus \dots \oplus Re_n$$

for some orthogonal idempotents

$$\bullet 1_R = e_1 + e_2 + \dots + e_n$$

$$\bullet e_i^2 = e_i \quad \forall i$$

$$\bullet e_i e_j = e_j e_i = 0 \quad \forall i \neq j.$$

Question: When is  $Re_i$  irreducible?

In other words, when is  $Re$  a minimal left ideal of  $R$ ?

Brauer's Lemma: Let  $R$  be any ring, and let  $I \subseteq R$  be any minimal left ideal. Then we have either

$$\bullet I^2 = 0$$

$$\bullet I = Re \quad \text{for some idempotent } e \in R.$$

What about homomorphisms between the summands?

$$\text{Hom}_R(Re_i, Re_j) = e_i Re_j$$

Lemma Let  $M$  be any left  $R$ -module, and  $e \in R$  be any idempotent.

$$\text{Then, } \text{Hom}_R(Re, M) \cong eM \leftarrow \begin{array}{l} \text{isomorphism} \\ \text{iff } e \in Z(R) \end{array}$$

pf: Consider an  $R$ -map  $\varphi: Re \rightarrow M$ .

$$\text{Then } e(\varphi(e)) = \varphi(e^2) = \varphi(e) \in eM$$

$$\text{Define a map } \text{Hom}_R(Re, M) \xrightarrow{\quad} eM$$

$$\varphi \longmapsto \varphi(e)$$

$$\left( \begin{array}{l} \varphi_n: Re \rightarrow M \\ re \mapsto r'n \end{array} \right) \longleftarrow n$$

$$\begin{aligned} r' \varphi_n(re) &= r'r'n \\ &= (r'r)n \\ &= \varphi_n(r'r'e) \end{aligned}$$

$$\varphi_n(e) = \varphi_n(1e) = 1 \cdot n = n$$

$$re = r'e \Rightarrow rn = r'n?$$

$$n = e_n \text{ for some } n$$

$$rn = re_n = r'e_n = r'n$$

□

Corollary:  $\text{Hom}_R(Re_i, Re_j) \cong e_i Re_j$

$$\text{Hom}_R(Re, Re) = \text{End}_R(Re) \cong eRe \leftarrow \text{ring}$$

Remark: Let  $e$  be an idempotent. Then  $eRe$  is a ring, even though  $eRe \subseteq R$  is not a subring (unit of  $eRe$  is  $e$ , not  $1$ )

In many cases,  $R \cong eRe$   
"Morita"

$$R \cong R_e \oplus R_f$$

$$\text{End}_R(R) = \text{End}_R(R_e \oplus R_f)$$

$$R^{\text{op}} = eRe \oplus eRf \oplus fRe \oplus fRf$$

$$R^{\text{op}} = \begin{pmatrix} eRe & eRf \\ fRe & fRf \end{pmatrix}$$

12/4/17

Recall: If  $R$  is an artinian ring (think f.d. algebra over a field), then  $R \cong Re_1 \oplus Re_2 \oplus \dots \oplus Re_n$  as  $R$ -modules.

where  $1 = e_1 + \dots + e_n$ ,  $e_i^2 = e_i \forall i$ , and  $e_i e_j = e_j e_i = 0 \forall i \neq j$ .

If  $Re_i$  is an indecomposable  $R$ -module, we say that the idempotent  $e_i$  is primitive.

Krull-Schmidt: The indecomposable summands are unique up to isomorphism.

By taking isomorphisms, we get

$$\text{End}_R(R) = \text{End}_R(Re_1 \oplus \dots \oplus Re_n)$$

$$\text{R}^{\text{op}} \cong \bigoplus_{i,j} e_i R e_j \quad (\text{as abelian groups})$$

Where  $e_i R e_i$  is a rns with unit  $e_i$ , and  $e_i R e_j$  is, in general, just an abelian group.

$$e_i R e_i \supseteq e_i R e_j \supseteq e_j R e_j$$

The summands  $Re_i$  are not necessarily simple.

If they are simple, then we say that  $R$  is a semisimple ring, and many nice properties follow.

Schur's Lemma: If  $R \cong Re_1 \oplus \dots \oplus Re_n$  with each summand simple, then

$$e_i R e_j = \begin{cases} \text{a division ring } D_i, & \text{if } Re_i \cong Re_j \\ 0, & \text{if } Re_i \not\cong Re_j \end{cases}$$

Combine the "isotypic components":

$$R^{\text{op}} = \bigoplus_{i,j} e_i R e_j = \bigoplus_i D_i^{\oplus n_i}$$

↓ take  $\text{End}_R(-)$  of both sides

$$R \cong \bigoplus_i \text{End}(D_i^{\oplus n_i}) = \bigoplus_i \text{Mat}_{n_i}(D_i^{\text{op}})$$

Artin-Wedderburn thm:

110 Artinian semisimple  $\Leftrightarrow$  direct sum of matrix algebras  
rns

More generally, let  $R = Re_1 \oplus \dots \oplus Re_n$   
 be indecomposable summands.

Division rings are local rings, in the sense that  $(0)$  is the  
 unique maximal ideal.

More generally, let  $e \in R$  be such that  $e^2 = e$ .

Then  $e$  primitive  $\Leftrightarrow Re$  is indecomp  $\Leftrightarrow \text{End}_R(Re) = eRe$   $\begin{cases} \Leftarrow eRe \text{ local} \\ \Rightarrow \text{if } Re \text{ is injective} \end{cases}$   
has no nontrivial idempotents

To go further, one would discuss projective/injective modules + quivers.

Given a graph,



Path algebra =  $\sum$  paths (with concatenation)  
 $(SP \oplus I) = \text{vertices}$

Easiest example: Let  $R = M_n(F)$  over a field  $F$ .

What are the idempotents of  $R$ ?

Theorem: If  $P^2 = P$  has rank  $k \leq n$ , then there exist two  
 $n \times k$  matrices  $A, B$ , both of rank  $k$  s.t. then

$$P = A(B^T A)^{-1} B^T \quad (\text{oblique projection})$$

If moreover  $P$  is symmetric, we can take  $A=B$ :

$$P = A(A^T A)^{-1} A^T \quad (\text{orthogonal projection})$$

Pf: Since  $P^2 = P$ , we know that  $F^n \cong \text{im } P \oplus \text{im}(I-P)$

Claim:  $\text{im}(I-P) = \text{ker}(P)$   
 $\text{ker}(I-P) = \text{im}(P)$

•  $\text{im}(I-P) \subseteq \text{ker}(P)$ :  $P((I-P)x) = (P-P)x = 0x = 0$

•  $\text{ker}(P) \subseteq \text{im}(I-P)$ : by rank-nullity, they have the same dimension. ✓

Same argument for  $\text{ker}(I-P) = \text{im}(P)$ . ✓

Now choose bases  $(u_1, \dots, u_k) = \text{im } P = \text{ker}(I-P)$   
 $(v_1, \dots, v_k) = (\text{ker } P)^\perp = (\text{im}(I-P))^\perp$

Form matrices

$$A = \begin{pmatrix} | & & | \\ u_1 & \dots & u_k \\ | & & | \end{pmatrix} \quad n \times k \quad \text{col}(A) = \text{im } P$$

$$B = \begin{pmatrix} | & & | \\ v_1 & \dots & v_k \\ | & & | \end{pmatrix} \quad n \times k \quad \text{col}(B) = (\text{ker } P)^\perp$$

Compute P: For all  $x \in F^n$ , we have  $Px \in \text{im } P \Rightarrow Px \in \text{col}(A)$

$$\Rightarrow Px = Ay \text{ for some } y$$

We also have  $(I-P)x \in \text{im}(I-P) = \text{ker}(P)$

$$\Rightarrow (I-P)x \perp v_i \quad \forall i$$

$$\Rightarrow B^T(I-P)x = 0$$



$$\Rightarrow B^T x - B^T P x = 0 \Rightarrow B^T x = B^T P x \Rightarrow B^T x = B^T A y$$

$K \times 1$     $n \times n$

Solve for  $y$ :

Claim  $K \times K$  matrix  $B^T A$  is invertible.

