

Welcome to MTH 562.

— sorry, NO CLASS this Friday Jan 20, 2012
(all hail the USA).

Text: Artin, "Algebra"

Evaluation:

25% Homework

25% Exam 1

25% Exam 2

25% Exam 3

} No Final.

} Exam.

Broad Outline.

Then

MTH 561 (Artin Ch. 1-10)

Main Idea: Groups (non-commutative)

Main Example: Matrix Groups.

Applications: Geometry (Lie Theory, Physics)

Now

MTH 562 (Artin Ch. 11-16)

Main Idea: Rings (commutative)

Main Examples: \mathbb{Z} (integers)

& Polynomials

Applications: Number Theory

& Algebraic Geometry

(Physics?)

Def: A ring is a set R with two binary operations $(a, b) \mapsto a+b$, $(a, b) \mapsto a \times b$.
Satisfying:

- + • $(R, +, 0)$ is an abelian group.
 - $a+b = b+a \quad \forall a, b \in R$
 - $a+(b+c) = (a+b)+c \quad \forall a, b, c \in R$
 - $\exists 0 \in R, a+0 = a \quad \forall a \in R$.
 - $\forall a \in R \exists b \in R, a+b = 0$
(Notation: say $b = "-a"$)

X • $a \times (b \times c) = (a \times b) \times c \quad \forall a, b, c \in R$

• Distributive Laws

- $a \times (b+c) = a \times b + a \times c \quad \forall a, b, c \in R$
 $(a+b) \times c = a \times c + b \times c$

★ Warning: Artin also says

- $a \times b = b \times a \quad \forall a, b$ (commutative)
- $\exists 1 \in R, 1 \times a = a \quad \forall a \in R$

(i.e. $(R, \times, 1)$ is a commutative semigroup)

Let $R^\times \subseteq R$ be group of units.

$$R^\times = \{ a \in R : \exists b \in R, ab = ba = 1 \}$$

Everyone agrees: $R^\times \neq R - \{0\}$
is possible.

Def. A field is a comm. ring R with 1
such that $R^\times = R - \{0\}$.

Examples.

① $\mathbb{Z} = \{ \dots, -2, -1, 0, 1, 2, \dots \}$

② $\mathbb{Z}/n\mathbb{Z} = \{ \bar{0}, \bar{1}, \bar{2}, \dots, \overline{n-1} \}$

where $\bar{a} = a + n\mathbb{Z} = \{ \dots, a-n, a, a+n, a+2n, \dots \}$

$$\text{and } \bar{a}\bar{b} = \overline{ab}$$

$$\bar{a} + \bar{b} = \overline{a+b}$$

"Modular Arithmetic".

③ $C[0,1] = \{ f: [0,1] \rightarrow \mathbb{R}, f \text{ continuous} \}$

Given $f, g \in C[0,1]$ define $f+g, fg$ by

$$(f+g)(x) := f(x) + g(x)$$

$$(fg)(x) := f(x)g(x)$$

$$\forall x \in [0,1].$$

[NB: $C[0,1]$ is also an \mathbb{R} -vector space
with scalar mult by $r \in \mathbb{R}$:

$$(rf)(x) := r f(x).$$

Ring + \mathbb{R} -v.s. = \mathbb{R} -algebra.]

(4) If R is a ring, define the ring of polynomials

$$R[x] := \left\{ a_0 + a_1 x + a_2 x^2 + \dots : a_0, a_1, \dots \in R, \right. \\ \left. a_i = 0 \text{ for all but finitely many } i \right\} \\ \text{("} a_i = 0 \text{ a.e.")} \\ \text{almost everywhere.}$$

$$\text{Let } f(x) = \sum_k a_k x^k, \quad g(x) = \sum_k b_k x^k. \quad \text{Then}$$

$$\begin{aligned} (f+g)(x) &:= f(x) + g(x) \\ &= (a_0 + b_0) + (a_1 + b_1)x + (a_2 + b_2)x^2 \\ &= \sum_k (a_k + b_k) x^k. \end{aligned}$$

$$\begin{aligned} (fg)(x) &:= f(x)g(x) = (a_0 + a_1 x + \dots)(b_0 + b_1 x + \dots) \\ &= a_0 b_0 + (a_0 b_1 + a_1 b_0)x \\ &\quad + (a_0 b_2 + a_1 b_1 + a_2 b_0)x^2 + \dots \uparrow \\ &= \sum_k \left(\sum_{i+j=k} a_i b_j \right) x^k \quad \text{finite?} \end{aligned}$$

Define: Given $f = \sum a_k x^k \in R[x]$

$$\deg(f) = \max \{ n : a_n \neq 0 \}$$

i.e. $f(x) = a_0 + a_1 x + \dots + a_n x^n$

Q: $\deg(fg) = \deg(f) + \deg(g)$? (HW)

(5) $R[[x]]$

$$:= \left\{ \sum a_n x^n : a_0, a_1, \dots \in R \right\}$$

The ring of formal power series.

