

Problem 1. One Real Root. Consider a polynomial $x^3 + px + q$ with real coefficients $p, q \in \mathbb{R}$ satisfying $p > 0$. We will show that this polynomial has exactly one real root.

- (a) From the Intermediate Value Theorem we know that there exists a real root $f(r) = 0$. In this case use long division to show that

$$f(x) = (x - r)(x^2 + rx + p + r^2).$$

- (b) Show that $x^2 + rx + p + r^2$ has no real roots. [Hint: Consider the discriminant.]

(a): Applying the long division algorithm gives

$$\begin{array}{r} x - r \overline{) \begin{array}{r} x^2 + rx + (p + r^2) \\ x^3 + px + q \\ \hline rx^2 + px + q \\ rx^2 - r^2x \\ \hline (p + r^2)x + q \\ (p + r^2)x - (p + r^2)r \\ \hline q + (p + r^2)r \end{array}} \end{array}$$

And we observe that the remainder is zero: $r(r^2 + p) + q = r^3 + rp + q = f(r) = 0$.

(b): For any real number $s \in \mathbb{R}$ satisfying $f(s) = 0$ and $s \neq r$ we have

$$(s - r)(s^2 + rs + p + r^2) = f(s) = 0,$$

which implies that $s^2 + rs + p + r^2 = 0$, hence s is a real root of $x^2 + rx + p + r^2 = 0$. However, since $p > 0$ we observe that this quadratic equation has no real roots because it has a negative discriminant:

$$r^2 - 4(p + r^2) = -3r^2 - 4p < 0.$$

Problem 2. Coefficients Versus Roots. Let \mathbb{F} be a field and suppose that the polynomial $f(x) = x^3 + ax^2 + bx + c \in \mathbb{F}[x]$ has three roots $r, s, t \in \mathbb{F}$.

- (a) Find formulas for a, b, c in terms of r, s, t .
 (b) Find a formula for $r^2 + s^2 + t^2$ in terms of a, b, c . [Hint: Square $r + s + t$.]

(a): If $r, s, t \in \mathbb{F}$ are distinct roots of $x^3 + ax^2 + bx + c \in \mathbb{F}[x]$ then we may use Descartes' Factor Theorem to obtain

$$\begin{aligned} x^3 + ax^2 + bx + c &= (x - r)(x - s)(x - t) \\ &= x^3 - (r + s + t)x^2 + (rs + rt + st)x - rst. \end{aligned}$$

Then comparing coefficients gives

$$\begin{cases} a &= -(r + s + t), \\ b &= rs + rt + st, \\ c &= -rst. \end{cases}$$

(b): It follows that

$$\begin{aligned}(r + s + t)^2 &= r^2 + s^2 + t^2 + 2rs + 2rt + 2st \\ (-a)^2 &= r^2 + s^2 + t^2 + 2(rs + rt + st) \\ a^2 &= r^2 + s^2 + t^2 + 2b \\ a^2 - 2b &= r^2 + s^2 + t^2.\end{aligned}$$

Problem 3. Uniqueness of Roots. Let $f(x) \in \mathbb{F}[x]$ be a polynomial with coefficients in a field \mathbb{F} . Suppose that there exist numbers $a_1, \dots, a_r \in \mathbb{F}$ and $b_1, \dots, b_s \in \mathbb{F}$ such that

$$f(x) = (x - a_1)(x - a_2) \cdots (x - a_r) = (x - b_1)(x - b_2) \cdots (x - b_s).$$

- (a) Prove that $r = s$. [Hint: Degree.]
 (b) Prove that the roots can be re-indexed so that $a_i = b_i$ for all i . [Hint: Consider $f(a_1)$.]

