

**Problem 1. Infinite Products and Coproducts in Ab.** We have seen that finite products and coproducts agree in **Ab**. However, the same is not true for **infinite** products and coproducts. Let  $I$  be a set and let  $\{A_i\}_{i \in I}$  be a family of abelian groups, each equal to some fixed group  $A$ .

- (a) Show that the set  $A^I := \text{Hom}_{\text{Set}}(I, A)$  is an abelian group. Furthermore, show that we can think of this group as the infinite product  $\prod_{i \in I} A_i$  in the category **Ab**.
- (b) Let  $A^{\oplus I}$  denote the subgroup of  $A^I$  in which **all but finitely many** elements of  $I$  are sent to the identity element  $0 \in A$ . Show that we can think of  $A^{\oplus I}$  as the infinite coproduct  $\bigoplus_{i \in I} A_i$  in the category **Ab**.
- (c) Show that the inclusion  $A^{\oplus I} \subseteq A^I$  can be strict. [Hint: Let  $A = \mathbb{Z}/10\mathbb{Z}$  and  $I = \mathbb{Z}$ .]

*Proof.* For part (a), consider two functions  $a, b \in A^I$  denoted by  $i \mapsto a_i$  and  $i \mapsto b_i$ . Then we define the function “ $a + b$ ”  $\in A^I$  by setting

$$(1) \quad (a + b)_i := a_i + b_i \text{ for all } i \in I.$$

The constant zero function  $0 \in A^I$  defined by  $0_i := 0_A$  is an identity element for this operation, and every function  $a \in A^I$  has an inverse  $-a \in A^I$  defined by  $(-a)_i := -a_i$ . Since associativity is inherited from  $(A, +, 0_A)$  this defines an abelian group structure on  $A^I$ .

For each index  $i \in I$  note that the function  $\pi_i : A^I \rightarrow A_i$  defined by  $\pi_i(a) := a_i$  is a group homomorphism by (1). Now suppose we have an abelian group  $B$  together with group homomorphisms  $\varphi_i : B \rightarrow A_i$  for each  $i \in I$ . In this case I claim that **there exists a unique group homomorphism**  $\varphi : B \rightarrow A^I$  **satisfying**

$$B \begin{array}{c} \xrightarrow{\varphi_i} \\ \xrightarrow{\varphi} A^I \xrightarrow{\pi_i} \\ \rightarrow A_i \end{array} \quad \text{for all } i \in I.$$

Indeed, given any element  $b \in B$  the condition  $\pi_i(\varphi(b)) = \varphi_i(b)$  requires that we define the function  $\varphi(b) \in A^I$  by setting  $\varphi(b)_i := \varphi_i(b)$  for all  $i \in I$ . To see that the resulting function  $\varphi : B \rightarrow A^I$  is a group homomorphism note that for all  $b, c \in B$  and  $i \in I$  we have

$$\varphi(b + c)_i = \varphi_i(b + c) = \varphi_i(b) + \varphi_i(c) = \varphi(b)_i + \varphi(c)_i = (\varphi(b) + \varphi(c))_i,$$

and hence  $\varphi(b + c) = \varphi(b) + \varphi(c)$ . We conclude that the pair  $(A^I, \{\pi_i\}_{i \in I})$  is the categorical product  $\prod_{i \in I} A_i$  in **Ab**.

For part (b), define the subgroup  $A^{\oplus I} \subseteq A^I$  consisting of functions  $a \in A^I$  such that  $a_i = 0$  for all but finitely many  $i \in I$  (i.e., functions of “finite support”). Note that for each  $i \in I$  we have a set function  $\iota_i : A_i \rightarrow A^{\oplus I}$  defined by

$$\iota_i(a)_j := \begin{cases} a & \text{if } i = j \\ 0_A & \text{if } i \neq j \end{cases}.$$

Note that for all  $a, c \in A$  we have

$$\iota_i(a + b)_i = a + c = \iota_i(a)_i + \iota_i(c)_i = (\iota_i(a) + \iota_i(c))_i$$

and for all  $j \neq i$  we have

$$\iota_i(a + c)_j = 0_A = 0_A + 0_A = \iota_i(a)_j + \iota_i(c)_j = (\iota_i(a) + \iota_i(c))_j$$

It follows that  $\iota_i(a + c) = \iota_i(a) + \iota_i(c)$  and we conclude that  $\iota_i$  is a group homomorphism. Now suppose we have an abelian group  $B$  together with group homomorphisms  $\varphi_i : A_i \rightarrow B$  for each  $i \in I$ . In this case I claim that **there exists a unique group homomorphism**  $\varphi : A^{\oplus I} \rightarrow B$  **satisfying**

$$A_i \begin{array}{c} \xrightarrow{\varphi_i} \\ \xrightarrow{\iota_i} A^{\oplus I} \xrightarrow{\varphi} \end{array} B \quad \text{for all } i \in I.$$