$+$, \times same as $R[x]$.

Some structure theory:

Q: What is a subring?

Q: What is a ring homomorphism?

Some Business:

- HW 1 due Wed Feb 1.
- Exams
 - ① Fri Feb 17
 - ② Wed Mar 28
 - ③ Fri Apr 27

Today: Structure Theory

Group	Ring
Subgroup	Subring
Normal Subgroup	?

Recall: Given subgroup $H \leq G$,

$H \trianglelefteq G \iff H = \ker \varphi$ for some group hom $\varphi: G \rightarrow G'$
(normal)

$g \in G, h \in H$
 $\implies ghg^{-1} \in H$

$\varphi(ab) = \varphi(a)\varphi(b)$.
 $\ker \varphi = \{ a \in G : \varphi(a) = 1_{G'} \}$

Proof: Given $g \in G, a \in \ker \varphi$,

$\varphi(gag^{-1}) = \varphi(g)\varphi(a)\varphi(g)^{-1} = \varphi(g)\varphi(g)^{-1} = 1$
 $\implies gag^{-1} \in \ker \varphi \implies \ker \varphi \trianglelefteq G$

Conversely, let $H \trianglelefteq G$ and construct the quotient group

$$G/H := \{ gH : g \in G \}$$

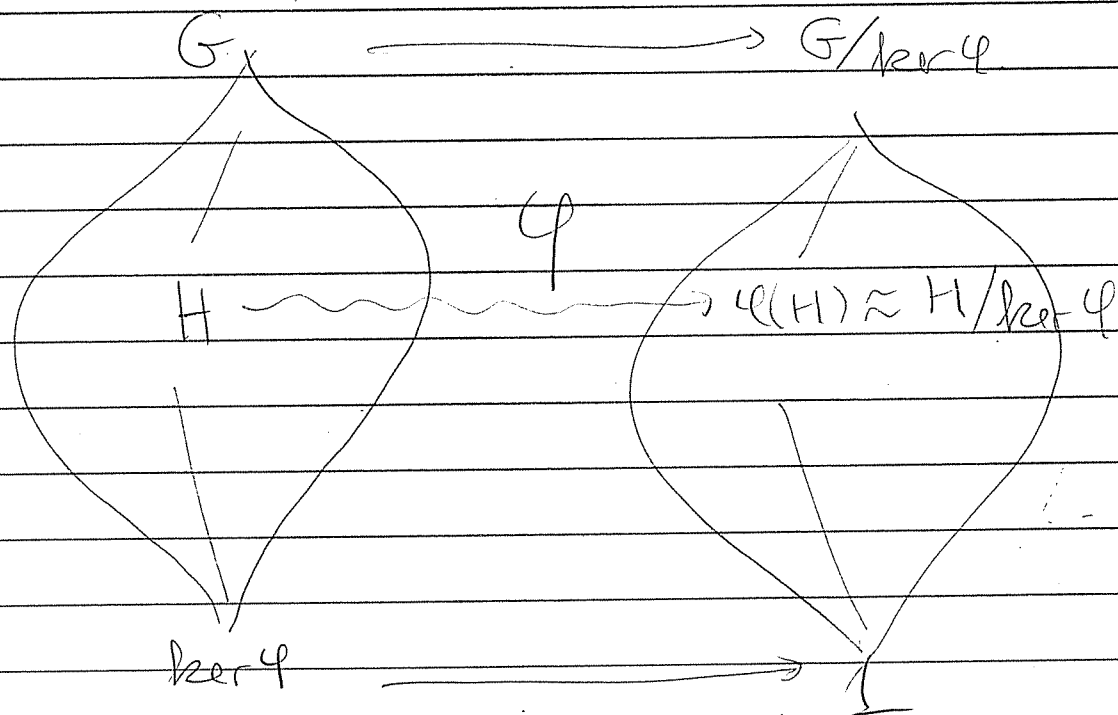
with $(aH)(bH) = (ab)H$. Then $H = \ker \pi$ for

$$\begin{array}{ccc} G & \xrightarrow{\pi} & G/H \\ g & \longmapsto & gH. \end{array}$$