(a): Comparing degrees gives

$$\begin{aligned}(x - a_1)(x - a_2) \cdots (x - a_r) &= (x - b_1)(x - b_2) \cdots (x - b_s) \\ \deg((x - a_1)(x - a_2) \cdots (x - a_r)) &= \deg((x - b_1)(x - b_2) \cdots (x - b_s)) \\ \deg(x - a_1) + \cdots + \deg(x - a_r) &= \deg(x - b_1) + \cdots + \deg(x - b_s) \\ \underbrace{1 + \cdots + 1}_{r \text{ times}} &= \underbrace{1 + \cdots + 1}_{s \text{ times}} \\ r &= s.\end{aligned}$$

(b): Substituting $x = a_1$ gives

$$\begin{aligned}(a_1 - a_1)(a_1 - a_2) \cdots (a_1 - a_r) &= (a_1 - b_1)(a_1 - b_2) \cdots (a_1 - b_s) \\ 0 \cdot (a_1 - a_2) \cdots (a_1 - a_r) &= (a_1 - b_1)(a_1 - b_2) \cdots (a_1 - b_s) \\ 0 &= (a_1 - b_1)(a_1 - b_2) \cdots (a_1 - b_s).\end{aligned}$$

It follows that $a_1 - b_i = 0$ for some i , and after re-indexing we may assume that $a_1 = b_1$. Now we may cancel the factor $x - a_1$ from both sides to obtain

$$\begin{aligned}\cancel{(x - a_1)}(x - a_2) \cdots (x - a_r) &= \cancel{(x - a_1)}(x - b_2) \cdots (x - b_s) \\ (x - a_2) \cdots (x - a_r) &= (x - b_2) \cdots (x - b_s),\end{aligned}$$

and the result follows by induction.

Problem 4. Cardano's Formula. Cardano's formula applied to $x^3 + 6x - 20 = 0$ gives

$$x = \sqrt[3]{10 + \sqrt{108}} + \sqrt[3]{10 - \sqrt{108}}.$$

Observe that $\sqrt{108} = 6\sqrt{3}$. Try to find some integers $a, b, c, d \in \mathbb{Z}$ such that

$$(a + b\sqrt{3})^3 = 10 + \sqrt{108} \quad \text{and} \quad (c + d\sqrt{3})^3 = 10 - \sqrt{108}.$$

Then use your answer to prove that

$$\sqrt[3]{10 + \sqrt{108}} + \sqrt[3]{10 - \sqrt{108}} = 2.$$

First we observe that

$$\begin{aligned}
 (a + b\sqrt{3})^3 &= a^3 + 3a^2(b\sqrt{3}) + 3a(b\sqrt{3})^2 + (b\sqrt{3})^3 \\
 &= a^3 + 3a^2b\sqrt{3} + 9ab^2 + 3b^3\sqrt{3} \\
 &= (a^3 + 9ab^2) + (3a^2b + 3b^3)\sqrt{3} \\
 &= a(a^2 + 9b^2) + 3b(a^2 + b^2)\sqrt{3}.
 \end{aligned}$$

We would like to find integers $a, b \in \mathbb{Z}$ such that $a(a^2 + 9b^2) = 10$ and $3b(a^2 + b^2) = 6$. After a bit of trial and error, we see that the only solution is $a = 1$ and $b = 1$. In other words, the unique real cube root of $10 + \sqrt{108} = 10 + 6\sqrt{3}$ is equal to $1 + \sqrt{3}$. A similar argument shows that $1 - \sqrt{3}$ is the unique real cube root of $10 - \sqrt{108}$. Thus we conclude that

$$\sqrt[3]{10 + \sqrt{108}} + \sqrt[3]{10 - \sqrt{108}} = (1 + \sqrt{3}) + (1 - \sqrt{3}) = 2.$$

Problem 5. A Prime Cubic Polynomial. We will give a rigorous proof that the polynomial $f(x) = x^3 + x + 1$ is a prime element of the ring $\mathbb{Q}[x]$.

- (a) Suppose that we have $f(a/b) = 0$ for some integers $a, b \in \mathbb{Z}$. By reducing a/b to lowest terms we may assume that a and b have no common prime factors. In this case show that $a = \pm 1$ and $b = \pm 1$. [Hint: If $p|a$ for some prime $p \in \mathbb{Z}$, then $p|b^3$ and hence $p|b$.]
- (b) Use part (a) to show that $f(x)$ has no roots in \mathbb{Q} .
- (c) Show that every polynomial in $\mathbb{Q}[x]$ of degree 1 has a root in \mathbb{Q} .
- (d) If $f(x) \in \mathbb{Q}[x]$ is **not** prime then we can write $f(x) = g(x)h(x)$ for some polynomials $g(x), h(x) \in \mathbb{Q}[x]$ with $\deg(g) > 0$ and $\deg(h) > 0$. Show that one of $g(x)$ or $h(x)$ must have degree 1 and use this to obtain a contradiction.