Indeed, given any function  $a \in A^{\oplus I}$  note that we can write  $a = \sum_{i \in I} \iota_i(a_i)$ , where the sum is defined by (1). [The sum is finite because  $a_i = 0_A$  and hence  $\iota_i(a_i) = 0_A$  for all but finitely many  $i \in I$ .] Now the requirement that  $\varphi \circ \iota_i = \varphi_i$  for all  $i \in I$  implies that

$$(2) \quad \varphi(a) = \varphi \left( \sum_{i \in I} \iota_i(a_i) \right) = \sum_{i \in I} \varphi(\iota_i(a_i)) = \sum_{i \in I} \varphi_i(a_i).$$

Note that the sum on the right exists because we have  $a_i = 0_A$  and hence  $\varphi_i(a_i) = 0_B$  for all but finitely many  $i \in I$ . Hence the requirement (2) uniquely determines a function  $\varphi : A^{\oplus I} \rightarrow B$ . And this function  $\varphi$  is a group homomorphism since for all  $a, b \in A^{\oplus I}$  we have

$$\begin{aligned} \varphi(a + b) &= \sum_{i \in I} \varphi_i((a + b)_i) \\ &= \sum_{i \in I} \varphi_i(a_i + b_i) \\ &= \sum_{i \in I} (\varphi_i(a_i) + \varphi_i(b_i)) \\ &= \sum_{i \in I} \varphi_i(a_i) + \sum_{i \in I} \varphi_i(b_i) \\ &= \varphi(a) + \varphi(b). \end{aligned}$$

We conclude that the pair  $(A^{\oplus I}, \{\iota_i\}_{i \in I})$  is the categorical coproduct  $\bigoplus_{i \in I} A_i$  in  $\mathbf{Ab}$ .

The hint for part (c) was supposed to be cute, but maybe it was too cute. Anyway, if  $A = \mathbb{Z}/10\mathbb{Z}$  and  $I = \mathbb{Z}$  then we will think of a function  $a \in A^I$  as a formal power series  $\sum_{i \in \mathbb{Z}} a_i \cdot 10^i$ . If we choose one decimal expansion for each real number then we obtain an inclusion  $\mathbb{R} \subseteq A^I$ . Similarly, each function of finite support  $a \in A^{\oplus I}$  determines a rational number so we obtain an inclusion  $A^{\oplus I} \subseteq \mathbb{Q}$ . Putting these together gives

$$A^{\oplus I} \subseteq \mathbb{Q} \subsetneq \mathbb{R} \subseteq A^I.$$

□

**Problem 2. What is a polynomial?** Let  $(M, \cdot, 1_M)$  be a monoid and let  $(R, +, \circ, 0_R, 1_R)$  be a ring. The monoid ring  $R[M]$  is the abelian group  $R^{\oplus M}$  together with the following operation: for all  $a, b \in R[M]$  and  $m \in M$  we define  $a * b \in R[M]$  by the formula

$$(a * b)_m := \sum_{m_1 \cdot m_2 = m} a_{m_1} \circ b_{m_2}.$$

Note that the sum on the right exists because  $a_{m_1} \circ b_{m_2} = 0_R$  for all but finitely many pairs  $(m_1, m_2) \in M^2$ . One can check (you don't need to) that this defines a ring structure on  $R[M]$ .

(a) Show that there is an obvious injective ring homomorphism  $R \hookrightarrow R[M]$ .

- (b) Thinking of  $(\mathbb{N}, +, 0)$  as a monoid, prove that the monoid ring  $R[\mathbb{N}]$  is isomorphic to the polynomial ring in one variable  $R[x]$ . [Remark: In fact, we could think of  $R[\mathbb{N}]$  as the **definition** of the polynomial ring. I mean, what *is*  $x$  anyway?]