Indeed,  $j^{\text{th}}$  col  $B^T A = B^T(j^{\text{th}}$  col  $A$ )

Col relations on  $B^T A \iff$  col. relations on  $A \iff \emptyset \checkmark$

$$\Rightarrow y = (B^T A)^{-1} B^T x$$

$$\Rightarrow P x = A (B^T A)^{-1} B^T x \quad \forall x, \text{ so}$$

$$P = A (B^T A)^{-1} B^T$$

□

Primitive Idempotents?

In general, the collection  $\text{Idem}(R)$  of idempotents is a poset.

$$P \leq Q \iff P \leq Q \iff PQ = QP = P$$

$P$  minimal elements are primitive.

$$P_1 \leq P_2 \iff \begin{aligned} \text{im}(P_1) &\subseteq \text{im}(P_2) \\ \text{Ker}(P_1) &\supseteq \text{Ker}(P_2) \end{aligned}$$

$P$  is primitive  $\iff P$  has rank 1

Primitive idempotents of  $M_{n \times n}(F)$  have the form

$$P = \vec{a} (\vec{b}^T \vec{a})^{-1} \vec{b}^T \quad \text{for } \vec{a}, \vec{b} \in F^n$$

$$= \frac{\vec{a} \vec{b}^T}{\vec{b}^T \vec{a}}$$

WLOG assume  $\vec{b}^T \vec{a} = 1$

Conclusion: Primitive idempotents have the form  $(\text{col})(\text{row}) = \vec{a} \vec{b}^T$ .

$$R = M_{n \times n}(F)$$

$$\text{Let } I = P_1 + \dots + P_n$$

$$I = \vec{a}_1 \vec{b}_1^T + \dots + \vec{a}_n \vec{b}_n^T, \quad P_i^2 = P_i \iff \vec{a}_i \vec{b}_i^T \vec{a}_i \vec{b}_i^T = \vec{a}_i \vec{b}_i^T, \text{ so WMA } \vec{a}_i \vec{b}_i^T = 1$$

$$P_i P_j = 0 \iff \vec{a}_i \vec{b}_i^T \vec{a}_j \vec{b}_j^T = 0, \text{ so assume } \vec{b}_i^T \vec{a}_j = 0 \quad \forall i \neq j$$

$$\text{Then we have } \vec{b}_i^T \vec{a}_j = \begin{cases} 1 & i=j \\ 0 & i \neq j \end{cases} \quad (\text{dual bases})$$

$$I = AB = \sum_i (i^{\text{th}} \text{ col of } A) (i^{\text{th}} \text{ row of } B)$$

⇓

$$I = \sum_i a_i b_i^T$$

Choose invertible  $A \in \text{Mat}_n(F)$

Let  $a_i = i^{\text{th}} \text{ col of } A$   
 $b_i = i^{\text{th}} \text{ col of } (A^{-1})^T$

Then  $P_i = a_i b_i^T$  is a CSPOI.

ex:

$$A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

$$\left( \begin{array}{cc|cc} 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{array} \right) \rightarrow \left( \begin{array}{cc|cc} 1 & 0 & 1 & -1 \\ 0 & 1 & 0 & 1 \end{array} \right)$$

$$A^{-1} = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} \quad (A^{-1})^T = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}$$

$$I = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} -1 & 1 \end{pmatrix} + \begin{pmatrix} 1 \\ 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -1 \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix} \checkmark$$

$\text{Mat}_n(F)$  has one simple module, namely  $F^n$ , and

$$R = \text{Mat}_n(F) \cong (F^n)^{\oplus n}$$

$$R \cong F^n$$

Simple

12/6/17

Let  $R$  be a semisimple Artinian ring, so

$$R \cong S_1 \oplus \cdots \oplus S_n$$

where each  $S_i$  is a simple left  $R$ -module.

Then, if  $S$  is any nonzero simple  $R$ -module,  $S \cong S_i$  for some  $i$ , and hence,  $R$  has finitely many nonisomorphic simples.

Pf: Take  $0 \neq s \in S$  and define an  $R$ -map

$$\begin{aligned} \varphi: R &\rightarrow S \\ r &\mapsto rs \end{aligned}$$

$$\text{Let } \varphi_i = \varphi|_{S_i}: S_i \rightarrow S.$$

Since  $\varphi \neq 0$ , we must have  $\varphi_i \neq 0$  for some  $i$ .

$$\text{By Schur's lemma, } S_i \xrightarrow[\varphi_i]{\neq 0} S \Rightarrow S_i \cong \varphi_i S.$$

□

Let  $R$  be a commutative  $K$ -algebra, for some <sup>alg. closed</sup> field  $K$ .  
 Then every simple  $R$ -module has dimension 1.

Pf. Let  $S$  be a nonzero simple. Choose a nonzero  $s \in S$   
 and define  $\varphi: R \rightarrow S$  as before. Since  $\text{im}(\varphi) \subseteq S$  is nonzero,  
 we must have  $\text{im}(\varphi) = S$ .

By the first isomorphism thm,  $S \cong R/\ker(\varphi)$

Since  $S$  has no submodules,  $\ker(\varphi)$  is a max'l ideal of  $R$ .  
 $\Rightarrow S$  is a field extension of  $K$

Since  $K$  is alg. closed we must have  $S \cong K$  as  $K$ -vector spaces.

Hence  $\dim S = 1$ .

□

Ex: Let  $R = K[x]$  with  $K$  alg. closed.

$R$  is not artinian:  $(x) \supset (x^2) \supset (x^3) \supset \dots$

A finite-dim'l  $K[x]$  module  $\longleftrightarrow$  Square matrix  
 up to conjugation

Simple module  $\longleftrightarrow$   $1 \times 1$  matrix

Semisimple  $\longleftrightarrow$  diagonalizable

$K[x]$  itself is not semisimple

indecomposables  $\longleftrightarrow$  Jordan blocks

More generally, let  $R = \frac{K\langle X_1, \dots, X_n \rangle}{\text{relations}}$

f.d.  $R$ -module  $\longleftrightarrow$  Same as  $X_1, X_2, \dots, X_n$  satisfying the relations (up to simultaneous conjugation)

Let  $M$  be a  $K[X]$ -module.