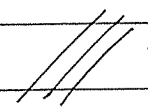


~~~~> Isomorphism Theorems.

Given hom  $\varphi: G \rightarrow G'$ .



Lattice Isomorphism!



Now: Do some for rings.

DEF: Given ring  $R$  say  $S \subseteq R$  is subring if:

•  $a, b \in S \Rightarrow a+b \in S$  &  $a-b \in S$  (i.e.  $0 \in S$ )

•  $a, b \in S \Rightarrow ab \in S$ .

(•  $1 \in S$ ) ??? DO WE WANT THIS?



if it exists

Q: Correct def. of ring hom?  $\varphi: R \rightarrow S$

①  $\varphi(a+b) = \varphi(a) + \varphi(b)$

②  $\varphi(ab) = \varphi(a)\varphi(b)$ .

Think... ①  $\Rightarrow \varphi(0_R) = 0_S$

①  $\Rightarrow \varphi(-a) = -\varphi(a)$

HW

Also want  $\varphi(1_R) = 1_S$

$\varphi(a^{-1}) = \varphi(a)^{-1}$  if  $a^{-1}$  exists

But ② is not enough ☹️ (Why?)

DEF: Say  $\varphi: R \rightarrow S$  is ring homomorphism if

①  $\varphi(a+b) = \varphi(a) + \varphi(b)$

②  $\varphi(ab) = \varphi(a)\varphi(b)$

$\forall a, b \in R$

③  $\varphi(1_R) = 1_S$



if they exist



Idea: kernels are important.

$$\ker \varphi := \{ a \in R : \varphi(a) = 0_S \}$$

- $\ker \varphi \subseteq R$  is (weak) subring.

Proof: Let  $a, b \in \ker \varphi$  i.e.  $\varphi(a) = \varphi(b) = 0$ .

$$\text{Then } \varphi(a+b) = \varphi(a) + \varphi(b) = 0 + 0 = 0$$

$$\& \varphi(ab) = \varphi(a)\varphi(b) = 0 \cdot 0 = 0$$

↖ HW 3 MTH 561

$$\Rightarrow a+b, ab \in \ker \varphi.$$

↓ (Possibly  $1_R \notin \ker \varphi$ )

- But more is true.

SPECIAL PROPERTY OF KERNELS.

Given  $a \in R$ ,  $b \in \ker \varphi$  we have  
 $ab \in \ker \varphi$  &  $ba \in \ker \varphi$ .

Proof:

$$\varphi(ab) = \varphi(a)\varphi(b) = \varphi(a) \cdot 0 = 0$$

$$\varphi(ba) = \varphi(b)\varphi(a) = 0 \cdot \varphi(a) = 0$$

↖ HW 3 MTH 561.  
□

So what?  
o

DEF: Let  $S \subseteq R$  be (weak) subring.  
We say  $S$  is a (two-sided) ideal if

$$r \in R, a \in S \implies ra \in S \text{ \& } ar \in S$$

( $S$  closed under multiplication by  $R$ )

Remark: NO interesting ideal contains  $1$   
since  $1 \in S \implies S = R$ .

Notion "subring" is troublesome. After today we'll never use it.