*Proof.* For part (a), consider an element  $r \in R$ . We will define a function  $r \in R[M]$  with the same name (to conserve notation) by setting

$$r_m := \begin{cases} r & \text{if } m = 1_M \\ 0_R & \text{if } m \neq 1_M \end{cases}.$$

Note that this defines an injective homomorphism of abelian groups  $R \hookrightarrow R[M]$ . To show that this is a ring homomorphism consider any  $r, s \in R$ . Then we have

$$(r * s)_{1_M} = \sum_{m \in M^\times} r_m \circ s_{m^{-1}}$$

where  $M^\times$  is the group of units of  $M$ . Since  $r_m \circ s_{m^{-1}} = 0_R$  for all  $m \neq 1_M$ , the sum evaluates to  $(r * s)_{1_M} = r_{1_M} \circ s_{1_M} = r \circ s$ . If  $m \neq 1_M$  then  $m_1 \cdot m_2 = m$  implies that  $m_1 \neq 1_M$  or  $m_2 \neq 1_M$  and we have

$$(r * s)_m = \sum_{m_1 \cdot m_2 = m} r_{m_1} \circ s_{m_2} = \sum_{m_1 \cdot m_2 = m} 0_R = 0_R.$$

In summary, we conclude that  $(r * s)_m = (r \circ s)_m$  for all  $m \in M$ .

For part (b), we will define a function  $P : R[\mathbb{N}] \rightarrow R[x]$  by sending the function  $a \in R[\mathbb{N}]$  to the polynomial  $P(a) := \sum_{n \in \mathbb{N}} a_n x^n$ . This function is bijective by the definition of  $R[x]$  (omitted). To see that  $P$  is a ring homomorphism, first note that  $1 \in R \subseteq R[\mathbb{N}]$  gets sent to  $P(1) = 1 \in R[x]$ . Then note that for all  $a, b \in R[\mathbb{N}]$  we have

$$\begin{aligned} P(a) + P(b) &= \left( \sum_{n \in \mathbb{N}} a_n x^n \right) + \left( \sum_{n \in \mathbb{N}} b_n x^n \right) \\ &= \sum_{n \in \mathbb{N}} (a_n + b_n) x^n \\ &= \sum_{n \in \mathbb{N}} (a + b)_n x^n \\ &= P(a + b) \end{aligned}$$

and

$$\begin{aligned} P(a)P(b) &= \left( \sum_{n \in \mathbb{N}} a_n x^n \right) \left( \sum_{n \in \mathbb{N}} b_n x^n \right) \\ &= \sum_{n \in \mathbb{N}} \left( \sum_{n_1 + n_2 = n} a_{n_1} \circ b_{n_2} \right) x^n \\ &= \sum_{n \in \mathbb{N}} (a * b)_n x^n \\ &= P(a * b). \end{aligned}$$

□

[Remark: If  $M$  and  $R$  have some topological structure then we can try to form a ring out of more general kinds of functions  $M \rightarrow R$ . For example, if  $M = (\mathbb{R}, +, 0)$  and  $R = (\mathbb{R}, +, \cdot, 0, 1)$  then we can try to define the “convolution” of  $f, g : \mathbb{R} \rightarrow \mathbb{R}$  by

$$(f * g)(x) := \int f(t)g(x - t)dt.$$

As we see now, this is just a straightforward generalization of polynomial multiplication.]

**Problem 3. Evaluation of Polynomials.** Let  $\varphi : R \rightarrow S$  be a ring homomorphism and assume that the image of  $\varphi$  is in the center of  $S$ :

$$\text{im } \varphi \subseteq Z(S) := \{t \in S : st = ts \text{ for all } s \in S\}.$$

- (a) For all  $s \in S$  prove that **there exists a unique ring homomorphism**  $\varphi_s : R[x] \rightarrow S$  satisfying  $\varphi_s(x) = s$  and  $\varphi_s(r) = \varphi(r)$  for all  $r \in R$  (thought of as a subring of  $R[x]$  via Problem 2(a)). [Remark: When  $R \subseteq S$  is a subring with inclusion homomorphism  $i : R \hookrightarrow S$  we refer to the map  $i_s : R[x] \rightarrow S$  as **evaluation at  $s$** .]
- (b) Show that the result of part (a) can fail when the image of  $\varphi$  is **not** in the center of  $S$ . [Remark: This is the place where the theories of commutative and noncommutative rings begin to diverge.]

*Proof.* For part (a), let  $\varphi : R \rightarrow S$  be a ring homomorphism such that  $\text{im } \varphi \subseteq Z(S)$  and let  $i : R \rightarrow R[x]$  be the injective homomorphism from Problem 2(a). For each  $s \in S$  we want to show that there exists a unique ring homomorphism  $\varphi_s : R[x] \rightarrow S$  such that  $\varphi_s(x) = s$  and such that the following diagram commutes:

$$\begin{array}{ccc} & \varphi & \\ & \curvearrowright & \\ R & \xrightarrow{i} & R[x] \xrightarrow{\varphi_s} S. \end{array}$$

