

New Topic :

- Symmetric Functions
- Laplace's Proof of the F.T.A.

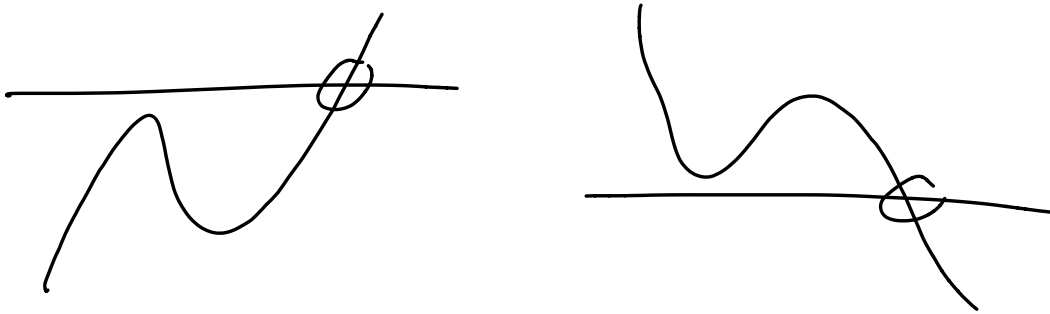
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Recall : The F.T.A. implies that every nonconstant  $f(x) \in \mathbb{R}[x]$  factors as a product of real polynomials of degrees 1 & 2.

[In fact we will see that the F.T.A. is equivalent to this statement.]

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If  $f(x) \in \mathbb{R}[x]$  has degree 3, we know from I.V.T. that  $f(x)$  has a real root  $a \in \mathbb{R}$ .



Hence  $f(x) = (x-a)g(x)$  where  $g(x) \in \mathbb{R}[x]$  has degree 2. ✓

Problem: Show how to factor real polynomials of degree  $\geq 4$ .

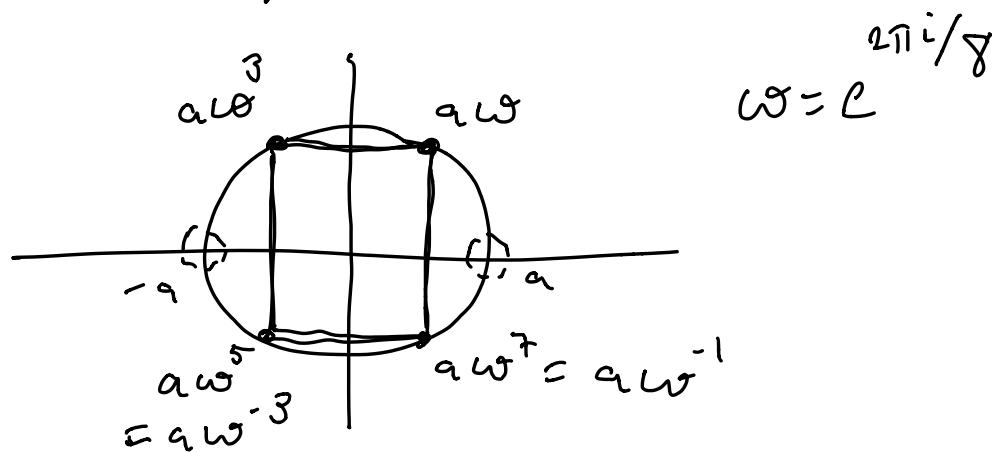
(1702)  
Leibniz' Mistake: For  $a \in \mathbb{R}$ , Leibniz mistakenly claimed that  $x^4 + a^4 \in \mathbb{R}[x]$  does not factor over  $\mathbb{R}$ .