For all  $f(x) = \sum a_i x^i \in K[X]$ , we have

$$f(x) \cdot m = \left( \sum a_i x^i \right) \cdot m$$

$$= \sum a_i (x^i \cdot m)$$

$\therefore K$  acts on  $M \Rightarrow M$  is a  $K$ -vector space

$\therefore X$  can be any endomorphism of  $M$ , preserving  $K$ -linearity

$\Rightarrow X$  is a  $K$ -linear endomorphism of a vector space

Example: Given a finite group  $G$ , define the group algebra

$$KG = K\langle X_g : g \in G \rangle / (X_g X_h = X_{gh}, X_{1G} = 1)$$

A f.d.  $KG$ -module  $\longleftrightarrow$  Same as  $\{X_g : g \in G\}$  satisfying group relations  $\xrightarrow{\text{matrix representation}}$  group hom  $X : G \rightarrow GL_n(K)$

Th- (Maschke): For a finite group  $G$  and a closed field  $K$  (even  $\text{char } K \nmid \#G$ ), the group algebra is semisimple.

In other words, indecomposable  $\Rightarrow$  irreducible.

Pf: Let  $V$  be any f.d.  $KG$ -module. Let  $0 \neq U \neq V$  be any nontrivial submodule. Goal: Find a complement  $V = U \oplus_{KG} U'$ .

Let  $U''$  be any vector space complement of  $U$ ,

$$V = U \oplus_{K} U''$$

Problem:  $U''$  is not  $G$ -stable.

Let  $P$  be the projection onto  $U$  in the direction of  $U''$ :

$$P^2 = P, \quad \text{im } P = U, \quad \text{ker } P = U''$$

Now, define a new projection by

$$\bar{P} = \frac{1}{|G|} \sum_{g \in G} g P g^{-1}$$

$$\bar{P}|_U = \text{id}, \quad \text{and} \quad \text{im } \bar{P} \subseteq U \Rightarrow \bar{P}^2 = \bar{P}$$

(Since  $\bar{P}|_U = \text{id}$ )

Furthermore,  $\text{im } \bar{P} = U$ ,  $\text{ker}(\bar{P}) = U'$

Define  $U' := \text{ker}(\bar{P})$

Then we get  $V = \text{im } \bar{P} \oplus \text{ker } \bar{P}$ . Claim that  $U'$  is  $G$ -stable.

Indeed,  $\forall h \in G, x \in U'$ ,

$$\bar{P}(hx) = \frac{1}{|G|} \sum_{g \in G} P(g^{-1}h)x$$

Let  $l = h^{-1}g$

$$\bar{P}(hx) = \frac{1}{|G|} \sum_{l \in G} (hl) \bar{P} l^{-1} x = h \cdot \frac{1}{|G|} \left[ \sum_{l \in G} l \bar{P} l^{-1} \right] x = h(\bar{P}x) = 0 \quad \square$$

Remark: If  $G$  is compact, this trick still works!

$$\bar{P} = \frac{1}{\text{Vol}(G)} \int_{g \in G} g P g^{-1} \quad (\text{Haar measure})$$

$GL_n, SL_n$  not compact

$U, O, SO$  compact

Since  $kG$  is semisimple, we find that every irrep is a summand of the regular representation

$$kG = \bigoplus_i S_i^{\oplus n_i}$$

where the  $S_i$  are a complete list of nonisomorphic simple modules,

Q: How to compute the multiplicities?

A: Claim:  $n_i = \dim_k S_i$ .

Consider the hom space

$$\text{Hom}_{kG}(S_i, kG) = \text{Hom}(S_i, \bigoplus_j S_j^{\oplus n_j}) = \bigoplus_j \text{Hom}_j(S_i, S_j)^{\oplus n_j} = \underbrace{\text{Hom}(S_i, S_i)}_{\substack{\text{division ring} \\ \text{finite over } k}}^{\oplus n_i}$$



For now, assume  $K$  is alg. closed.

$$\Rightarrow \text{Hom}(S_i, S_j) = \begin{cases} 0, & i \neq j \\ K, & i = j \end{cases}$$

$$\Rightarrow \text{Hom}_{KB}(S_i, KB) = K^{n_i}$$

That is, we can recover the multiplicities:

$$n_i = \dim_K(\text{Hom}_{KB}(S_i, KB))$$

More generally,

$$\dim_K(\text{Hom}_{KB}(S_i, V)) = \text{multiplicity of } S_i \text{ inside } V.$$

Define a " $\mathbb{Z}$ -bilinear form"

$$\begin{array}{ccc} KB\text{-mod} \times KB\text{-mod} & \longrightarrow & \mathbb{Z} \\ U, V & \longmapsto & \dim_K(\text{Hom}_{KB}(U, V)) \\ & & \langle U, V \rangle \end{array}$$

With properties:

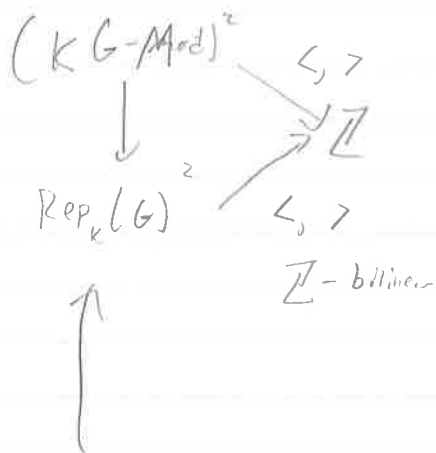
$$\langle S_i, S_j \rangle = \delta_{ij} \quad \text{"Samples are orthonormal"}$$

$$\langle S_i, V \rangle = \text{multiplicity of } S_i \text{ in } V.$$

$$\langle \bigoplus_i U_i, \bigoplus_j V_j \rangle = \sum_{i,j} \langle U_i, V_j \rangle$$

↑  
"Z-bilinearity"

Let  $\text{Rep}_K(G)$  be the free  $\mathbb{Z}$ -module generated by the simple  $KG$ -modules



What other structure does this have?

12/11/17 Let  $K$  be an algebraically closed field,  $R$  a fin. associative  $K$ -algebra. Suppose

$$R \cong \bigoplus_{i=1}^n S_i^{\oplus d_i}$$

where  $S_i$  simple

Best thm: Every simple  $R$ -module is isomorphic to  $S_i$  for some  $i$   
 $\Rightarrow R$  has finitely many simple  $R$ -modules (up to isomorphism)  
 How many?

Trick: Take endomorphisms

$$\text{End}_R(R) = \bigoplus_{i=1}^n \text{End}_R(S_i^{\oplus d_i}) = \bigoplus_{i=1}^n \text{Mat}_{d_i}(\text{End}(S_i))$$

$$\Rightarrow R = \bigoplus_{i=1}^n \text{Mat}_{d_i}(\text{End}(S_i)^{\text{op}})$$

Claim: Since  $K$  is also closed,  $\text{End}(S_i) \cong K$

Pf: Let  $S$  be any f.d. simple  $R$ -module, where  $R$  is a  $K$ -algebra.

Any nonzero  $R$ -map  $\varphi: S \rightarrow S$  must have an eigenvalue, say  $\lambda \in K$

In other words, the  $R$ -map  $\varphi - \lambda I$  is not invertible.

$\Rightarrow$  Since  $S$  is simple, we have  $\varphi - \lambda I = 0 \Rightarrow \varphi = \lambda I$ .

$\therefore \text{End}_R(S) = \text{Scalars} \cong K$ .