Given any polynomial  $\sum_{n \in \mathbb{N}} a_n x^n \in R[x]$ , the desired homomorphism  $\varphi_s$  must satisfy

$$(3) \quad \varphi_s \left( \sum_{n \in \mathbb{N}} a_n x^n \right) = \sum_{n \in \mathbb{N}} \varphi_s(a_n) \varphi_s(x)^n = \sum_{n \in \mathbb{N}} \varphi(a_n) s^n.$$

Since we have  $a_n = 0_r$  and hence  $\varphi(a_n) s^n = 0_S$  for all but finitely many  $n \in \mathbb{N}$  the sum on the right exists and the requirement (3) defines a function  $\varphi_s : R[x] \rightarrow S$ . To see that this function  $\varphi_s$  is a ring homomorphism first note that it sends  $1_R \in R \subseteq R[x]$  to  $\varphi(1_R) s^0 = 1_S s^0 = 1_S \in S$ . Then note that for all polynomials  $\sum_{n \in \mathbb{N}} a_n x^n$  and  $\sum_{n \in \mathbb{N}} b_n x^n$  we have

$$\begin{aligned} \varphi_s \left( \sum_{n \in \mathbb{N}} a_n x^n + \sum_{n \in \mathbb{N}} b_n x^n \right) &= \varphi_s \left( \sum_{n \in \mathbb{N}} (a_n + b_n) x^n \right) \\ &= \sum_{n \in \mathbb{N}} \varphi(a_n + b_n) s^n \\ &= \sum_{n \in \mathbb{N}} (\varphi(a_n) + \varphi(b_n)) s^n \\ &= \sum_{n \in \mathbb{N}} \varphi(a_n) s^n + \sum_{n \in \mathbb{N}} \varphi(b_n) s^n \\ &= \varphi_s \left( \sum_{n \in \mathbb{N}} a_n x^n \right) + \varphi_s \left( \sum_{n \in \mathbb{N}} b_n x^n \right). \end{aligned}$$

Finally, since  $s\varphi(r) = \varphi(r)s$  for all  $r \in R$  we have

$$\begin{aligned} \varphi_s \left( \left( \sum_{n \in \mathbb{N}} a_n x^n \right) \left( \sum_{n \in \mathbb{N}} b_n x^n \right) \right) &= \varphi_s \left( \sum_{n \in \mathbb{N}} \left( \sum_{n_1+n_2=n} a_{n_1} b_{n_2} \right) x^n \right) \\ &= \sum_{n \in \mathbb{N}} \varphi \left( \sum_{n_1+n_2=n} a_{n_1} b_{n_2} \right) s^n \\ &= \sum_{n \in \mathbb{N}} \left( \sum_{n_1+n_2=n} \varphi(a_{n_1}) \varphi(b_{n_2}) \right) s^n \\ &\stackrel{!}{=} \left( \sum_{n \in \mathbb{N}} \varphi(a_n) s^n \right) \left( \sum_{n \in \mathbb{N}} \varphi(b_n) s^n \right) \\ &= \varphi_s \left( \sum_{n \in \mathbb{N}} a_n x^n \right) \varphi_s \left( \sum_{n \in \mathbb{N}} b_n x^n \right). \end{aligned}$$

We used the commutativity of  $s$  in the step labeled (!).

For part (b), assume that the set function  $\varphi_s : R[x] \rightarrow S$  defined in (3) is a ring homomorphism and consider any  $r \in R$ . By applying  $\varphi_s$  to the polynomials  $x + r$  and  $x - r$  in  $R[x]$  and their product  $(x - r)(x + r) = x^2 - r^2$  we obtain

$$\varphi_s(x + r)\varphi_s(x - r) = (s + \varphi(r))(s - \varphi(r)) = s^2 + \varphi(r)s - s\varphi(r) - \varphi(r)^2$$

and

$$\varphi_s((x + r)(x - r)) = \varphi_s(x^2 - r^2) = s^2 - \varphi(r)^2.$$

Then since  $\varphi_s$  is a ring homomorphism we must have

$$\begin{aligned} \varphi_s(x + r)\varphi_s(x - r) &= \varphi_s((x + r)(x - r)) \\ s^2 + \varphi(r)s - s\varphi(r) - \varphi(r)^2 &= s^2 - \varphi(r)^2 \\ \varphi(r)s &= s\varphi(r). \end{aligned}$$

In conclusion, we have shown that if  $s \in S$  does **not** commute with the image of  $\varphi : R \rightarrow S$  then the set function  $\varphi_s : R[x] \rightarrow S$  defined in (3) is **not** a ring homomorphism.  $\square$

The next two problems illustrate an important difference between commutative and noncommutative rings.