He was wrong:  $x^4 + a^4 = 0$

$$x^4 = -a^4$$

$$x^4 = a^4 e^{i\pi} \quad (\text{polar})$$

$$\Rightarrow x = a e^{i\pi/4}, a e^{i3\pi/4}, a e^{i5\pi/4}, a e^{i7\pi/4}$$



Group the roots into conjugate pairs:

$$\begin{aligned}x^4 + a^4 &= (x - a\omega)(x - a\omega^4)(x - a\omega^3)(x - a\omega^2) \\&= (x^2 - a(\omega + \omega^4)x + a^2)(x^2 - a(\omega^3 + \omega^2)x + a^2) \\&= (x^2 - 2a \cos\left(\frac{2\pi}{8}\right)x + a^2)(x^2 - 2a \cos\left(\frac{6\pi}{8}\right)x + a^2)\end{aligned}$$

Done ✓

$$= (x^2 - a\sqrt{2}x + a^2)(x^2 + a\sqrt{2}x + a^2)$$

The answer even looks good. ☺

From this we can compute

$$\int \frac{1}{x^4 + a^4} dx \text{ explicitly. (But the formula is a big mess.)}$$

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Confusion Remained: In 1742, Nicholas Bernoulli claimed to Euler that  $x^4 - 4x^3 + 2x^2 + 4x - 4$  cannot be factored over  $\mathbb{R}$ .

This would have been a counterexample to the F.T.A.

Not only did Euler factor this polynomial, he also gave a proof that every polynomial in  $\mathbb{R}[x]$  of degree 4 factors.

He also claimed a proof up to degree 8 and sketched ideas for a full proof of F.T.A.

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Here's his proof for degree 4.

Given  $f(x) \in \mathbb{R}[x]$  of degree 4 we can eliminate the  $x^3$  term to get

$$f(x) = x^4 + Bx^2 + Cx + D \in \mathbb{R}[x].$$

Euler assumed that there exist some "imaginary numbers"  $a, b, c, d$  such that

$$f(x) = (x-a)(x-b)(x-c)(x-d).$$

Expand:

$$\begin{aligned} f(x) &= x^4 - (a+b+c+d)x^3 \\ &\quad + (ab+ac+ad+bc+bd+cd)x^2 \\ &\quad - (abc+abd+acd+bcd)x \\ &\quad + abcd \end{aligned}$$

Equating coefficients:

$$\left\{ \begin{array}{l} a+b+c+d = 0 \\ ab+\dots+cd = +B \\ abc+\dots+bcd = -C \\ abcd = +D \end{array} \right. \rightsquigarrow \left\{ \begin{array}{l} a = ? \\ b = ? \\ c = ? \\ d = ? \end{array} \right.$$

4 equations  
in 4 unknowns

Too Hard.

More modest goal:

Find  $u, v, \alpha, \beta \in \mathbb{R}$  such that

$$f(x) = (x^2 - ux + \alpha)(x^2 - vx + \beta)$$

$$= x^4 - (u+v)x^3 + \dots$$

$(u+v=0)$

So  $v = -u$  :

$$f(x) = (x^2 - ux + \alpha)(x^2 + ux + \beta)$$

What do we know about  $u, \alpha, \beta$  ?

By unique prime factorization of polynomials,

$$x^2 - ux + \alpha = (x-a)(x-b)$$

$$\text{or } (x-a)(x-c)$$

or  $\vdots$

$$\text{or } (x-c)(x-d)$$

$$\Rightarrow u \in \left\{ \begin{array}{l} \underbrace{a+b}^p, \underbrace{a+c}^q, \underbrace{a+d}^r \\ \underbrace{c+d}_{-p}, \underbrace{b+d}_{-q}, \underbrace{b+c}_{-r} \end{array} \right\}$$