$R = \bigoplus_{i=1}^n \text{Mat}_{d_i}(K)$ , where  $\text{Mat}_{d_i}(K) \cong \text{End}_R(S_i^{d_i})$  □

Claim:  $d_i = \dim_K(S_i)$

$\bullet n = \dim_K(Z(R))$

$R \cong \bigoplus_{i=1}^n \text{Mat}_{d_i}(K)$

$\Downarrow$

$Z(R) \cong \bigoplus_{i=1}^n Z(\text{Mat}_{d_i}(R))$

Lemma: For a general ring  $R$ ,  $Z(\text{Mat}_d(R)) \cong Z(R)$

Pf: Let  $r \in Z(R)$ . Then,  $rI \in Z(\text{Mat}_d(R))$ . ✓

Let  $A \in Z(\text{Mat}_d(R))$ ,  $E_{ij} = 1$  in  $(i,j)$ , zeros elsewhere.



When  $n=1$ :  $R = S^d \rightarrow d \cdot \dim_k(S)$   
 $R = \text{Mat}_d(k) \rightarrow d^2$  }  $\Rightarrow \dim_k(S) = d$

$$R \cong \bigoplus_{i=1}^n \text{Mat}_{d_i}(k)$$

↑  
Product of Rings

General fact: Let  $R, R'$  be rings. Then, every  $(R \oplus R')$ -module has the form  $M \oplus M'$  where  $M \in R\text{-Mod}$ ,  $M' \in R'\text{-Mod}$

In fact,  $M = \underbrace{(1,0)M}_{R\text{-module}} \oplus \underbrace{(0,1)M}_{R'\text{-module}}$

The nonisomorphic simples of  $R \cong \bigoplus_{i=1}^n \text{Mat}_{d_i}(k)$

are  $S_1, S_2, \dots, S_n$ .

Claim:  $S_i$  is a simple  $\text{Mat}_{d_i}(k)$ -module

What are the simple  $\text{Mat}_d(k)$ -modules?

A: Every simple module over  $\text{Mat}_d(k)$  is isomorphic to  $k^d$ .

$\text{Mat}_d(k) \subset \mathbb{C}^{(d \times 1)}$  (column vectors)

$$\Rightarrow S_i \cong k^{d_i} \Rightarrow \dim_k S_i = d_i$$

□

Special Case: Group algebras

$$KG = \left\{ \sum_{g \in G} \lambda_g g \mid \lambda_g \in K \right\}$$

If  $\text{char } K \nmid |G|$ , i.e.  $\text{Soc } K$

$KG$  is semisimple, so

$$KG = \bigoplus_{i=1}^n S_i^{d_i}$$

Where  $n = \dim_K Z(KG) = \#$  Conjugacy Classes  
 $\uparrow$   
Class

Proof: Let  $\sum \lambda_g g \in Z(KG)$

$$\begin{aligned} \text{Then } \forall h \in G, \sum \lambda_g g &= h \left( \sum \lambda_g g \right) h^{-1} \\ &= \sum \lambda_g h g h^{-1} \end{aligned}$$

$$\sum_g \lambda_g g = \sum_g \lambda_g h g h^{-1} \quad \text{Let } \begin{aligned} \ell &= h g h^{-1} \\ g &= h^{-1} \ell h \end{aligned}$$

$$\sum_g \lambda_g g = \sum_g \lambda_{h^{-1} g h} h g h^{-1}$$

$$\Rightarrow \lambda_g = \lambda_{h^{-1} g h} \quad \forall g, h \in G.$$

$$126 \Rightarrow \sum \lambda_g g \in Z(KG) \Leftrightarrow \lambda_{h^{-1} g h} = \lambda_g \quad \forall g, h \in G$$

If we think of  $KG$  as  $K^G$

$$\sum \lambda_g g \longleftrightarrow (g \mapsto \lambda_g)$$

$\Rightarrow Z(KG) \longleftrightarrow$  Class functions (functions constant on conjugacy classes)

Problem: Basis: Characteristic functions for each class

$\Rightarrow \dim(Z(KG)) = \#$  Conjugacy classes.

Problem: Can we somehow construct the simple  $KG$ -modules from the conjugacy classes?

Equivalently: Can we find a complex system of central idempotents for  $Z(KG)$ ?

Maybe the class characteristic functions?

$$e_1 = 1_G$$

$$G = \langle \sigma \rangle = \{ \boxed{1}, \boxed{(12)}, \boxed{(13)}, \boxed{(23)}, \boxed{(132)}, \boxed{(123)} \}$$

$$e = (12) + (13) + (23)$$

$$e^2 = ((12) + (13) + (23))((12) + (13) + (23)) = (12)^2 + (13)^2 + (23)^2 + (12)(13) + (12)(23) + (13)(12) + (13)(23) + (23)(12) + (23)(13)$$

$$= (12)^2 + (13)^2 + (23)^2 + (132) + (123) + (123) + (132) + (132) + (123)$$

$$= 3 \cdot 1 + 3(125) + 3(132)$$

Be easiest case: Let  $e = \frac{1}{|G|} \sum g$

Claim that  $e$  is a central idempotent.

Easiest time:  $e$  is central. ✓

$$e^2 = \frac{1}{|G|^2} \left( \sum_g g \right) \left( \sum_h h^{-1} \right) = \frac{1}{|G|^2} \sum_{g,h} gh^{-1} = \frac{1}{|G|^2} (|G| \sum g)$$

$$= \frac{1}{|G|} \sum g = e. \quad \checkmark$$

For any  $KG$  module  $U$ ,  $e$  projects onto the fixed subspace

$$e: U \rightarrow U^G$$

Lemma

Proof: For each simple module  $S$ , we will define the class function

$$\begin{array}{ccc} G & \longrightarrow & K \\ g & \longmapsto & \text{tr}(g|_S) \end{array} = \chi_S$$

$$\text{tr}(gh) = \text{tr}(hg)$$

$$\Rightarrow \text{tr}(hgh) = \text{tr}(ghh^{-1}) = \text{tr}(g)$$

These "Characters" are a basis for the class functions.



12/13/17

What is a Character?

$KG$ -Mod (nice  $K$ )



Grothendieck rings



rings of "Characters"

Let  $KG \subseteq M$  finite dim

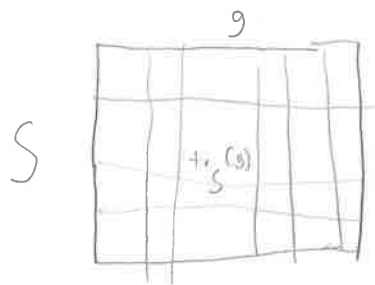
Then,  $\forall r \in KG$ , we get a  $K$ -linear endomorphism  $r: M \rightarrow M$   
 $m \mapsto rm$

The "Character" of  $r$  on  $M$  is just the trace

$$\text{tr}_M(r) \in K$$

Fact: The ~~whole category~~ <sup>representation theory</sup> is determined by the character values

$\text{tr}_S(g)$  for  $g \in G$ ,  $S$  simple module



"Character table"

"Orthogonal matrix"

Recall: A semisimple f.d. algebra  $R$  can be written

$$R = \bigoplus_{i=1}^d S_i^{n_i} \quad \text{as } R\text{-modules}$$

$$\Rightarrow R^{\text{op}} = \bigoplus_{i=1}^d \text{End}_R(S_i^{n_i}) = \bigoplus_{i=1}^d \text{Mat}_{n_i}(\text{End}_R(S_i))$$

$$\Rightarrow R \cong \bigoplus_{i=1}^d \text{Mat}_{n_i}(K) \quad \text{as rings}$$

Complete system of centrally primitive orthogonal idempotents

where  $n_i = \dim_K(S_i)$

Recall: Given  $e \in R \setminus \{0, 1\}$ ,  $e^2 = e$ , we set  $R \cong eR \oplus R(1-e)$  as left  $R$ -modules.