Better DEF: Say  $I \subseteq R$  is an ideal if

①  $a, b \in I \implies a + b \in I$

②  $a \in I, r \in R \implies ar \text{ \& } ra \in I$ .

Fundamental Theorem: Given  $I \subseteq R$ ,

$$\underline{I \text{ is ideal}} \iff I = \ker \varphi \text{ for some ring hom } \varphi: R \rightarrow R'$$

Proof: Given ideal  $I \subseteq R$  need a hom  $\varphi: R \rightarrow ?$  with  $\ker \varphi = I$ .

Note:  $I \trianglelefteq R$  as "+" groups so we have group

$$R/I := \{ r+I : r \in R \}$$

with  $(a+I) + (b+I) = (a+b) + I$ ,

Get  $R \xrightarrow{\pi} R/I$  hom of "+" groups  
 $r \mapsto r+I$

with  $\ker \pi = I$ .

Q: What more do we need? : hom.

A: Need mult on  $R/I$  with  $\pi(rs) = \pi(r)\pi(s)$ .

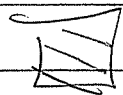
DEF:  $(r+I) \cdot (s+I) := (rs) + I$ .

HW 1.4: This is well-defined since  $I$  is an ideal.

$\Rightarrow R/I$  is a ring ("quotient ring").

with ring hom  $R \xrightarrow{\pi} R/I$   
 $r \mapsto r+I$ .

$I = \ker \varphi$ .



## Business

◦ HW 1 Wed Feb 1

◦ Exams: Fri Feb 17

Wed Mar 28

Fri Apr 27

◦ Office Hours: Mon ~~12:20 - 1:10~~

Wed ~~12:20 - 1:10~~

Thurs 2:30 - 3:20

Groups

Subgroups

Normal Subgroups

Rings

Ideals

} →

DEF: Say  $I \subseteq R$  is an ideal if

(1)  $u, v \in I \Rightarrow u + v \in I$

(2)  $a \in R, u \in I \Rightarrow au \in I$  &  $ua \in I$ .

( $RI \subseteq I$  &  $IR \subseteq I$ ).

[ NB: Given  $u, v \in I$  we have  $-v \in I$   
since  $-1 \in R \Rightarrow (-1)v = -v \in I$ . (HW 3.7)  
Then  $u - v = u + (-v) \in I$ . ]

Given ideal  $I \subseteq R$  we may construct the quotient ring

$$R/I := \{a+I : a \in R\}$$

$$\begin{array}{l} (a+I) + (b+I) := (a+b) + I \\ (a+I) \cdot (b+I) := (ab) + I \end{array} \left. \begin{array}{l} \text{HW} \\ \text{check} \\ \text{well-defined.} \end{array} \right\}$$

Remark: Do we have

$$\begin{aligned} (ab) + I &= (a+I)(b+I) \\ &= \{ (a+u)(b+v) : u, v \in I \} ? \end{aligned}$$

NO. We have

$$(a+I)(b+I) \subseteq (ab) + I.$$

not usually a coset. Oh well...

---

Fundamental Theorem: Given ring  $R$  and subset  $I \subseteq R$  we have