In other words,  $u$  is a root of the "auxiliary polynomial"

$$g(u) = (u-p)(u+p)(u-q)(u+q)(u-r)(u+r)$$

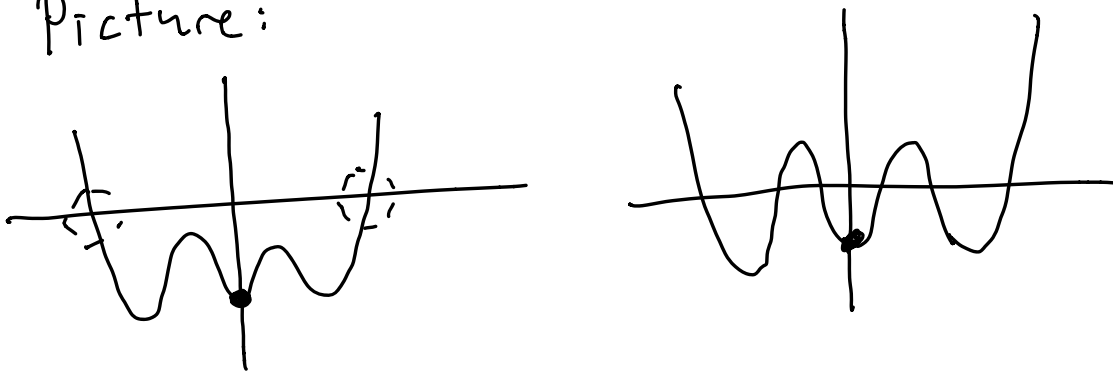
$$= (u^2 - p^2)(u^2 - q^2)(u^2 - r^2)$$

$$= 1u^6 + \dots + (-1) p^2 q^2 r^2 .$$

So what?

Observation:  $g(u)$  has even degree  
and constant term  $-p^2 q^2 r^2 < 0$ .

Picture:



By I.V.T. the polynomial  $g(u)$   
has at least one real root  $u \in \mathbb{R}$ .  
We can then use this  $u$  to find  
values for  $\alpha$  &  $\beta$ .

$$\begin{aligned}x^4 + Bx^2 + Cx + D &= (x^2 - ux + \alpha)(x^2 + ux + \beta) \\ &= x^4 + (\alpha + \beta - u^2)x^2 + u(\alpha - \beta)x + \alpha\beta\end{aligned}$$

$$\begin{cases} \alpha + \beta - u^2 = B \\ u(\alpha - \beta) = C \\ \alpha\beta = D \end{cases} \longrightarrow \begin{cases} \alpha = ? \\ \beta = ? \end{cases}$$

This is easy:

$$\alpha + \beta = B + u^2$$

$$\alpha - \beta = C/u$$

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$$2\alpha = B + u^2 + C/u$$

$$\alpha = (B + u^2 + C/u)/2$$

$$\beta = B + u^2 - \alpha \quad \checkmark$$

We have proved that some numbers  $u, \alpha, \beta \in \mathbb{R}$  exist.

Whew!

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But I fooled you!

There is a gap in this proof.

How do we know that

$$g = (u^2 - p^2)(u^2 - q^2)(u^2 - r^2)$$

has real coefficients ??



We know that

$$p = a + b, \quad -p = c + d,$$

$$q = a + c, \quad -q = b + d,$$

$$r = a + d, \quad -r = b + c.$$

These  $p, q, r$  are not necessarily real numbers.

[Recall:  $x^4 + a^4$  has no real roots but it still factors.]

We can't assume that  $a, b, c, d$  are real. But we do know something important:

$$ab + ac + \dots + cd = B \in \mathbb{R}$$

$$abc + \dots + bcd = -C \in \mathbb{R}$$

$$abcd = D \in \mathbb{R}$$

"Elementary symmetric combinations" of  $a, b, c, d$  are real.

From this, we need to show that

The coefficients of

$$g(u) = (u^2 - p^2)(u^2 - q^2)(u^2 - r^2)$$

are real. Sounds very hard, but it follows from an important general principle, first stated by Isaac Newton.

### Fundamental Theorem of Symmetric Polynomials

Any symmetric combination of the roots of a polynomial can be expressed in terms of the coefficients.

e.g.  $a^2 + b^2 + c^2 + d^2$

is symmetric, hence it must be real.

MORE NEXT TIME.