For any left  $R$ -module  $(R, M)$ , we get

$$M = eM \oplus (1-e)M$$

as  $K$ -vector spaces.

If  $e \in Z(R)$ ,  $R = eR \oplus (1-e)R$  as modules

$$M = eM \oplus (1-e)M \quad \text{as modules}$$

TY Lon: "First course on Noncommutative Rings"

Decomposition as rings

$$R = R_1 \oplus \dots \oplus R_r$$

is the same thing as a CSOCPOI

$$| = \dots + \dots$$

130 Me:  $R$  elements  $b_i$  are idempotents.

We have  $R_i = b_i R = E_{n \times p} \begin{pmatrix} S_i^{n_i} \\ \end{pmatrix}$

Each  $b_i$  is centrally-primitive, not necessarily primitive.

Decomposes further:

$$b_i = e_{i_1} + \dots + e_{i_{n_i}}$$

where  $b_j R e_{i_j} \cong S_j$  for all  $j$ .

Let  $G$  be a finite group,  $K$  alg. closed field of char. 0.

$K[G] \longleftrightarrow$  Functions  $G \rightarrow K$

$$\sum_{g \in G} \lambda_g g \quad \lambda: G \rightarrow K$$
$$g \mapsto \lambda_g$$

$Z(K[G]) \longleftrightarrow$  Class Functions  $G \rightarrow K$

$$\sum \lambda_g g \in Z(K[G]) \iff \lambda_g = \lambda_{h^{-1}gh} \quad \forall g, h \in G$$

Goal of representation theory: Find a CSOCPOI for  $K[G]$ .

A certain nice basis for the center  $Z(K[G])$ .

$Z(K[G]) \longleftrightarrow$  Class Functions  $G \rightarrow K$

$K[G]$  has a basis of "delta functions"

$$\delta_g: G \rightarrow K$$
$$h \mapsto \delta_{g,h}$$

For any conjugacy class  $C \subseteq G$ , define

$$S_C = \sum_{g \in C} \delta_g = \sum_{g \in C} g$$

$$\dim_k \mathbb{Z}(kG) = \# \text{ conj. classes} = d$$

$S_C$  are a basis for  $\mathbb{Z}(kG)$ , but this basis does not interact well with the structure of  $kG$ .

The correct basis to choose is the unramified  $(SOCP) \mathbb{Z}$

$$b_1, \dots, b_d \in \mathbb{Z}(kG).$$

How to compute the central idempotents?

Theorem: 
$$b_i = \sum_g b_i(g)g = \frac{(\dim_k S_i)^2}{|G|} \sum \chi_{S_i}(g^{-1}) \cdot g$$

$$\Rightarrow b_i(g) = \frac{(\dim_k(S_i))}{|G|} \underbrace{\chi_{S_i}(g^{-1})}_{\chi_{S_i}(g^{-1})}$$

ex:  $\mathbb{Z}S_3 = \mathbb{Z}\langle 1, (12), (13), (23), (123), (132) \rangle$

$$\mathbb{Z}(\mathbb{Z}S_3) = \mathbb{Z}\langle 1, (12)+(13)+(23), (123)+(132) \rangle$$

$$= \mathbb{Z}\left\langle \frac{1}{6}(1+(12)+(13)+(23)) + \frac{1}{6}(1-(12)-(13)-(23)) + \frac{1}{6}((123)+(132)), \frac{2}{6}(2-2(123)-2(132)) \right\rangle$$

Char table of  $S_3$ :

trivial	1	1	1
sign	1	-1	1
refl.	2	0	-2

One vector space

$\mathbb{Z}(G)$



basis of  
 $\delta$ -functions



basis of characters (traces)

$\langle, \rangle_*$

$\langle, \rangle_{tr}$

How to multiply functions  $G \rightarrow K$ :

$$b_i = \sum_g b_i(g) \cdot g$$

$$b_i b_j = \left( \sum_g b_i(g) g \right) \left( \sum_h b_j(h) h \right) = \sum_K \left( \sum_{K=gh} b_i(g) b_j(h) \right) K$$



convolution:  $(b_i * b_j)(K) = \sum_{gh=K} b_i(g) b_j(h)$

equivalently

$$(b_i * b_j)(h) = \sum_g b_i(g) b_j(hg^{-1})$$

$$(b_i + b_i)(h) = \sum_g b_i(g) b_i(hg^{-1}) = b_i(h) \quad \forall h \quad (\text{idempotence})$$

$$(b_i + b_j)(h) = \sum_g b_i(g) b_j(hg^{-1}) = 0 \quad \forall h \quad (\text{orthogonality})$$

Put  $h=1$ , get a bilinear form:

$$\begin{aligned} kG \times kG &\longrightarrow k \\ \lambda, \mu &\longmapsto (\lambda * \mu)(1) = \sum_g \lambda(g) \mu(g^{-1}) \end{aligned}$$

Restricting to  $Z(kG) \times Z(kG)$ , this gives an inner product on central idempotents,  $e_i$ .

The central idempotents are an orthogonal basis

Given  $z \in Z(kG)$ , for any simple module  $S$  and any multiplicity  $n_i$ ,

$$Z(\bigoplus_i kG) = \bigoplus_i S_i^{n_i}$$

$\downarrow$   
 $Z \hookrightarrow \sum_i S_i^{n_i}$  acts as a scalar matrix

$$z \in Z(\text{End}(S_i^{n_i})) = Z(\text{Mat}_{n_i}(\text{End}_R(S_i))) = Z(\text{Mat}_{n_i}(K)) = K$$

$$\text{Tr}_n(z|_{S^n}) = \frac{\text{tr}_n(z)}{n} \cdot I$$

$$\text{Pf: } \text{Tr}(kI_n) = nK \Rightarrow k = \frac{\text{Trace}}{n}$$

In particular, for our  $b_i$ 's say  $b_S$  projects onto  $S^n$ .

$$\text{tr}_{S^n}(b_T) = \begin{cases} \dim_k S, & T \cong S \\ 0, & \text{else} \end{cases}$$

$\downarrow$

$$\begin{array}{ccc} Z(kG) \times Z(kG) & \longrightarrow & k \\ b_S, b_T & \longmapsto & \text{tr}_{S^n}(b_T) \end{array}$$

Extend  $b_S$  to

$$\langle \rangle_{\text{tr}}: Z(kG) \times Z(kG) \longrightarrow k \\ b_S, z \longmapsto \text{tr}_{S^n}(z)$$

Next thing to do:

For each simple  $S$ , define a character

$$\chi_S(g) = \text{tr}_S(g)$$

Pr:  $\forall$  simple  $S, T$ ,

$$\langle b_S, \chi_{T^*} \rangle = \langle b_S, b_T \rangle_{\text{tr}}$$

By non-degeneracy:

$$\text{Scalar } \chi_{S^*} = b_S$$

12/18/17

Today, we'll work over  $\mathbb{C}$ .