**Problem 4. Descartes' Theorem.** Let  $R$  be a **commutative ring** and for all  $\alpha \in R$  consider the evaluation morphism  $i_\alpha : R[x] \rightarrow R$  from Problem 3. For simplicity we will use the notation " $f(\alpha)$ " :=  $i_\alpha(f(x))$ .

- Given  $f(x) \in R[x]$  and  $\alpha \in R$ , prove that we have  $f(\alpha) = 0$  if and only if  $f(x) = (x - \alpha)g(x)$  for some  $g(x) \in R[x]$ . [Hint: Use division with remainder.]
- If  $R$  is, furthermore, an **integral domain** (i.e., if  $ab = 0$  implies  $a = 0$  or  $b = 0$ ) then the degree function  $\deg : R[x] \setminus \{0\} \rightarrow \mathbb{N}$  satisfies  $\deg(fg) = \deg(f) + \deg(g)$ . Use this fact to prove that a polynomial of degree  $n$  over an integral domain has at most  $n$  distinct roots. [Hint: Use part (a) and induction.]

*Proof.* For part (a), let  $\alpha \in R$  and consider the polynomial  $x - \alpha \in R[x]$ . Since this polynomial is monic (its leading coefficient is a unit) there exist polynomials  $q(x), r(x) \in R[x]$  such that

- $f(x) = (x - \alpha)q(x) + r(x)$ ,

- $r(x) = 0$  or  $\deg(r(x)) < \deg(x - \alpha)$ .

The second condition implies that  $r(x)$  is a constant. Let's call it  $r(x) = r \in R$ . Now apply the ring homomorphism  $i_\alpha : R[x] \rightarrow R$  to get

$$\begin{aligned} f(\alpha) &= i_\alpha(f(x)) \\ &= i_\alpha((x - \alpha)q(x) + r) \\ &= i_\alpha(x - \alpha)i_\alpha(q(x)) + i_\alpha(r) \\ &= (\alpha - \alpha)q(\alpha) + r \\ &= r. \end{aligned}$$

We conclude that  $f(x) = (x - \alpha)q(x) + f(\alpha)$  and it follows that  $f(\alpha) = 0$  if and only if  $f(x)$  is divisible by  $(x - \alpha)$  in  $R[x]$ .

For part (b), let  $R$  be an integral domain and assume for induction that any polynomial of degree  $n - 1$  in  $R[x]$  has at most  $n - 1$  distinct roots in  $R$ . Now consider a polynomial  $f(x) \in R[x]$  of degree  $n$ . If  $f(x)$  has no roots then we are done. Otherwise, suppose there exists  $\alpha \in R$  such that  $f(\alpha) = 0$ . By part (a) this means that we have

$$(4) \quad f(x) = (x - \alpha)g(x)$$

for some  $g(x) \in R[x]$ , and since  $R$  is a domain we must have  $\deg(g) = n - 1$ . Now suppose that  $\beta \neq \alpha$  is any other root of  $f(x)$ . Evaluating equation (4) at  $\beta$  gives

$$\begin{aligned} f(\beta) &= (\beta - \alpha)g(\beta) \\ 0 &= (\beta - \alpha)g(\beta). \end{aligned}$$

Since  $\beta - \alpha \neq 0$  and since  $R$  is a domain this implies that  $g(\beta) = 0$ . But by induction there can be at most  $n - 1$  distinct such  $\beta$  and we conclude that  $f(x)$  has at most  $1 + (n - 1) = n$  distinct roots in  $R$ .  $\square$

**Problem 5. The Original Noncommutative Ring.** The ring (actually an  $\mathbb{R}$ -algebra) of quaternions was defined by William Rowan Hamilton on the 16th of October, 1843. He defined it as the 4-dimensional  $\mathbb{R}$ -vector space

$$\mathbb{H} := \{a\mathbf{1} + b\mathbf{i} + c\mathbf{j} + d\mathbf{k} : a, b, c, d \in \mathbb{R}\},$$

where the abstract basis elements  $\mathbf{1}, \mathbf{i}, \mathbf{j}, \mathbf{k}$  satisfy the relations

$$\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{ijk} = -\mathbf{1}.$$

- (a) Prove that  $\mathbb{H}$  can be realized as a subring (actually an  $\mathbb{R}$ -subalgebra) of the ring of  $2 \times 2$  matrices over  $\mathbb{C}$ . [Hint: Let  $i \in \mathbb{C}$  be the imaginary unit. Show that the  $\mathbb{R}$ -linear map defined on the basis by

$$\mathbf{1} \mapsto \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \mathbf{i} \mapsto \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \quad \mathbf{j} \mapsto \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad \mathbf{k} \mapsto \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$$

is injective. Then show that the relations are satisfied.]

