

**Problem 0. (Drawing Pictures)** What does the curve  $y^2 = x^2(x+1)$  look like “at infinity”? We define  $n$ -dimensional real projective space  $\mathbb{R}P^n$  as the set of lines through the origin in  $\mathbb{R}^{n+1}$ . That is, we define

$$\mathbb{R}P^n := \{[a_0 : a_1 : \cdots : a_n] : a_i \in \mathbb{R}, \text{ not all zero}\},$$

and we declare that  $[a_0 : \cdots : a_n] = [b_0 : \cdots : b_n]$  if there exists  $0 \neq \lambda \in \mathbb{R}$  such that  $a_i = \lambda b_i$  for all  $i$ . (These are called **homogeneous coordinates**.) If we distinguish between the lines with  $a_0 \neq 0$  and  $a_0 = 0$  we get a decomposition

$$\mathbb{R}P^n = \mathbb{R}^n \cup \mathbb{R}P^{n-1}$$

where  $\mathbb{R}^n = \{[1 : a_1 : \cdots : a_n]\}$  is the “affine” part and  $\mathbb{R}P^{n-1} = \{[0 : a_1 : \cdots : a_n]\}$  is the part “at infinity”. Now, given a polynomial  $f(x, y) \in \mathbb{R}[x, y]$  of degree  $n$  we can “homogenize” it:

$$F(x, y, z) := z^n \cdot f\left(\frac{x}{z}, \frac{y}{z}\right).$$

Note that the polynomial  $F(x, y, z)$  is “homogeneous” of degree  $n$ :

$$F(\lambda x, \lambda y, \lambda z) = \lambda^n \cdot F(x, y, z) \text{ for all } 0 \neq \lambda \in \mathbb{R}.$$

Thus the condition  $F(x, y, z) = 0$  defines a curve in  $\mathbb{R}P^2$  called the **homogenization** of the curve  $f(x, y) = 0$  in  $\mathbb{R}^2$ . We can recover the original curve by “de-homogenizing”, i.e., by setting  $z = 1$ . **Finally**, consider the curve in  $\mathbb{R}P^2$  defined by the homogeneous cubic polynomial  $y^2z = x^2(x+z)$ . **Draw** the three de-homogenizations at  $z = 1$ ,  $y = 1$ , and  $x = 1$ . Describe the behavior of the curve  $y^2 = x^2(x+1)$  at the  $z = 0$  “line at infinity”  $\mathbb{R}P^1$ . We can also think of  $\mathbb{R}P^2$  as the unit sphere in  $\mathbb{R}^3$  with antipodal points identified. **Draw** the curve  $y^2z = x^2(x+z)$  on the unit sphere. What does it do at the  $z = 0$  equator?

**Problem 1. ( $\mathbb{Z}[y]$  and  $K[x, y]$  are not PIDs)** Let  $R$  be a ring such that  $R[y]$  is a PID. You will show that  $R$  must be a field. Since  $\mathbb{Z}$  and  $K[x]$  are not fields, this implies that  $\mathbb{Z}[y]$  and  $K[x, y]$  are not PIDs.

- Prove that  $R$  is a domain.
- Prove that  $R[y]/(y)$  is isomorphic to  $R$ , hence  $(y) \leq R[y]$  is a prime ideal.
- Prove that  $(y) \leq R[y]$  is a maximal ideal, hence  $R$  is a field.

**Problem 2. (Gauss’ Lemma)** Let  $R$  be a PID with field of fractions  $K = \text{Frac}(R)$ . We say that a polynomial  $f(y) \in R[y]$  is **primitive** if its coefficients have no common prime factor.

- If  $p \in R$  is prime, let  $f(y) \mapsto \bar{f}(y)$  denote the map  $R[y] \rightarrow R/(p)[y]$  defined by reducing coefficients mod  $p$ . Prove that this is a ring homomorphism.
- If  $f, g \in R[y]$  are primitive, prove that the product  $fg$  is also primitive. [Hint: Let  $f, g$  be primitive and let  $p$  be a prime dividing all the coefficients of  $fg$ . This implies that  $0 = \overline{fg} = \bar{f}\bar{g}$ . Conclude that  $\bar{f} = 0$  or  $\bar{g} = 0$ .]
- Given any  $h(y) \in K[y]$  show that we can write  $h(y) = \alpha h_0(y)$  where  $\alpha \in K^\times$  and  $h_0(y) \in R[y]$  is primitive.
- Consider  $f, g \in R[y]$  with  $g$  primitive. If  $f = gh$  with  $h \in K[y]$ , prove that we actually have  $h \in R[y]$ . [Hint: By part (c) we can write  $h = \alpha h_0$  with  $\alpha \in K^\times$  and  $h_0 \in R[y]$  primitive. Then by part (b) we have  $f = \alpha gh_0$  with  $gh_0 \in R[y]$  primitive. Since