Recall:  $\lambda: G \rightarrow \mathbb{C}$ ,  $\lambda = \sum_{g \in G} \lambda(g) \cdot g$

$\lambda \in Z(\mathbb{C}G)$  iff  $\lambda(g) = \lambda(hgh^{-1}) \quad \forall g, h \in G.$

$Z(\mathbb{C}G) = \mathbb{C}$ -algebra of class functions under convolution

$\lambda, \mu: G \rightarrow \mathbb{C}$

$(\lambda * \mu)(h) = \sum_{g \in G} \lambda(g) \mu(hg^{-1})$

The center has a distinguished basis of primitive idempotents

$e_1, e_2, \dots, e_c$

where  $c = \#$  conjugacy classes in  $G$ .

Problem: Compute the  $e_i$ .

Key: For each f.d.  $\mathbb{C}G$ -module  $V$  ( $\mathbb{C}G \curvearrowright V$ ), we have a class function  $\chi_V \in Z(\mathbb{C}G)$  defined by

$\chi_V: G \rightarrow \mathbb{C}$   
 $g \mapsto \text{Tr}(g \curvearrowright V)$

We call  $\chi_V$  the "character" of the module  $V$ .

Q! How do the idempotents  $e_i$  and the characters  $\chi_V$  relate?

Punchline! If  $S_1, \dots, S_c$  are the simple  $\mathbb{C}G$ -modules, then we have

$$e_i = \frac{\dim S_i}{|G|} \sum_g \chi_{S_i}(g^{-1}) g$$



Recall:  $e_i$  is the projection of  $\mathbb{C}G$  is the projection of  $\mathbb{C}G$  onto its summand  $S_i^{\dim(S_i)}$ .

Hence,  $\text{Tr}(e_i) = \dim(S_i^{\dim(S_i)}) = (\dim S_i)^2$

On the other hand,  $\text{Tr}(e_i) = \text{Tr}(\sum e_i(g) \cdot g) = \sum e_i(g) \text{Tr}(g \text{ on } \mathbb{C}G)$

Note:  $\text{Tr}(g \text{ on } \mathbb{C}G) = \begin{cases} |G|, & g=1 \\ 0, & g \neq 1 \end{cases}$

$\Rightarrow \text{Tr}(e_i) = |G| e_i(1)$ , so  $e_i(1) = \frac{(\dim S_i)^2}{|G|}$

This checks out:  $\chi_{S_i}(1) = \text{Tr}(1 \text{ on } S_i) = \dim(S_i)$

(Indeed,  $\chi_V(1) = \text{Tr}(1 \text{ on } V) = \dim(V) \forall \mathbb{C}G$ -module  $V$ ).

Recall:  $\mathbb{C}G = \bigoplus S_i^{\dim(S_i)}$

One component is easy:

$\mathbb{C}G \text{ on } \mathbb{C}$  as the identity.

Thus,  $\mathbb{C}G = \boxed{\mathbb{C}} \oplus \bigoplus_{i \geq 2} S_i^{\dim S_i}$   
"trivial representations"

The decomposition of the trivial rep is

$$\boxed{e_1 = \frac{1}{|G|} \sum_{g \in G} g}$$

Thus, for any  $\mathbb{C}G \text{ on } V$ , we have  $V = \mathbb{C}^d \oplus \text{other stuff}$ ,

and  $e_1 \otimes V$  is the projection  $V \rightarrow \mathbb{C}$   
 $e_1 \otimes V$  is the projection onto the fixed subspace  $V^G$

$$\Rightarrow \text{Tr}(e_1 \otimes V) = \dim V^G$$

$$\text{Tr}(e_1 \otimes V) = \frac{1}{|G|} \sum_g \text{Tr}(g \otimes V)$$

$$\Rightarrow \boxed{\dim V^G = \frac{1}{|G|} \sum_g \chi_V(g)}$$

More generally, we will see that

$$\boxed{\dim(\text{Hom}_G(W, V)) = \frac{1}{|G|} \sum_g \chi_V(g) \overline{\chi_W(g)}}$$

Recall! If  $S, S'$  are simple,  $\dim \text{Hom}_G(S, S') = \begin{cases} 1, & S \cong S' \\ 0, & S \not\cong S' \end{cases}$

Corollary! Let  $(\mathbb{C} \otimes S)$  be simple. Then for any  $(\mathbb{C} \otimes V)$ , we have

$$V = (\mathbb{C} \otimes S) \oplus \text{other stuff}$$

$$\begin{aligned} \dim(\text{Hom}_G(S, V)) &= \dim(\text{Hom}_G(S, \oplus T_i)) = d \\ &= \frac{1}{|G|} \sum_g \chi_V(g) \overline{\chi_S(g)} \end{aligned}$$

Let  $\mathbb{C}G \curvearrowright V$ . Then, in particular, we have  $\mathbb{C} \curvearrowright V$ , and we can consider the dual space  $V^* = \text{Hom}_{\mathbb{C}}(V, \mathbb{C})$ .

Q:  $\mathbb{C}G \curvearrowright V^*$ ?

A: Let  $R$  be an arbitrary f.d.  $\mathbb{C}$ -algebra

$$\begin{array}{l} R \curvearrowright V \\ R^{op} \curvearrowright V^* \end{array}$$

We define this by  $f: V \rightarrow \mathbb{C}$   
 $\forall r \in R, v \in V, (rf)(v) = f(rv)$

$$\text{Satisfy, } (r'r'f)(v) = (r'f)(r'v) = f(r'r'v) \quad \parallel$$

$$\mathbb{C}G \neq \mathbb{C}G^{op}$$

However, we do have a specific isomorphism

$$\begin{array}{ccc} \mathbb{C}G & \xrightarrow{\cong} & \mathbb{C}G^{op} \\ g & \longmapsto & g^{-1} \end{array}$$

$$\sum_g \lambda(g) g \longmapsto \sum_g \lambda(g) g^{-1} = \sum_g \lambda(g^{-1}) g$$

We define  $\mathbb{C}G \curvearrowright V^*$  by  $(gf)(v) = f(g^{-1}v)$  "contragredient action"

$$\Rightarrow (\sum_g \lambda(g) gf)(v) = f(\sum_g \lambda(g) g^{-1}v)$$

Corollary:  $\chi_V(g) = \chi_{V^*}(g^{-1})$  (equivalently,  $\chi_{V^*}(g) = \chi_V(g^{-1})$ )

Remark specific to  $\mathbb{C}$ : Let  $\mathbb{C}G \curvearrowright V$ . Then  $\forall g \in G, \chi_V(g^{-1}) = \overline{\chi_V(g)}$ .

WHY? 139

Proof: Trace is invariant under conjugation, so we are free to choose a nice basis for  $V$ .

First, choose an arbitrary basis and let  $\langle x, y \rangle = \sum_i x_i \bar{y}_i$

Sadly,  $\langle gx, gy \rangle \neq \langle x, y \rangle$ .

WANT

Define a new inner product  $\langle x, y \rangle_G = \frac{1}{|G|} \sum_g \langle gx, gy \rangle$

Then for all  $g \in G, x, y \in V$ , we have  $\langle gx, gy \rangle_G = \langle x, y \rangle_G$

$$\langle g^* g x, y \rangle_G = \langle x, y \rangle_G \quad \forall x, y$$

Choose an orthonormal basis for  $\langle \cdot, \cdot \rangle_G$ .