- (b) Use part (a) to compute the center  $Z(\mathbb{H})$ .  
 (c) It seems that the polynomial  $x^2 + \mathbf{1} \in \mathbb{H}[x]$  of degree 2 has at least **three** distinct roots:  $\mathbf{i}, \mathbf{j}, \mathbf{k} \in \mathbb{H}$ . What's the problem?

*Proof.* For part (a), let  $\varphi : \mathbb{H} \rightarrow \text{Mat}_{2 \times 2}(\mathbb{C})$  be the linear map defined in the hint. Then for all  $a\mathbf{1} + b\mathbf{i} + c\mathbf{j} + d\mathbf{k} \in \mathbb{H}$  we have

$$\begin{aligned} \varphi(a\mathbf{1} + b\mathbf{i} + c\mathbf{j} + d\mathbf{k}) &= a\varphi(\mathbf{1}) + b\varphi(\mathbf{i}) + c\varphi(\mathbf{j}) + d\varphi(\mathbf{k}) \\ &= a \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + b \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} + c \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} + d \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \\ &= \begin{pmatrix} a + ib & c + id \\ -c + id & a - ib \end{pmatrix}. \end{aligned}$$

Note that this function is injective since if we have

$$\begin{aligned} \varphi(a\mathbf{1} + b\mathbf{i} + c\mathbf{j} + d\mathbf{k}) &= \varphi(e\mathbf{1} + f\mathbf{i} + g\mathbf{j} + h\mathbf{k}) \\ \begin{pmatrix} a + ib & c + id \\ -c + id & a - ib \end{pmatrix} &= \begin{pmatrix} e + if & g + ih \\ -g + ih & e - if \end{pmatrix} \end{aligned}$$

then it follows that  $a + ib = e + if$  (hence  $a = e$  and  $b = f$ ) and  $c + id = g + ih$  (hence  $c = g$  and  $d = h$ ), and we conclude that  $a\mathbf{1} + b\mathbf{i} + c\mathbf{j} + d\mathbf{k} = e\mathbf{1} + f\mathbf{i} + g\mathbf{j} + h\mathbf{k}$ . Now to show that  $\varphi : \mathbb{H} \hookrightarrow \text{Mat}_{2 \times 2}(\mathbb{C})$  is a ring homomorphism it is sufficient to show that the images  $\varphi(\mathbf{1}), \varphi(\mathbf{i}), \varphi(\mathbf{j}), \varphi(\mathbf{k})$  satisfy Hamilton's relations.

[Indeed, Hamilton's definition can be expressed in modern terms as follows. Let  $R := \mathbb{R}\langle \mathbf{1}, \mathbf{i}, \mathbf{j}, \mathbf{k} \rangle$  be the ring of polynomials in the **noncommuting** indeterminates  $\mathbf{1}, \mathbf{i}, \mathbf{j}, \mathbf{k}$  and let  $I := \langle \mathbf{i}^2 + \mathbf{1}, \mathbf{j}^2 + \mathbf{1}, \mathbf{k}^2 + \mathbf{1}, \mathbf{ijk} + \mathbf{1} \rangle \subseteq R$  be the smallest two-sided ideal containing (i.e., generated by) the set  $A := \{\mathbf{i}^2 + \mathbf{1}, \mathbf{j}^2 + \mathbf{1}, \mathbf{k}^2 + \mathbf{1}, \mathbf{ijk} + \mathbf{1}\}$ . Then we define

$$\mathbb{H} := \frac{R}{I} = \frac{R}{\langle A \rangle}.$$

Moreover, this definition satisfies the following universal property: Let  $\varphi : R \rightarrow S$  be any ring homomorphism sending  $A$  to zero. Then it must also send  $I = \langle A \rangle$  to zero and it follows that there exists a unique ring homomorphism  $\bar{\varphi} : R/I \rightarrow S$  such that

$$\begin{array}{ccc} & R & \\ \pi \swarrow & & \searrow \varphi \\ R/I & \xrightarrow{\bar{\varphi}} & S \end{array}$$

In our case we have  $S = \text{Mat}_{2 \times 2}(\mathbb{C})$  and  $\varphi : R \rightarrow S$  is the unique ring homomorphism defined by the hint. By abuse of notation we have also written  $\bar{\varphi} = \varphi$ . Surely this is not the way Hamilton thought about the problem.]