(a): Substituting $x = a/b$ and clearing denominators gives

$$\begin{aligned}
 f(a/b) &= 0 \\
 (a/b)^3 + (a/b) + 1 &= 0 \\
 a^3/b^3 + a/b + 1 &= 0 \\
 a^3 + ab^2 + b^3 &= 0.
 \end{aligned}$$

Suppose that a has a prime factor $p|a$. Since $b^3 = a(-a^2 - b^2)$ we conclude that $p|b^3$ and then from Euclid's Lemma we obtain $p|b$. But this contradicts the fact that a and b have no common prime factors. Therefore a has no prime factor; in other words, we must have $a = \pm 1$. Similarly, if b has a prime factor $q|b$ then we observe that $q|a^3$ and hence $q|a$. This contradiction shows that b had no prime factors and hence $b = \pm 1$.

(b): If $a/b \in \mathbb{Q}$ is a rational root of $f(x)$ (in lowest terms) then from part (a) we know that $a = \pm 1$ and $b = \pm 1$, hence $a/b = \pm 1$. But we observe that $f(1) = 3 \neq 0$ and $f(-1) = -1 \neq 0$. Therefore $f(x)$ has no rational root.

(c): Let $g(x) \in \mathbb{Q}[x]$ have degree 1, say $g(x) = (a/b)x + (c/d)$ for some $a, b, c, d \in \mathbb{Z}$. Then we observe that

$$g\left(\frac{-bc}{ad}\right) = \frac{a}{b}\left(-\frac{bc}{ad}\right) + \frac{c}{d} = -\frac{c}{d} + \frac{c}{d} = 0.$$

Hence $g(x)$ has the rational root $-(bc)/(ad) \in \mathbb{Q}$.

(d): Assume for contradiction that $f(x) = x^3 + x + 1 \in \mathbb{Q}[x]$ is **not** prime in $\mathbb{Q}[x]$. By definition this means we can write $f(x) = g(x)h(x)$ for some polynomials $g(x), h(x) \in \mathbb{Q}[x]$ with $\deg(g) \geq 1$ and $\deg(h) \geq 1$. Comparing degrees gives

$$\begin{aligned} f(x) &= g(x)h(x) \\ \deg(f) &= \deg(gh) \\ 3 &= \deg(g) + \deg(h), \end{aligned}$$

therefore we must have $\deg(g) = 1$ and $\deg(h) = 2$ or $\deg(g) = 2$ and $\deg(h) = 1$. Without loss of generality let us assume that $\deg(g) = 1$. Then from part (c) there exists rational number $\alpha \in \mathbb{Q}$ satisfying $g(\alpha) = 0$. Finally, by substituting $x = \alpha$ we obtain

$$f(\alpha) = g(\alpha)h(\alpha) = 0 \cdot h(\alpha) = 0,$$

which contradicts the fact that $f(x)$ has no rational root. \square

Discussion: Part (a) was the trickiest problem on this homework assignment. We can generalize this argument as follows. Consider any polynomial $f(x) = c_0 + c_1x + \cdots + c_nx^n \in \mathbb{Z}[x]$ with integer coefficients and suppose that we have $f(a/b) = 0$ for some fraction $a/b \in \mathbb{Q}$ written in lowest terms. By substituting and clearing denominators we obtain the following equation of integers:

$$c_0b^n + c_1ab^{n-1} + \cdots + c_{n-1}a^{n-1}b + c_na^n = 0.$$

It follows from this that $a|c_0b^n$ and $b|c_na^n$. Finally, since a and b have no common factors, one can show using Euclid's Lemma (proof omitted) that we must have $a|c_0$ and $b|c_n$. This argument is called the *rational root test*. It restricts the possible rational roots of $f(x)$ to a finite set, which can be checked by hand.