WRT this basis,

$$g^* g x = x \quad \forall x$$

$$\Rightarrow g^* g = I$$

(where  $g^*$  is the conjugate transpose of  $g$ .)

In particular, we have, in this basis,  $\text{Tr}(g^{-1} \rho(V)) = \text{Tr}(\bar{g}^T \rho(V))$

$$= \text{Tr}(\bar{g} \rho(V)) \\ = \overline{\text{Tr}(g \rho(V))}$$

□

In particular,  $g^* g = I \Rightarrow g$  is diagonalizable (basis independent).

So far, we have shown  $\chi_{V^*}(g) = \chi_V(g^{-1}) = \overline{\chi_V(g)}$ .

$$\chi_{V \otimes W}(g) = \chi_V(g) + \chi_W(g)$$

$$\chi_{V \otimes W}(g) = \chi_V(g) \chi_W(g) \quad ?$$

$$\text{Hom}(\mathbb{C}G, \text{Hom}(V \otimes_{\mathbb{C}} W, V \otimes_{\mathbb{C}} W)) \cong \text{Hom}(\mathbb{C}G \otimes_{\mathbb{C}} V \otimes_{\mathbb{C}} W, V \otimes_{\mathbb{C}} W)$$

Let  $\mathbb{C}G \curvearrowright V, W$ .

How does  $\mathbb{C}G \curvearrowright V \otimes_{\mathbb{C}} W$ ?

Define:  $G \times V \otimes_{\mathbb{C}} W \longrightarrow V \otimes_{\mathbb{C}} W$   
 $(g, v \otimes w) \longmapsto gv \otimes w$ , and extend  $\mathbb{C}$ -linearly

$$\text{Hom}_G(W, V) \cong V \otimes W^*$$

"There are subtleties here"

(Could also note that  $\mathbb{C}G \curvearrowright V^* \otimes_{\mathbb{C}} W$ )

Proof of dim  $\text{Hom}_G(W, V) = \frac{1}{|G|} \sum \chi_V(g) \overline{\chi_W(g)}$

$$\begin{aligned} \text{Hom}_G(W, V) &\cong \text{Hom}_{\mathbb{C}}(W, V)^G = (V \otimes W^*)^G \\ &= \text{image of } e_1 \curvearrowright V \otimes W^* \end{aligned}$$

$$\begin{aligned} \Rightarrow \dim(\text{Hom}_G(W, V)) &= \frac{1}{|G|} \sum \chi_{V \otimes W^*}(g) \\ &= \frac{1}{|G|} \sum \chi_V(g) \chi_{W^*}(g) \\ &= \frac{1}{|G|} \sum \chi_V(g) \overline{\chi_W(g)} \end{aligned}$$

In general, for class functions  $\lambda, \mu \in Z(\mathbb{C}G)$ , we define D

$$\langle \lambda, \mu \rangle = \frac{1}{|G|} \sum_g \lambda(g) \overline{\mu(g)}$$

We have shown that  $\chi_S$  for  $S$  simple satisfy

$$\langle \chi_S, \chi_{S'} \rangle = \begin{cases} 1, & S \cong S' \\ 0, & \text{else} \end{cases}$$

They span because we know that  $\# \text{Conj. classes} = \dim Z(\mathbb{C}G) = \# \text{non-zero } S_{1,1,1,1}$

Thus, the  $\chi_S$  form a basis for  $Z(\mathbb{C}G)$  (indep b/c they're orthogonal).

$$e_i \stackrel{?}{\sim} \chi_{S_i}$$

Show that  $\chi_{S_i}$  are idempotents:

$$(\chi_{S_i} * \chi_{S_i})(g) = ?$$

12/20/17 If  $R$  is a  $K$ -algebra, then  $R\text{-Mod}$  is a " $K$ -linear Category"

If  $R = \mathbb{C}G$ , then the category  $\mathbb{C}G\text{-Mod}$  has a lot of extra structure.  
 "Tensor Categories"  
 "Hopf algebras"

If  $H$  is a Hopf  $K$ -algebra

$$(H, \mu, \eta, \Delta, \epsilon, S)$$

$$\begin{array}{ccc} H \otimes H \otimes H & \xrightarrow{\mu \otimes \eta} & H \otimes H & (\text{Associativity of } \mu) \\ \downarrow \eta \otimes \mu & & \downarrow \mu & \\ H \otimes H & \xrightarrow{\mu} & H & \end{array}$$

$$\eta: K \rightarrow H$$

$$\epsilon: H \rightarrow K$$

$$\Delta: \mathbb{C}G \longrightarrow \mathbb{C}G \otimes \mathbb{C}G$$

$$g \longmapsto g \otimes g$$

$$\varepsilon: \mathbb{C}G \longrightarrow \mathbb{C}$$

$$\sum \lambda(g)g \longmapsto \sum \lambda(g)$$

$$S: \mathbb{C}G \xrightarrow{\cong} \mathbb{C}G^{\text{op}}$$

$$g \longmapsto g^{-1}$$

If  $H$  is a Hopf algebra,  $H\text{-mod}$  is a "tensor category"

$H\text{-Mod}$  has

• tensor product  $\otimes$

$$G \curvearrowright V, W$$

$$G \curvearrowright V \otimes_k W, \quad g(v \otimes w) = (gv) \otimes (gw)$$

Say that  $x \in H$  is "group-like" if  $\Delta(x) = x \otimes x$

• dual representations:

$$G \curvearrowright V$$

$$G \curvearrowright V_c^*, \quad (g \curvearrowright)(v) = \alpha(g^{-1}v) \quad (\text{Antipode!})$$

•  $H\text{-Mod}$  is enriched over itself:

$$G \curvearrowright V, W$$

$$\Rightarrow G \curvearrowright \text{Hom}_k(V, W)$$

$$(g f)(v) := g(f(g^{-1}v)) \quad \text{or "conjugation"}$$

Primitive elements:  $x \in H$  is Primitive if  $x \otimes 1 = 1 \otimes x$

$\text{Prim}(H) \subseteq H$  is a Lie algebra

$H = \mathcal{U}(\mathfrak{g})$  is "what is it"

Invariants:  $G \curvearrowright V$

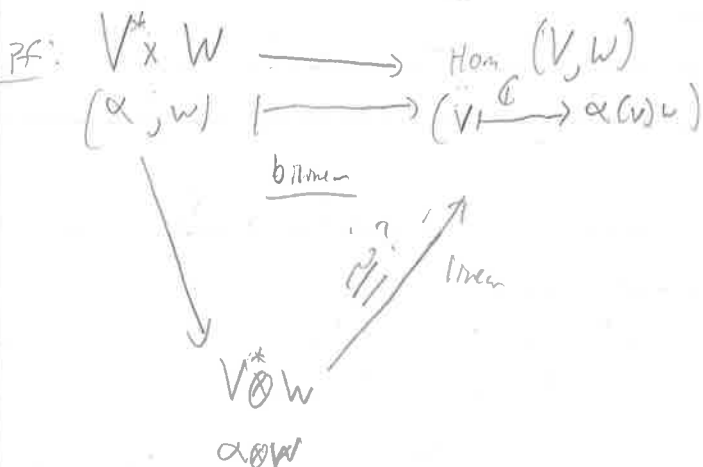
$$V^G = \{x \in V, gx = x \ \forall g \in G\}$$

Many natural isomorphisms

$$\text{Hom}_{\mathbb{C}G}(V, V) = \text{Hom}_{\mathbb{C}}(V, W)^G$$

$\varphi_g = g\varphi$                        $g\varphi g^{-1} = \varphi$

•  $\text{Hom}_{\mathbb{C}G}(V, W) \cong_G V^* \otimes W$  !