And this is verified by the following computations:

$$\begin{aligned} \varphi(\mathbf{i})^2 &= \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}^2 = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -\varphi(\mathbf{1}), \\ \varphi(\mathbf{j})^2 &= \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}^2 = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -\varphi(\mathbf{1}), \\ \varphi(\mathbf{k})^2 &= \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}^2 = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -\varphi(\mathbf{1}), \\ \varphi(\mathbf{i})\varphi(\mathbf{j})\varphi(\mathbf{k}) &= \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -\varphi(\mathbf{1}). \end{aligned}$$

For part (b), since  $\varphi$  is an injective ring homomorphism it is enough to find all  $\alpha := a\mathbf{1} + b\mathbf{i} + c\mathbf{j} + d\mathbf{k} \in \mathbb{H}$  such that  $\varphi(\alpha)\varphi(\beta) = \varphi(\beta)\varphi(\alpha)$  for all  $\beta \in \mathbb{H}$ . In particular we must

have

$$\begin{aligned}\varphi(\alpha)\varphi(\mathbf{i}) &= \varphi(\mathbf{i})\varphi(\alpha) \\ \begin{pmatrix} a+ib & c+id \\ -c+id & a-ib \end{pmatrix} \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} &= \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \begin{pmatrix} a+ib & c+id \\ -c+id & a-ib \end{pmatrix} \\ \begin{pmatrix} ia-b & -ic+d \\ -ic-d & -ia-b \end{pmatrix} &= \begin{pmatrix} ia-b & ic-d \\ ic+d & -ia-b \end{pmatrix},\end{aligned}$$

which implies that  $-ic+d = ic-d$ , hence  $c = 0$  and  $d = 0$ . And we must also have

$$\begin{aligned}\varphi(\alpha)\varphi(\mathbf{j}) &= \varphi(\mathbf{j})\varphi(\alpha) \\ \begin{pmatrix} a+ib & 0 \\ 0 & a-ib \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} &= \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} a+ib & 0 \\ 0 & a-ib \end{pmatrix} \\ \begin{pmatrix} 0 & a+ib \\ -a+ib & 0 \end{pmatrix} &= \begin{pmatrix} 0 & a-ib \\ -a-ib & 0 \end{pmatrix},\end{aligned}$$

which implies that  $a+ib = a-ib$ , hence  $b = 0$ . We conclude that  $\alpha = a\mathbf{1} + 0\mathbf{i} + 0\mathbf{j} + 0\mathbf{k}$ . Thus the center of  $\mathbb{H}$  consists of the “purely real” quaternions:

$$Z(\mathbb{H}) = \{a\mathbf{1} + 0\mathbf{i} + 0\mathbf{j} + 0\mathbf{k} : a \in \mathbb{R}\} \approx \mathbb{R}.$$

In particular, if  $\alpha \in \mathbb{H}$  is **not** purely real then the solution to Problem 3(b) shows that the evaluation function  $\varphi_\alpha : \mathbb{H}[x] \rightarrow \mathbb{H}$  is **not** a ring homomorphism. Thus the proof of Problem 4, which assumes that evaluation is a homomorphism, fails in this case. This explains the strange observation in part (c).  $\square$

[Remark: In fact, one can show that every purely imaginary quaternion  $a\mathbf{i} + b\mathbf{j} + c\mathbf{k} \in \mathbb{H}$  satisfying  $a^2 + b^2 + c^2 = 1$  is a root of the polynomial  $x^2 + 1 \in \mathbb{H}[x]$ . That’s a lot of roots! In 1965 Gordon and Motzkin showed how to fix this situation by proving that a polynomial of degree  $n$  over a division ring  $D$  has roots in at most  $n$  conjugacy classes of  $D$ .]

**Problem 6. Monomorphisms and Epimorphisms.** The notions of injective and surjective functions are not categorically well-behaved. In a general category they should be replaced with the notions of “monomorphism” and “epimorphism”.

Let  $\alpha : X \rightarrow Y$  be a morphism in a category  $\mathcal{C}$ . We say that  $\alpha$  is a **monomorphism** if for all objects  $Z \in \mathcal{C}$  and all morphisms  $\beta_1, \beta_2 : Z \rightarrow X$  we have

$$\alpha \circ \beta_1 = \alpha \circ \beta_2 \implies \beta_1 = \beta_2.$$

We say  $\alpha$  is an **epimorphism** if for all  $Z \in \mathcal{C}$  and  $\beta_1, \beta_2 : Y \rightarrow Z$  we have

$$\beta_1 \circ \alpha = \beta_2 \circ \alpha \implies \beta_1 = \beta_2.$$

- (a) In the category **Set**, prove that monomorphisms are the same as injective functions and epimorphisms are the same as surjective functions.
- (b) In the category **Rng**, prove that an epimorphism may fail to be surjective.