I is ideal  $\iff \exists$  ring hom  $\varphi: R \rightarrow R'$   
with  $I = \ker \varphi$ .

Proof: Let  $R' = R/I$ ,  $\varphi: R \rightarrow R/I$

$$a \mapsto a+I$$



Ideals of  $R$  have a "lattice" structure.

Given ideals  $I, J \subseteq R$ ,

(1)  $I \cap J$  is an ideal.

Proof:

• For  $x, y \in I \cap J$  we have  $x, y \in I$

$\Rightarrow x+y \in I$ ,  $x, y \in J \Rightarrow x+y \in J$ .

Hence  $x+y \in I \cap J$ .

• Given  $a \in R$ ,  $x \in I \cap J$  we have.

$x \in I \Rightarrow ax, xa \in I$ ,  $x \in J \Rightarrow ax, xa \in J$ .

Hence  $ax, xa \in I \cap J$



(2)  $I \cup J$  is not an ideal, so ... define.

$$I \vee J := \bigcap_{\text{ideals } S \supseteq I \cup J} S$$

"smallest ideal containing  $I \cup J$ "

Proposition:  $I \vee J = I + J$   
 $= \{u+v : u \in I, v \in J\}$

Proof: " $\subseteq$ "

Note:  $I + J$  is an ideal.

• given  $u_1 + v_1, u_2 + v_2 \in I + J$ , we have  
 $(u_1 + v_1) + (u_2 + v_2) = (u_1 + u_2) + (v_1 + v_2) \in I + J$  ✓  
 $\quad \quad \quad \cap \quad \quad \quad \cap$   
 $\quad \quad \quad I \quad \quad \quad J$

• given  $a \in R, u + v \in I + J$  we have  
 $a(u + v) = (au) + (av) \in I + J$ .  
 $(u + v)a = (ua) + (va) \in I + J.$  ///

Hence  $I + J$  is an ideal  $\supseteq I \vee J$  (why?)

$\Rightarrow I \vee J = (I + J) \cap \bigcap_{\substack{\text{other} \\ S \supseteq I \vee J}} S \subseteq I + J$  ///

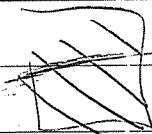
Next show  $I + J \subseteq I \vee J$ .

Given  $u \in I, v \in J$  note that  
 $u \in I \subseteq I \vee J \subseteq I \vee J$ .  
 $v \in J \subseteq I \vee J \subseteq I \vee J$ .

Then since  $I \vee J$  is an ideal,  
 $u, v \in I \vee J \Rightarrow u + v \in I \vee J$ .

Since  $u + v \in I \vee J \forall u \in I, v \in J$ ,  
we conclude

$I + J \subseteq I \vee J$ .



"zero ideal"

"unit ideal"

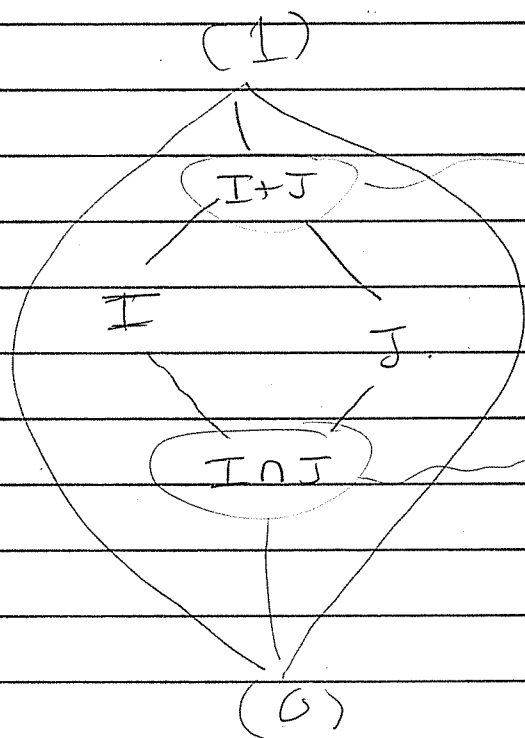
DEF: Let  $(0) = \{0\} \in R$ ,  $(1) = R$ ,

$\mathcal{L}(R) := \{ I : I \subseteq R \text{ is ideal} \}$ . Then

$(\mathcal{L}(R), \cap, +, (0), (1))$

is a lattice (the lattice of ideals)