Bases:  $\alpha_i(v_j) = \delta_{ij}$

$w_j$

$\alpha_i \otimes w_j$

$(v \longmapsto \alpha_i(v)w_j)$

$v_k \longmapsto \alpha_i(v_k)w_j = \delta_{ik} w_j$



This is exactly the matrix with a 1 in the  $(i, j)^{\text{th}}$  position & 0's elsewhere, which is a basis for  $\text{Hom}_{\mathbb{C}}(V, W)$ . □

Trace Comes into the picture.

Lemma: 
$$\dim V^G = \frac{1}{|G|} \sum_g \boxed{\text{Tr}(g \circ V)}$$
  

$$\chi_V(g)$$

★ Theorem: 
$$\frac{1}{|G|} \sum_g \chi_V(g) \overline{\chi_W(g)} = \dim_{\mathbb{C}} \text{Hom}_{\mathbb{C}}(V, W)$$

$\langle \chi_V, \chi_W \rangle$  on  $\mathbb{C}G$

Pf: 
$$\dim_{\mathbb{C}} \text{Hom}_{\mathbb{C}}(V, W) = \dim \text{Hom}_{\mathbb{C}}(V, W)^G = \dim(V^* \otimes W)^G$$
 ) lemma

$$= \frac{1}{|G|} \sum_g \chi_{V^* \otimes W}(g)$$

$$= \frac{1}{|G|} \sum_g \chi_{V^*}(g) \chi_W(g)$$

$$= \frac{1}{|G|} \sum_{g^{-1}} \overline{\chi_V(g^{-1})} \chi_W(g)$$

$$= \frac{1}{|G|} \sum_{g^{-1}} \overline{\chi_V(g^{-1})} \chi_W(g^{-1})$$

$$= \frac{1}{|G|} \sum_{g^{-1}} \chi_V(g^{-1}) \overline{\chi_W(g^{-1})}$$

$$= \frac{1}{|G|} \sum_g \chi_V(g) \overline{\chi_W(g)}$$

Now, this implies that

$$\langle \chi_V, \chi_W \rangle = \langle \chi_W, \chi_V \rangle$$

Corollary: For simple  $\mathbb{C}G \supset S_i, S_j$

$$\langle \chi_{S_i}, \chi_{S_j} \rangle = \dim_{\mathbb{C}G} \text{Hom}_{\mathbb{C}G}(S_i, S_j) = \delta_{ij}$$

□

The Simple Characters are an orthonormal subset of  $Z(\mathbb{C}G)$ .

Since  $\dim(Z(\mathbb{C}G)) = \# \text{ Conj. Classes of } G$   
 $= \# \text{ non-isom. Simple}$

$\Rightarrow$  Simple Characters are an orthonormal basis for  $Z(\mathbb{C}G)$ .

Question: Are Simple Characters Disjoint?

$$(\chi_{S_i} \neq \chi_{S_j})(h) = \sum_g \chi_{S_i}(g) \chi_{S_j}(hg^{-1}) = ?$$

Let  $e_i = \sum_g e_i(g)g$  be the idempotent that projects onto the  $S_i$ -component of  $\mathbb{C}G$ .

$$\text{Let } \rho = \sum_i \chi_i(1) \chi_i, \text{ so } \rho(g) = \text{Tr}(g \mathbb{C}G) = \begin{cases} |G|, & g = 1 \\ 0, & g \neq 1 \end{cases}$$

$$\chi_j(e_i g) = \delta_{ij} \chi_i(g)$$

$$|G| \cdot e_i(g) = \rho(e_i g^{-1}) = \sum_j \chi_j(1) \chi_j(e_i g^{-1}) = \chi_i(1) \chi_i(g^{-1})$$

$$\Rightarrow \boxed{e_i(g) = \frac{\chi_i(1)}{|G|} \chi_i(g^{-1})}$$

Corollary 1:  $\frac{\chi_i(1)}{|G|} \chi_i(h) = e_i(h) = (e_i * e_i)(h)$

$$= \sum_g e_i(h) e_i(hg^{-1})$$

$$= \left( \frac{\chi_i(1)}{|G|} \right)^2 \sum_g \chi_i(g^{-1}) \chi_i(g)$$

$$\Rightarrow \chi_i(h) = \frac{\chi_i(1)}{|G|} \sum_g \chi_i(g^{-1}) \chi_i(g)$$

$$\Rightarrow \underline{1} = \frac{1}{|G|} \sum_g \chi_i(g^{-1}) \chi_i(g) = \langle \chi_i, \chi_i \rangle.$$

Corollary 2:  $i \neq j$

$$0 = (e_i * e_j)(h) = \left( \frac{\chi_i(1) \chi_j(1)}{|G|^2} \right) \sum_g \chi_i(g^{-1}) \chi_j(g)$$

$$\Rightarrow 0 = \sum_g \chi_i(g^{-1}) \chi_j(g)$$

$$\Rightarrow 0 = \langle \chi_i, \chi_j \rangle$$

The Character table:

Let  $g_j \in C_j$  be Conj. Class representatives

$$\chi_i \begin{array}{|c|} \hline \chi_i(g_j) \\ \hline \end{array}$$

Same matrix

Orthogonality?

Modify Char table

$$T = \begin{pmatrix} \frac{\chi_i(g_j)}{\sqrt{|G|/|C_j|}} \end{pmatrix}$$

The rows of  $T$  are orthogonal!

$$\begin{aligned} \sum_k \frac{\chi_i(g_k) \overline{\chi_j(g_k)}}{(\sqrt{|G|/|C_k|})^2} &= \frac{1}{|G|} \sum_{k \in K} |C_k| \chi_i(g_k) \overline{\chi_j(g_k)} \\ &= \frac{1}{|G|} \sum_g \chi_i(g) \overline{\chi_j(g)} = \langle \chi_i, \chi_j \rangle = \delta_{ij} \quad \square \end{aligned}$$

Corollary 3:  $TT^* = I \Rightarrow T^*T = I$  (by "a miracle of basic linear algebra")

$$\Rightarrow \forall i, j, \quad \delta_{ij} = \sum_k \frac{\chi_k(g_i) \chi_k(g_j)}{\sqrt{|G|/|C_i|} \cdot \sqrt{|G|/|C_j|}}$$

$$\Rightarrow \sum_k \chi_k(g_i) \overline{\chi_k(g_j)} = \frac{|G|}{|C(g)|}$$

$$\Rightarrow \sum_{\text{Simple } S} |\chi_S(g)|^2 = \frac{|G|}{|C(g)|} = |Z(g)| \quad (\text{Orbit-Stabilizer})$$

ex:

	1	3	2
	1	(12)	(123)
tr1	1	1	1
ssn	1	-1	1
ref1	2	0	-1

$$1^2 + 1^2 + 2^2 = 6 \quad \checkmark$$

$$1^2 + (-1)^2 + 0 = 2 \quad \checkmark$$

$$1^2 + 1^2 + (-1)^2 = 3 \quad \checkmark$$