the coefficients  $a_1, \dots, a_n \in R$  of  $gh_0$  are coprime and since  $R$  is a PID, there exist  $b_1, \dots, b_n \in R$  such that  $1 = a_1b_1 + \dots + a_nb_n$ . We conclude that

$$\alpha = \alpha \cdot 1 = \alpha \left( \sum a_i b_i \right) = \sum (\alpha a_i) b_i \in R.$$

**Problem 3. ( $\mathbb{Z}[y]$  and  $K[x, y]$  are UFDs)** Recall that a domain  $R$  is a UFD if every element factors into prime elements, times a unit. In this case the prime factors are unique up to associates. If  $R$  is a PID, you will prove that  $R[y]$  is a UFD.

(a) Show that every polynomial  $f(y) \in R[y]$  can be factored as

$$f(y) = up_1p_2 \cdots p_kq_1(y)q_2(y) \cdots q_\ell(y),$$

where  $u \in R^\times$  is a unit,  $p_1, \dots, p_k \in R$  are irreducible constants, and  $q_1, \dots, q_\ell \in R[y]$  are irreducible **primitive** polynomials of degree  $\geq 1$ . [Hint: Let  $K = \text{Frac}(R)$ . First factor  $f(y)$  into irreducibles in  $K[y]$ . Use Problem 2(c) and 2(d) to write  $f(y) = cq_1(y) \cdots q_\ell(y)$  with  $c \in R$ . Then factor  $c$  in  $R$ .]

(b) If  $p \in R$  is an irreducible constant, prove that  $p \in R[y]$  is prime. [Hint: If  $p$  divides  $g(y)h(y)$  in  $R[y]$  show that  $p$  divides every coefficient of  $g(y)h(y)$ . If  $f \mapsto \bar{f}$  is the reduction homomorphism  $R[y] \rightarrow R/(p)[y]$  then we have  $0 = \bar{g}\bar{h} = \bar{g}\bar{h}$ . Now use the fact that  $p$  is irreducible in  $R$ .]

(c) If  $f(y) \in R[y]$  is irreducible and primitive, show that  $f(y) \in R[y]$  is prime. [Hint: Let  $K = \text{Frac}(R)$ . Problem 2(d) says that if  $f(y)$  is irreducible in  $R[y]$  then  $f(y)$  is irreducible (hence prime) in the PID  $K[y]$ . Suppose that  $f(y)$  divides  $g(y)h(y)$  in  $R[y]$ , and hence also in  $K[y]$ . It follows that  $f(y)$  divides  $g(y)$  or  $h(y)$  in  $K[y]$ . Now what?]

**Problem 4. (Nonmaximal primes in  $\mathbb{Z}[y]$  and  $K[x, y]$ )** Let  $R$  be a ring that is not a field. You will show that  $R[x]$  has a nonmaximal prime ideal.

(a) Given an ideal  $I \leq R$ , prove that the set

$$I[x] := \left\{ \sum_k a_k x^k \in R[x] : a_k \in I \text{ for all } k \right\}.$$

is an ideal of  $R[x]$  and that we have  $(R[x])/(I[x]) \approx (R/I)[x]$ . [Hint: Show that the map  $R[x] \rightarrow (R/I)[x]$  defined by  $\sum_k a_k x^k \mapsto \sum_k (a_k + I)x^k$  is a ring homomorphism.]

(b) Since  $R$  is not a field, Zorn's Lemma (i.e. the Axiom of Choice) implies that  $R$  contains a maximal (hence prime) ideal  $(0) < P < R$ . (You don't need to prove this.) Show that  $P[x]$  is a prime ideal of  $R[x]$  that is not maximal. [Hint: Show that  $(R/P)[x]$  is a domain but not a field.]

(c) If  $R$  is a PID but not a field, show that there exists a prime ideal  $(0) < (p) < (1)$  without using the Axiom of Choice.