PIC:



plays role of  
gcd = greatest  
common  
divisor

plays role of  
lcm = least common  
multiple.

Think :

- $A \subseteq B$  means "B divides A"
- $(1)$  "divides everything"
- "everything divides."  $(0)$ .



Office Hours Try Again:

Mon 1:25 - 2:15

Wed 1:25 - 2:15

Thurs 2:30 - 3:20

Typo (!) on HW 1 Problem 8(b)

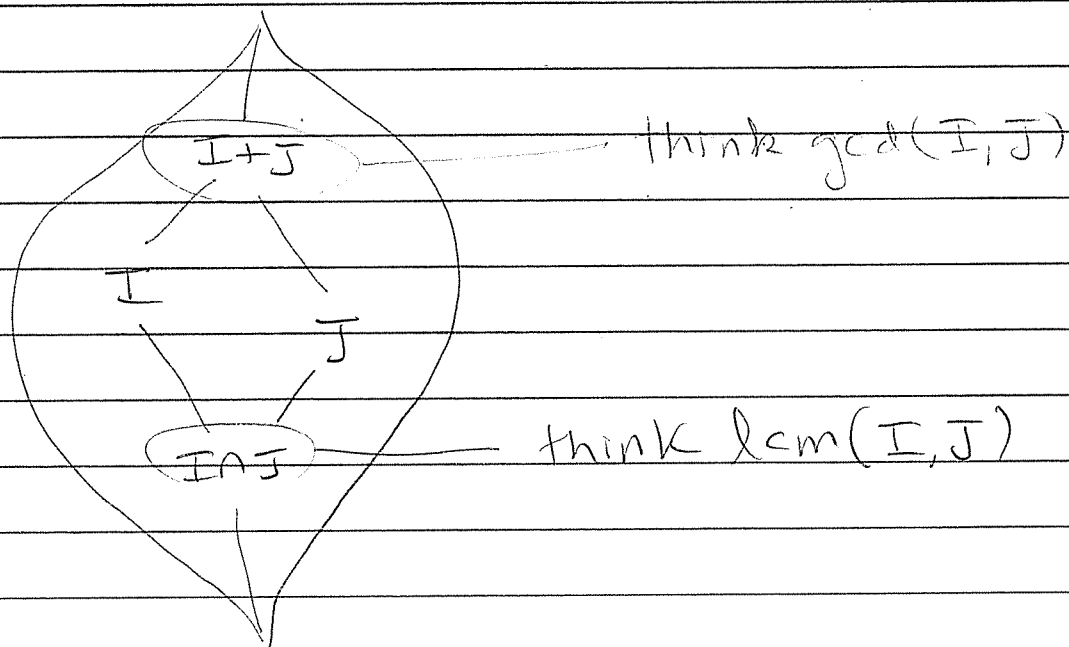
$f(x) = f(-x) \in \mathbb{C} \quad \forall f(x) \in \mathbb{R}[x] \quad \text{FALSE}$

$\overline{f(x)} = f(-x) \in \mathbb{C} \quad \forall f(x) \in \mathbb{R}[x] \quad \text{TRUE}$   
( $f(x) = 0 \Leftrightarrow f(-x) = 0$ )

See fix on webpage

Recall the "Lattice" of Ideals  $\mathcal{L}(R)$ :  
(see Wiki)

$(1) = R$  unit ideal



$(0) = \{0\}$  zero ideal

## 1st Isomorphism Theorem:

Given ring homomorphism  $\varphi: R \rightarrow S$  we have a canonical ring isomorphism

$$R/\ker \varphi \cong \text{im } \varphi$$

$$a + \ker \varphi \longmapsto \varphi(a)$$

Proof: (1) Well-Defined? Suppose  $a + \ker \varphi = b + \ker \varphi$

$$\implies \exists x, y \in \ker \varphi, a + x = b + y$$

$$\implies \varphi(a) = \varphi(a) + 0 = \varphi(a) + \varphi(x) = \varphi(a+x)$$

$$= \varphi(b+y) = \varphi(b) + \varphi(y) = \varphi(b) + 0 = \varphi(b) \quad \checkmark$$

(2) Injective? sp.  $\varphi(a) = \varphi(b)$ .

$$\implies \varphi(a-b) = \varphi(a) - \varphi(b) = 0$$

$$\implies a-b \in \ker \varphi$$

$$\implies a + \ker \varphi = b + \ker \varphi \quad \checkmark \quad (\text{Recall Ex 2})$$

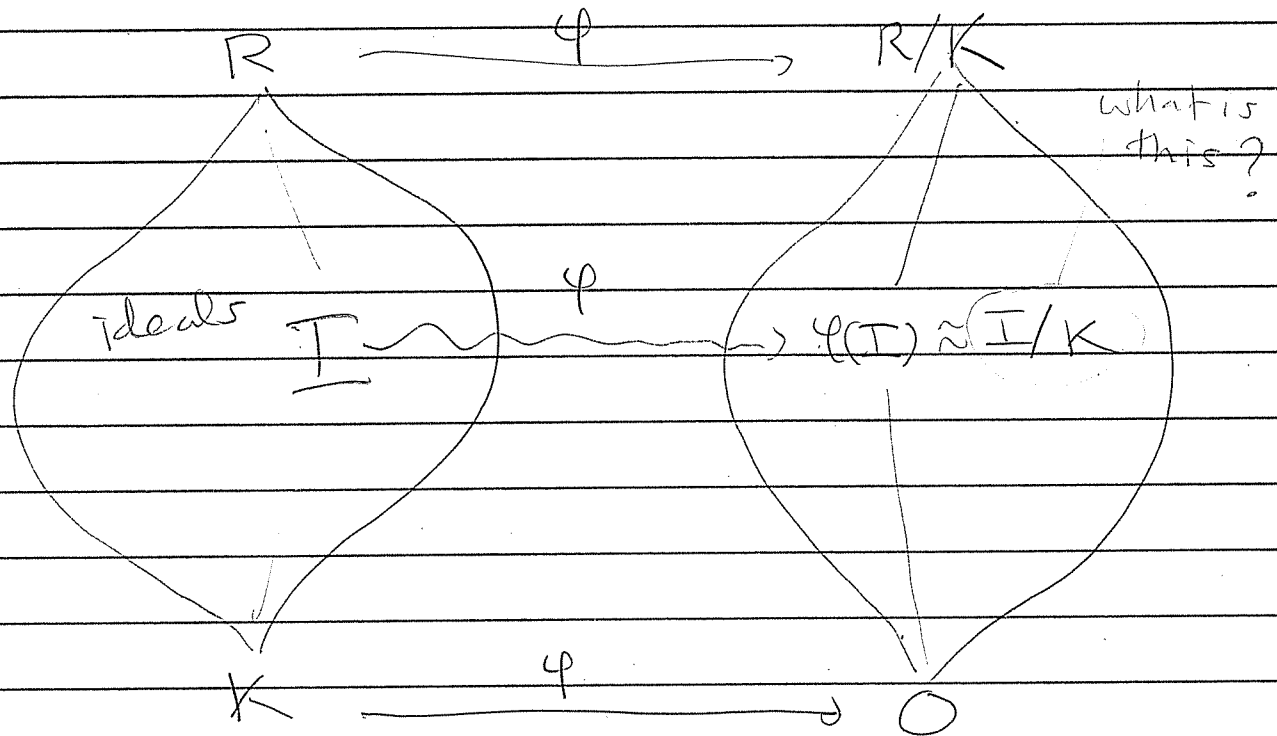
(3) Surjective? Built-in.

(4) Homomorphism? Built-in.  $\square$



"The" Isomorphism Theorem:

Given surjective ring hom  $\varphi: R \rightarrow R/K$   
with  $K = \ker \varphi$ , there is a lattice isomorphism



Details: omitted ☺

Take-away: Every category (groups, rings, etc.) has similar isomorphism theorems.

The Prototype:  $\mathbb{Z}$

$\exists$  function  $N: \mathbb{Z} \setminus \{0\} \rightarrow \mathbb{N}$  such that  
 $\forall a, b \neq 0$  in  $\mathbb{Z} \exists q, r \in \mathbb{Z}$ ,

①  $a = qb + r$

② Either  $r = 0$  OR  $N(r) < N(b)$