Problem 6. Complex Conjugation. Let i be an abstract symbol satisfying $i^2 = -1$ and consider the ring of complex numbers:

$$\mathbb{C} = \{a + bi : a, b \in \mathbb{R}\}.$$

We define *complex conjugation* $*$: $\mathbb{C} \rightarrow \mathbb{C}$ by the following formula:

$$(a + bi)^* := a - bi.$$

- (a) For all $\alpha \in \mathbb{C}$ show $\alpha^* = \alpha$ if and only if $\alpha \in \mathbb{R}$.
- (b) For all $\alpha, \beta \in \mathbb{C}$ show that $(\alpha + \beta)^* = \alpha^* + \beta^*$ and $(\alpha\beta)^* = \alpha^*\beta^*$.
- (c) For all real polynomials $f(x) \in \mathbb{R}[x]$ and complex numbers $\alpha \in \mathbb{C}$ show that

$$f(\alpha)^* = f(\alpha^*).$$

- (d) Use part (c) to show that complex roots of real polynomials come in conjugate pairs. It follows that any real polynomial has an **even number** of complex roots.

Before we begin, let me make three important observations:

- The abstract symbol i (whatever it is) is not a real number. If it were, then from trichotomy we would have $i < 0$ or $i = 0$ or $i > 0$. But $i < 0$ implies $0 < i^2 = -1$, $0 = i$ implies $0 = i^2 = -1$, and $0 < i$ implies $0 < i^2 = -1$, all of which are false.
- For all real numbers $a, b, c, d \in \mathbb{R}$ I claim that

$$a + bi = c + di \iff a = c \text{ and } b = d.$$

Indeed, if $a = c$ and $b = d$ then $a + bi = c + di$. Conversely, suppose that $a + bi = c + di$. If $b \neq d$ then we conclude that $i = (a - c)/(d - b)$ is real, which contradicts the previous remark. Therefore we must have $b = d$ and hence $a = c$.

- We view \mathbb{R} as a subset of \mathbb{C} by identifying the real number $a \in \mathbb{R}$ with the complex number $a + 0i \in \mathbb{C}$. It follows that $a + bi \in \mathbb{R}$ if and only if $b = 0$.

(a): Consider any $\alpha = a + bi \in \mathbb{C}$. If α is real then $b = 0$ and hence

$$\alpha^* = (a + 0i)^* = a - 0i = a + 0i = \alpha.$$

Conversely, suppose that $\alpha^* = \alpha$, so that $a + bi = a - bi$. Subtracting a on both sides gives $bi = -bi$, which implies that $2bi = 0$ and hence $b = 0$.¹ It follows that $\alpha = a + 0i$ is real.

(b): Let $\alpha = a + bi$ and $\beta = c + di$. Then we have

$$\begin{aligned} \alpha^* + \beta^* &= (a - bi) + (c - di) \\ &= (a + c) - (b + d)i \\ &= ((a + c) + (b + d)i)^* \\ &= (\alpha + \beta)^* \end{aligned}$$

and

$$\begin{aligned} \alpha^* \beta^* &= (a - bi)(c - di) \\ &= ac - adi - bci + bdi^2 \\ &= ac - adi - bci - bd \\ &= (ac - bd) - (ad + bc)i \\ &= ((ac - bd) + (ad + bc)i)^* \\ &= ((a + bi)(c + di))^* \\ &= (\alpha\beta)^*. \end{aligned}$$

(c): Consider any polynomial $f(x) = a_0 + a_1x + \cdots + a_nx^n \in \mathbb{R}[x]$ with real coefficients and let $\alpha \in \mathbb{C}$ be any complex number. Then combining parts (a) and (b) gives

$$\begin{aligned} f(\alpha)^* &= (a_0 + a_1\alpha + \cdots + a_n\alpha^n)^* \\ &= a_0^* + a_1^*\alpha^* + \cdots + a_n^*(\alpha^*)^n && \text{(b)} \\ &= a_0 + a_1\alpha^* + \cdots + a_n(\alpha^*)^n && \text{(a)} \\ &= f(\alpha^*). \end{aligned}$$

(d): We conclude from part (c) that

$$f(\alpha) = 0 \iff f(\alpha)^* = 0 \iff f(\alpha^*) = 0.$$

In other words, $\alpha \in \mathbb{C}$ is a root of $f(x) \in \mathbb{R}[x]$ if and only if $\alpha^* \in \mathbb{C}$ is a root of $f(x)$. This tells us that the non-real complex roots of $f(x)$ come in pairs. Hence there must be an even number of non-real complex roots (possibly zero).

Discussion: This problem was intended to get you thinking about complex numbers. In the next chapter I will give a thorough treatment, after which this problem will make a lot more sense.

¹From the above remarks, if $0 + 2bi = 0 + 0i$ then $2b = 0$, which then implies that $b = 0$.