*Proof.* For part (a) consider two sets  $X, Y \in \mathbf{Set}$  and a function  $\alpha : X \rightarrow Y$ .

We will first show that  $\alpha$  is injective if and only if it is a monomorphism. So let  $\alpha : X \rightarrow Y$  be injective and consider any functions  $\beta_1, \beta_2 : Z \rightarrow X$  such that  $\alpha \circ \beta_1 = \alpha \circ \beta_2$ . Then for any element  $z \in Z$  we have  $\alpha(\beta_1(z)) = \alpha(\beta_2(z))$ , and the fact that  $\alpha$  is injective implies that  $\beta_1(z) = \beta_2(z)$ . We conclude that  $\beta_1 = \beta_2$  and hence  $\alpha$  is a monomorphism.

Conversely, let  $\alpha : X \rightarrow Y$  be a monomorphism and suppose that we have  $\alpha(x_1) = \alpha(x_2)$  for some elements  $x_1, x_2 \in X$ . Now let  $Z = \{*\}$  be a set with one element and consider the

functions  $\beta_1, \beta_2 : Z \rightarrow X$  defined by  $\beta_1(*) := x_1$  and  $\beta_2(*) := x_2$ . Since  $\alpha \circ \beta_1 = \alpha \circ \beta_2$  as functions, the fact that  $\alpha$  is a monomorphism implies that  $\beta_1 = \beta_2$ , and hence  $x_1 = \beta_1(*) = \beta_2(*) = x_2$ . We conclude that  $\alpha$  is injective.

Next we will show that  $\alpha$  is surjective if and only if it is an epimorphism. So let  $\alpha : X \rightarrow Y$  be surjective and consider any functions  $\beta_1, \beta_2 : Y \rightarrow Z$  such that  $\beta_1 \circ \alpha = \beta_2 \circ \alpha$ . For any element  $y \in Y$  there exists an element  $x \in X$  such that  $\alpha(x) = y$  so that

$$\beta_1(y) = \beta_1(\alpha(x)) = \beta_2(\alpha(x)) = \beta_2(y).$$

We conclude that  $\beta_1 = \beta_2$  and hence  $\alpha$  is an epimorphism.

Conversely, suppose that  $\alpha : X \rightarrow Y$  is an epimorphism and consider a set  $Z = \{0, 1\}$  with two elements. We will define functions  $\beta_1, \beta_2 : Y \rightarrow Z$  by setting  $\beta_1(y) := 1$  for all  $y \in Y$  and

$$\beta_2(y) := \begin{cases} 1 & \text{if } y \in \text{im } \alpha \\ 0 & \text{if } y \notin \text{im } \alpha \end{cases}.$$

Now observe that  $\beta_1(\alpha(x)) = 1 = \beta_2(\alpha(x))$  for all  $x \in X$  and hence  $\beta_1 \circ \alpha = \beta_2 \circ \alpha$ . Since  $\alpha$  is an epimorphism this implies that  $\beta_1 = \beta_2$ . Finally, the fact that  $\beta_2(y) = \beta_1(y) = 1$  for all  $y \in Y$  implies that  $\text{im } \alpha = Y$ , hence  $\alpha$  is surjective.

For part (b), consider the unique ring homomorphism  $i : \mathbb{Z} \rightarrow \mathbb{Q}$ . Clearly this is not a surjection, but we will show that it is an epimorphism. Indeed, for any ring homomorphism  $\varphi : \mathbb{Q} \rightarrow R$  and any  $0 \neq q \in \mathbb{Z}$  we must have  $\varphi(i(q)) \varphi(i(q)^{-1}) = \varphi(i(q)i(q)^{-1}) = \varphi(1_{\mathbb{Q}}) = 1_R$  and hence  $\varphi(i(q)^{-1}) = \varphi(i(q))^{-1}$ . Now consider any two ring homomorphisms  $\beta_1, \beta_2 : \mathbb{Q} \rightarrow R$  such that  $\beta_1 \circ i = \beta_2 \circ i$ . Then for all  $p, q \in \mathbb{Z}$  with  $q \neq 0$  we have

$$\beta_1(i(p)i(q)^{-1}) = \beta_1(i(p)) \beta_1(i(q))^{-1} = \beta_2(i(p)) \beta_2(i(q))^{-1} = \beta_2(i(p)i(q)^{-1}).$$

Since every element of  $\mathbb{Q}$  can be written in the form  $i(p)i(q)^{-1}$  for some  $p, q \in \mathbb{Z}$  this implies that  $\beta_1 = \beta_2$  and hence  $i : \mathbb{Z} \rightarrow \mathbb{Q}$  is an epimorphism.  $\square$