Q: What is  $N$ ? ("norm function")

A:  $N(a) = |a| = \begin{cases} a & \text{if } a > 0 \\ -a & \text{if } a < 0 \end{cases}$

Absolute Value

Theorem:

① Given  $a \in \mathbb{Z}$  the set

$(a) := a\mathbb{Z} := \{\dots, -2a, -a, 0, a, 2a, \dots\}$   
is an ideal of  $\mathbb{Z}$ .

(the "principal ideal" generated by  $a$ )

② IF  $I \subseteq \mathbb{Z}$  is an ideal then

$I = (a)$  for some  $a \in \mathbb{Z}$ .

(every ideal of  $\mathbb{Z}$  is principal).

Proof: (1) Exercise.

(2) Consider ideal  $I \subseteq \mathbb{Z}$ .

If  $I = \{0\}$  then  $I = (0)$ , done ✓

So sp.  $I \neq \{0\}$ . Choose  $a \in I$   
with  $N(a) = |a|$  minimal. Know  $(a) \subseteq I$ .

Claim:  $I = (a)$ .

Consider any other  $d \in I$ . Then  $\exists$ .

$$d = qa + r \text{ with } 0 \leq r < |a|.$$

$$\text{But } r = d - qa \in I \implies r = 0$$

$$\implies d = qa \in (a)$$

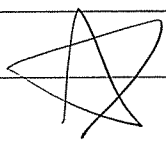
Hence  $I \subseteq (a)$ . □

Remark: Every ideal of  $\mathbb{Z}$  is principal. We say

$\mathbb{Z}$  is a PRINCIPAL IDEAL DOMAIN.

What did we use?

- Concept of "norm" - Euclid's Algorithm
- well-ordering



OK: HW 1 due Friday

OH: Mon 1:25 - 2:15

Wed 1:25 - 2:15

Thurs 2:30 - 3:20

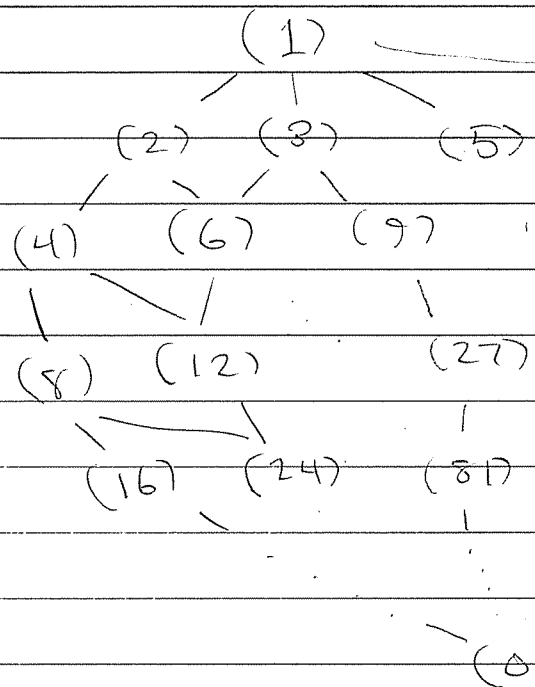
### Recall

- Every ideal  $I \subseteq \mathbb{Z}$  is principal.  
I.e.  $I = (a) = a\mathbb{Z} = \{ ak : k \in \mathbb{Z} \}$   
for some  $a \in \mathbb{Z}$ .

Say  $\mathbb{Z}$  is a PID ("Principal Ideal Domain")

- We have  $(a) \subseteq (b) \iff b \mid a$ .

$\Rightarrow$  Lattice of ideals  $\mathcal{L}(\mathbb{Z})$



$\approx \mathbb{Z}$  ordered  
by  
divisibility

Given ideals  $I, J \subseteq \mathbb{Z}$  with  
 $I = (a)$  &  $J = (b)$ , note

$$\left. \begin{array}{l} I+J = (d) \\ I \cap J = (m) \end{array} \right\} \text{ for some } d, m \in \mathbb{Z}.$$

General:  $I+J$  is smallest ideal with  
 $I+J \supseteq I$  &  $I+J \supseteq J$ .

Translation:  $d$  is largest integer with  
 $d|a$  &  $d|b$   
( $d = \gcd(a, b) = \text{greatest common divisor}$ )

General:  $I \cap J$  is biggest ideal with  
 $I \cap J \subseteq I$  &  $I \cap J \subseteq J$ .

Translation:  $m$  is smallest integer  
with  $a|m$  &  $b|m$   
( $m = \text{lcm}(a, b) = \text{least common multiple}$ ).

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Lattice Isomorphism Theorem for  $\mathbb{Z}$ .

Given ideal  $(n) \subseteq \mathbb{Z}$  we get a familiar  
quotient ring  $\downarrow$

$$\mathbb{Z}/(n) = \mathbb{Z}/n\mathbb{Z} = \{a + n\mathbb{Z} : a \in \mathbb{Z}\}$$

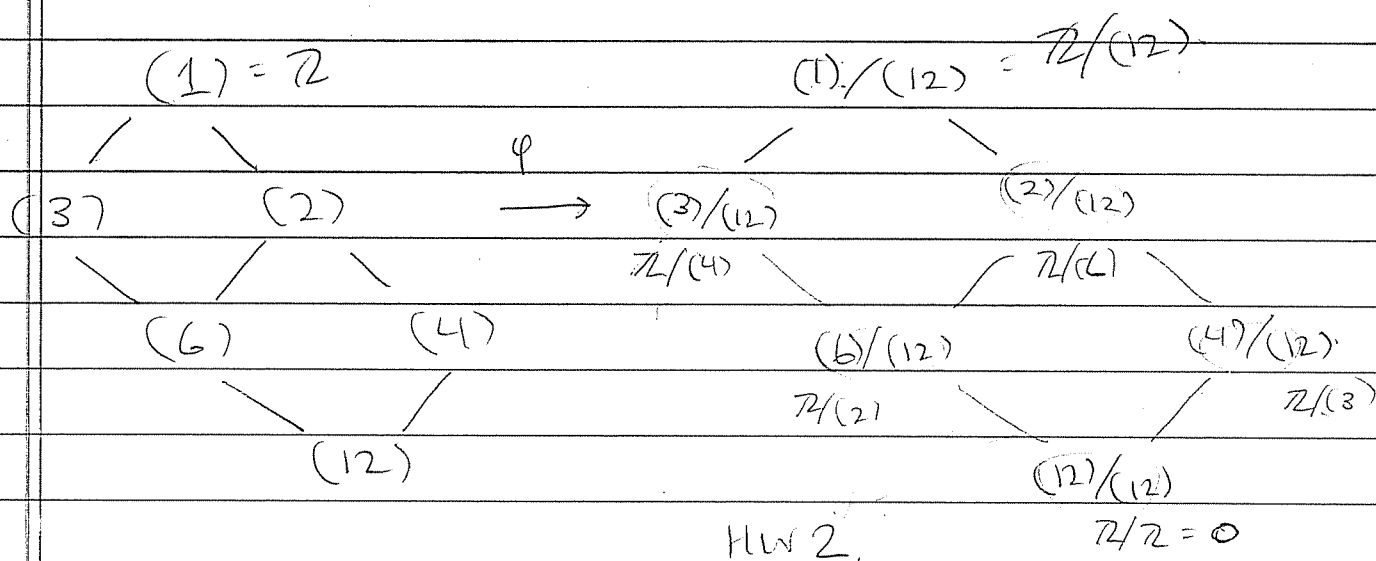
$$= \{\bar{0}, \bar{1}, \bar{2}, \dots, \overline{n-1}\}$$

where  $\bar{a} = a + n\mathbb{Z} = \{\dots, a-n, a, a+n, a+2n, \dots\}$

Canonical map  $\varphi: \mathbb{Z} \rightarrow \mathbb{Z}/(n)$  ( $\ker \varphi = (n)$ )  
 $a \mapsto \bar{a}$

gives a lattice isomorphism.

Eg for  $n=12$



Corollary:

$\mathcal{L}(\mathbb{Z}/(n)) = \text{lattice of divisors of } n$ .



Next Topic: Primality.

Q: What is the correct def. of "prime"?

TWO OPTIONS:

(1) Say  $p$  is "prime" if  
 $p|ab \implies a = \pm 1$  OR  $b = \pm 1$ .

(2) Say  $p$  is "prime" if  
 $p|ab \implies p|a$  OR  $p|b$ .

OOPS! In a general ring concepts  
(1) & (2) are distinct (neither implies  
the other).

DEF: Given  $p \in R$ ,

(1) Say  $p$  is irreducible if  
 $p|ab \implies a$  OR  $b$  is a unit.  
(unit = invertible).

(2) Say  $p$  is prime if  
 $p|ab \implies p|a$  OR  $p|b$ .

TRY to prove prime  $\Rightarrow$  irreducible

Proof: given  $a \in R$  prime, suppose  
 $a = bc$ . Want to show  $b$  OR  $c$   
is a unit.

Since  $a = 1bc \Rightarrow a | bc$   
and  $a$  prime  $\Rightarrow a | b$  OR  $a | c$

WLOG say  $a | b \Rightarrow at = b$ .

$$\Rightarrow a = bc = atc$$

$$\Rightarrow a(1 - tc) = 0$$

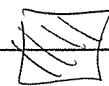
Now what?

We would like to say:

$$a \neq 0 \text{ hence } 1 - tc = 0 \Rightarrow 1 = tc$$

$$\Rightarrow c \text{ is a unit.}$$

$\Rightarrow a$  is irreducible



Def: Say  $R$  is an integral domain  
if  $ab = 0$

$$\Rightarrow a = 0 \text{ OR } b = 0$$

( $R$  has no "zero divisors").

and  $R$  is commutative with  $1$ .